

# Molecular Excited States and Time-Dependent Density Functional Theory

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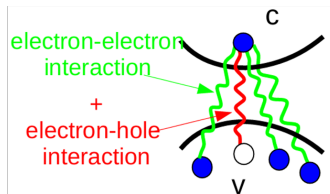
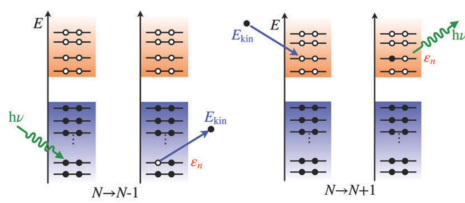
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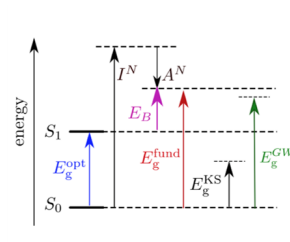
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# Absorption, Emission and Photoemission Process



- **Absorption:** Ground state to excited state
- **Emission:** Excited state to ground state
- **Photoemission:** Ground state to ionized state



Line spectra has two basic properties:

- **Location:** The location of the absorption peak-excitation  $\omega_i$
- **Intensity:** The height of the absorption peak-oscillator strength  $f_I$

If we have the excited state wavefunction  $\Psi_I$ , the oscillator strength is defined as:

$$f_I = \frac{2}{3} \omega_I \sum_{n=x,y,z} |\langle \Psi_0 | \hat{r}_n | \Psi_I \rangle|^2$$

Where the  $\Psi_0$  is the ground state wavefunction, and  $\Psi_I$  is the excited state wavefunction. We could also get the absorption spectra from the dynamic polarizability  $\alpha_{ij}(\omega)$ :

$$\sigma(\omega) = -\frac{4\pi\omega}{3c} \Im(\alpha_{ii}(\omega))$$

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## Hohenberg-Kohn Theorem:

- HK I: The ground state or electron's density is only depended on external potential.
- HK II: For a given external potential, the energy functional is the global minimum value varying every density. And this density could be considered as the ground state electron's density.

Based on HK I ansatz, the many-electron Schrödinger equation is written in  $\nu$ -representation as:

$$(\hat{T} + \hat{V}_{ee} + \hat{v})\Psi_0[\nu] = E_0[\nu]\Psi_0[\nu]$$

Based on HK II ansatz, the many-electron Schrödinger equation could be considered as a minimum problem of energy functional.

$$E_0[\nu] = \min_{\rho(\mathbf{r}) \rightarrow N} \{F[\rho(\mathbf{r})] + \int d\mathbf{r} \nu(\mathbf{r})\rho(\mathbf{r})\}$$

The Runge-Gross theorem establishes the foundation of time-dependent density functional theory (TDDFT) by proving a one-to-one correspondence between the time-dependent electron density  $\rho(\mathbf{r}, t)$  and the external potential  $v_{ext}(\mathbf{r}, t)$ , for a given initial state.

- **Core statement:**  $\rho(\mathbf{r}, t) \leftrightarrow v_{ext}(\mathbf{r}, t)$
- **Condition:** Initial state must be non-degenerate
- **Significance:** Time-dependent generalization of the Hohenberg-Kohn theorem

$$\rho(\mathbf{r}, t) \leftrightarrow \Psi(\mathbf{r}, t) \leftrightarrow v_{ext}(\mathbf{r}, t)$$

For a many-electron system, the time-dependent Schrödinger equation can be written as:

$$i\partial_t\Psi(\mathbf{r}, t) = \hat{H}\Psi(\mathbf{r}, t)$$

The details of Hamiltonian of Schrödinger equation is:

$$\hat{H} = \hat{T}(\mathbf{r}) + \hat{V}_{e-e}(\mathbf{r}) + \hat{V}_{n-e}(\mathbf{r}) + \hat{V}_{ext}(\mathbf{r}, t)$$

The action integral is defined as:

$$A = \int_{t_0}^{t_1} dt \langle \Psi | i\partial_t - \hat{H}(r, t) | \Psi \rangle$$

