

Tseitin or not Tseitin?

The Impact of CNF Transformations on Feature-Model Analyses

SE 2023 (ASE 2022) — February 22–24 — Paderborn

<u>Elias Kuiter</u>¹, Sebastian Krieter², Chico Sundermann², Thomas Thüm², Gunter Saaka¹ University of Magdeburg¹, Ulm²





Implementing Configurable Software Systems

```
A Configurable Graph
class Node {
  #ifdef LABELED
   std::string label;
 #endif
  #ifdef COLORED
   std::string color:
 #endif
class Edge {
  #ifdef DIRECTED
   Node from, to:
  #elif UNDIRECTED && HYPER
   std::set < Node > nodes:
 #endif
```

Product Line Implementation

(here: C++ with C preprocessor)

Implementing Configurable Software Systems

class Node { #ifdef LABELED std::string label; #endif #ifdef COLORED std::string color; #endif }; class Edge {

A Configurable Graph

#ifdef DIRECTED Node from, to:

#endif



```
A Labeled Directed Graph

class Node {
    std::string label;
};

class Edge {
    Node from, to;
};
```

Product Line Implementation

#elif UNDIRECTED && HYPER std::set<Node> nodes:

(here: C++ with C preprocessor)

Configuration

Product Implementation

Implementing Configurable Software Systems

A Configurable Graph class Node { #ifdef LABELED std::string label; #endif #ifdef COLORED std::string color: #endif class Edge { #ifdef DIRECTED Node from, to: #elif UNDIRECTED && HYPER std::set < Node > nodes: #endif

Product Line Implementation

Flias Kuiter et al







A Labeled Directed Graph

```
class Node {
  std::string label;
};

class Edge {
  Node from, to;
};
```

A Colored Undirected Hypergraph

```
class Node {
   std::string color;
};

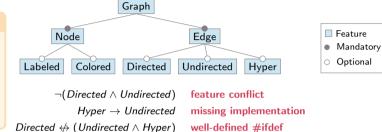
class Edge {
   std::set<Node> nodes;
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```

Product Implementation

Modeling Features and their Dependencies

Feature Models

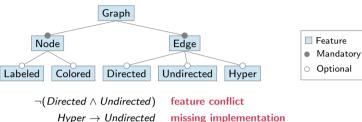
- tree models features
- cross-tree constraints model dependencies
- solver-based analyses can be used to understand the configuration space better



Modeling Features and their Dependencies

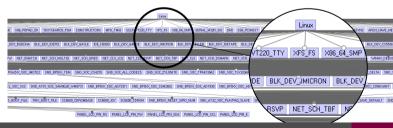
Feature Models

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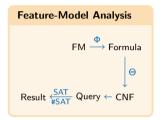
The Linux Kernel

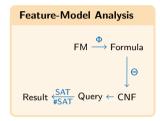
- \bullet > 13000 features [2018]
- $> 10^{700}$ products [2007]
- 114 dead features [2013]
- 151 reverse dependency bugs [2019]

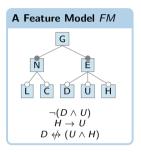


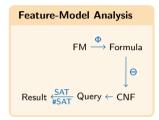
well-defined #ifdef

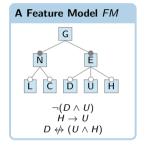
 $Directed \leftrightarrow (Undirected \land Hyper)$

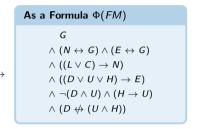


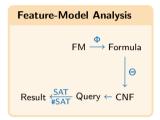


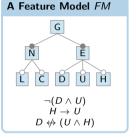


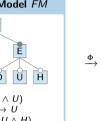


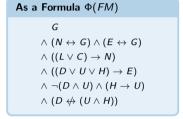












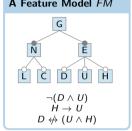
10

As a CNF $\Theta(\Phi(FM))$ $\{\neg E, G\}, \{E, \neg G\}, \{\neg L, N\},$ $\{\neg C, N\}, \{\neg D, E\}, \{\neg U, E\},$ $\{\neg H, E\}, \{\neg D, \neg U\}, \{\neg H, U\},$ $\{\{D,U\},\{D,H\},\{\neg D,\neg U,\neg H\}\}\}$

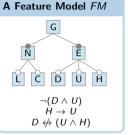
Feature-Model Analysis $FM \xrightarrow{\Phi} Formula$ Result $\stackrel{\mathsf{SAT}}{\leftarrow}$ Query \leftarrow CNF



$\{G, N, E\}$



Core Feature *F*? $SAT(\Theta(\Phi(FM)) \wedge \neg F)$

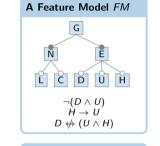


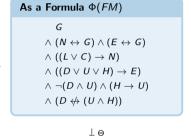


10

$$\begin{split} & \{ \{G\}, \{\neg N, G\}, \{N, \neg G\}, \\ & \{\neg E, G\}, \{E, \neg G\}, \{\neg L, N\}, \\ & \{\neg C, N\}, \{\neg D, E\}, \{\neg U, E\}, \\ & \{\neg H, E\}, \{\neg D, \neg U\}, \{\neg H, U\}, \\ & \{\{D, U\}, \{D, H\}, \{\neg D, \neg U, \neg H\}\}\} \end{split}$$

$\label{eq:Feature-Model Analysis} \begin{picture}(100,0) \put(0,0){\line(0,0){100}} \put(0,0){\lin$





Core Features
$$\{G, N, E\}$$

Core Feature
$$F$$
?

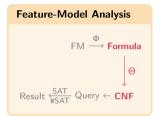
$$\leftarrow SAT(\Theta(\Phi(FM)) \land \neg F)$$

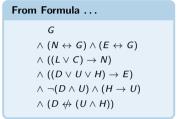
Products in FM?
$$\#SAT(\Theta(\Phi(FM)))$$

As a CNF
$$\Theta(\Phi(FM))$$

 $\{\{G\}, \{\neg N, G\}, \{N, \neg G\}, \{\neg E, G\}, \{E, \neg G\}, \{\neg L, N\}, \{\neg C, N\}, \{\neg D, E\}, \{\neg U, E\}, \{\neg H, E\}, \{\neg D, \neg U\}, \{\neg H, U\}, \{\{D, U\}, \{D, H\}, \{\neg D, \neg U, \neg H\}\}\}$

Often Overlooked: Conjunctive Normal Form (CNF)



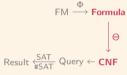


↓ ⊖

