

# Crypto Models

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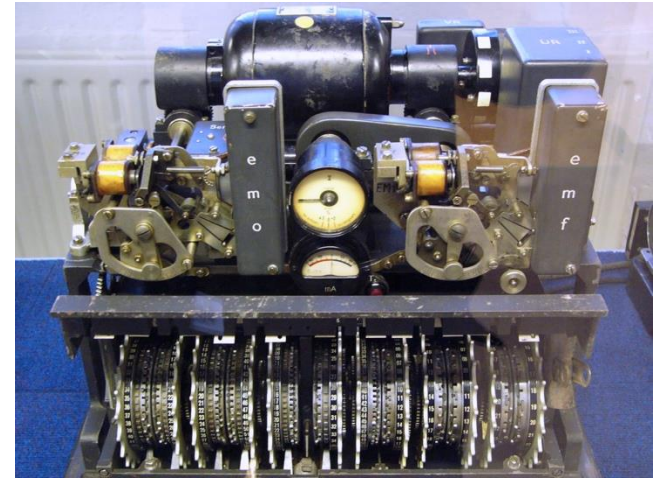
CS463/ECE424

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# Outline

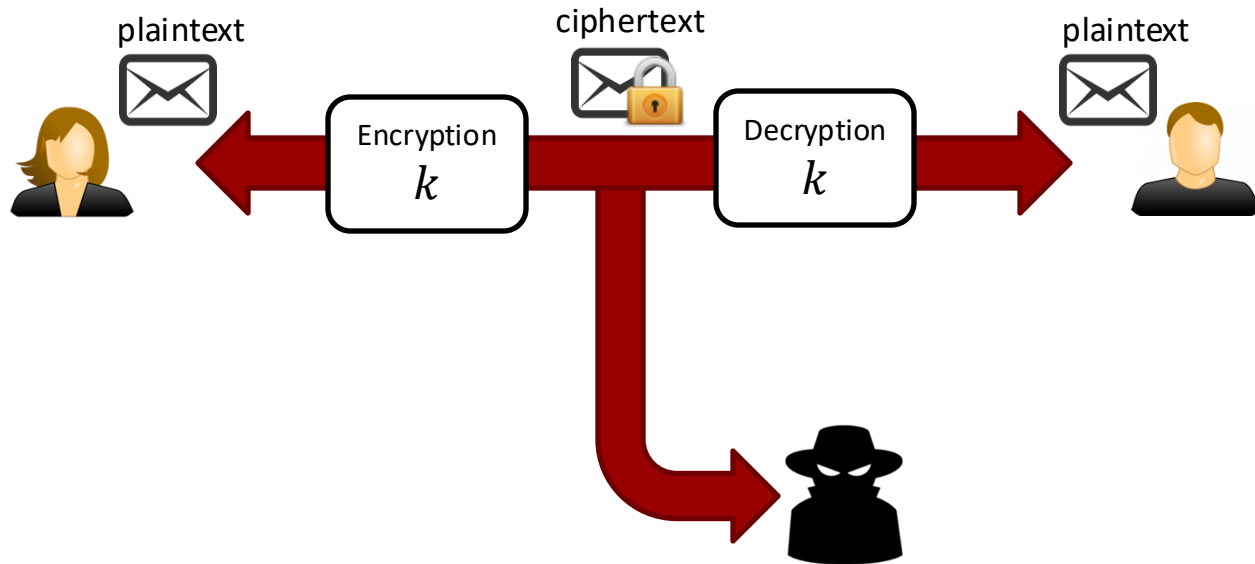
Secure Communication & Kerckhoffs' Principle  
Principles of Modern Cryptography  
Perfectly Secret Encryption (One-Time Pad)



# Secure Communication

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- Private-key (symmetric-key) setting



# Components

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- Key-generation algorithm:  $\text{Gen}$
- Encryption algorithm:  $\text{Enc}$
- Decryption algorithm:  $\text{Dec}$
- Key:  $k$
  
- What should we hide?
  - Kerckhoffs' principle: only the key

# Kerckhoffs' Principle

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*“A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.”*

– **Auguste Kerckhoffs**

- In other words: the security should be based only on the secrecy of the key
- In contrast with the idea of “security by obscurity”
- Q: Why is this a good idea?

