

Bioinformatics I - HS 2023

Lecture 1 (19.09.2023)

Biological Sequence Informatics

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Sequences in Molecular Biology

Molecule

Sequence

DNA



5 ` -AGTTGGCATGGTGCCCCAAATTGGGG-3 `
3 ` -TCAACCGTACCACGGGGTTTAACCCC-5 `

4 different characters: ACGT

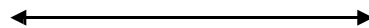
RNA



5 ` -AGUUGGCAUGGUGCCCCAAAUUGGGG-3 `

4 different characters: ACGU

Protein



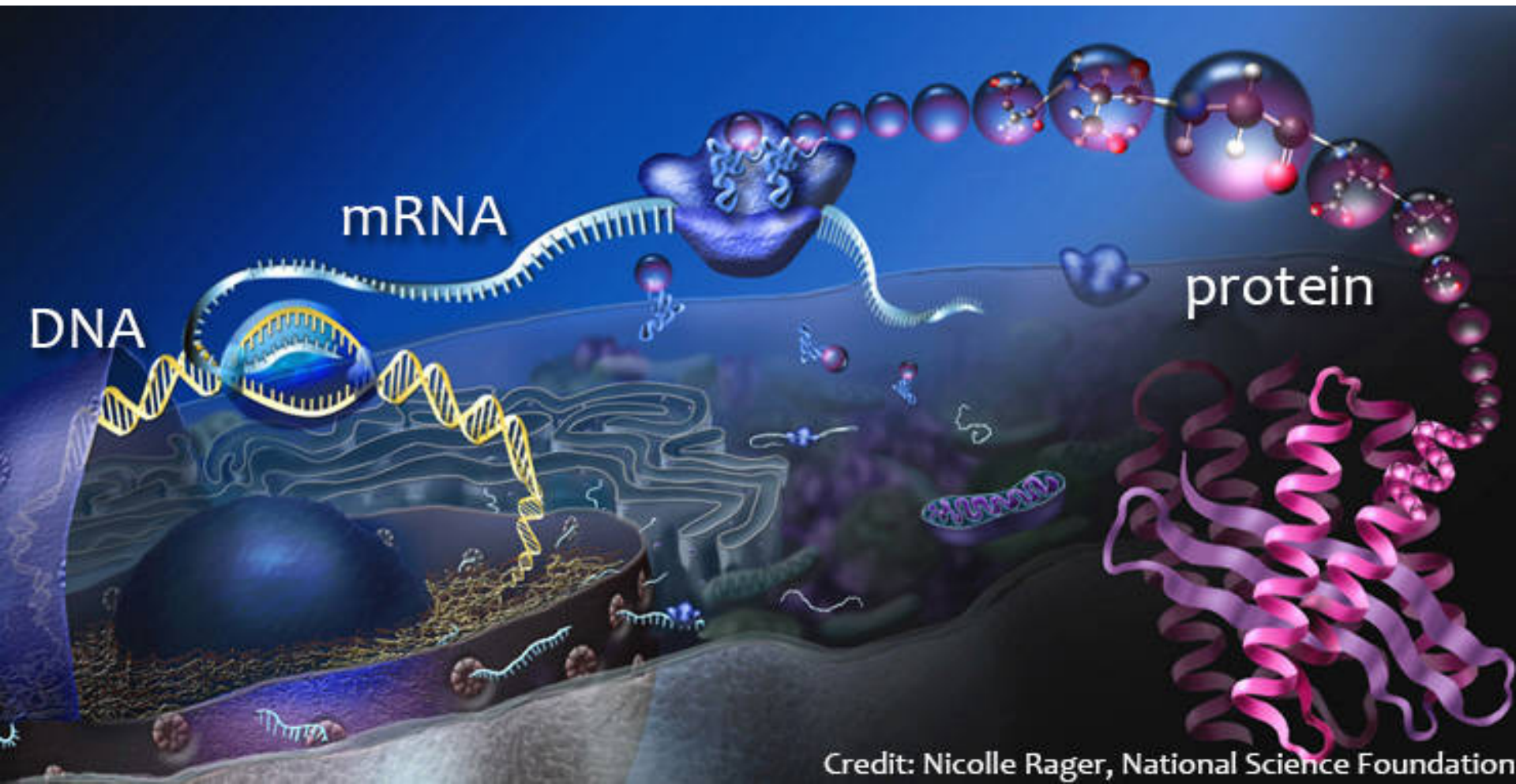
NH₂-Ser.Trp.His.Gly.Ala.Pro...-COOH
S W H G A P

20 different characters (+2): ACDEFGHIKLMNPQRSTVWY
(+ SeCys (U); PyrLys(O))

Sequences in Molecular Biology

“Central Dogma”:

DNA \longrightarrow RNA \longrightarrow Protein

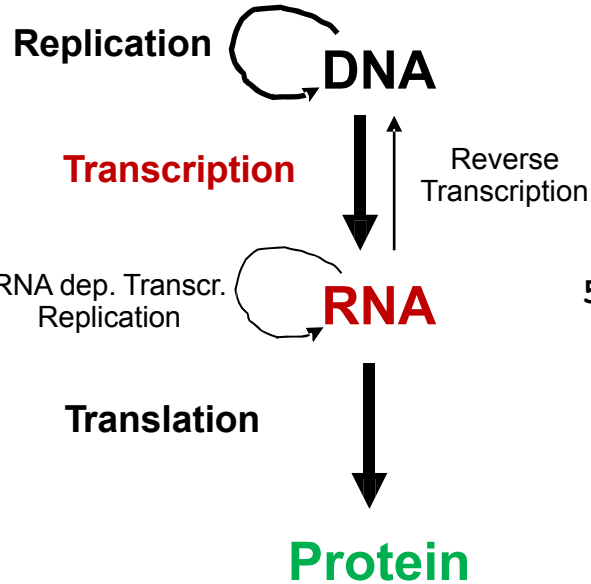


Credit: Nicolle Rager, National Science Foundation

Biological processes interconvert sequences

Process

Sequence



5' -AGTTGGCATGGTGCCCCAAATTGGGG-3'
 3' -TCAACCGTACCACGGGGTTTAACCC-5'

5' -**AGUUGGCAUGGUGCCCCAAAUUGGGG**-3'

5' -**CCCCAAUUUGGGGCACCAUGCCAACU**-3'

NH₂-Ser.Trp.His.Gly.Ala.Pro..-COOH

S W H G A P

or V G M . . .

or L A W . . . (depending on start point)

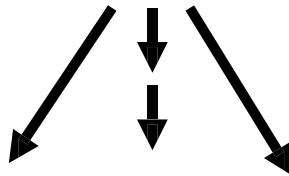
Genetic Code- Table

		Second Letter					
		U	C	A	G		
1st letter	U	UUU Phe UUC UUA Leu UUG	UCU Ser UCC UCA UCG	UAU Tyr UAC UAA Stop UAG Stop	UGU Cys UGC UGA Stop UGG Trp	U C A G	
	C	CUU CUC Leu CUA CUG	CCU CCC Pro CCA CCG	CAU His CAC CAA Gln CAG	CGU CGC Arg CGA CGG	U C A G	
	A	AUU AUC Ile AUA AUG Met	ACU ACC Thr ACA ACG	AAU Asn AAC AAA Lys AAG	AGU Ser AGC AGA Arg AGG	U C A G	
	G	GUU Val GUC GUA GUG	GCU Ala GCC GCA GCG	GAU Asp GAC GAA Glu GAG	GGU GGC Gly GGA GGG	U C A G	

Note: Sequences in biology are produced in a defined direction and are usually also written in the same defined direction
 Order of characters is crucial for sequence-function AGTTG ≠ GTTGA
 or VPQ ≠ QPV