Just like ground state Kohn-Sham equation, the action integral of Kohn-Sham system is:

$$A_S[\rho] = B_S[\rho] - \int_{t_0}^{t_1} dt \int d^3r \rho_S(\mathbf{r}, t) v_S(\mathbf{r}, t)$$

The action integral B could be rewritten as:

$$B_S[\rho] = \int_{t_0}^{t_1} dt \sum_i \langle \phi_i[\rho] | i\partial_t - \hat{T}(\mathbf{r}) | \phi_i[\rho] \rangle + \int_{t_0}^{t_1} dt \int d^3r d^3r' \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

The stationary condition of action integral gives:

$$\frac{\delta A_S[\rho]}{\delta \rho} = \frac{\delta B_S[\rho]}{\delta \rho} - v_S(\mathbf{r}, t) = 0$$

So, the action integral could be rewritten as:

$$A[\rho] = B_S[\rho] - \int_{t_0}^{t_1} dt \int d^3\mathbf{r} \rho(\mathbf{r}, t) v_{ext}(\mathbf{r}, t) \\ - \int_{t_0}^{t_1} dt \int d^3\mathbf{r} d^3\mathbf{r}' \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} - A_{xc}[\rho]$$

and the action integral of exchange-correlation is defined as:

$$A_{xc}[\rho] = B_S[\rho] - B[\rho]$$

$$B[\rho] = \int_{t_0}^{t_1} dt \langle \Psi[\rho] | i\partial_t - \hat{T}(\mathbf{r}) - \hat{V}_{e-e}(\mathbf{r}) | \Psi[\rho] \rangle$$

with adiabatic approximation of exchange-correlation functional:

$$E_{xc}[\rho] = \frac{\delta A_{xc}[\rho]}{\delta \rho} \Big|_{\rho(t)=\rho(0)}$$

the effective potential is defined as:

$$v_s(\mathbf{r}, t) = v_{ext} + \int d^3\mathbf{r}' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + E_{xc}[\rho]$$

Time-dependent Kohn-Sham equation:

$$i\partial_t\phi_i = \left[-\frac{1}{2}\nabla^2 + v_{ext} + \int d^3\mathbf{r}' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + E_{xc}[\rho]\right]\phi_i$$

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In most of the TDDFT calculations of molecular systems, we have some basic assumptions:

- **Adiabatic approximation:** The exchange-correlation potential at any time depends only on the instantaneous electron density, neglecting memory effects.
- **Spin-restriction:** The spin-up and spin-down electron densities are assumed to be equal, simplifying the treatment of spin effects.
- **No fractional occupation:** Electrons are assumed to occupy orbitals in integer numbers, avoiding complications from partial occupancies.
- **Non-periodic systems:** The systems under study are considered to be non-periodic, which simplifies the boundary conditions and computational methods used.

The time-dependent Kohn-Sham equation is:

$$i\partial_t\phi_k(\mathbf{r}, t) = \hat{H}_{KS}(\mathbf{r}, t)\phi_k(\mathbf{r}, t)$$

with a simple external potential:

$$v_{ext}(\mathbf{r}, t) = -e\mathbf{r} \cdot \mathbf{E}_0\delta(t) = -e\mathbf{r} \cdot \mathbf{E}_0 \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{-i\omega t} d\omega$$

So, the ground state orbitals can be simply replaced by a time-evolution operator:

$$\phi_k(\mathbf{r}, t = 0^+) = \exp(i\mathbf{e}\mathbf{r} \cdot \mathbf{E}_0)\phi_k(\mathbf{r}, t = 0^-)$$

and the time-dependent dipole moment is defined as:

$$\mu(t) = -e \int \rho(\mathbf{r}, t)\mathbf{r}d^3\mathbf{r}$$

The we could calculate the dynamic polarizability with Fourier transform of time-dependent dipole moment:

$$\alpha_{ij}(\omega) = \frac{1}{E_{0j}} \int_0^{\infty} \exp(-i\omega t) [\mu_i(t) - \mu_i(0)] dt$$