# Kerckhoffs' Principle

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- Easier to exchange a (short) key than maintain secrecy of the algorithms
- If key is leaked, it can be changed easily, whereas changing algorithms is cumbersome.
  - Good practice to change key periodically
- Everyone uses the same algorithms, and different parties can use different keys to communicate

# Open Cryptographic Designs?

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- Public scrutiny increases confidence in the strength of the algorithms
- Better if “ethical hackers” to reveal flaws
- If cryptosystems are secret, they can be reverse-engineered
- Standards can be established

# Recap: Attack Scenarios

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- Ciphertext-only attacks
- Known-plaintext attacks
- Chosen-plaintext attacks
- Chosen-ciphertext attacks



# Principles of Modern Cryptography

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# Historical Ciphers

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- Caesar's Cipher, ROT-13, Vigenère Cipher
- These and others were all broken
  - E.g., through frequency analysis
- Historically, designing ciphers was more like an art than a science



# Principles of Modern Cryptography

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1. Formulation of exact definitions
2. Reliance on precise assumptions
3. Rigorous proofs of security

# 1. Formulation of exact definitions

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- Designing cryptosystems
  - What do we want to achieve?
- Using cryptosystems
  - What encryption scheme suffices for an application?
- Studying cryptosystems
  - How to compare two different encryption schemes?

# 1. Formulation of exact definitions

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- Why is this important?
- Example: how do we define secure encryption?
- Definition: ~~An encryption scheme is secure if no adversary can find the secret key when given a ciphertext.~~
- What about?  $Enc(k, m) = m$

# 1. Formulation of exact definitions

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- Example: how do we define secure encryption?
- Definition: ~~An encryption scheme is secure if no adversary can find the plaintext that corresponds to the ciphertext.~~
- What if we reveal 90% of the plaintext?
- $Enc(k, "cs463") = "cs46 * "$

# 1. Formulation of exact definitions

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- Example: how do we define secure encryption?
- Definition: *An encryption scheme is secure if no adversary can determine any character of the plaintext that corresponds to the ciphertext.*
- Suppose we encrypt someone's salary
- What if the scheme reveals whether that salary is more than USD 100'000?

# 1. Formulation of exact definitions

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- Example: how do we define secure encryption?
- Definition: ~~*An encryption scheme is secure if no adversary can derive any meaningful information about the plaintext from the ciphertext.*~~
- What is “meaningful”? Is learning part of the plaintext meaningful?



# 1. Formulation of exact definitions

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- Example: how do we define secure encryption?
- Definition: *An encryption scheme is secure if no adversary can compute **any** function of the plaintext from the ciphertext.*
- Close to the “right” definition, but does not specify the attacker model, e.g., adversary’s computing power

## 2. Reliance on precise assumptions

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- Modern cryptographic schemes can only be proved secure under some assumptions
- Security relies on some **hard problems**
- These problems are *assumed* to be hard