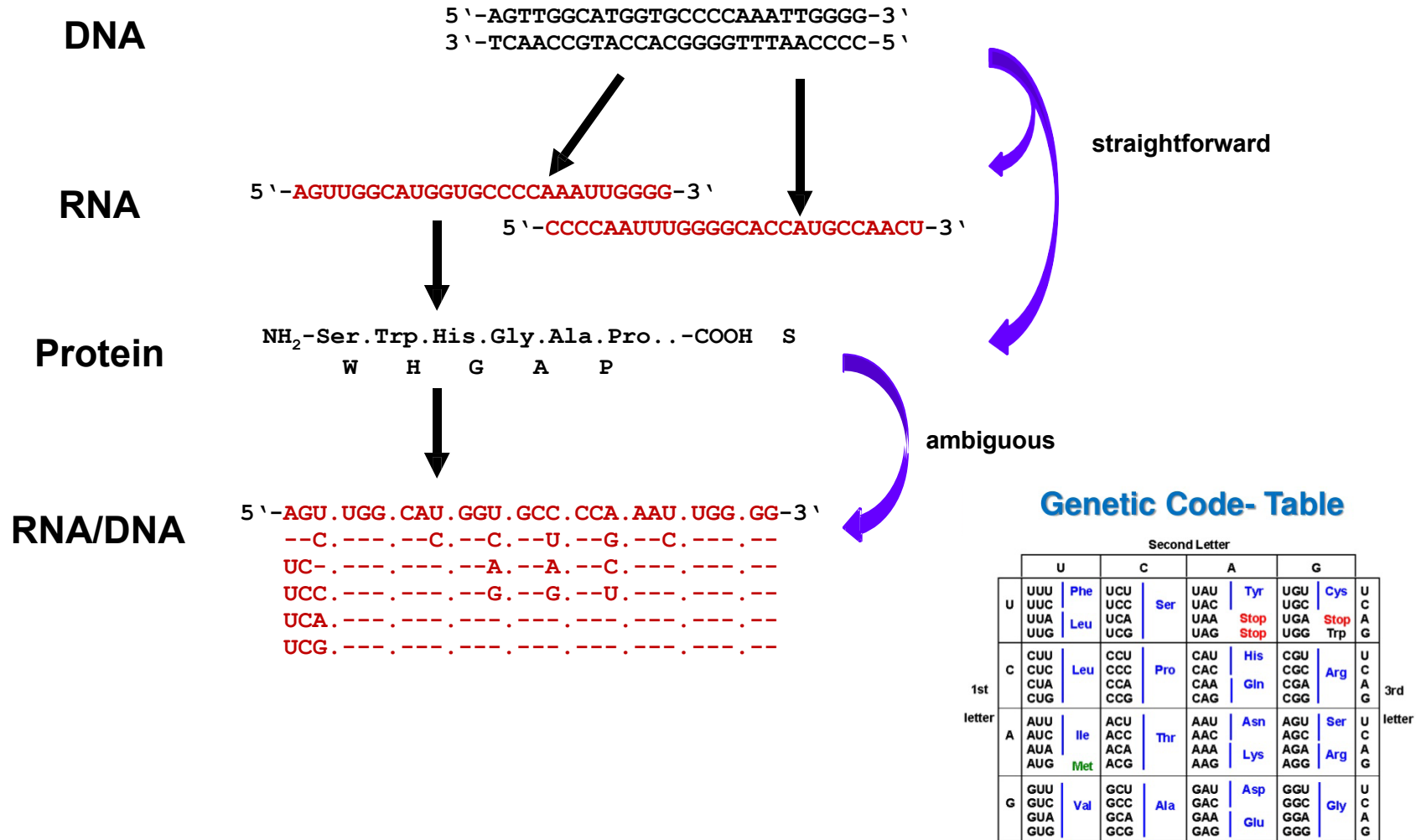
Sequences contain information

Sequence	Information	Function
DNA	→ Genes, Programs, Amplification	← Stable, long-term storage of the whole information package
RNA	→ Production of Proteins; Structure	← Transient amplification of specific parts; program execution
Protein	→ Structure (=> Function)	← Program execution



Metabolites, Cellular and Organismal Structures

Sequences can be interconverted computationally



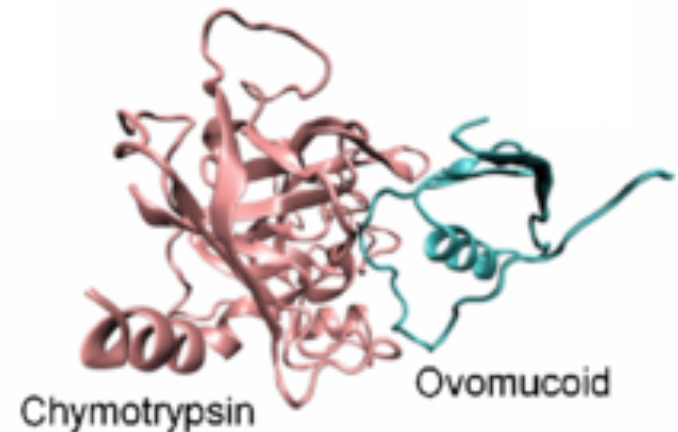
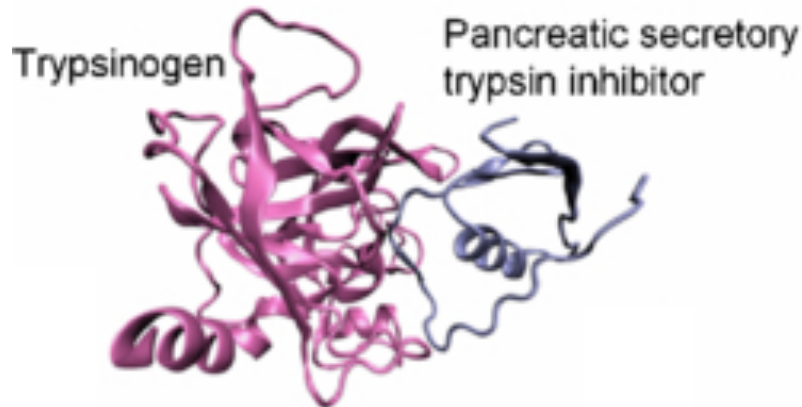
Sequence informatics – what can be learned ?

Short DNA pieces: assemble correctly	→	structure of genomes
Sequenced RNA: align with genome	→	location and structure of genes
RNA from special conditions	→	expression control of genes
Sequenced Proteins: align with RNA	→	structure of protein coding regions
proteins from special conditions	→	control of protein production
posttranslational modifications	→	control of function
Comparison of individual sequences	→	deduction of function evolutionary relationships characterization of individual
Comparison of sequence collections	→	ecosystem characterization detection of presence of organism expression profiles

**Required: Methods to compare sequence strings
quantitatively to determine their similarity**

Biological basis for sequence alignment

=> many genes are related by common descent



Chymotrypsin	VKKTMCAGG-DGVISACNGDSGGPLNCQLENGSWEVFGIVSFGSRRGC [...]
	+ M C G +G +C GDSGGP+ C NG + G+VS+G GC
Trypsinogen	ITSNMFCVGFLEGGKDSCQGDSSGGPVVC---NGQLQ--GVVSWGD--GC [...]

Similarity of biological sequences: some definitions

Similarity: The degree to which two items share certain characters

Homology: descended from a shared common ancestor

Orthology: derived from common ancestor during speciation
(often with retained function)

Paralogy: evolved in parallel after gene duplication
(often with diverged function)

Note: Sequences are either homologous or not

Analogy: similarity without homology, e.g. due to convergent evolution

Sequence similarity: two sequences contain a number of identical or related characters in corresponding positions

Sequence Similarity

Many possible definitions of “similarity”: length, character content, character distribution,.....

Biological definition: (interrupted) stretches of **identical** or **similar** characters

E.g. search **identical sequence segments** for assembly of long sequences from short, overlapping fragments

```
AAGCTTACCAAATTTGAAGGGACGTTGACGTAGGGGGACGCTTTAG
                                GACGCTTTAGTTTAGCCACCGGTATTTAGC
```

Similar characters: physico-chemical characteristics, functional characteristics, evolutionary relation.....

Comparison of two (or more) sequences: **Alignment** of **identical** and **similar** sequence segments

```
AAGCTTACCAAATTTGAAGGGACGTTGACGTAGGGGGACGCTTTAG
      AATCTAGCAATTATTGAAGGGACGTTGACGAAGGGGTTTCGCTACCG
```

Challenge: Find the best possible alignment

(and do it fast)

```
AAGCTTACCAAATTTGAAGGGACGTTGACGTAGGGGGACGCTTTAG
AATCTAGCAATTATTGAAGGGACGTTGACGAAGGGGTTTCGCTACCG
```

A realistic example

Why is it so difficult !? Isn't this trivial ?

Chymotrypsin VKKTMVCAGG-DGVISACNGDSGGPLNCQLENGSWEVFGIVSFGSRRGC [...]
+ M C G +G +C GDSGGP+ C NG + G+VS+G GC
Trypsinogen ITSNMFCVGFLEGGKDSCQGDSGGPVVC---NGQLQ--GVVSWGD--GC [...]

NCQLENGSWEV
C NG +
VC---NGQLQ-

- Why was "NG" aligned and not "QL"?
- What does the "+" mean ?
- How "good" is my alignment?

=> there are many possible ways to align two sequences !!

=> we need a formalized scoring system,
to describe and measure similarities, and to develop statistics ...

Scoring systems for calculation of “similarity”

General scoring systems are **context independent** (i.e. values are the same for every occurrence of a given pair). They can be written in form of a simple matrix :

e.g. Nucleotide **identity** matrix (positive score only for identities; no penalty for mismatch)

	A	G	T	C
A	5	0	0	0
G	0	5	0	0
T	0	0	5	0
C	0	0	0	5

e.g. Nucleotide **substitution** matrix (positive scores also for certain substitutions)

	A	G	T	C
A	4	1	0	0
G	1	4	0	0
T	0	0	4	1
C	0	0	1	4

The matrices show scoring values M_{ij} for every conceivable pair i and j .
Matrices are symmetrical

Scoring values for sequence alignments

Quantification according to an **Identity** or **Substitution Matrix**

The matrix assigns a **value to every possible character pair** that could be observed in a comparison

In an alignment of two strings of characters, the scoring values for all occurring characterpairs are combined to produce a score for the respective alignment

Scores are calculated for every possible alignment between two strings, ranked, and compared to random alignments for a statistical evaluation => **Optimal Alignment**

Question: How can we derive realistic scoring values?

