



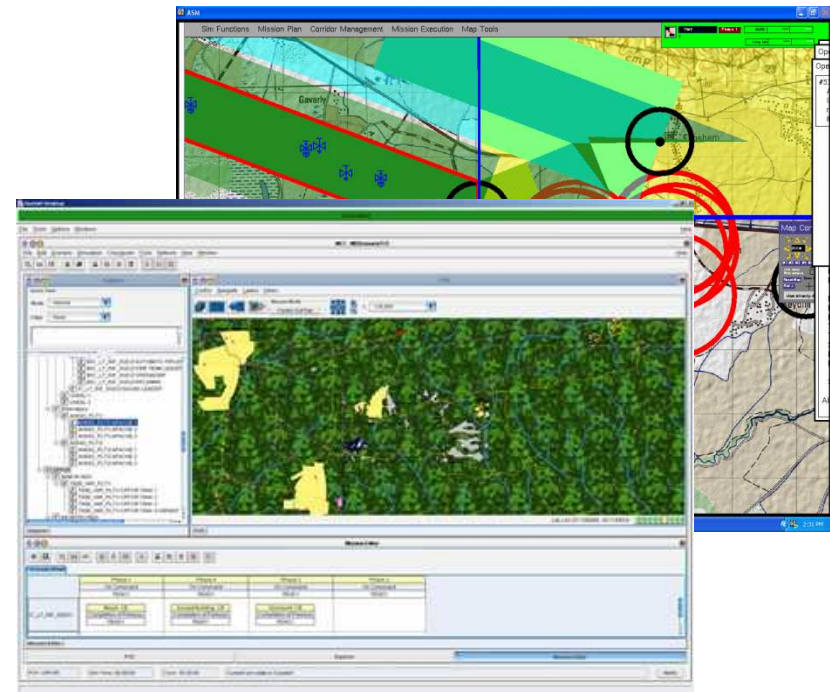
# Automated Air Traffic Control

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27<sup>th</sup> Soar Workshop  
May 23, 2007

# Project Objectives

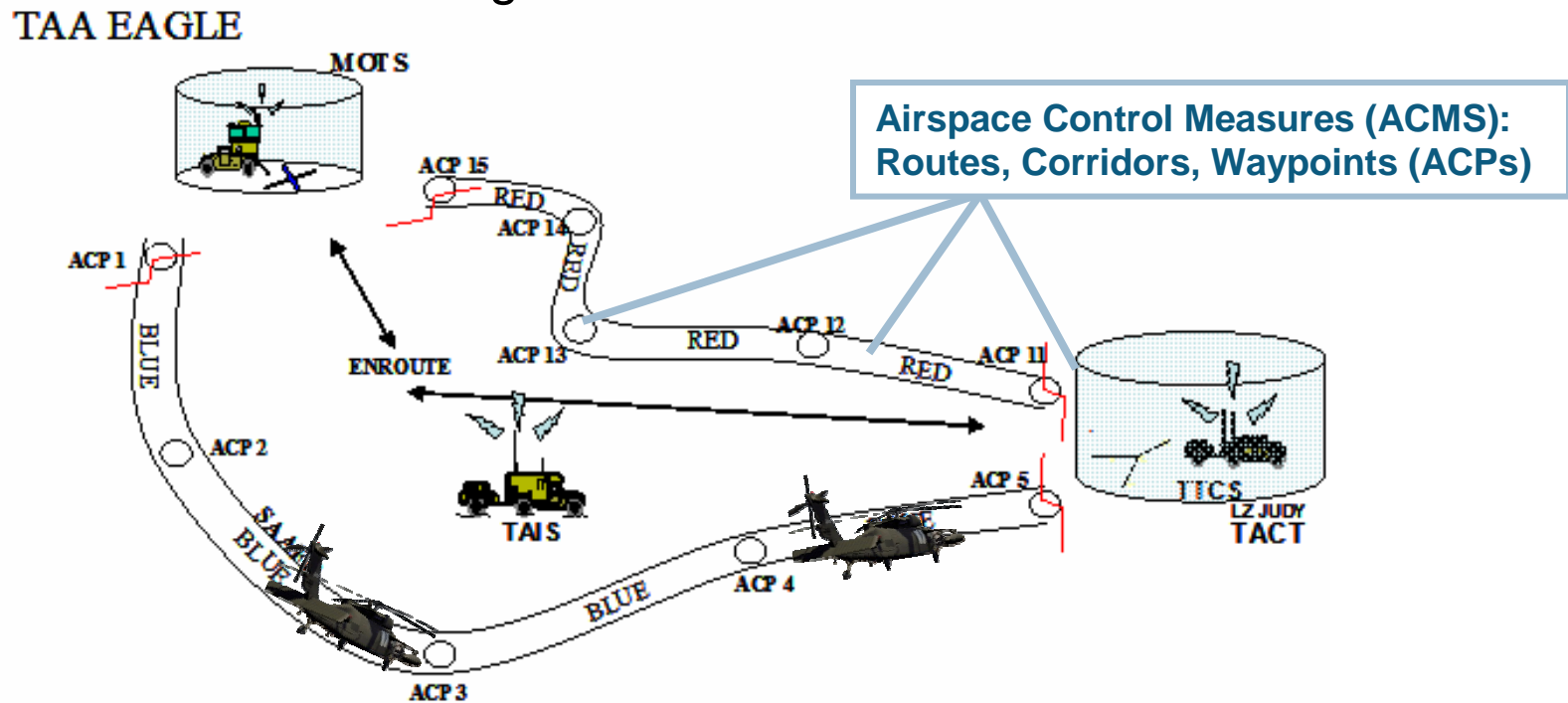
- **Problem:** ATC is not played much in simulation
  - reduces realism
  - where it is, it's played by humans - increasing expense of simulation
- **Goal:** To automate ATC within simulation
  - Increase realism
  - reduce costs of playing
  - interact transparently with human and synthetic pilots alike.