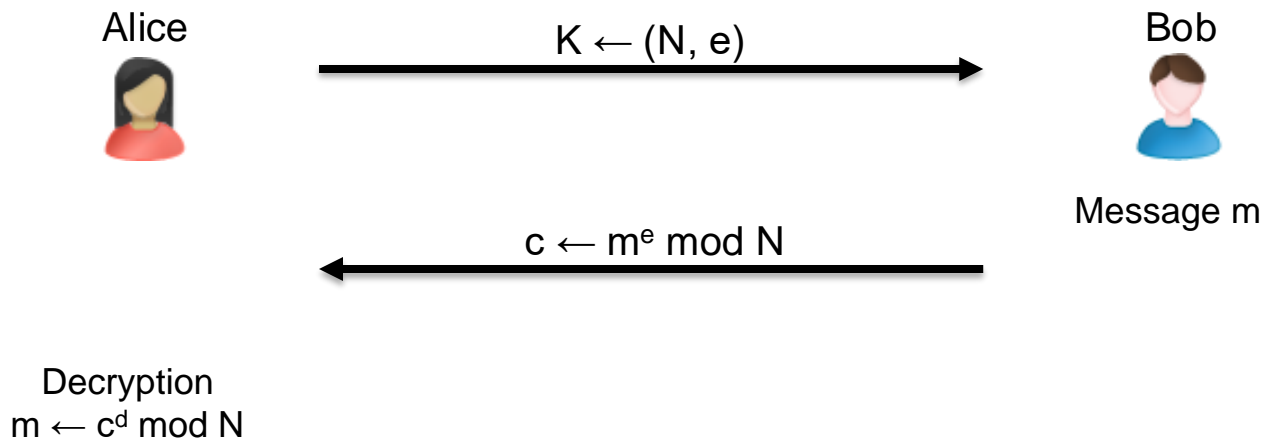
# Plain RSA

## Setup:

$p$  and  $q$  large primes,  $N = pq$ ,  $\phi = (p-1)(q-1)$ ,

Take  $e$  coprime with  $\phi$ ,

$d = e^{-1} \bmod \phi$ ,  $K' = (N, d)$



## 2. Reliance on precise assumptions

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- Example: RSA
- The security of RSA is based on two assumptions:
  1. Hardness of factoring: Given the modulus  $N$ , it is **difficult** to find primes  $p$  and  $q$  such that  $N = pq$  (hard to reverse the private key)
  2. RSA assumption: Given the public key  $(N, e)$ , finding the  $e^{\text{th}}$  root of an arbitrary number mod  $N$  is **difficult** (hard to get the plaintext)

(Here difficult means it can't be done in polynomial time.)

## 2. Reliance on precise assumptions

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- Validity:
  - The more an assumption is studied without being refuted, the more confident we are that it is true
  - We can provide evidence that the assumption is true by showing it is implied by some other (accepted) assumption
  - Assumption needs to be precisely stated to be studied

## 2. Reliance on precise assumptions

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- Comparison of cryptographic schemes:
  - Two schemes A and B have same efficiency, but A depends on an assumption implied by B's assumption
  - Then A is better
  - If the assumptions are incomparable, then we give preference to better studied assumptions

## 2. Reliance on precise assumptions

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- Facilitation of proofs of security:
  - Security proofs for most cryptographic schemes are stated as “the scheme is secure if the assumption is true”
  - This is only meaningful if the assumption is precise

# 3. Rigorous proofs of security

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- Having exact definitions and precise assumptions make rigorous proofs possible
- Modern cryptographic schemes are accompanied with a proof of security
- Without a proof we are left with our intuition, and experience has shown this is disastrous





# Perfectly Secret Encryptio

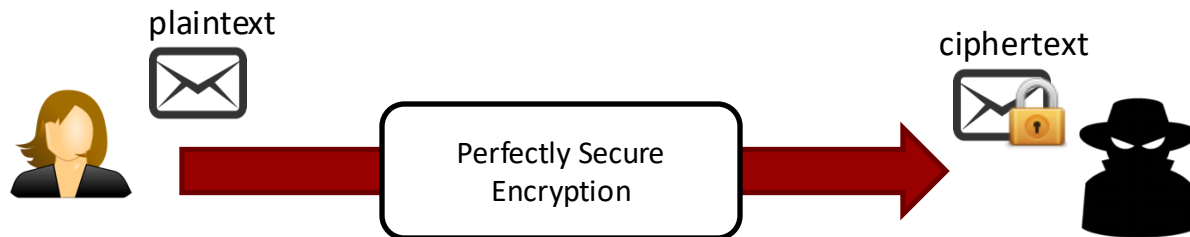
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# Perfectly Secret Encryption

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- We want:
  - An encryption scheme that cannot be broken by an adversary even if they has unlimited computing power and unlimited time.
- Intuition:



observing the ciphertext should give no information about the plaintext, i.e., the *a posteriori* distribution (of the plaintext) is the same as the *a priori* distribution