Rules for scoring values are based on a specific model for the origin of the expected similarity

The meaning of scoring values - (I) probability

Value in nucleotide identity matrix = **probability** that characters in a pair are **identical**

	A	G	T	C
A	1	0	0	0
G	0	1	0	0
T	0	0	1	0
C	0	0	0	1

Alignment score = probability that the aligned sequences are identical =
Product of matrix values of each position of the alignment

Example:

AAGCTTACC**AAAATTGAAGGGACGTTGACG**TAGGGGACGCTTTAG
AATCTAGCA**ATTATTGAAGGGACGTTGACG**AAGGGGTTTCGCTACCG

Score of the **global** alignment = 0

Score of **local** alignment (red substring): = 1

Matrix produces a **yes/no** answer; ok for identity, not useful for similarity

The meaning of scoring values - (ii) arbitrary score

Value in nucleotide identity matrix = **arbitrary score** for a matching pair

	A	G	T	C
A	1	0	0	0
G	0	1	0	0
T	0	0	1	0
C	0	0	0	1

Alignment score = **sum of matrix values** of each position of the alignment

```
AAGCTTACCAAAATTGAAGGGACGTTGACGTAGGGGGACGCTTTAG
AATCTAGCAATTATTGAAGGGACGTTGACGAAGGGGTTTCGCTACCG
```

Global alignment = 34 matches of 46 pairs => Score 34
Red substring = 18 matches of 18 pairs => Score 18

Without normalization, the score will grow with the length of the alignment

Evolution as the basis of scoring systems

Biological sequences change by (almost) **random mutation**:

substitutions of characters (e.g. CG → TG; Ala-Thr-Gly → Ala-Ser-Gly)

insertions }
deletions } indels (e.g. CTGG-ACAG ↔ CTGGAACAG)

Most of the currently observed sequence variation has been **fixed during evolution**

Evolutionary fixed (“accepted”) sequence variation is restricted by functionality

=> in functional sequences some mutations are deleterious: less likely to become fixed

=> in „non-functional“ sequences mutations are less consequential => more mutations fixed

⇒ Even though every nucleotide and amino acid may technically mutate with almost equal frequency, in real life not all mutations in a coding sequence are **observed** with equal frequency.

⇒ p_{ij} (probability that i to j change is accepted and can be observed) depends on i and j;

p_{ij} may serve as a quantitative measure of similarity between i and j

Rules for quantitative evaluation of changes in comparisons of biological sequences are based on theoretically or empirically derived “models” of evolution

The PAM concept

Working hypothesis:
 - the compared sequences are evolutionary related
 - they differ by N% altered characters (mutations)

PAM = Point Accepted Mutation (or “percent accepted mutation”)

PAM1 reflects an evolutionary distance where 1% of characters have been changed:
 => 99% of character pairs of an alignment should be identical; 1% mismatched

⇒mutation matrix

	A	G	T	C
A	0.99	0.0033	0.0033	0.0033
G	0.0033	0.99	0.0033	0.0033
T	0.0033	0.0033	0.99	0.0033
C	0.0033	0.0033	0.0033	0.99

Transitions (Pu-Pu/Py-Py changes) = Transversions (Pu-Py changes)

	A	G	T	C
A	0.99	0.006	0.002	0.002
G	0.006	0.99	0.002	0.002
T	0.002	0.002	0.99	0.006
C	0.002	0.002	0.006	0.99

Transitions = 3 x Transversions

Alignment score = probability that the sequences confirm the working hypothesis, i.e. they are very closely related (1% difference) = product of matrix values for every pair in the alignment

Matrix for greater divergence:

PAM2 = 2% mismatched; PAM4 = 4% mismatched; etc.

The log-odds concept

Conversion of mutation matrix to log-odds matrix: score s_{ij} of a match between nucleotides i and j :

$$s_{ij} = \log (p_i M_{ij} / p_i p_j) = \log (M_{ij} / p_j) (= \log(\text{observed frequency} / \text{expected frequency}))$$

$P_{i \text{ or } j}$: frequency of nucleotide i or j ($= 0.25$); M_{ij} : value from the mutation matrix
log base is only a scaling factor; frequently \log_2 , values for matrix rounded to next integer

	A	G	T	C
A	2	-5	-7	-7
G	-2	2	-7	-7
T	-7	-7	2	-2
C	-7	-7	-2	2

log-odds matrix: total alignment score is determined by addition of individual s_{ij} values
(instead of multiplication of individual probability values)

**Note: This is a convenient example for the PAM/Log-odds concept.
Actual values for DNA comparisons are usually derived differently.**

a quick aside: Position Specific Scoring Matrices

Scoring Values Depend on Position in a Sequence

(a) Annotated TrpR binding sites

Site ID	Sequence																Target Operon			
ECK120012644	G	T	A	C	T	A	G	T	T	T	G	A	T	G	G	T	A	T	G	aroL-yaiA-aroM
ECK120012187	G	T	A	C	T	A	G	T	T	T	G	A	T	G	G	T	A	T	G	aroL-yaiA-aroM
ECK120012179	G	A	A	C	T	A	G	T	T	A	A	C	T	A	G	T	A	C	G	trpLEDCBA
ECK120012892	G	A	A	C	T	A	G	T	T	A	A	C	T	A	G	T	A	C	G	trpLEDCBA
ECK120012181	G	A	A	C	T	A	G	T	T	A	A	C	T	A	G	T	A	C	G	trpLEDCBA
ECK120012636	G	T	A	C	T	A	G	A	G	A	A	C	T	A	G	T	G	C	A	aroH
ECK120012183	G	T	A	C	T	A	G	A	G	A	A	C	T	A	G	T	G	C	A	aroH
ECK120012185	G	T	A	C	T	C	G	T	G	T	A	C	T	G	G	T	A	C	A	mtr
ECK120012979	G	T	A	C	T	C	G	T	G	T	A	C	T	G	G	T	A	C	A	mtr
ECK120012894	G	T	A	C	T	C	T	T	A	G	C	G	A	G	T	A	C	A	A	trpR

(b) Position-specific scoring matrix

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
A	0	3	10	0	0	7	0	2	0	8	7	2	0	6	0	0	8	0	5	
T	0	7	0	0	10	0	1	8	6	4	0	0	9	0	0	10	0	2	0	
C	0	0	0	10	0	3	0	0	0	0	0	8	0	0	0	0	0	8	0	
G	10	0	0	0	0	0	9	0	4	0	3	0	1	4	10	0	2	0	5	

Useful for finding specific functional sequence motifs (TF binding sites, protein domains)

(c) Consensus

G w A C T m G t k w r C t r G T r C r

(d) Sequence logo



“Real life” scoring parameters for DNA alignments

Parameters	Smith-Waterman	FASTA	wuBLAST	ncbiBLAST
Match	5	5	5	1
Mismatch	-4	-4	-4	-3
Gap opening	-16	-16	-10	-5
Gap extension	-4	-4	-10	-2

	S-W/F	wuBLAST	ncbiBLAST
AGATCAACGGATTGCTTTCCTGCCGCCATT			
AGATCTTCGGATT---TTCCTGGGGCCATT	75	69	2

=> scores can be only compared within one scoring system

Information content of biological sequences

Information content of a character in a string depends on the number of possible characters that could be found at that position.

Information content of (sub-)sequences containing only few or a subset of the possible characters is lower e.g. AATAATTAAATAAATAA for DNA (longer stretch of only 2 of the 4 possible characters) or

LLDELDELLELDEL for protein (longer stretch of only 3 of the 20 possible characters)

In sequence comparison programs such «**Sequences of low complexity**» are sometimes filtered and marked as **xxxxxxx** or in **lower case**.

The occurrence of such sequences can be biologically relevant, but the sequences are not included in the calculation of similarity values, because character pairing would result in high scores even though it may occur by chance (i.e. any alignment of two such regions will produce a relative high score by chance and not because the aligned positions are homologous).

DNA/DNA vs. protein/protein comparisons

Information content per position in DNA is low

only 4 possibilities => similarity by chance

DNA may contain many positions with no or small functional importance
e.g. non-coding regions, third codon positions such

positions may not be conserved in evolution

=> relatedness may be overlooked

DNA-DNA comparison is only useful for closely related sequences or highly conserved motives

DNA/DNA vs. protein/protein comparisons

Seq 1: CCTGGAGTCCAGCAAAAACGTC
Seq 2: CATGGTGACCACCGAAAAGCTC 15/22
Seq 3: GTTAGAAAGTTCTAAGAATGTG 9/22

Seq 2 seems to be much closer related to Seq 1

But: Sequences have coding potential for peptide sequences:

Seq 1: C.CTG.GAG.TCC.AGC.AAA.AAC.GTC
.leu.glu.ser.ser.lys.asn.val

Seq 2: C.ATG.GTG.ACC.ACC.GAA.AAG.CTC 15/22
.met.val.thr.thr.glu.lys.leu 0/7

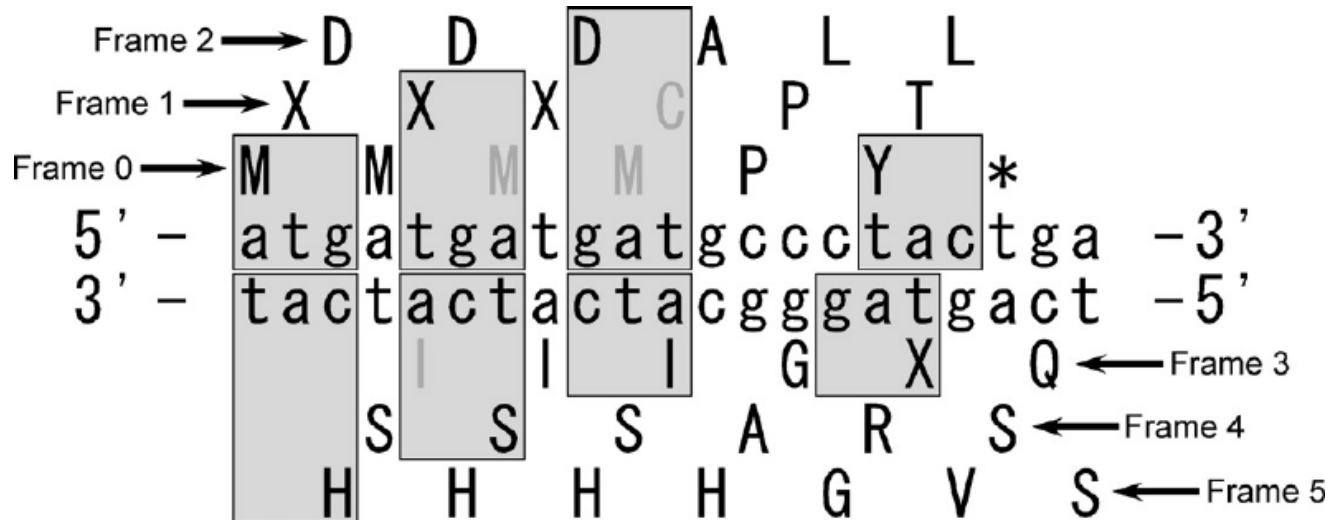
Seq 3: G.TTA.GAA.AGT.TCT.AAG.AAT.GTG 9/22
.leu.glu.ser.ser.lys.asn.val 7/7

The peptide encoded by Seq 3 is identical to that encoded by Seq 1 !

=> always search at the level that carries the biological function

Conceptual translation of DNA into protein

six possible reading phases per DNA sequence:



Genetic Code- Table

3 nucleotides form a codon => 64 codons possible

20 different amino acids in proteins

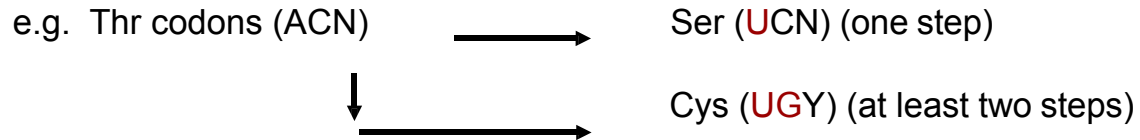
1 to 6 different codons per amino acid
=> different DNA molecules can code for same protein

		Second Letter							
		U	C	A	G				
1st letter	U	UUU Phe UUC UUA Leu UUG	UCU Ser UCC UCA UCG	UAU Tyr UAC UAA Stop UAG Stop	UGU Cys UGC UGA Stop UGG Trp	U	C	A	G
	C	CUU Leu CUC CUA CUG	CCU Pro CCC CCA CCG	CAU His CAC CAA Gln CAG	CGU Arg CGC CGA CGG	U	C	A	G
	A	AUU Ile AUC AUA Met AUG	ACU Thr ACC ACA ACG	AAU Asn AAC AAA Lys AAG	AGU Ser AGC AGA Arg AGG	U	C	A	G
	G	GUU Val GUC GUA GUG	GCU Ala GCC GCA GCG	GAU Asp GAC GAA Glu GAG	GGU Gly GGC GGA GGG	U	C	A	G

Amino acid substitution matrices

Model: Amino acids are differentially related to each other

1. required steps for mutation (“distance”):



2. similar physico-chemical characteristics:

e.g. aromatic side chains: Phe, Tyr, Trp (F, Y, W)
basic side chains: Lys, Arg, His (K, R, H)

for different purposes, amino acids may be sorted differently

3. actually observed evolutionary conservation

Many different possibilities for reasonable substitution matrices

Popular amino acid substitution matrices

based on comparisons of evolutionary related proteins

PAM concept was developed for amino acid substitutions

Computed by Dayhoff (1978) based on a **model of protein evolution**

Model: Protein evolution through point mutations:

1) independent from previous substitutions

2) independent from the neighbouring amino acid

reality is **more complex**: e.g. **3-D and functional** constraints

Analysis of **closely related** sequences:

71 protein families

85% identity (allows for manual global alignment, few indels, minimal multiple changes) in total

1572 accepted changes

⇒ **Dayhoff matrix form for PAM n :**
(log-odds matrix)

$$D_{ij}^{(n)} = 10 \log_{10} \frac{M_{ij}^{(n)}}{f_i}$$

M_{ij} : frequency with which a.a. i mutates to j in a PAM unit

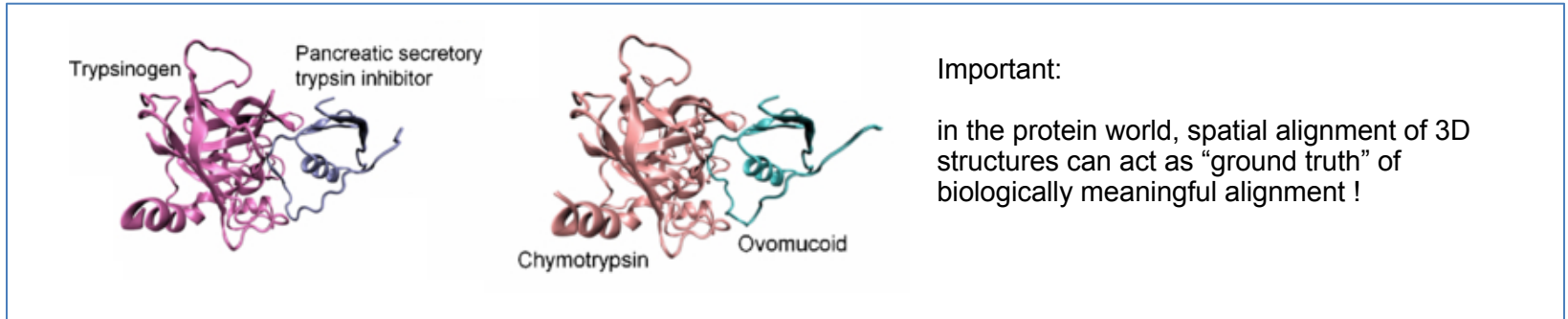
f_i : frequency of a.a. i (a.a. = amino acid)

PAM matrices

Alignment: $---A_i---$
 $---A_j---$

Question: Do A_i and A_j align by chance or because they are evolutionary related ?

(i,j) value in PAM matrix gives the probability ratio of the two possibilities



Important:

in the protein world, spatial alignment of 3D structures can act as “ground truth” of biologically meaningful alignment !

		PAM250																				
		C	S	T	P	A	G	N	D	E	Q	H	R	K	M	I	L	V	F	Y	W	
C	12																					
S	0	2																				
T	-2	1	3																			
P	-3	1	0	6																		
A	-2	1	1	1	2																	
G	-3	1	0	-1	1	5																
N	-4	1	0	-1	0	0	2															
D	-5	0	0	-1	0	1	2	4														
E	-5	0	0	-1	0	0	1	3	4													
Q	-5	-1	-1	0	0	-1	1	2	2	4												
H	-3	-1	-1	0	-1	-2	2	1	1	3	6											
R	-4	0	-1	0	-2	-3	0	-1	-1	1	2	6										
K	-5	0	0	-1	-1	-2	1	0	0	1	0	3	5									
M	-5	-2	-1	-2	-1	-3	-2	-3	-2	-1	-2	0	0	6								
I	-2	-1	0	-2	-1	-3	-2	-2	-2	-2	-2	-2	2	5								
L	-6	-3	-2	-3	-2	-4	-3	-4	-3	-2	-2	-3	-3	4	2	6						
V	-2	-1	0	-1	0	-1	-2	-2	-2	-2	-2	-2	-2	2	4	2	4					
F	-4	-3	-3	-5	-4	-5	-4	-6	-5	-5	-2	-4	-5	0	1	2	-1	9				
Y	0	-3	-3	-5	-3	-5	-2	-4	-4	-4	0	-4	-4	-2	-1	-1	-2	7	10			
W	-8	-2	-5	-6	-6	-7	-4	-7	-7	-5	-3	2	-3	-4	-5	-2	-6	0	0	17		

>0: likely mutation
 <0: unlikely mutation

Note: Two sequences differing by 100 PAM units do not differ in all positions:
 Effect of multiple substitutions at one site

Residue identity difference (%)	Evolutionary difference (PAM units)
1	1
10	11
20	23
40	56
60	112
80	246



BLOSUM matrices

Blosum: BLOck Substitution Matrix

derived from BLOCKS database (Henikoff and Henikoff, 1992)

multiple alignments of distantly related sequences (1764 blocks in 437 protein groups)

Aligned sequences

```

AABCD A . . . BBCDA
DABCD A . A . BBCBB
BBBCD ABA . BCCAA
AAACD AC . DCBCDB
CCBAD AB . DBBDCC
AAACA A . . . BBCCC
    
```

Conserved Blocks

```

tlpa_braja AVATAQKIAP LAHGEVAALT MASAPLKLPD LAFEDADGKP KKLSD....
resa_bacsu SRFNLRTRLY HLQRCICRQR EYIRRSAPN FVLEDTNGKR IELSD....
  pestis  KIIGLCSLLL LLS..ACKQE KVALGEVAPT LAAYDLQGEA VALEQ....
helx_rhoca ..... ..QNDFNAMP TALAGKEAPA VRLEPL... ..GAEAPFTD
cycy_braja .....R LGSGDPSRIP SALIGRPAPQ TALPPEGLQ ADNVQVPGLD
ccmg_chroma ..... ..DPRKIP SPLVDKPAPE FSLPDLKDPN QT....LTR
dsbe_ecoli .....RN AEGDDEPTNLE SALIGKPVPK FRLESLOPNG QF....YQA
    
```

First column in conserved block: AABACA: 6 AA pairs, 4 AB pairs, 4 AC pairs, 1 BC pair
 => Observed probability of an AA pair: 6/15, AB pair 4/15 etc.