Finally, the absorption spectrum comes from the diagonal elements of dynamic polarizability:

$$\text{Absorption Spectrum} \rightarrow \alpha_{ii}(\omega)$$

Make a series expansion of the time-dependent Kohn-Sham orbitals with a small perturbation parameter  $\lambda$ :

$$\phi_i(\mathbf{r}, t) = \phi_i^{(0)}(\mathbf{r}, t) + \lambda\phi_i^{(1)}(\mathbf{r}, t) + \lambda^2\phi_i^{(2)}(\mathbf{r}, t) + \dots$$

with a external perturbation potential:

$$\hat{H}_{KS}(\mathbf{r}, t) = \hat{H}_{KS}^{(0)}(\mathbf{r}) + \lambda v_{ext}^{(1)}(\mathbf{r}, t) + \lambda\hat{H}_{KS}^{(1)}(\mathbf{r}, t) + \lambda^2 v_{ext}^{(2)}(\mathbf{r}, t) + \lambda^2\hat{H}_{KS}^{(2)}(\mathbf{r}, t) + \dots$$

and the density with different order of perturbation parameter  $\lambda$ :

$$\rho(\mathbf{r}, t) = \sum_i f_i |\phi_i|^2 = \rho^{(0)}(\mathbf{r}, t) + \lambda\rho^{(1)}(\mathbf{r}, t) + \lambda^2\rho^{(2)}(\mathbf{r}, t) + \dots$$

in detail:

$$\rho^{(0)}(\mathbf{r}, t) = \sum_i n_i |\phi_i^{(1)}|^2$$

$$\rho^{(1)}(\mathbf{r}, t) = \sum_i n_i |[\phi_i^{(0)}]^* [\phi_i^{(1)}] + [\phi_i^{(1)}]^* [\phi_i^{(0)}]|$$

The first-order response Hamiltonian is defined as:

$$\hat{H}_{KS}^{(1)}(\mathbf{r}, t) = \int d^3\mathbf{r}' \frac{\rho^{(1)}(\mathbf{r}', t)}{|\mathbf{r} - \mathbf{r}'|} + \int d^3\mathbf{r}' f_{xc}^{(0)}(\mathbf{r}, t; \mathbf{r}', t') \rho^{(1)}(\mathbf{r}', t')$$

and the TDKS equation is:

$$i\partial_t \phi_i^{(1)}(\mathbf{r}, t) = \hat{H}_{KS}^{(0)}(\mathbf{r}) \phi_i^{(1)}(\mathbf{r}, t) + [\nu_{ext}^{(1)}(\mathbf{r}, t) + \hat{H}_{KS}^{(1)}(\mathbf{r}, t)] \phi_i^{(0)}(\mathbf{r}, t)$$

Consider a periodic perturbation with single frequency  $\omega$  for most of the cases:

$$v_{ext}^{(1)}(\mathbf{r}, t) = v_{ext}^{+\omega}(\mathbf{r})e^{i\omega t} + h.c.$$

So, the general solution of first-order TDKS equation is:

$$\phi_i(\mathbf{r}, t) = e^{-i\varepsilon_i^{(0)}t - \lambda i\Delta\varepsilon_i^{(1)}t} \{ \phi_i^{(0)}(\mathbf{r}) +$$

$$\lambda [ \phi_i^{(1),+\omega}(\mathbf{r})e^{i\omega t} + \phi_i^{(1),-\omega}(\mathbf{r})e^{-i\omega t} ] \} + O(\lambda^2)$$

Where the  $\phi_i^{(1),+\omega}(\mathbf{r})$  and  $\phi_i^{(1),-\omega}(\mathbf{r})$  are the first-order response orbitals with frequency of  $+\omega$  and  $-\omega$ . And the  $\Delta\varepsilon_i^{(1)}$  is the level shift of first-order response Hamiltonian.

$$\Delta\varepsilon_i^{(1)} = \int dt \langle \phi_i^{(0)}(\mathbf{r}) | [v_{ext}^{(1)}(\mathbf{r}, t) + \hat{H}_{KS}^{(1)}(\mathbf{r}, t)] | \phi_i^{(0)}(\mathbf{r}) \rangle$$