```
... to CNF  \{\{G\}, \{\neg N, G\}, \{N, \neg G\}, \\ \{\neg E, G\}, \{E, \neg G\}, \{\neg L, N\}, \\ \{\neg C, N\}, \{\neg D, E\}, \{\neg U, E\}, \\ \{\neg H, E\}, \{\neg D, \neg U\}, \{\neg H, U\}, \\ \{\{D, U\}, \{D, H\}, \{\neg D, \neg U, \neg H\}\}\} \}
```

Often Overlooked: Conjunctive Normal Form (CNF)

Feature-Model Analysis



Conjunctive Normal Form

- conjunction ∧ of disjunctions ∨ of literals X, ¬X
- here: a set of clauses, which are sets of literals
- used by almost all solvers

From Formula ...

$$G$$

$$\land (N \leftrightarrow G) \land (E \leftrightarrow G)$$

$$\land ((L \lor C) \rightarrow N)$$

$$\land ((D \lor U \lor H) \rightarrow E)$$

$$\land \neg (D \land U) \land (H \rightarrow U)$$

$$\land (D \nleftrightarrow (U \land H))$$

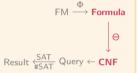
 $\downarrow \Theta$

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```
\begin{split} & \{ \{G\}, \{\neg N, G\}, \{N, \neg G\}, \\ & \{\neg E, G\}, \{E, \neg G\}, \{\neg L, N\}, \\ & \{\neg C, N\}, \{\neg D, E\}, \{\neg U, E\}, \\ & \{\neg H, E\}, \{\neg D, \neg U\}, \{\neg H, U\}, \\ & \{\{D, U\}, \{D, H\}, \{\neg D, \neg U, \neg H\}\} \} \end{split}
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 $\downarrow \Theta$

Our Goal: Raise Awareness for CNF Transformations

- how to transform feature-model formulas into CNF?
 ⇒ describe and classify CNF transformations
- does this impact the work of practitioners and researchers?
 ⇒ evaluate efficiency and correctness on feature models

... to CNF