These values can be converted to a log-odds matrix

$$s_{i,j} = \log_2 \frac{q_{ij}}{e_{ij}}$$

q_{ij} : normalized observed pair frequency between i and j

e_{ij} : normalized expected frequency of pair ij (reflects the frequency of occurrence of i and j in the sequences)

PAM vs. BLOSUM matrices

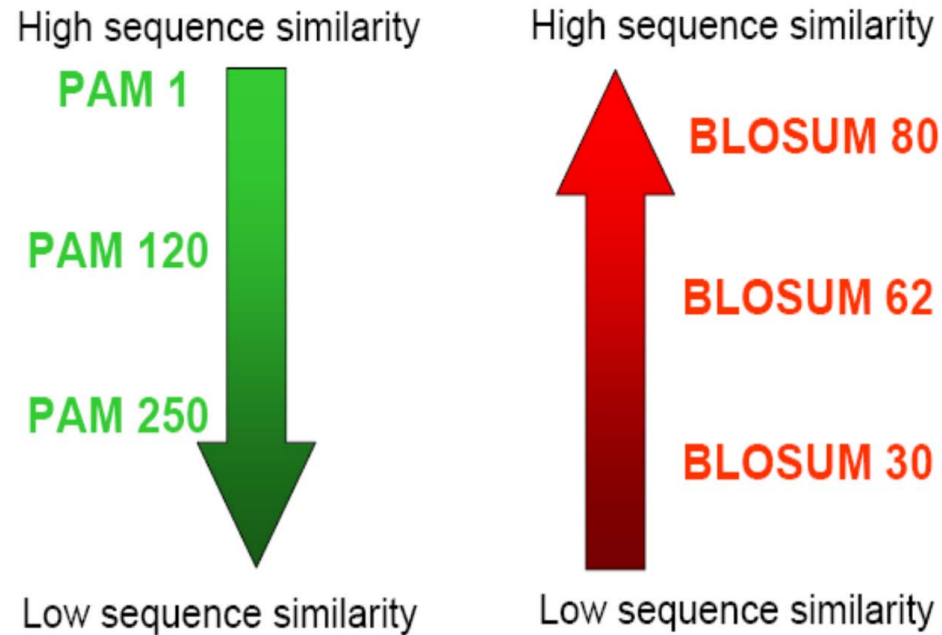
The PAM 250 Matrix

	Ala	Arg	Asn	Asp	Cys	Gln	Glu	Gly	His	Ile	Leu	Lys	Met	Phe	Pro	Ser	Thr	Trp	Tyr	Val	
	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V	
A Ala	4	6	0	-1	-4	1	-1	-3	2	-2	-3	3	0	-4	0	0	-1	2	-4	-2	A Ala
R Arg	-1	5	2	2	-4	1	1	0	2	-2	-3	1	-2	-4	-1	1	0	-4	-2	-2	R Arg
N Asn	-2	0	6	4	-5	2	3	1	1	-2	-4	0	-3	-6	-1	0	0	-7	-4	-2	N Asn
D Asp	-2	-2	1	6	12	-5	-5	-3	-3	-2	-6	-5	-5	-4	-3	0	-2	-8	0	-2	D Asp
C Cys	0	-3	-3	-3	9	4	2	-1	3	-2	-2	1	-1	-5	0	-1	-1	-5	-4	-2	C Cys
Q Gln	-1	1	0	0	-3	5	4	0	1	-2	-3	0	-2	-5	-1	0	0	-7	-4	-2	Q Gln
E Glu	-1	0	0	2	-4	2	5	5	-2	-3	-4	-2	-3	-5	-1	1	0	-7	-5	-1	E Glu
G Gly	0	-2	0	-1	-3	-2	-2	6	6	-2	-2	0	-2	-2	0	-1	-1	-3	0	-2	G Gly
H His	-2	0	1	-1	-3	0	0	-2	8	5	2	-2	2	1	-2	-1	0	-5	-1	4	H His
I Ile	-1	-3	-3	-3	-1	-3	-3	-4	-3	4	6	-3	4	2	-3	-3	-2	-2	-1	2	I Ile
L Leu	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	4	5	0	-5	-1	0	0	-3	-4	-2	L Leu
K Lys	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	5	6	0	-2	0	-2	-4	-2	2	K Lys
M Met	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	9	-5	-3	-3	0	7	-1	M Met
F Phe	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	6	1	0	-6	-5	-1	F Phe
P Pro	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	2	1	-2	-3	-1	P Pro
S Ser	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	3	-5	-3	0	S Ser
T Thr	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-2	-1	1	5	5	17	0	-6	T Thr
W Trp	-3	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	10	-2	W Trp
Y Tyr	-2	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	4	Y Tyr
V Val	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-2	-2	0	-3	-1	4		V Val

Ala	Arg	Asn	Asp	Cys	Gln	Glu	Gly	His	Ile	Leu	Lys	Met	Phe	Pro	Ser	Thr	Trp	Tyr	Val
A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V

The BLOSUM 62 Matrix

PAM vs. BLOSUM matrices



All matrices work

Comparison of closely related sequences: low number PAM or high number BLOSUM matrices better

Comparison of less related sequences: high number PAM or low number BLOSUM matrices

Routine: BLOSUM 62; if in doubt, try several different matrices

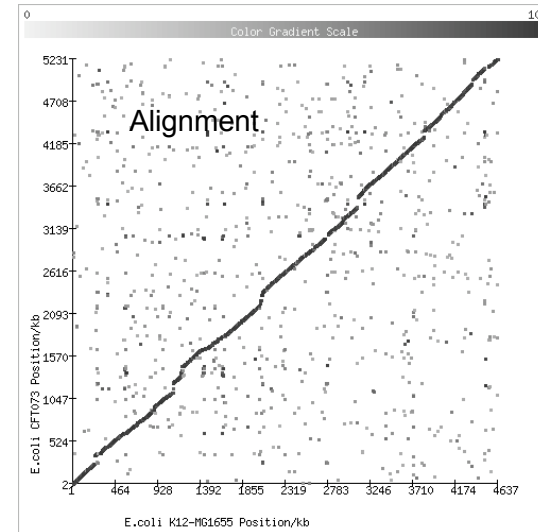
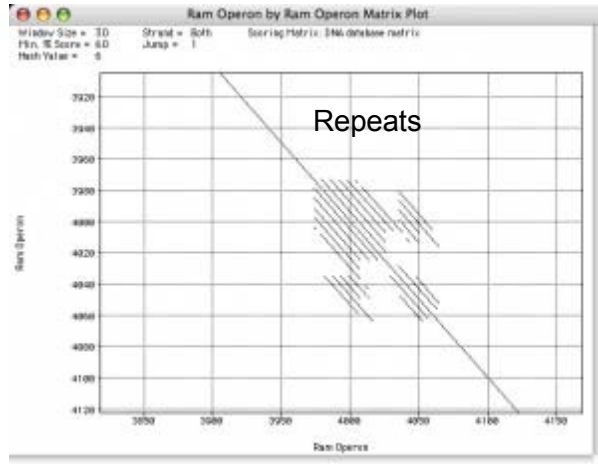
Sequence alignment: algorithms

	A	C	G	G	A	C	T	T	T	A	C	C	G	A	T	G	C	T	T
T	-	-	-	-	-	-	x	x	x	-	-	-	-	-	x	-	-	x	x
C	-	x	-	-	-	x	-	-	-	-	x	x	-	-	-	-	x	-	-
G	-	-	x	x	-	-	-	-	-	-	-	-	x	-	-	x	-	-	-
G	-	-	x	x	-	-	-	-	-	-	-	-	x	-	-	x	-	-	-
C	-	x	-	-	-	x	-	-	-	-	x	x	-	-	-	-	x	-	-
C	-	-	-	-	-	-	x	x	x	-	-	-	-	-	x	-	-	x	x
T	-	-	-	-	-	-	x	x	x	-	-	-	-	-	x	-	-	x	x
T	x	-	-	-	x	-	-	-	-	x	-	-	-	x	-	-	-	-	-
A	x	-	-	-	x	-	-	-	-	x	-	-	-	x	-	-	-	-	-
A	-	x	-	-	-	-	-	-	-	x	x	-	-	-	-	-	x	-	-
C	-	x	-	-	-	-	-	-	-	x	x	-	-	-	-	-	-	x	-
C	-	x	-	-	-	-	-	-	-	x	x	-	-	-	-	-	-	x	-
G	-	x	-	-	-	-	-	-	-	x	x	-	-	-	-	-	-	x	-
T	-	-	x	x	-	-	-	-	-	-	-	-	x	-	-	x	-	-	-
T	-	-	-	-	-	-	x	x	x	-	-	-	-	-	x	-	-	x	x
G	-	-	-	-	-	-	x	x	x	-	-	-	-	-	x	-	-	x	x
A	-	-	x	x	-	-	-	-	-	-	-	-	x	-	-	x	-	-	-
C	x	-	-	-	x	-	-	-	-	x	-	-	-	x	-	-	-	-	-
A	-	x	-	-	-	-	-	-	-	x	x	-	-	-	-	-	-	x	-
C	x	-	-	-	x	-	-	-	-	x	-	-	-	x	-	-	-	-	-

Alignment matrix:
Positions with locally positive alignment score are marked x

“Positions”:
characters
words
genes
.....