**military simulation environments**

# Army Air Traffic Services – Background

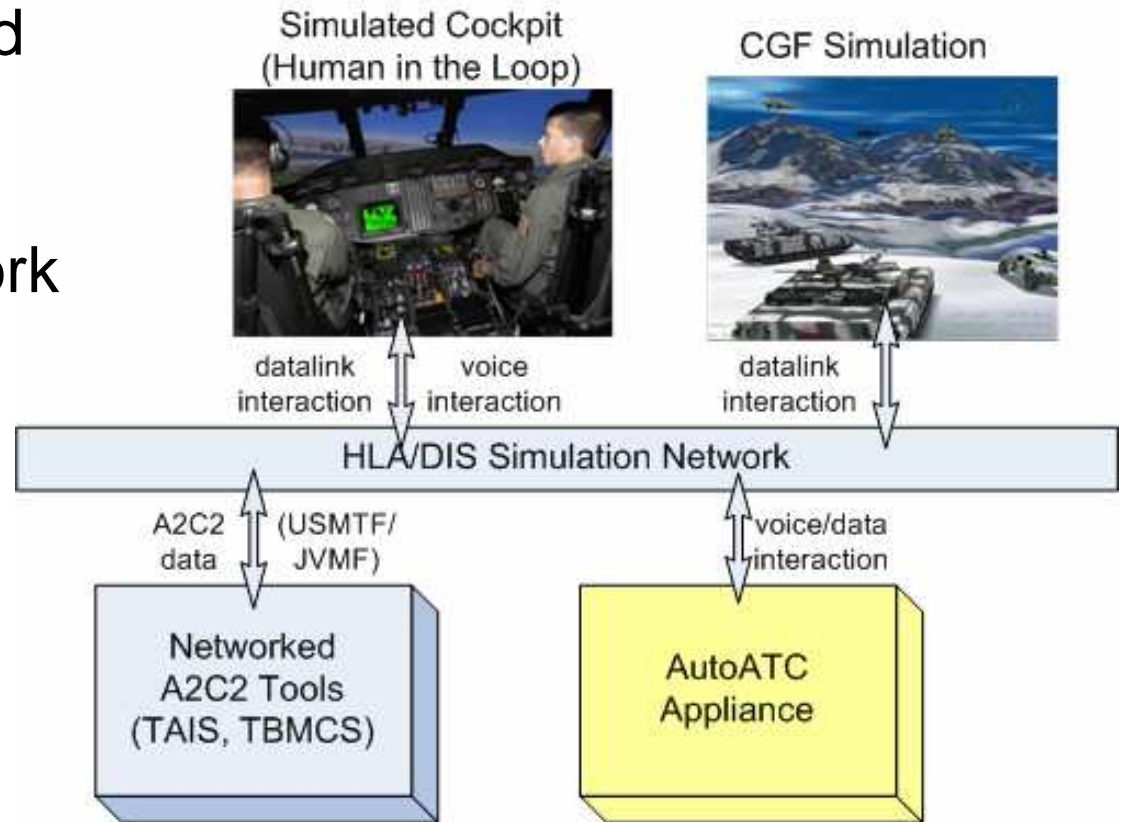
- Army Air Traffic Services (ATS)
  - Part of overall airspace management (ASM)
  - **Procedural Control** – using airspace control measures (ACMs), agreed-upon rules
  - **Positive Control** – using direct id/interactions with aircraft





# High-Level Approach

- Develop network-based “appliance” ATC
- Use existing sim network technologies/protocols
- Incorporate human language interactions
- Incorporate knowledge about how to do ATC



# Detailed Approach

- “Human Behavior Model” of task performance
  - Explicit model of situational awareness
  - Explicit encoding of domain, task knowledge
  - Goal-directed ATC behavior
  - Explicit interaction with aircraft via simulated radio
  - Model of decision making regarding conflicts and advisory generation

# Situation Awareness (from Endsley)

- Level 1: the perception of the elements in the environment within a volume of time and space (current picture)
- Level 2: the compression of their meaning (understanding wrt goals)
- Level 3: projection into the future

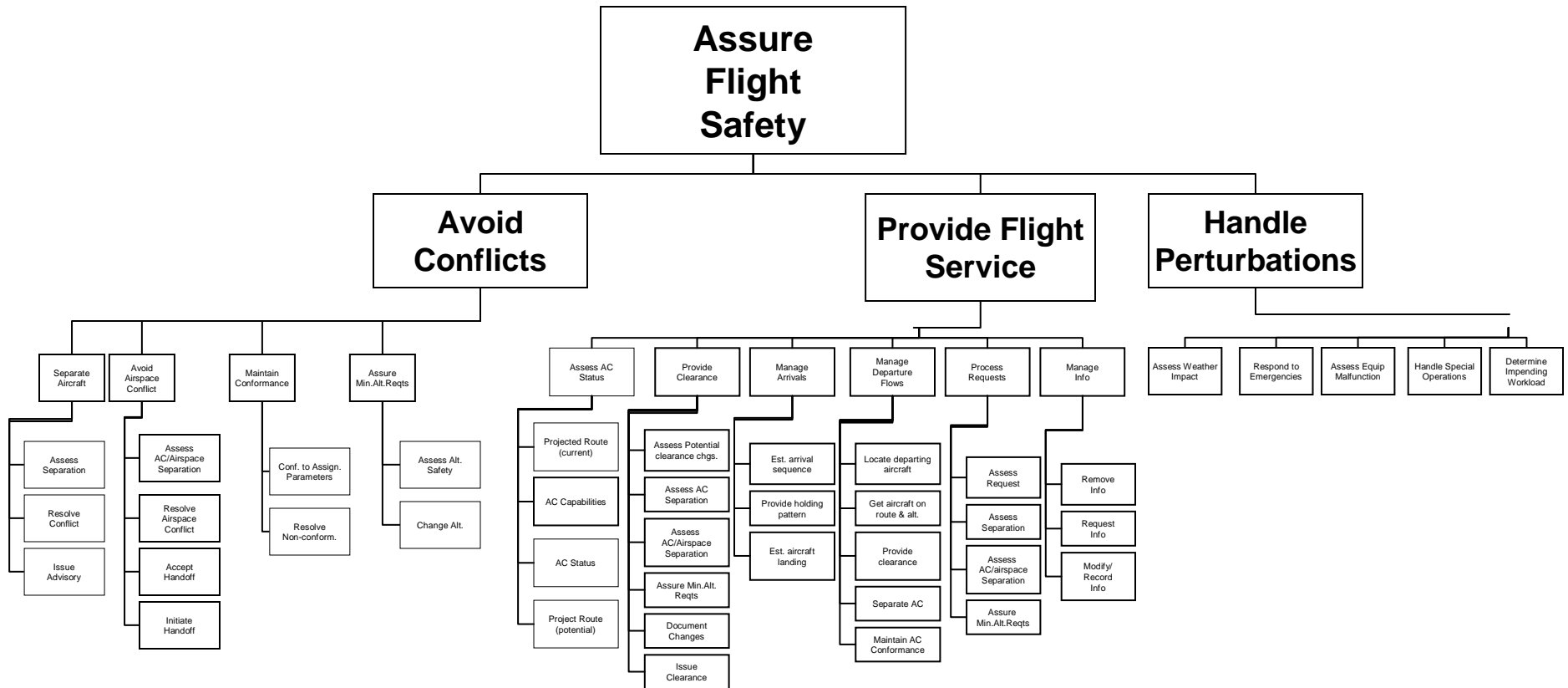
## Controllers: “The Picture”