So, the first-order density is:

$$\rho^{(1)}(\mathbf{r}, t) = \sum_i n_i |[\phi_i^{(0)}]^* [\phi_i^{+\omega, (1)}] + [\phi_i^{-\omega, (1)}]^* [\phi_i^{(0)}]| e^{-i\omega t} + cc.$$

After some algebra, we could get the Sternheimer equation:

$$\begin{aligned} & i\partial_t e^{-i\varepsilon_i^{(0)}t - \lambda i\Delta\varepsilon_i^{(1)}t} \{ \phi_i^{(0)}(\mathbf{r}) + \lambda [\phi_i^{(1), +\omega}(\mathbf{r}) e^{i\omega t} + \phi_i^{(1), -\omega}(\mathbf{r}) e^{-i\omega t}] \} \\ &= \hat{H}_{KS}^{(0)}(\mathbf{r}) e^{-i\varepsilon_i^{(0)}t - \lambda i\Delta\varepsilon_i^{(1)}t} \{ \phi_i^{(0)}(\mathbf{r}) + \lambda [\phi_i^{(1), +\omega}(\mathbf{r}) e^{i\omega t} + \phi_i^{(1), -\omega}(\mathbf{r}) e^{-i\omega t}] \} \\ &+ \lambda \{ [ \int d^3f_{Hxc} \rho^{(1)}(\mathbf{r}', t) + v_{ext}^{(1)}(\mathbf{r}, t) ] \phi_i^{(0)}(\mathbf{r}) \} e^{-i\varepsilon_i^{(0)}t - \lambda i\Delta\varepsilon_i^{(1)}t} \end{aligned}$$

Now we divide the equation into two parts with frequency of  $+\omega$  and  $-\omega$  for resonant and anti-resonant solution. So we could rewrite the Sternheimer equation in a compact form:

$$\begin{aligned} & \{\hat{H}_{KS}^{(0)}(\mathbf{r}) - \varepsilon_i^{(0)} + \omega + i\eta\} \phi_i^{(1),+\omega}(\mathbf{r}) = \\ & -\left\{ \left[ \int d^3f_{Hxc} \rho^{+\omega,(1)}(\mathbf{r}',t) + v_{ext}^{+\omega}(\mathbf{r}) \right] \right\} \phi_i^{(0)}(\mathbf{r}) \\ & \{\hat{H}_{KS}^{(0)}(\mathbf{r}) - \varepsilon_i^{(0)} - \omega + i\eta\} \phi_i^{(1),-\omega}(\mathbf{r}) = \\ & -\left\{ \left[ \int d^3f_{Hxc} \rho^{-\omega,(1)}(\mathbf{r}',t) + v_{ext}^{-\omega}(\mathbf{r}) \right] \right\} \phi_i^{(0)}(\mathbf{r}) \end{aligned}$$

with a decay factor  $\eta$  of external perturbation in  $e^{-\eta t}$ .

The electron-hole basis is defined as:

$$\phi_i^{(1)} = \sum_a c_{ai} \phi_a^{(0)}(\mathbf{r})$$

Then, rewrite the first-order Sternheimer :

$$\begin{aligned} & \sum_{aj} (-\omega + \varepsilon_j^{(0)}) \delta_{ab} \delta_{ij} e^{-i\omega t} c_{aj}^{+\omega} + \sum_{aj} (\omega + \varepsilon_i^{(0)}) \delta_{ab} \delta_{ij} e^{i\omega t} c_{aj}^{-\omega} \\ &= \sum_{aj} \varepsilon_a^{(0)} \delta_{ab} \delta_{ij} c_{aj}^{+\omega}(t) + \sum_{aj} \varepsilon_a^{(0)} \delta_{ab} \delta_{ij} c_{aj}^{-\omega}(t) \\ &+ \langle \phi_b^{(0)} | f_{Hxc}[\rho^{(0)}] \rho^{(1)} + v_{ext}^{(1)}(\mathbf{r}, t) | \phi_i^{(0)} \rangle \end{aligned}$$