Dot Plots



DotPlot

=>Rough idea, where the best alignment could be (marked diagonal regions)

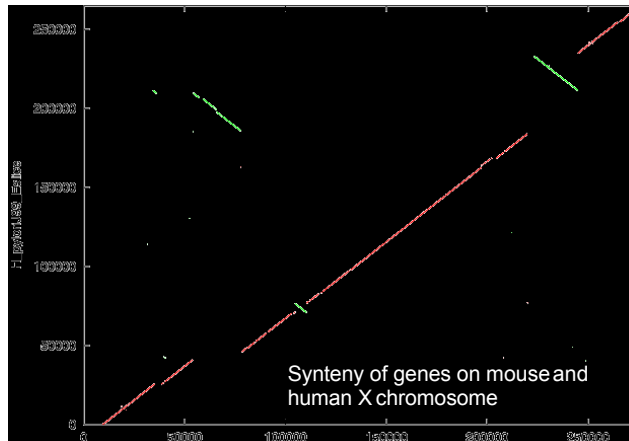
Detection of repeats within a sequence

Identities can be characters, strings, genes.....

Performed by programs like "Dotter" <http://sonnhammer.sbc.su.se/Dotter.html>

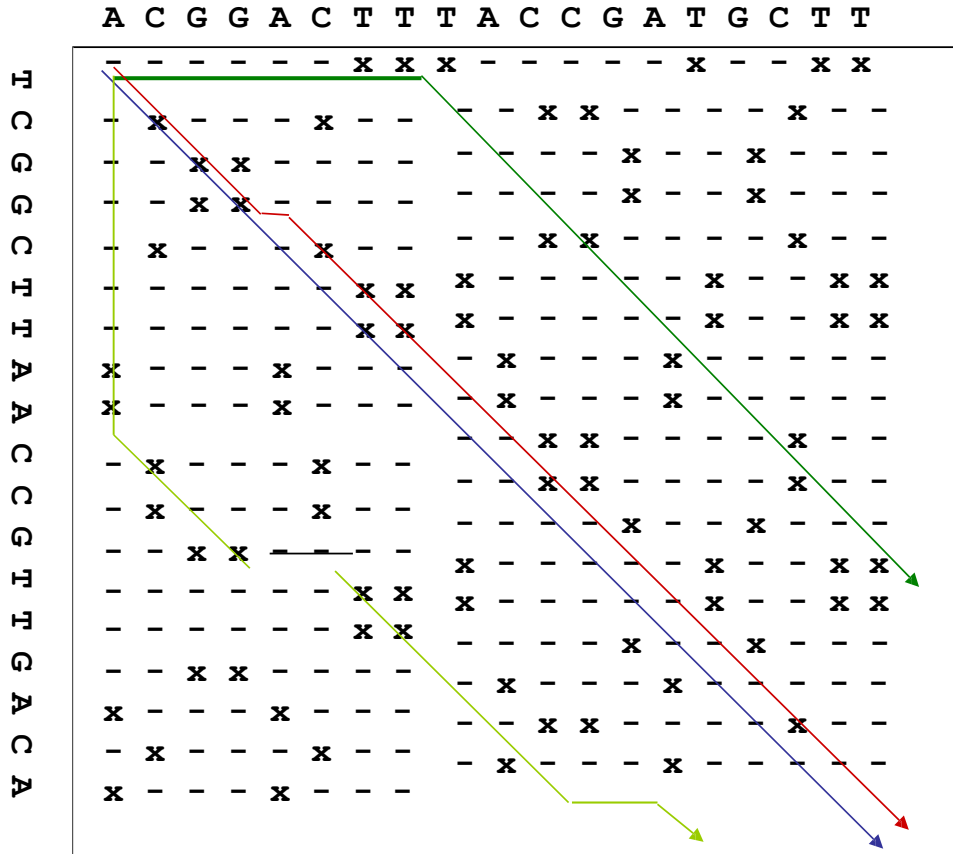
Problem:

Visual, no calculation of the best alignment



Searching for the optimal alignment

Exploration of all paths through the alignment-matrix to identify the maximum value for the scoring function
=> optimal alignment guaranteed (global or local)



Many possible paths

dynamic programming:
an algorithmic technique in which an optimization problem is solved by caching subproblem solutions instead of recalculating them

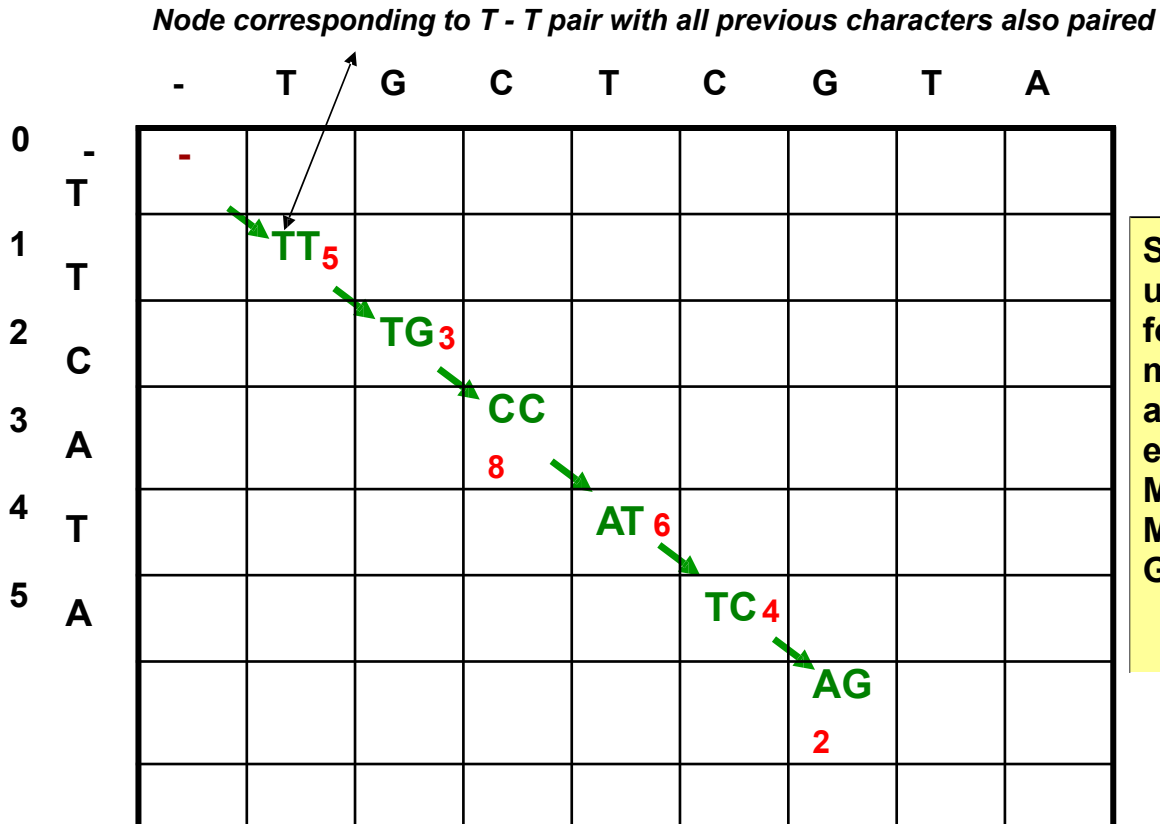
**Smith-Waterman algorithm
(Needleman-Wunsch)**

Path Matrix

	-	T	G	C	T	C	G	T	A
0	-								
1.	T								
2.	T								
3.	C								
4.	A								
5	T								
6	A								

Path matrix: Every node represents the **endpoint** of an alignment, i.e. all characters **above** and to the **left** are aligned. Algorithms calculate the optimal score for alignment to a node and use this as basis for calculation of all possible paths starting from there.