Perceive – Decide – Act



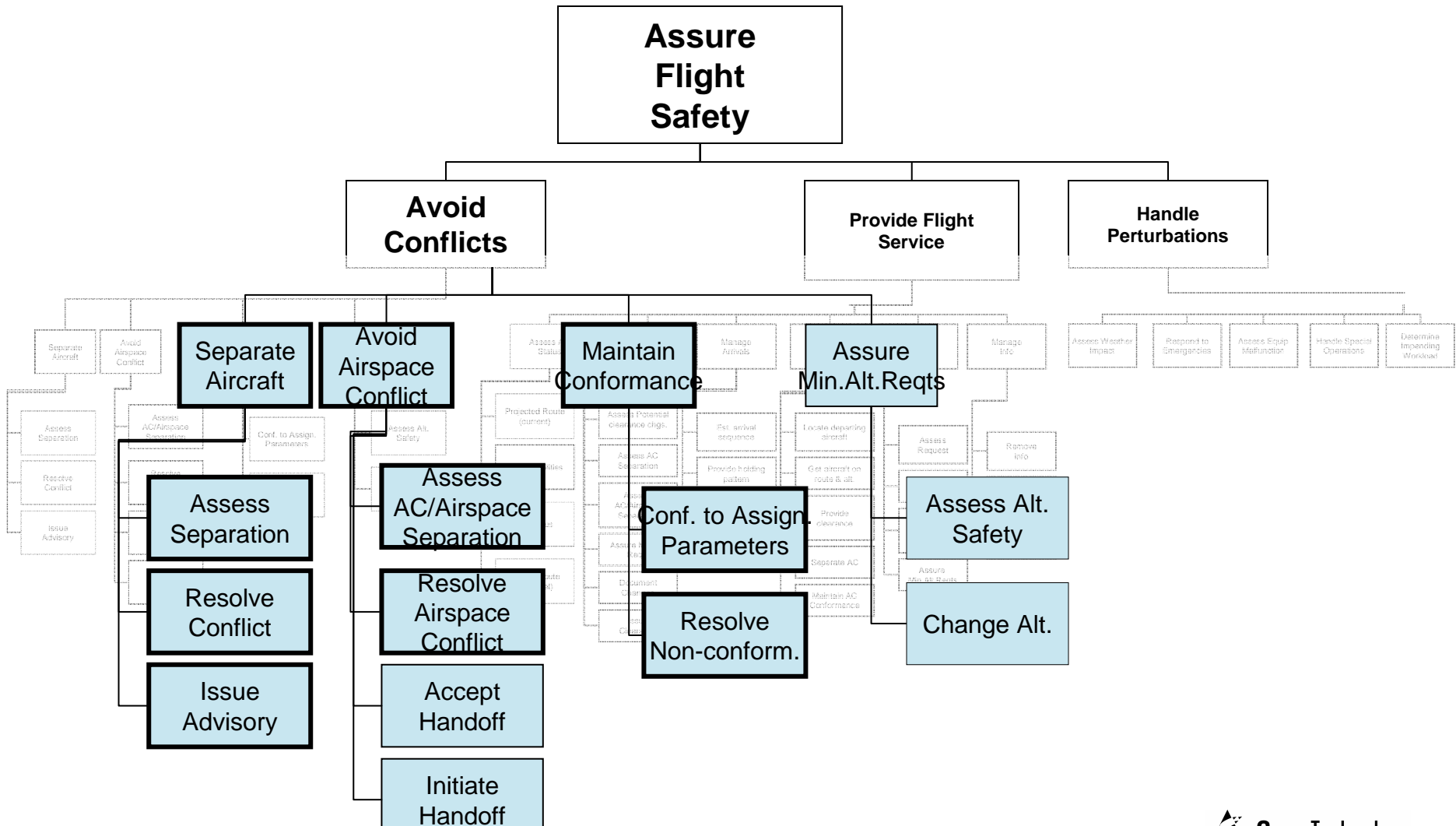
Situation Assessment

# Goal-Directed Task Analysis of ATC (Endsley)





# Avoid Conflicts Task Decomposition

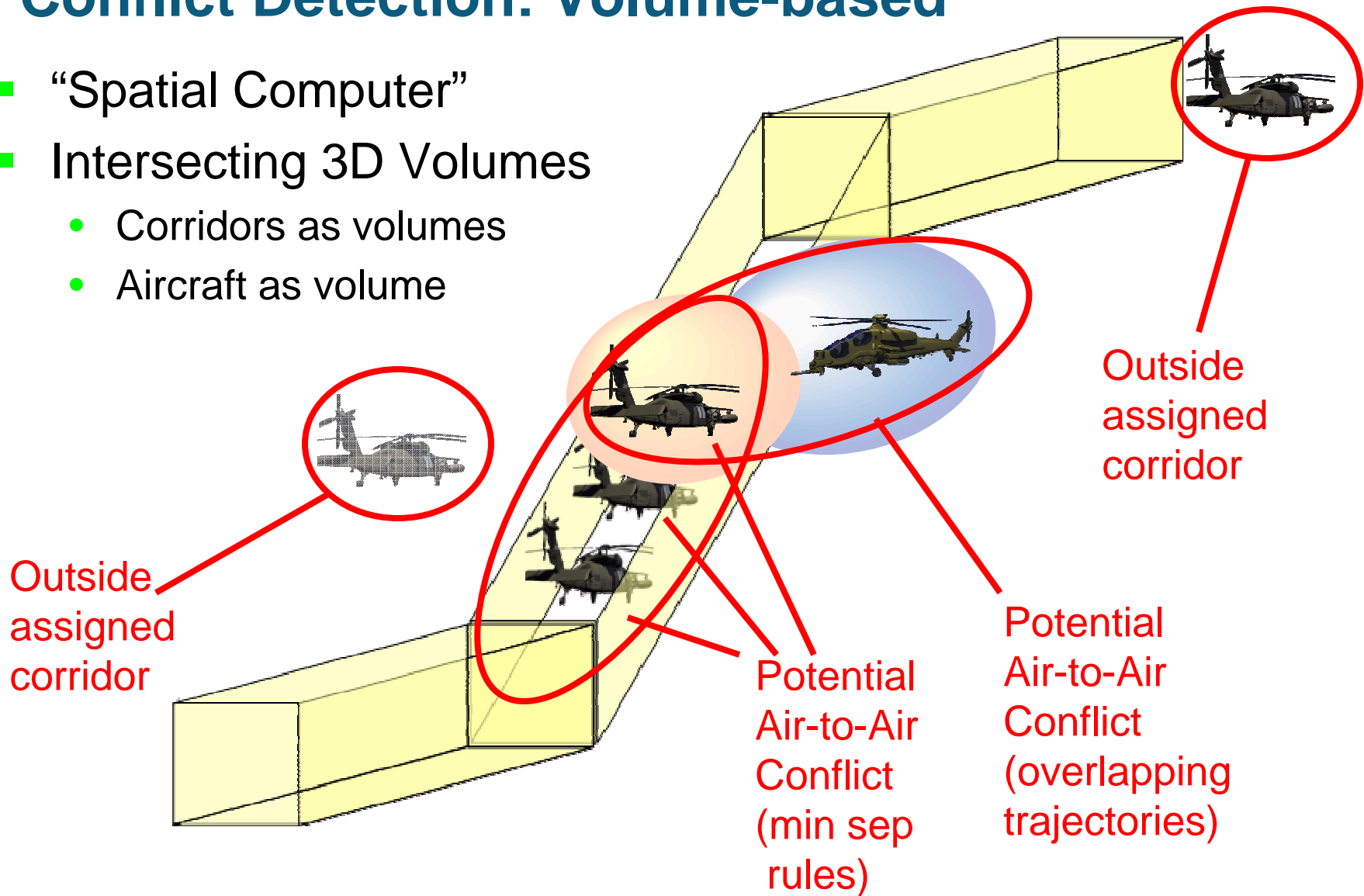


# Situational Awareness Goals

- Maintain Level 1 Situational Awareness
  - Export ACM, ACP and aircraft data to external spatial computer
- Maintain Level 2 Situational Awareness
  - Query the spatial computer about the state of the battlespace
  - Identify current potential conflicts
- Maintain Level 3 Situational Awareness
  - Identify possible future conflicts by projecting the current state of the battlespace