# Perfectly Secret Encryption

- Definition 1:

- Message space  $\mathcal{M}$  — set of all messages
- Ciphertext space  $\mathcal{C}$  — set of all ciphertexts
- An encryption scheme  $(Gen, Enc, Dec)$  is perfectly secret if for every probability distribution over  $\mathcal{M}$ , every message  $m \in \mathcal{M}$ , and every ciphertext  $c \in \mathcal{C}$  for which  $\Pr[c \in \mathcal{C}] > 0$ :

To simplify the presentation, we won't mention these.

$$\Pr[\mathbf{M} = m \mid \mathbf{C} = c] = \Pr[\mathbf{M} = m]$$

*a posteriori* distribution:  
the probability that the message was  $m$  if the ciphertext is  $c$

*a priori* distribution:  
the probability that the message was  $m$

# Perfectly-Secret Encryption

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- Definition 2 (Equivalent to Def. 1):
  - An encryption scheme (*Gen*, *Enc*, *Dec*) is perfectly secret if for every probability distribution over  $\mathcal{M}$ , every message  $m \in \mathcal{M}$ , and ciphertext  $c \in \mathcal{C}$ :

$$\Pr[\mathbf{C} = c \mid \mathbf{M} = m] = \Pr[\mathbf{C} = c]$$

- Definition 3 (Equivalent to Def. 1):
  - An encryption scheme (*Gen*, *Enc*, *Dec*) is perfectly secret if for every probability distribution over  $\mathcal{M}$ , every message  $m_0, m_1 \in \mathcal{M}$ , and ciphertext  $c \in \mathcal{C}$ :

$$\Pr[\mathbf{C} = c \mid \mathbf{M} = m_0] = \Pr[\mathbf{C} = c \mid \mathbf{M} = m_1]$$

# Perfectly Secret Encryption

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$$\Pr[\mathbf{M} = m \mid \mathbf{C} = c] = \Pr[\mathbf{M} = m]$$



$$\Pr[\mathbf{C} = c \mid \mathbf{M} = m] = \Pr[\mathbf{C} = c]$$



$$\Pr[\mathbf{C} = c \mid \mathbf{M} = m_0] = \Pr[\mathbf{C} = c \mid \mathbf{M} = m_1]$$

The distribution over ciphertext is independent of the plaintext, i.e., the ciphertext contains no information about the plaintext.

# Perfectly-Secret Encryption

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- Proof (Def. 1  $\Leftrightarrow$  Def. 2):

$\Rightarrow$

Suppose:  $\Pr[\mathbf{M} = m \mid \mathbf{C} = c] = \Pr[\mathbf{M} = m]$ ,

Now, multiply both sides by  $\frac{\Pr[\mathbf{C}=c]}{\Pr[\mathbf{M}=m]}$ :

$$\frac{\Pr[\mathbf{M} = m \mid \mathbf{C} = c] \Pr[\mathbf{C} = c]}{\Pr[\mathbf{M} = m]} = \Pr[\mathbf{C} = c]$$

$$\Pr[\mathbf{C} = c \mid \mathbf{M} = m] = \Pr[\mathbf{C} = c] \quad (\text{Bayes' Theorem})$$

- Simple exercise:  $\Leftarrow$ , (Def. 1  $\Leftrightarrow$  Def. 3)

# Perfectly Secret Encryption

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- Adversarial indistinguishability game:
  1. Adversary  $\mathcal{A}$  chooses messages  $m_0, m_1 \in \mathcal{M}$ .
  2. Gen outputs random key  $k$ , and a random bit  $b \in \{0,1\}$  is selected. Then ciphertext  $c = \text{Enc}_k(m_b)$  is sent to  $\mathcal{A}$ .
  3. Adversary  $\mathcal{A}$  (guesses) outputs bit  $b' \in \{0,1\}$ .
  4. The output is 1 if  $b = b'$ , and 0 otherwise. If the output is 1 we say adversary  $\mathcal{A}$  is successful.
- Definition 4 (Equivalent to Def. 1):
  - An encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  is perfectly secret if for **every** adversary  $\mathcal{A}$ :