```
 \{\{G\}, \{\neg N, G\}, \{N, \neg G\}, \\ \{\neg E, G\}, \{E, \neg G\}, \{\neg L, N\}, \\ \{\neg C, N\}, \{\neg D, E\}, \{\neg U, E\}, \\ \{\neg H, E\}, \{\neg D, \neg U\}, \{\neg H, U\}, \\ \{\{D, U\}, \{D, H\}, \{\neg D, \neg U, \neg H\}\}\}
```

[ASE'22]

CNF Transformations

Distributive $\Theta = D$

apply laws of logic (De Morgan's laws and distributivity)

$$\begin{array}{c} D \not \leftrightarrow (U \land H) \\ \xrightarrow{D} (D \lor (U \land H)) \land (\neg D \lor \neg (U \land H)) \\ \xrightarrow{D} \{\{D,U\},\{D,H\},\{\neg D,\neg U,\neg H\}\} \end{array}$$

- ✓ equivalence SAT ✓, #SAT=4
- ✓ easy to implement
- X exponential complexity

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Tseitin
$$\Theta = T$$

['83]

abbreviate a subformula ϕ with an auxiliary variable $\mathbf{x}_{\!\phi} \leftrightarrow \phi$

$$D \not\leftrightarrow (U \land H)$$

$$\xrightarrow{T} (D \not\leftrightarrow x) \land x \leftrightarrow (U \land H)$$

$$\stackrel{D}{\longrightarrow} \{\{D, \mathbf{x}\}, \{\neg D, \neg \mathbf{x}\}, \{\neg \mathbf{x}, U\}, \{\neg \mathbf{x}, H\}, \{\neg U, \neg H, \mathbf{x}\}\}$$

- ✓ quasi-equivalence SAT ✓. #SAT = 4
- ✓ linear complexity
- X take care of new variables

CNF Transformations

Distributive $\Theta = D$

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$\textbf{Tseitin }\Theta=\textit{T}$

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$$\xrightarrow{D} \{\{D, x\}, \{\neg D, \neg x\}, \{\neg x, U\}, \{\neg x, H\}, \{\neg U, \neg H, x\}\}$$

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- √ linear complexity
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Plaisted-Greenbaum $\Theta = PG$ ['86]

abbreviate a subformula ϕ with an auxiliary variable ${\it x_{\phi}} \rightarrow \phi$

$$D \nleftrightarrow (U \land H)$$

$$\xrightarrow{PG} (D \nleftrightarrow x) \land x \to (U \land H)$$

$$\xrightarrow{D} \{\{D, x\}, \{\neg D, \neg x\}, \{\neg x, U\}, \{\neg x, H\}\}$$

- ✓ equi-assignability SAT ✓
- ✓ linear complexity < T
- X equi-countability #SAT = 5

Evaluation

Research Questions

- RQ1 efficiency of CNF transformations?
- **RQ2** CNF transformation \rightarrow efficiency of analyses?
- **RQ3** CNF transformation \rightarrow correctness of analyses?

Evaluation

Research Questions

- **RQ1** efficiency of CNF transformations?
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Experimental Setup

- 22 configurable software systems
- 3 CNF transformation tools
- 23 SAT and #SAT solvers

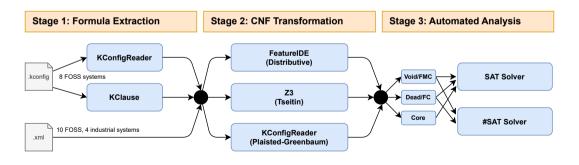
Evaluation

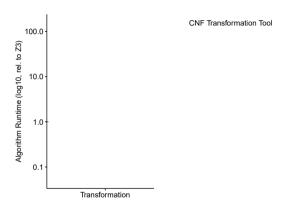