Filling the Path Matrix (arbitrary choice)

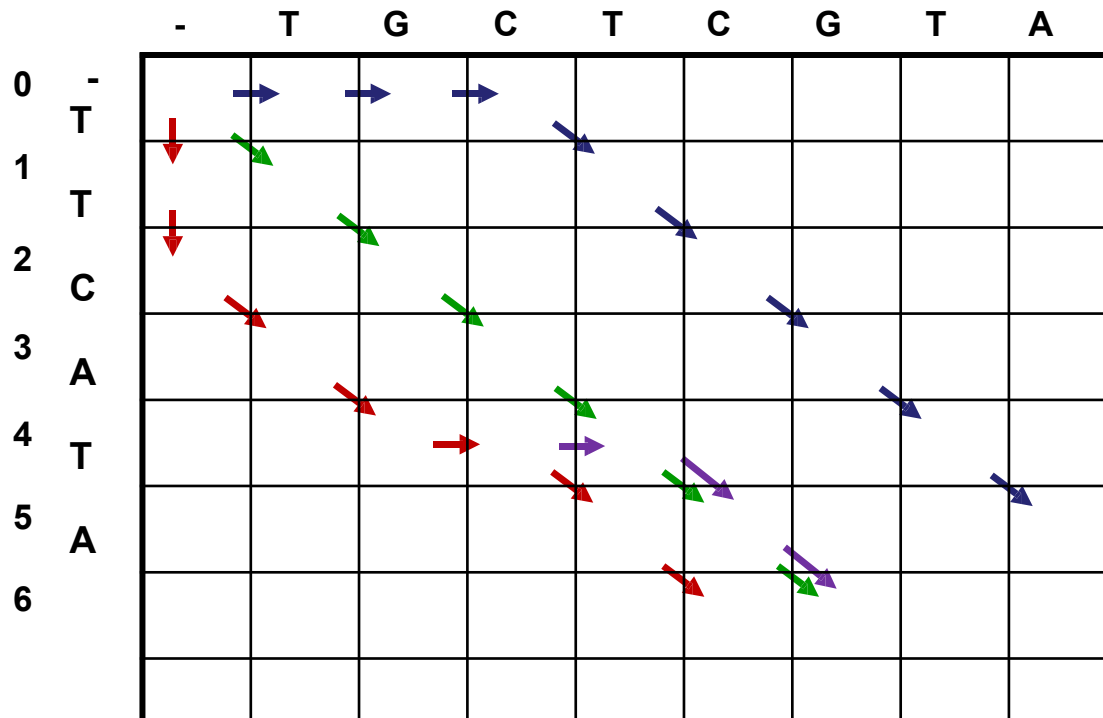


Score function uses weights for matches, mismatches and gaps:
 e.g.
 Match = +5
 Mismatch = -2
 Gap = -6

-TGCTCGTA
 -TTCATA
 Score = 2

Score = Sum of alignment scores up to this position

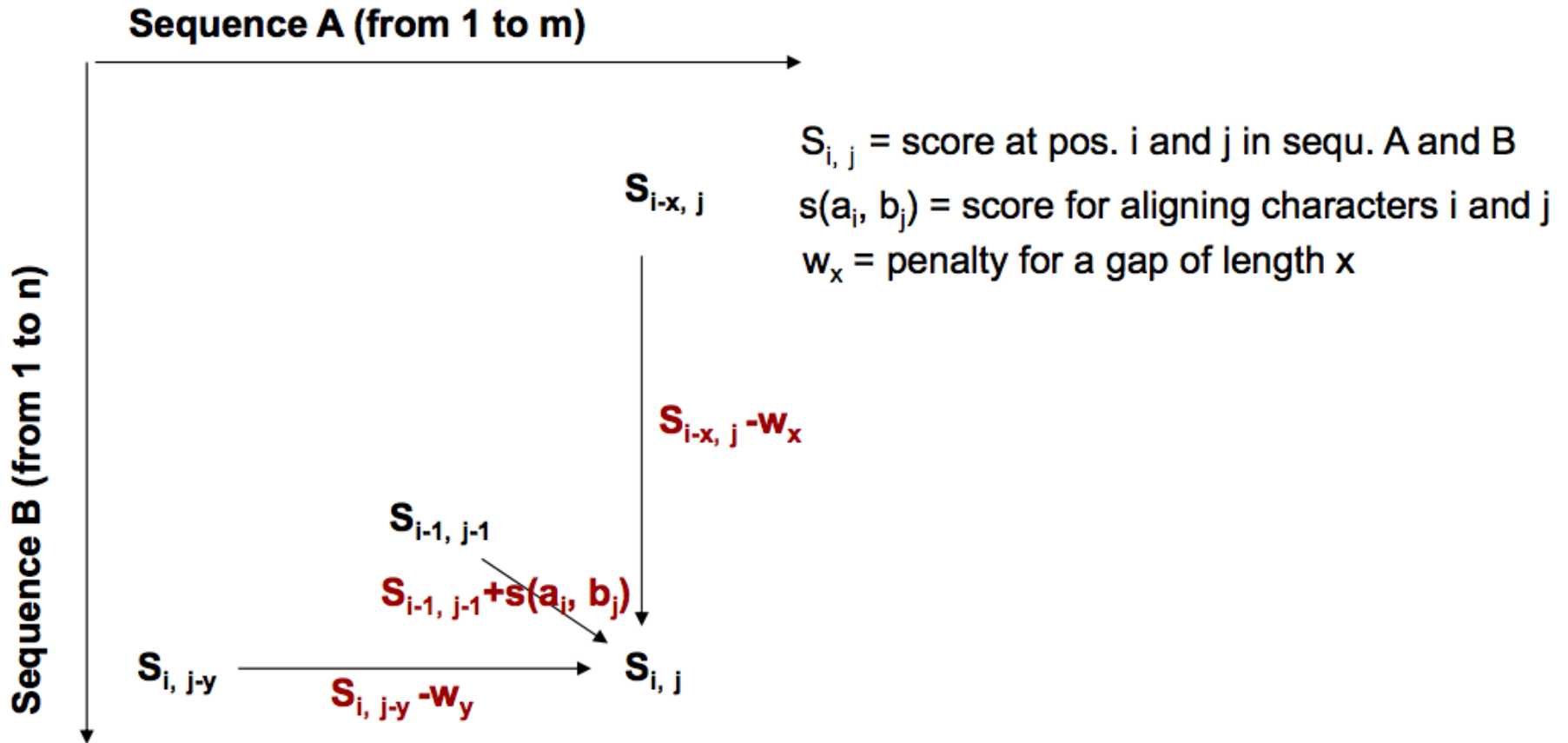
Filling the Path Matrix (by many ways)



Match = +5
 Mismatch = -2
 Gap = -6

-TGCTCGTA -TGCTCGTA ---TGCTCGTA ---TGCTCGTA
 ----TTCATA -TTCATA -TTCA-TA -TTCA--TA

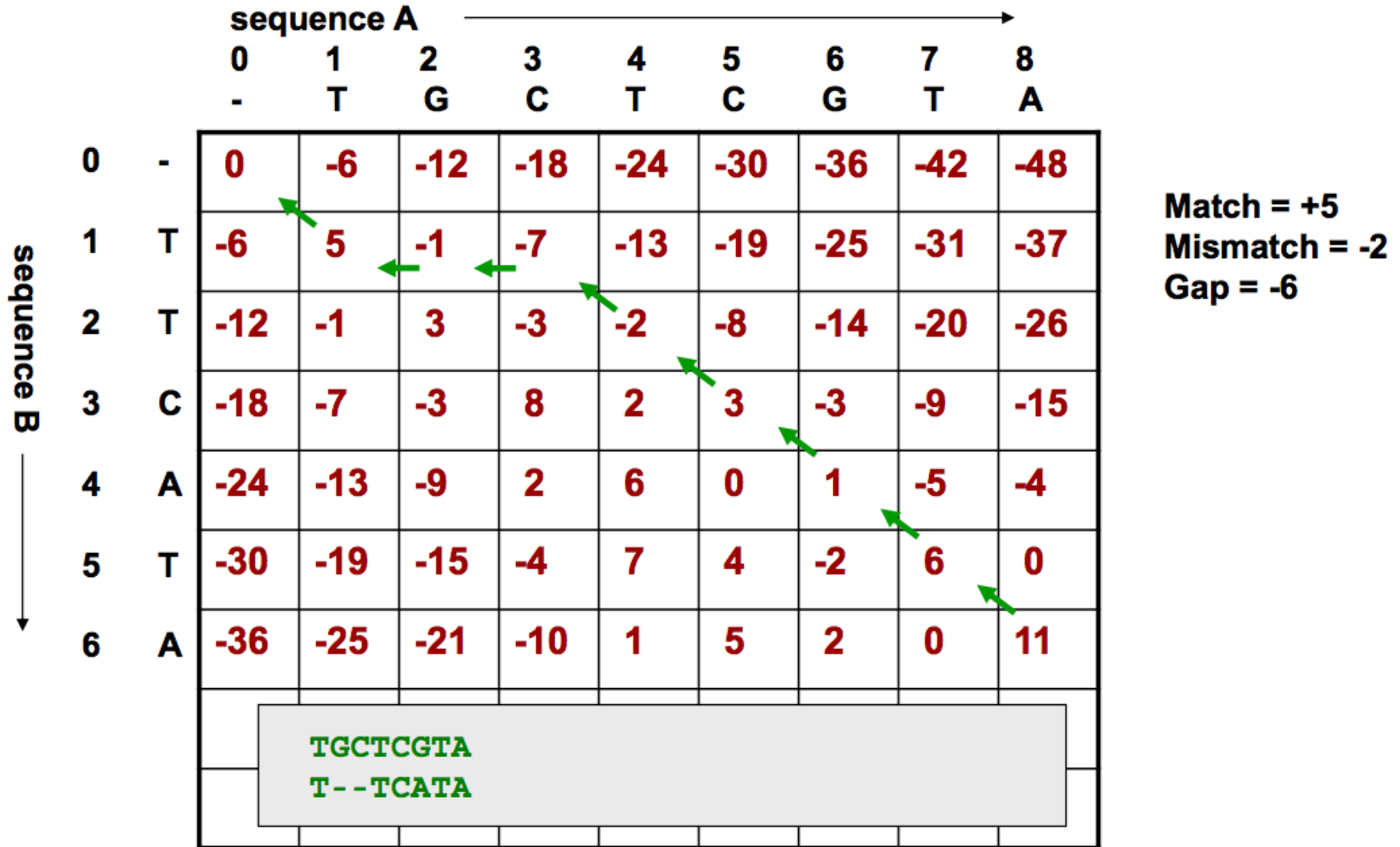
Formalism



Calculate for each node: $S_{i, j} = \max \{ S_{i-1, j-1} + s(a_i, b_j), \max_{y \geq 1} (S_{i, j-y} - w_y), \max_{x \geq 1} (S_{i-x, j} - w_x) \}$

