

**Field F***p* Number Theory Handout 18 Dec 2022

**Definition.** A field is a set, equipped with two operations: an **addition operation** +, and a **multiplication operation**  $\cdot$ , such that the following properties are met:

1. a + (b + c) = (a + b) + c and  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$  (Associativity)

2. a + b = b + a and  $a \cdot b = b \cdot a$  (Commutativity)

- 3. There exists an additive identity (denoted by 0) that satisfies a + 0 = a
- 4. There exists a multiplicative identity (denoted by 1) that satisfies  $a \cdot 1 = a$
- 5. For each *a* there exists an additive inverse -a such that a + (-a) = 0
- 6. For each  $a \neq 0$  there exists a multiplicative inverse  $a^{-1}$  such that  $a \cdot a^{-1} = 1$

7.  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ 

Properties 1 and 2 ensure we can do common sense arithmetic in the set; properties 5 and 6 ensure we can 'invert' elements; property 7 establishes a link between addition and multiplication. Informally,

A field is a set in which you can add, subtract, multiply and divide any two elements, except dividing by zero.

Some obvious fields are  $\mathbb{Q}$ ,  $\mathbb{R}$  and  $\mathbb{C}$ . However, we did not require a field to have anything to do with  $\mathbb{R}$  or  $\mathbb{C}$ . The definition above allows us to talk about *abstract* sets where  $+, \cdot$  may not be exactly the same as those in  $\mathbb{C}$ . The operations  $+, \cdot$  are nothing more than two functions that takes two inputs and spits out an output (and hence, technically, we should write +(a, b) instead of a + b, but it doesn't really matter), subject to the conditions required above.

## 1 The field $\mathbb{F}_p$

Let *p* be a prime. Consider the set  $\{0, \dots, p-1\}$ . Normally, we would write 3 + (p-1) = p+2, but let's be sneaky and talk under modulo *p*, and force 3 + (p-1) = 2 (i.e. the outputs remain in the same set). This allows us to define a new kind of + and a new kind of  $\cdot$ , by

$$a+b = (a+b \mod p)$$
  
 $a \cdot b = (a \cdot b \mod p)$ 

where mod *p* means we take its residue in  $\{0, \dots, p-1\}$ . Is this set, under our new + and  $\cdot$ , considered a field? It certainly obeys properties 1, 2, 3, 4, 5, 7. How about property 6? Luckily we know for a fact that

If  $p \nmid a$ , then there exists *b* such that  $ab \equiv 1 \pmod{p}$ . (Why?)

Now that makes this set a field! We denote this set as  $\mathbb{F}_p$ . We will also rename the elements as  $\{\overline{0}, \overline{1}, \dots, \overline{p-1}\}$  so that it is clear we are talking about a completely new collection of objects that interact in this new abstract modulo *p* sense.

**Example.** Consider  $\mathbb{F}_7 = \{\overline{0}, \overline{1}, \overline{2}, \overline{3}, \overline{4}, \overline{5}, \overline{6}\}$ . Then

- $\overline{1} + \overline{4} = \overline{5}$ ,  $\overline{3} + \overline{6} = \overline{2}$ ,  $\overline{4} \cdot \overline{5} = \overline{6}$ .
- $\overline{0}$  is the additive identity while  $\overline{1}$  is the multiplicative identity.
- $\overline{2}$  and  $\overline{4}$  are multiplicative inverses of each other.
- $\overline{2}$  and  $\overline{5}$  are additive inverses of each other.

By abstractly defining a field, many properties that may seem obvious have to be re-proven to make sure the common intuition about  $\mathbb{R}$  or  $\mathbb{C}$  does not mislead us into thinking some property is true:

- $a \cdot 0 = 0$ . Proof:  $a \cdot 0 = a \cdot (0 + 0) = a \cdot 0 + a \cdot 0$ . Add  $-(a \cdot 0)$  on both sides.
- <sup>1</sup>If ab = ac and  $a \neq 0$ , then b = c. Proof:  $b = a^{-1}ab = a^{-1}ac = c$ .
- If *ab* = 0 then *a* = 0 or *b* = 0. Proof: Say *a* ≠ 0, then multiply *a*<sup>-1</sup> on both sides to get *b* = 0. (This property will be called **no zero factors**)

A quick explanation of why the mod 6 integers do not form a field is because  $2 \cdot 3 = 0$  in that set, but 2 and 3 are not 0, so it has zero factors!

For any set *S*, we define S[x] to be the set of polynomials with coefficients in *S*, i.e. the set of elements in the form

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$$

where *n* is a nonnegative integer (A regular one! Not modulo anything!) and  $a_0, \dots, a_n \in S$ .