Research Questions

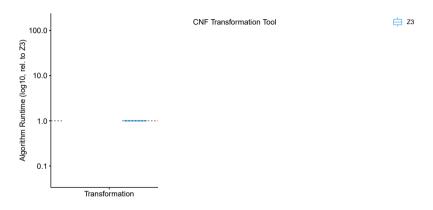
- **RQ1** efficiency of CNF transformations?
- **RQ 2** CNF transformation \rightarrow efficiency of analyses?
- **RQ3** CNF transformation \rightarrow correctness of analyses?

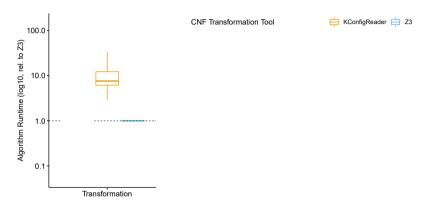
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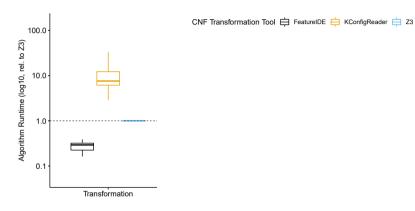
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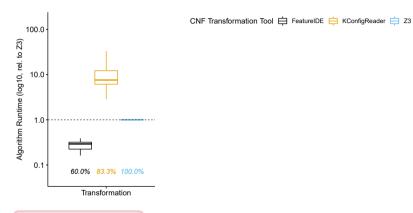




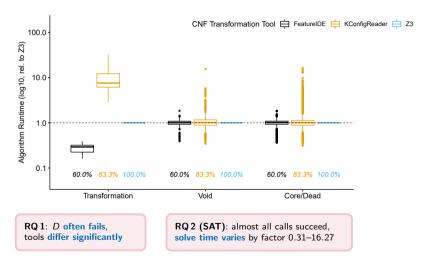


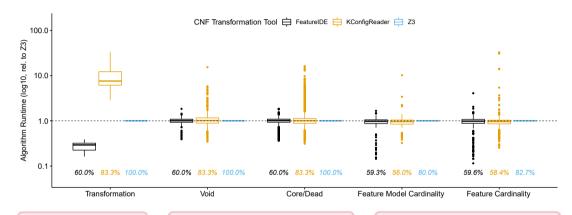






RQ 1: D often fails, tools differ significantly





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RQ2 (SAT): almost all calls succeed, solve time varies by factor 0.31–16.27

RQ 2 (#SAT): 81.6% of calls succeed, solve time varies by factor 0.11–32.7

Correctness of #SAT-Based Analyses (RQ 3)

How Many Valid Configurations in BusyBox 1.35.0?

FeatureIDE (Distributive) says:

 $47842046044873008384\,13517649496919484532\,17980737275928522342\,35800557238486733859\\ 78971326945465595845\,72908124465341304467\,84732350200161989505\,38440744692509401678\\ 99136000000000000000000\,000000000$

Tseitin (Z3) says:

KConfigReader (Plaisted-Greenbaum) says:

 $15751357446718468213\,90135655996554596226\,77965648288591932216\,37368937605749145888\\ 80850342078354075798\,38471914912986177301\,71318442740266744344\,68038795993960163378\\ 18607616000000000000000\,000000000\,0\,\Rightarrow\,\text{off by factor } \textbf{3.292}$

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RQ3

- with PG, ≈ 70% of #SAT calls return incorrect results
- incorrect by factor ≈ 3 (median)
- incorrect by factor $\approx 10^{77}$ (worst)

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Our Recommendations

- $\mathbf{RQ}\,\mathbf{1}$ D for small, T for large models
- $\begin{array}{ll} \textbf{RQ\,2} & \textbf{largely depends on the model} \\ \Rightarrow \textbf{future work} \\ \end{array}$
- RQ3 do not use PG for #SAT

Conclusion

The Impact of CNF Transformations on Feature-Model Analyses Distributive Tseitin Plaisted-Greenbaum apply laws of logic abbreviate ϕ with $x_{\phi} \leftrightarrow \phi$ abbreviate ϕ with $x_{\phi} \to \phi$ ✓ quasi-equivalence ✓ equi-assignability ✓ equivalence ✓ easy to implement ✓ linear complexity ✓ linear complexity X take care of new variables X equi-countability X exponential complexity **Z**3 **FeatureIDE KConfigReader** often fails on large models succeeds correctly on all models often incorrect for #SAT calls

find out more:



github.com/ekuiter/tseitin-or-not-tseitin