The Hartree-exchange-correlation kernel:

$$\langle \phi_b^{(0)} | f_{Hxc}[\rho^{(0)}] \rho^{(1)}(\mathbf{r}, t) | \phi_i^{(0)} \rangle = \int d^3\mathbf{r} \int d^3\mathbf{r}' \left[ \frac{1}{|\mathbf{r} - \mathbf{r}'|} + f_{xc}(\mathbf{r}, \mathbf{r}') \right]$$

$$\sum_{aj} n_j \{ \{ \phi_j^{*(0)}(\mathbf{r}') c_{aj}^{+\omega} \phi_a^{(0)}(\mathbf{r}') + [c_{aj}^{-\omega}]^* \phi_{*a}^{(0)}(\mathbf{r}') \phi_j^{(0)}(\mathbf{r}') \} e^{-i\omega t} +$$

$$\{ \phi_j^{*(0)}(\mathbf{r}') c_{aj}^{-\omega} \phi_a^{(0)}(\mathbf{r}') + [c_{aj}^{+\omega}]^* \phi_a^{*(0)}(\mathbf{r}') \phi_j^{(0)}(\mathbf{r}') \} \} \phi_b^{*(0)}(\mathbf{r}) \phi_i^{(0)}(\mathbf{r})$$

More clearly

$$\langle \phi_b^{(0)} | f_{Hxc}[\rho^{(0)}] \rho^{(1)}(\mathbf{r}, t) | \phi_i^{(0)} \rangle = \sum_{aj} n_j \{ K_{bi,aj} \{ c_{aj}^{+\omega} e^{-i\omega t} + c_{aj}^{-\omega} e^{i\omega t} \} \\ + K_{bi,ja} \{ c_{aj}^{+\omega} e^{i\omega t} + c_{aj}^{-\omega} e^{-i\omega t} \} \}$$

with the coupling matrix:

$$K_{bi,aj} = \int d^3\mathbf{r} \int d^3\mathbf{r}' \phi_a^{*(0)}(\mathbf{r}') \phi_j^{(0)}(\mathbf{r}') [f_{Hxc}(\mathbf{r}, \mathbf{r}')] \phi_b^{*(0)}(\mathbf{r}) \phi_i^{(0)}(\mathbf{r}) \rightarrow K$$

$$K_{bi,ja} = \int d^3\mathbf{r} \int d^3\mathbf{r}' \phi_j^{*(0)}(\mathbf{r}') \phi_a^{(0)}(\mathbf{r}') [f_{Hxc}(\mathbf{r}, \mathbf{r}')] \phi_b^{*(0)}(\mathbf{r}) \phi_i^{(0)}(\mathbf{r}) \rightarrow K'$$

$$\begin{aligned} & \begin{bmatrix} \Delta E + \omega I & 0 & -\eta & 0 \\ 0 & \Delta E - \omega I & 0 & -\eta \\ \eta & 0 & \Delta E + \omega I & 0 \\ 0 & \eta & 0 & \Delta E - \omega I \end{bmatrix} \begin{bmatrix} \text{Re}[C^{+\omega}] \\ \text{Re}[C^{-\omega}] \\ \text{Im}[C^{+\omega}] \\ \text{Im}[C^{-\omega}] \end{bmatrix} \\ &= - \begin{bmatrix} K & K' & 0 & 0 \\ K' & K & 0 & 0 \\ 0 & 0 & K & -K' \\ 0 & 0 & -K' & K \end{bmatrix} N \begin{bmatrix} \text{Re}[C^{+\omega}] \\ \text{Re}[C^{-\omega}] \\ \text{Im}[C^{+\omega}] \\ \text{Im}[C^{-\omega}] \end{bmatrix} - N^{\frac{1}{2}} \begin{bmatrix} \text{Re}[V^{+\omega}] \\ \text{Re}[V^{-\omega}] \\ \text{Im}[V^{+\omega}] \\ \text{Im}[V^{-\omega}] \end{bmatrix} \end{aligned}$$

where  $\Delta E_{bi,b'i'} = [\varepsilon_b^{(0)} - \varepsilon_i^{(0)}] \delta_{bb',ii'}$  and  $N_{bi,b'i'} = \delta_{bb',ii'} n_i'$