$$\Pr[\mathcal{A} \text{ is successful}] = 1/2$$



# One-Time Pad

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- Message space  $\mathcal{M}$ , key space  $\mathcal{K}$ , ciphertext space  $\mathcal{C}$  are  $\{0,1\}^l$ , for some integer  $l > 0$ .
- Gen: picks key uniformly at random in  $k \in \mathcal{K}$ .
- Enc: given key  $k$ , message  $m \in \mathcal{M}$ , output ciphertext  $c = m \oplus k$ .
- Dec: given key  $k$ , ciphertext  $c \in \mathcal{C}$ , output plaintext  $m = c \oplus k$ .

# One-Time Pad

- Suppose  $l = 4$ , and Gen outputs  $k = 1011_b = 0xB$
- If the plaintext is  $m = 0x5 = 0101_b$ , then the ciphertext is:  
$$c = m \oplus k = 0101_b \oplus 1011_b = 1110_b = 0xE$$
- Why is this perfectly secret?
  - Ciphertext:  $c = 0xE$ , what is the plaintext  $m = 0xE \oplus k$ ?



$k$	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
$m$	E	F	C	D	A	B	8	9	6	7	4	5	2	3	0	1

Each of the possibility with probability  $1/16$

# One-Time Pad

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- Theorem 1: The one-time pad is perfectly secret.
- Proof:
  - Pick some arbitrary distribution of the message space  $\mathcal{M}$ , and a particular  $m \in \mathcal{M}$ , and ciphertext  $c \in \mathcal{C}$ . We have:

$$\begin{aligned}\Pr[\mathbf{C} = c \mid \mathbf{M} = m] &= \Pr[\mathbf{M} \oplus \mathbf{K} = c \mid \mathbf{M} = m] \\ &= \Pr[m \oplus \mathbf{K} = c] \\ &= \Pr[\mathbf{K} = m \oplus c] = 2^{-l}\end{aligned}$$

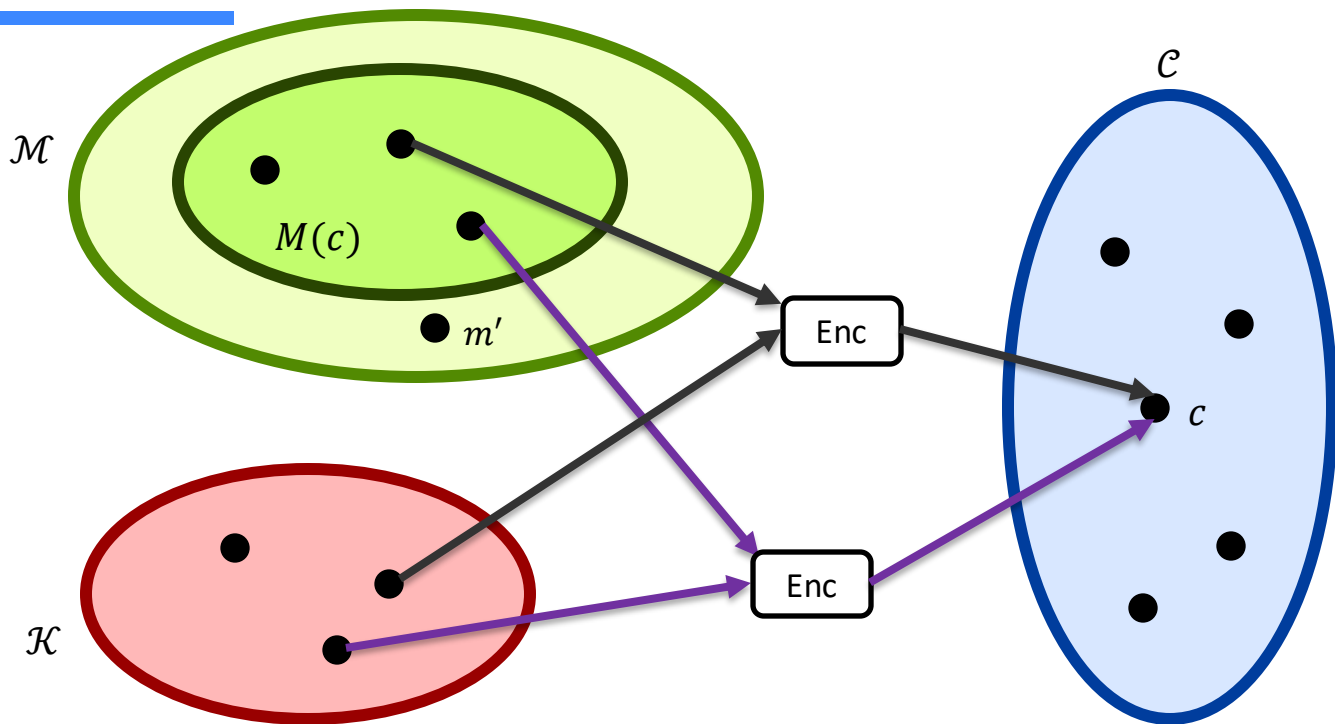
- So:  $\Pr[\mathbf{C} = c \mid \mathbf{M} = m_0] = \Pr[\mathbf{C} = c \mid \mathbf{M} = m_1]$  (Def. 3), since the above holds for every  $m_0, m_1 \in \mathcal{M}$  and  $c \in \mathcal{C}$ .