**Proposition.** Let *F* be a field. F[x] is not a field, but it does not have zero factors.

*Proof.* F[x] is not a field obviously because  $x \neq 0$  has no inverse element. To prove that it has no zero factors, let  $f(x) = a_n x^n + \cdots$  and  $g(x) = b_m x^m + \cdots$  be two nonzero polynomials. Hence  $a_n, b_m \neq 0$ . Then  $f(x)g(x) = (a_n b_m)x^{n+m} + \cdots$ , and  $a_n b_m \neq 0$  because F has no zero factors! Thus f(x)g(x) cannot be 0.

<sup>&</sup>lt;sup>1</sup>If the context is clear we will just write  $a \cdot b$  as ab

Also, we can do usual long division on F[x]:

**Proposition.** If  $f(x), g(x) \in F[x]$ , then there exist unique q(x) and r(x) such that

$$f(x) = g(x)q(x) + r(x)$$

and deg  $r < \deg g$ .

*Proof.* The existence part comes from the usual procedure of long division. Uniqueness is left as an exercise.  $\Box$ 

This leads to a useful theorem that not only applies to  $\mathbb{R}$ :

**Theorem.** If  $r \in F$ ,  $f(x) \in F[x]$  satisfies f(r) = 0. then f(x) = (x - r)g(x) for some  $g(x) \in F[x]$ .

*Proof.* By doing long division we get f(x) = (x - r)q(x) + r(x) where deg r < 1 and thus r is a constant. r must be 0 since f(r) = 0.

**Theorem.** If  $f(x) \in F[x]$ , then f(x) = 0 has at most deg *f* solutions.

*Proof.* Say *r* is a root of f(x) = 0. Then f(x) = (x - r)g(x). If there were some other root  $r_2 \neq r$ , then  $f(r_2) = (r_2 - r)g(r_2) = 0$  implies  $g(r_2) = 0$  since *F* has no zero factors. But deg  $g = \deg f - 1$ . Induction (Every time we have a new root, degree falls by one).

**Example.** Let p > 2 be prime. Prove that the coefficient of  $x^{p-2}$  in  $(x - 1) \cdots (x - p + 1)$  is divisible by p.

*Proof.* Take  $(x - 1) \cdots (x - p + 1) = x^{p-1} + a_{p-2}x^{p-2} + \cdots + a_0$  into  $\mathbb{F}_p[x]$  by simply replacing 1 by  $\overline{1}$  and so on. The resultant polynomial is

$$(x-\overline{1})(x-\overline{2})\cdots(x-\overline{p}+\overline{1})=\overline{1}x^{p-1}+\overline{a_{p-2}}x^{p-2}+\cdots+\overline{a_{0}}.$$

However, we know that the polynomial  $\overline{1}x^{p-1} - \overline{1}$  also has roots  $\overline{1}, \dots, \overline{p-1}$  by Fermat's Little Theorem. By comparing degrees we must have the following!

 $(x-\overline{1})(x-\overline{2})\cdots(x-\overline{p}+\overline{1})=\overline{1}x^{p-1}-\overline{1}$ 

That means  $\overline{a_0} = -\overline{1}$  and  $\overline{a_1} = \cdots = \overline{a_{p-2}} = 0$ . Taking back to  $\mathbb{Z}$  we must have  $p \mid a_{p-2}$ .  $\Box$ 

The above proof also immediately proves that  $(p-1)! \equiv -1 \pmod{p}$ . Do you see why?

**Eisenstein's Criterion for Irreducibility.** If  $f(x) = a_n x^n + \cdots + a_0 \in \mathbb{Z}[x]$  satisfies

- $p \nmid a_n;$
- $p \mid a_{n-1}, a_{n-2}, \cdots, a_0;$
- $p^2 \nmid a_0$ ,

then f(x) is irreducible in  $\mathbb{Z}[x]$ .

*Proof.* Assume f(x) = g(x)h(x). Bring into  $\mathbb{F}_p$  gives  $\overline{a_n}x^n = \overline{g}(x)\overline{h}(x)$ , so by looking at roots and degrees,  $\overline{g}(x) = \overline{a}x^k$  and  $\overline{h}(x) = \overline{b}x^{n-k}$ . This means  $g(x) = ax^k + ($ multiples of p) and  $h(x) = bx^{n-k} + ($ multiples of p). This is impossible if deg g, deg h > 0 because otherwise  $p^2$  divides the constant term of f(x).