The  $V_{b'i'}^{+\omega}$  and  $V_{b'i'}^{-\omega}$  is the external perturbation matrix element in electron-hole basis:

$$V_{b'i'}^{+\omega} = \langle \phi_{b'}^{(0)} | v_{ext}^{+\omega}(\mathbf{r}) | \phi_{i'}^{(0)} \rangle$$

$$V_{b'i'}^{-\omega} = \langle \phi_{b'}^{(0)} | v_{ext}^{-\omega}(\mathbf{r}) | \phi_{i'}^{(0)} \rangle$$

Now, we rewrite the above equation in a linear form:

$$\begin{bmatrix} \begin{bmatrix} -\Delta E - \mathbf{K} & -\mathbf{K}' & -\eta & 0 \\ \mathbf{K}' & \Delta E + \mathbf{K} & 0 & -\eta \\ \eta & 0 & -\Delta E - \mathbf{K} & \mathbf{K}' \\ 0 & \eta & -\mathbf{K}' & \Delta E + \mathbf{K} \end{bmatrix} - \omega I \end{bmatrix} \begin{bmatrix} Re[B^{+\omega}] \\ Re[B^{-\omega}] \\ Im[B^{+\omega}] \\ Im[B^{-\omega}] \end{bmatrix} = -N \begin{bmatrix} -Re[V^{+\omega}] \\ Re[V^{-\omega}] \\ -Im[V^{+\omega}] \\ Im[V^{-\omega}] \end{bmatrix}$$

Where the  $B_{bi}^{+\omega} = N^{\frac{1}{2}} c_{bi}^{+\omega}$  and  $\mathbf{K} = N^{\frac{1}{2}} \mathbf{K} N^{\frac{1}{2}}$ .

When the response process of electron cloud is much faster than the decay process, decay factor  $\eta$  is 0.

$$\left[ \begin{bmatrix} -\Delta E - \mathbf{K} & -\mathbf{K}' \\ \mathbf{K}' & \Delta E + \mathbf{K} \end{bmatrix} - \omega I \right] \begin{bmatrix} \text{Re}[B^{+\omega}] \\ \text{Re}[B^{-\omega}] \end{bmatrix} = -N \begin{bmatrix} -\text{Re}[V^{+\omega}] \\ \text{Re}[V^{-\omega}] \end{bmatrix}$$

When only considering the eigen-excitation which means the  $V \rightarrow 0$ , we could get a more simplified Sternheimer equation called Casida equation:

$$\left[ \begin{bmatrix} -\Delta E - \mathbf{K} & -\mathbf{K}' \\ \mathbf{K}' & \Delta E + \mathbf{K} \end{bmatrix} - \omega I \right] \begin{bmatrix} \text{Re}[B^{+\omega}] \\ \text{Re}[B^{-\omega}] \end{bmatrix} = 0$$

We rewrite the Casida equation in a more familiar form:

$$\begin{bmatrix} A & B \\ B^* & A^* \end{bmatrix} \begin{bmatrix} X \\ Y \end{bmatrix} = \omega \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \begin{bmatrix} X \\ Y \end{bmatrix}$$

with the matrix elements:

$$A_{bi,aj} = \delta_{ij}\delta_{ab}(\epsilon_j - \epsilon_b) + \int d\mathbf{r} \int d\mathbf{r}' \phi_i^*(\mathbf{r})\phi_b(\mathbf{r}) \left[ \frac{1}{|\mathbf{r} - \mathbf{r}'|} + \frac{\delta^2 E_{xc}}{\delta\rho(\mathbf{r})\delta\rho(\mathbf{r}')} \right] \phi_a^*(\mathbf{r}')\phi_j(\mathbf{r}')$$