# One-Time Pad

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- What happens if we use the same key to encrypt multiple messages?
  - $c_1 = m_1 \oplus k, c_2 = m_2 \oplus k$
  - then  $c_1 \oplus c_2 = m_1 \oplus m_2$
- Observation: keys are as long as the messages.
  - Can we have perfect security with shorter keys?

# Perfectly Secret Encryption



Observe that  $|M(c)| \leq |\mathcal{K}|$ , but since  $|\mathcal{K}| < |\mathcal{M}|$ , there exists  $m' \in \mathcal{M} \setminus M(c)$

# Perfectly Secret Encryption

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- Theorem 2: *Let  $(Gen, Enc, Dec)$  be a perfectly secret encryption scheme for some message space  $\mathcal{M}$ , and with key space  $\mathcal{K}$ .*
  - *Then:  $|\mathcal{K}| \geq |\mathcal{M}|$*
- Proof:
  - Suppose  $|\mathcal{K}| < |\mathcal{M}|$ , take the uniform distribution over  $\mathcal{M}$ , and pick any ciphertext  $c \in \mathcal{C}$  with  $\Pr[\mathbf{C} = c] > 0$ .
  - Define  $M(c)$  to be the set of possible plaintext  $m \in \mathcal{M}$  which are valid decryptions of  $c$ .
  - Observe:  $|M(c)| \leq |\mathcal{K}|$ ; since  $|\mathcal{K}| < |\mathcal{M}|$ ,  $\exists m' \in \mathcal{M} \setminus M(c)$
  - But,  $\Pr[\mathbf{M} = m' \mid \mathbf{C} = c] = 0 \neq \Pr[\mathbf{M} = m']$ .

# Symmetric-Key Encryption

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- Schemes used in practice are not perfectly secure, but only **computationally** secure
- Key space (e.g., 128 bits) is much smaller than plaintext space (i.e., virtually unlimited)
  - Use modes of operations to encrypt arbitrary length messages using block ciphers (which operate on fixed-length chunks)





# References

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- Jonathan Katz, and Yehuda Lindell. "Introduction to modern cryptography." CRC Press, 2014. Chapters 1 & 2.

# Discussion Questions

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## 1. One-Time Pad:

- What if the key happens to be  $0^l$ ?
  - Suppose  $m = \text{“hello”}$ , what is the ciphertext  $c$ ?
- Is it a good idea to change Gen to only pick keys  $k \neq 0^l$ ?
- Why or why not? Is the scheme still perfectly secret?