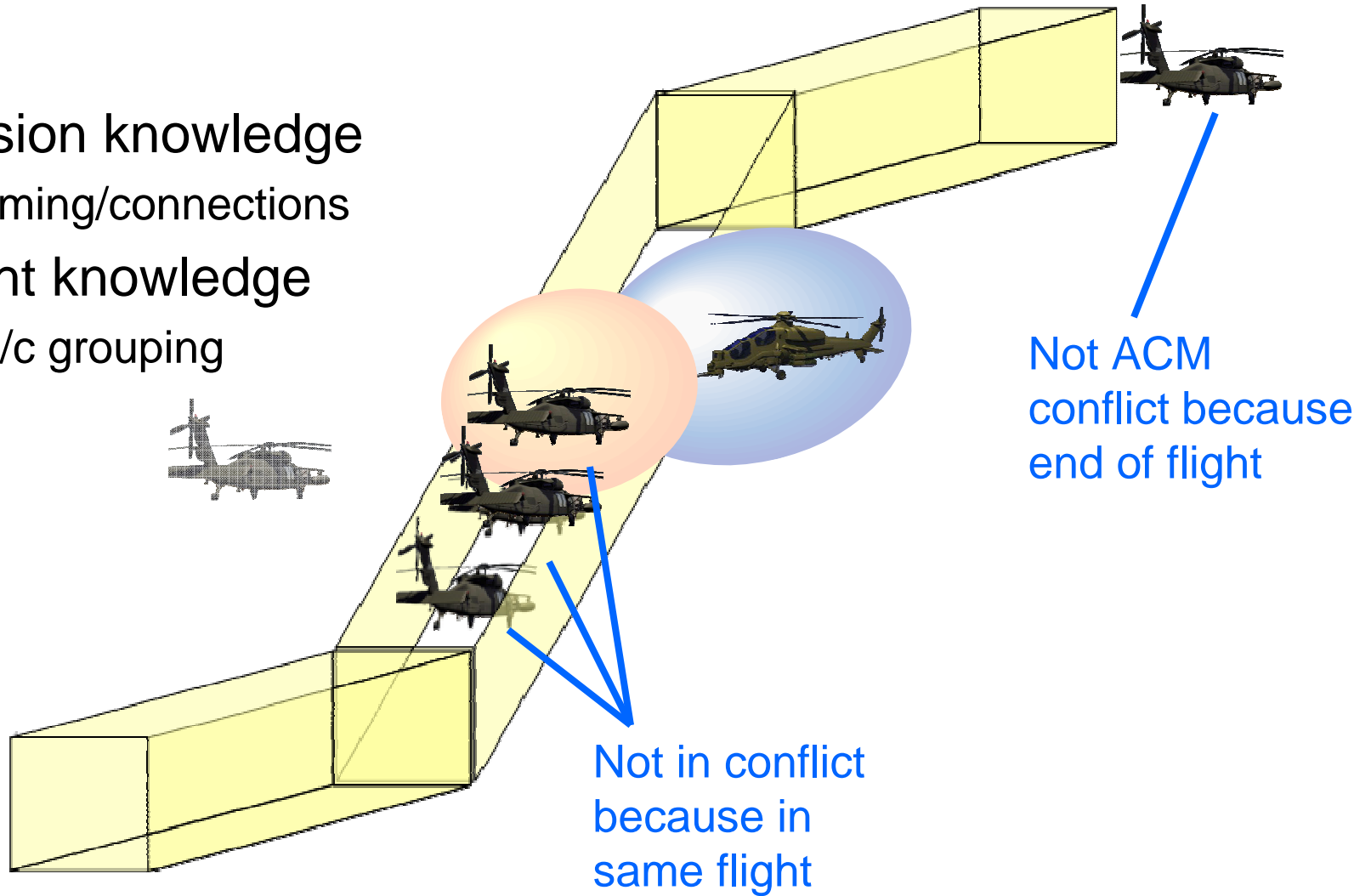
# Conflict Detection: Volume-based

- “Spatial Computer”
- Intersecting 3D Volumes
  - Corridors as volumes
  - Aircraft as volume



# Conflict Detection: Knowledge-based

- Mission knowledge
  - timing/connections
- Flight knowledge
  - a/c grouping

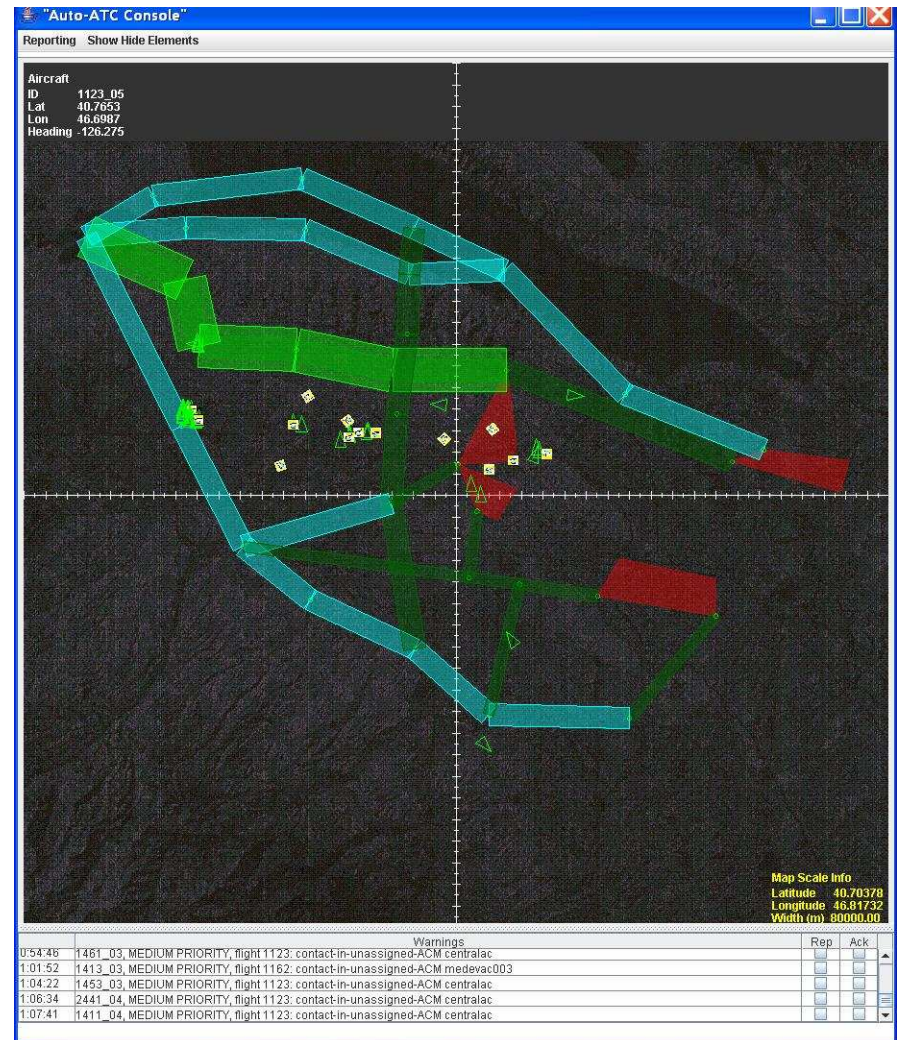


# Advisory Generation

- Of the valid conflicts detected, generate advisory based on known data
  - A “heads-up” kind of advisory
  - Generic suggestions about mitigation
  - No detailed flight changes or replanning
    - not much required for RWA aviation (extra SA: see-and-be-seen)
- Here: Semantic content generated, not surface forms
  - i.e., who-what-where, rather than “Mike95 this is Alpha15...”

# AutoATS Console

- Display Airspace Control Measures (corridors, routes, points, ROZs)
- Display aircraft/details
- Display conflicts (visual icon and rough advisory text)
- Essentially agent's Levels-1&2 SA picture



# Model-Building Process

- SME/Knowledge Acquisition
  - acquire basic rules of operation
- Knowledge Engineering
  - code rules, build system
- Evaluate
  - side-by-side comparison with collected human data
- Iterate
  - revise strategies for decision-making