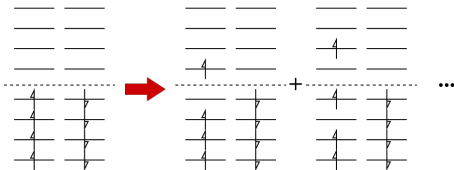
$$B_{bi,aj} = \int d\mathbf{r} \int d\mathbf{r}' \phi_i^*(\mathbf{r})\phi_b(\mathbf{r}) \left[ \frac{1}{|\mathbf{r} - \mathbf{r}'|} + \frac{\delta^2 E_{xc}}{\delta\rho(\mathbf{r})\delta\rho(\mathbf{r}')} \right] \phi_j^*(\mathbf{r}')\phi_a(\mathbf{r}')$$

Excited wave function with CIS wave function form:

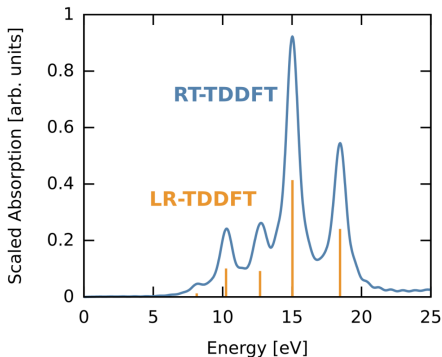
$$\Phi = \Psi_0 + \sum_{i,b} X_{ib} \Psi_i^b + Y_{ib} \Psi_b^i$$

# Overview of three Approaches

- Time-Propagation Approach
- Sternheimer Approach
- Casida Approach



Water Gas-Phase 6-31G/TD-PBE0 Absorption



## Appendix-Matrix Size of Hamiltonian in DFT and TDDFT

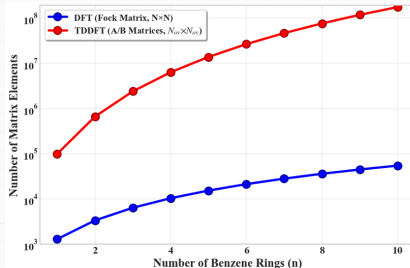
### Casida Equation

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix} = \omega \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} X \\ Y \end{pmatrix} \quad \psi_{ex} = \sum_{ai} x_{ai} \phi_{ai}$$

$$A_{ia,jb} = \delta_{ij} \delta_{ab} (\epsilon_a - \epsilon_i) + \int dr \int r' \phi_i(\mathbf{r}) \phi_a^*(r) \left\{ \frac{1}{|\mathbf{r}-\mathbf{r}'|} + f_{xc} \right\} \phi_b^*(r') \phi_j(r')$$

$$B_{ia,jb} = \int dr \int r' \phi_i(\mathbf{r}) \phi_a^*(r) \left\{ \frac{1}{|\mathbf{r}-\mathbf{r}'|} + f_{xc} \right\} \phi_b^*(r') \phi_j(r')$$

$$\psi_{ai} = \sum_{ai} y_{ai} \phi_{ai}$$



**Ground State Hamiltonian Matrix:  $\sim O(N_e^3)$  for calculation**

- $(N_{occ} + N_{virt}) \times (N_{occ} + N_{virt})$

**Excited State AB Matrix:  $\sim O(N_e^5)$  for calculation  $\sim O(N_e^4)$  for memory**

- $(2N_{occ} \times N_{virt}) \times (2N_{occ} \times N_{virt})$

- $(N_{occ} \times N_{virt}) \times (N_{occ} \times N_{virt})$  in Tamm-Dancoff Approximation

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Given the Casida matrix:

$$\mathcal{L} = \begin{pmatrix} A & B \\ -B^* & -A^* \end{pmatrix}$$

and we want to solve

$$\mathcal{L}\Psi_k = \omega_k\Psi_k$$

where  $\Psi_k$  stacks  $(X_k, Y_k)$ .

Assume we have a set of orthonormal subspace trial vectors:

$$\{\Psi_j\}_{j=1}^m, \quad \Psi_j = \begin{pmatrix} X_j \\ Y_j \end{pmatrix}$$

Construct matrix  $W \in \mathbb{C}^{2N \times m}$ , with columns  $\Psi_j$ .