## 2 Building Larger Fields

Given a field *F*, we can insert some new element and generate a bigger field. Such an element must be a root of an irreducible polynomial in F[x]. We won't explain this further<sup>2</sup> except giving a few examples:

**Example.** Consider  $\mathbb{F}_7$ . Note that  $x^2 - \overline{3}$  is irreducible in  $\mathbb{F}_7[x]$ , so we can let  $\sqrt{3}$  be a new element that is a root of  $x^2 - \overline{3}$  and add it into  $\mathbb{F}_7$ . Since we want a field we must also include all numbers of the form  $a + b\sqrt{3}$  where  $a, b \in \mathbb{F}_7$ . Let's check that the set formed

$$\mathbb{F}_7[\sqrt{3}] = \{a + b\sqrt{3} \mid a, b \in \mathbb{F}_7\}$$

is a field. In fact, that's your job.

What if we add something like a root of  $x^2 - \overline{2}$ ? Unfortunately that's not possible, because  $x^2 - \overline{2} = (x - \overline{3})(x + \overline{3})$  and hence in the end you're just 'adding in  $\overline{3}$  (or  $\overline{4}$ )' after all, keeping  $\mathbb{F}_7$  as  $\mathbb{F}_7$ .

## 3 An IMO Example

**IMOSL2003N7.** The sequence  $a_0, a_1, a_2, \cdots$  is defined as follows:

$$a_0 = 2$$
,  $a_{k+1} = 2a_k^2 - 1$  for  $k \ge 0$ 

Prove that if an odd prime *p* divides  $a_n$ , then  $2^{n+3}$  divides  $p^2 - 1$ .

*Proof.* By substituting  $a_n = \cosh x_n$  we can simplify (try it)  $a_n$  to

$$a_n = \frac{(2+\sqrt{3})^{2^n} + (2-\sqrt{3})^{2^n}}{2}$$

**Case 1.** If  $x^2 - 3$  is reducible mod p, we treat  $\sqrt{3}$  as that root (so  $\sqrt{3}$  is some mod p integer! Weird.) and bring the entire expression above into  $\mathbb{F}_p$ . Since  $p \mid a_n$ ,

$$(2+\sqrt{3})^{2^n} + (2-\sqrt{3})^{2^n} = 0$$

<sup>&</sup>lt;sup>2</sup>If you're really interested, it's about looking at F[x] mod that irreducible polynomial, so it's like  $\mathbb{Z}$  mod primes all over again but with a higher level of abstraction.

Multiply both sides by  $(2 + \sqrt{3})^{2^n}$ , then  $(2 + \sqrt{3})^{2^{n+1}} = -1$  and thus  $2^{n+2}$  is the order of  $2 + \sqrt{3}$  in  $\mathbb{F}_p$  (see Problem 1 of the Exercises). Therefore  $2^{n+2} | |\mathbb{F}_p| - 1 = p - 1$  and thus  $2^{n+3} | p^2 - 1$ .

**Case 2.** If  $x^2 - 3$  is irreducible, work in the enlarged field  $\mathbb{F}[\sqrt{3}]$ , which has size  $p^2$ . Similarly, we get that the order of  $2 + \sqrt{3}$  is  $2^{n+2}$ . Therefore  $2^{n+2} | |\mathbb{F}_p[\sqrt{3}]| - 1 = p^2 - 1$ . But this is not enough... Let's find some  $u \in \mathbb{F}_p[\sqrt{3}]$  such that  $u^2 = 2 + \sqrt{3}$ . If that's the case then the order of u is  $2^{n+3}$  and it would work. Now  $2(2 + \sqrt{3}) = (1 + \sqrt{3})^2$  and so it suffices to find some v such that  $v^2 = \frac{1}{2}$ . Notice that in  $\mathbb{F}_p[\sqrt{3}]$ ,  $a_n = 0 = 2a_{n-1}^2 - 1$  and thus  $a_{n-1}^2 = \frac{1}{2}$ .  $\Box$ 

## 4 Exercise

- 1. Prove that if *F* is a finite field, then  $x^{|F|-1} = 1$  for any nonzero  $x \in F$ . Also if *n* is the order of *x* (the smallest positive integer *n* such that  $x^n = \overline{1}$ ), then *n* divides |F| 1. (Mimic the proof for Fermat's Little Theorem)
- 2. Prove that if p > 3 is a prime then  $p^2$  divides the numerator of

$$\frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{p-1}.$$

- 3. Prove that *p* divides the  $2p(p^2 1)$ -th Fibonacci number ( $F_1 = F_2 = 1, F_{n+1} = F_n + F_{n-1}$ ).
- 4. Prove that  $x^{p-1} + x^{p-2} + \cdots + 1$  is irreducible in  $\mathbb{Z}[x]$ .
- 5. Find the remainder of

$$\prod_{k=0}^{p-1} (k^2 + 1)$$

when divided by *p*.