# Evaluation

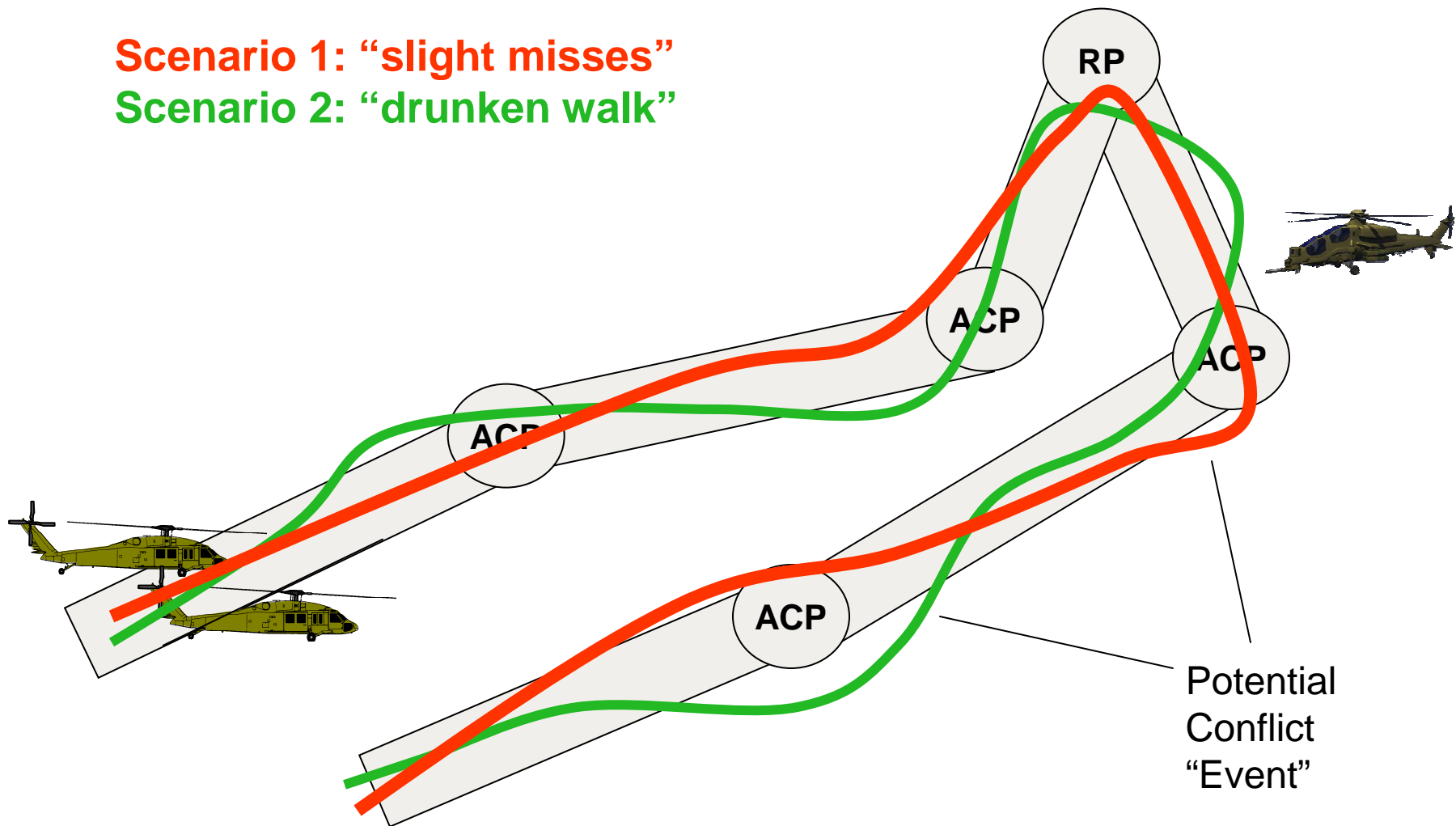
- How well does AutoATS do in conflict detection and advisory generation compared to a human performing same task?



# Evaluation Scenarios

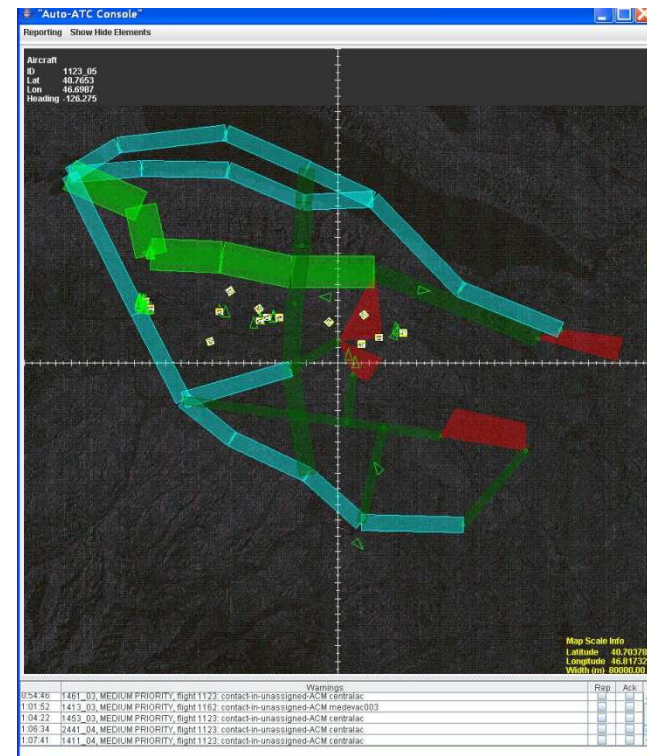
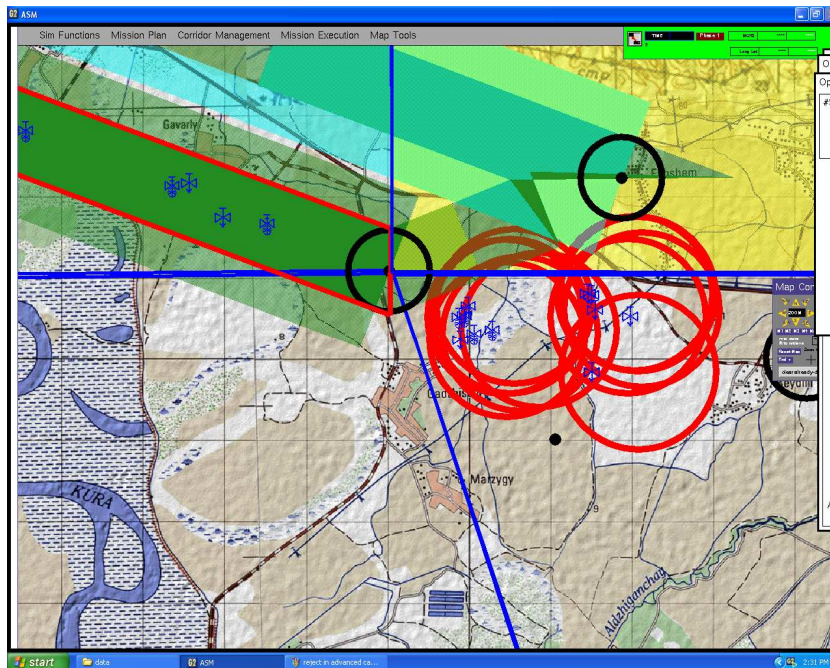
Scenario 1: "slight misses"