Project  $\mathcal{L}$  into this subspace:

$$H_{\text{eff}} = W^\dagger \mathcal{L} W \in \mathbb{C}^{m \times m}$$

Solve the projected problem:

$$H_{\text{eff}} \mathbf{y}_i = \omega_i \mathbf{y}_i$$

Ritz vectors:

$$\Psi_i^{(\text{Ritz})} = W \mathbf{y}_i$$

Residuals:

$$\mathbf{r}_i = \mathcal{L} \Psi_i^{(\text{Ritz})} - \omega_i \Psi_i^{(\text{Ritz})}$$

If all  $\|\mathbf{r}_i\|$  are below tolerance, the solution is found. Otherwise, use preconditioned residuals to further expand subspace  $W$  and repeat.

**If the residual is too large, expand the subspace as follows:**

- 1 Compute the residual:

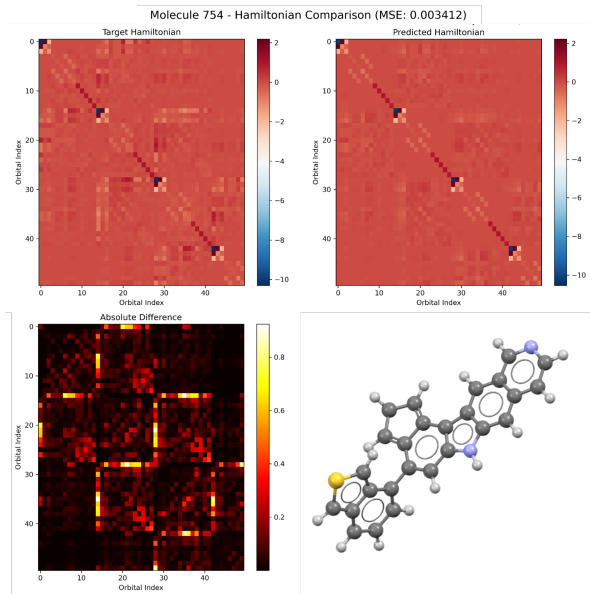
$$\mathbf{r}_i = \mathcal{L}\Psi_i^{(\text{Ritz})} - \omega_i\Psi_i^{(\text{Ritz})}$$

- 2 Apply a preconditioner:

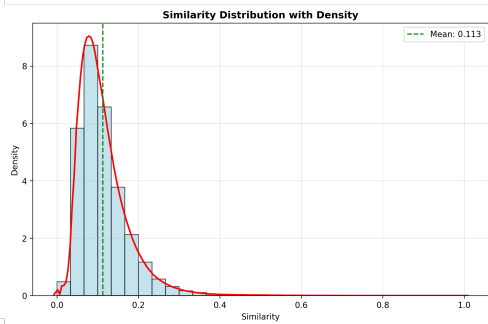
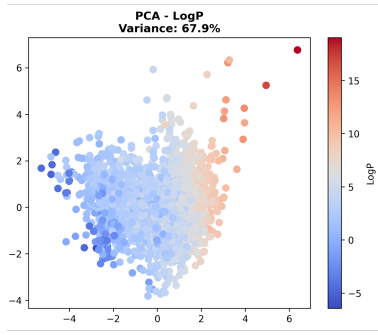
$$t_i \approx \frac{\mathbf{r}_i}{\text{diag}(H_{\text{eff}}) - \omega_i}$$

- 3 Orthonormalize  $t_i$  against existing subspace vectors.
- 4 If  $t_i$  is not linearly dependent ( $\|t_i\|$  big enough), add it to the subspace.
- 5 Repeat the Rayleigh-Ritz projection with the enlarged subspace.

# Some Results of DeepMolH



# Some Results of DeepMolH



## Ground-state DFT:

- Architecture of DeepMolH and implement it.  
MSE:4meV-2meV,MAE:40meV-20meV
- Architecture of DeepRSH and Dataset.→ Testing ! - PySCFAD
- DeepMolH-E3 !!! Equiformer\_v2 with Equivariant Hamiltonian Generator