Scenario 2: "drunken walk"

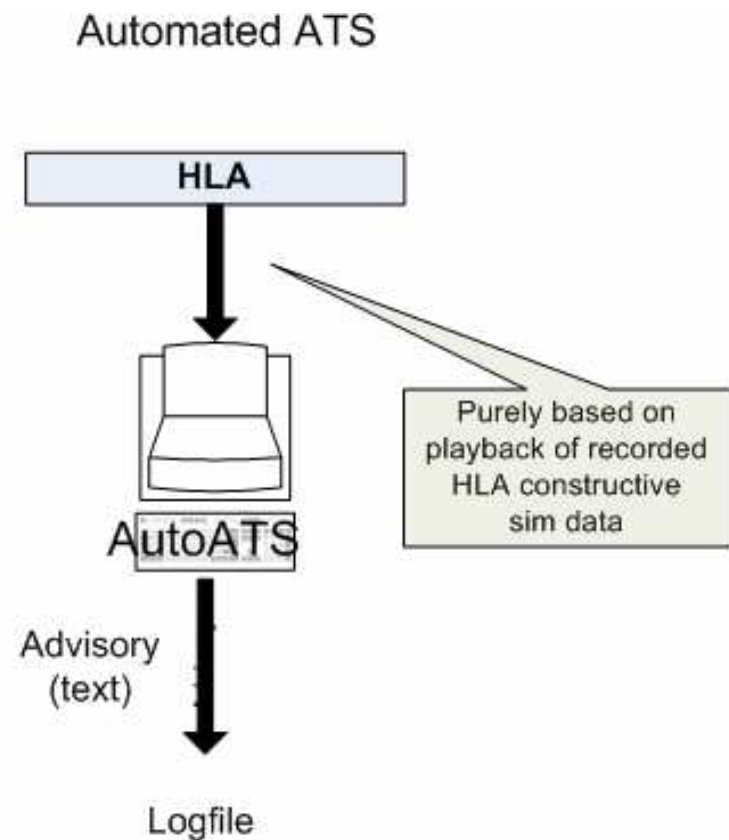
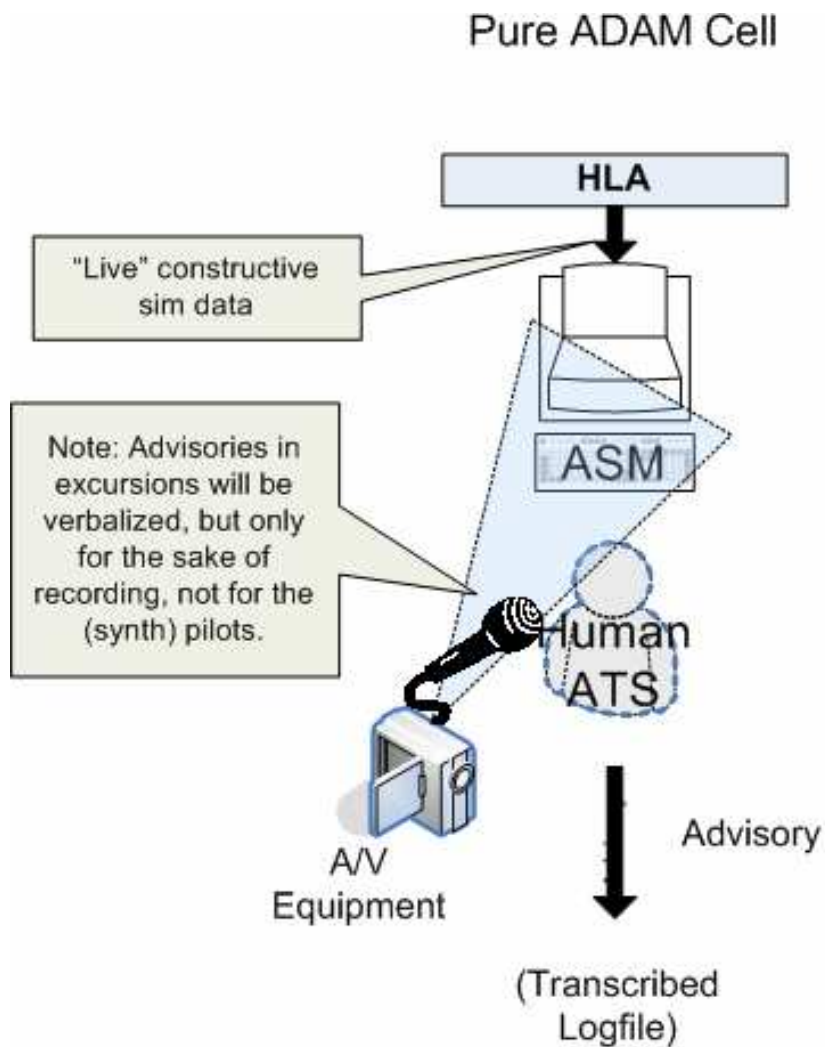


# Evaluation Setup

- Human ATC detecting conflicts (via ASM) and generating advisories (to non-responsive aircraft)
- AutoATS detecting conflicts and generating advisories (to non-responsive aircraft)



# Experiment Setup



# Results Discussion

- Strategy differences
  - re-reporting of advisories after timeout (AutoATS fixed; human based partly on re-scan time)
  - intent recognition: if aircraft looked to be going in right direction, human would not report; AutoATS didn't take into account
  - reporting of multiple infractions per detection (e.g., altitude and lateral conflict) – human reports all; AutoATS reports first
- Geometry differences
  - One corridor represented differently in two systems (accounts for 1 AutoATS “error” in each scenario)
- Perceptual misses (some difference in approach to task)
  - Human scans, zooms console, causing misses
  - AutoATS sees everything
- Human reaction time (likely partially result of increasing load)
  - Scenario 1: 16 seconds from infraction to report
  - Scenario 2: 34 seconds from infraction to report
  - (AutoATS fixed immediate reaction)
- Bugs
  - 1 unknown data difference

# Nuggets/Coal



Development and evaluation of prototype AutoATS system in Army exercise

- Favorable comparisons to human performance in narrow task



Despite small amount of data, utility in analyzing and comparing at the level of individual human's decision-making strategies



Evaluation generated new hypotheses about kinds of knowledge and info processing required to do these tasks in human-like ways

- e.g., intent inferencing, soft constraints



Comparison against very limited human data



Somewhat contrived environment

- Aircraft unable to respond to advisories



Several discrepancies of AutoATC vs. human performance (strategic, geometric, simple bugs)

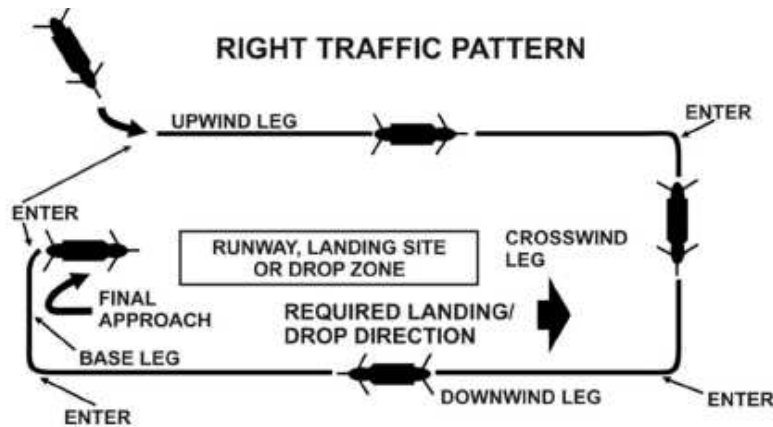


Need to look more deliberately at pilot interface level

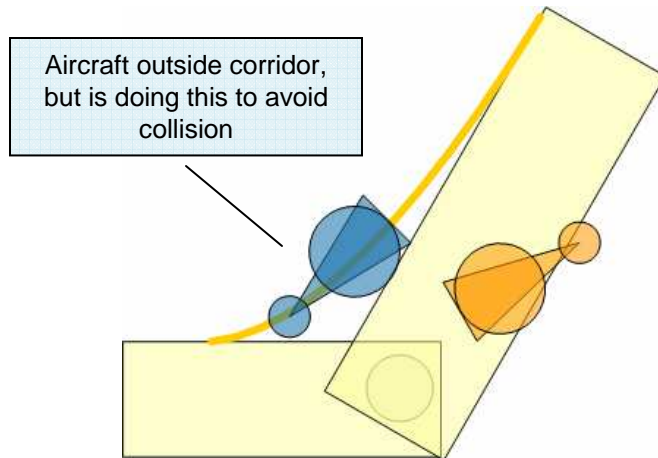
- e.g., generating 'real' advisories

# Backup slides

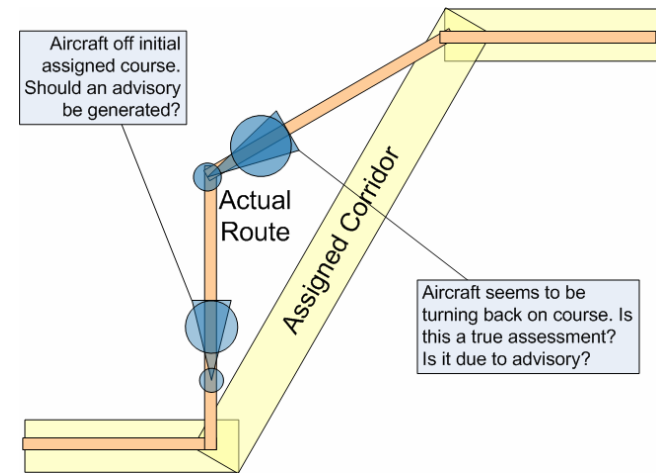
# Intent Recognition in ATC



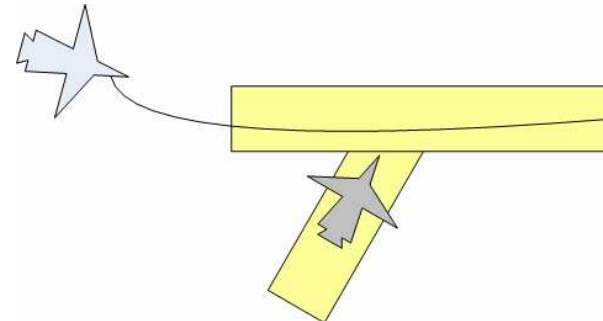
Is aircraft correctly following procedures?



Is aircraft outside corridor to avoid other?



Is aircraft following ATC request?





# Challenges

- How to deal with mission timing?
  - Aircraft expected at location at a given time – if they don't make it, how to adjust cascading expectations?
- Intent recognition
  - Once advisory (or command) given, how to judge if aircraft is doing what it was told?
  - Likely approach: Heuristics
- Performance –
  - currently looking at (lead) aircraft only, not munitions, etc.
  - what happens if we pay attention to everything?
- Spatial-temporal reasoning
  - currently brute-force – clearly not what human is doing much more efficiently; what tradeoffs?



# ATC Situation Awareness Requirements

- What knowledge is required to effectively perform ATS?  
(from Endsley et al):

## Level1 = Current Situation

- **Aircraft**
- Emergencies
- **Requests**
- **Clearances**
- Sector
- Special Operations
- ATC Equipment malfunctions
- Airports
- Weather

## Level2 = Understanding

- **Conformity**
- **Current Separation**
- **Timing**
- **Deviations**
- Other Sector/Airspace
- Significance
- Confidence Level/Accuracy of Info

## Level3 = Future

- **Projected AC Route (current)**
- Projected AC Route (potential)
- Projected Separation
- Predicted Changes in Weather
- Impact of Potential Route Changes