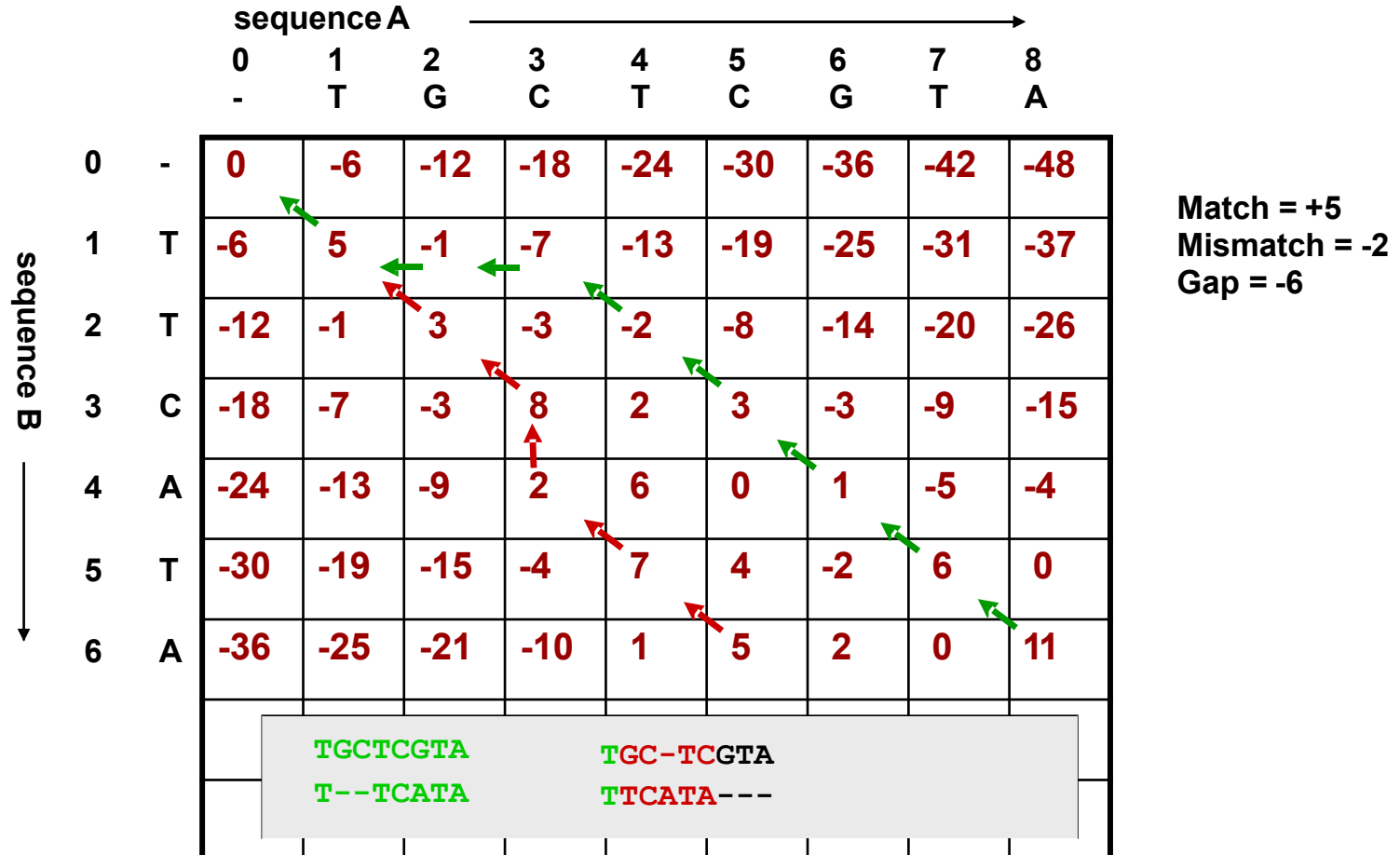
Store the maximal value and the pointer to the node
 which was used to calculate the value => trace-back matrix

Trace-back step: optimal alignment



Trace-back path matrix: reconstruct optimal path leading to the highest score

Trace-back step: suboptimal alignment



Trace-back path matrix: the optimal path may not produce the highest score at every step

Optimal alignment

Algorithms: **Needleman-Wunsch** (global) / **Smith-Waterman** (local)

Highest possible alignment score guaranteed

But

calculation-time and storage **intensive**:

$n \times m$ to $n \times m^2$ calculation steps required ($n < m$); $n \times m$ for storage

Too slow for database searches

Solution: restrict search space by pre-selection of “promising” regions

Faster sequence alignment: heuristics

BLAST: Basic Local Alignment Search Tool (Altschul et al., 1990; 1997)

FASTA: FAST-All (Lipman and Pearson, 1985; Pearson and Lipman, 1988)

Definition “Heuristic”: An algorithm that usually, but not always, works or that gives nearly the right answer.

Principle:

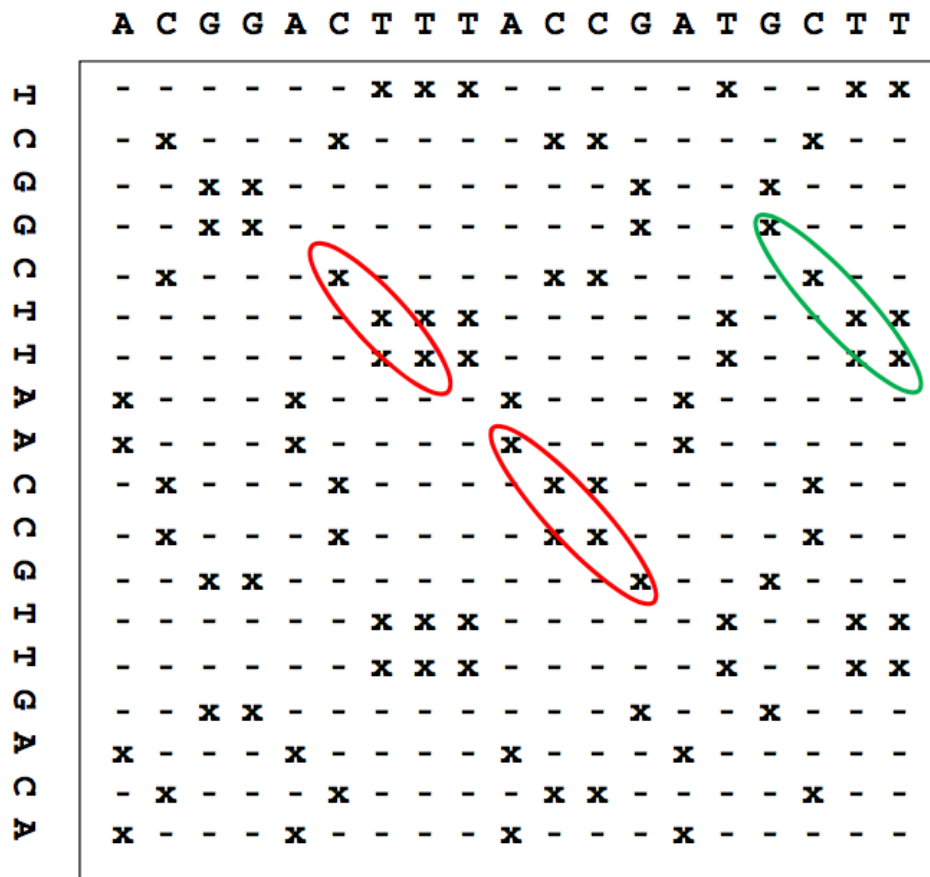
Sequences with significant similarity contain short strings (words) with identity

1. Divide query in all possible words (1 to 4 for amino acids; 6 to 14 for DNA) word lengths: “k-tuples”
2. Determine positions of matching words in each database sequence
=> hot-spots, hits
3. Attempt to extend hit-alignments in both directions without introduction of gaps
=> high scoring segment pairs (hsp)
4. Extend alignments with introduction of gaps

Speed and sensitivity depend on search-parameters and on the choice of primary hits that will be processed further.

FASTA (Pearson and Lipman, 1988)

Step 1: define "promising" diagonals: search for ungapped regions sharing more than one exact k-tuple

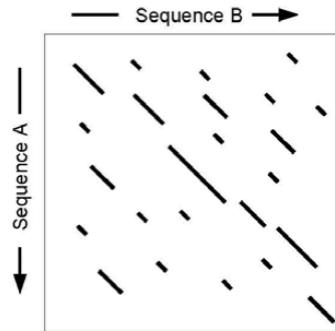


Hash table

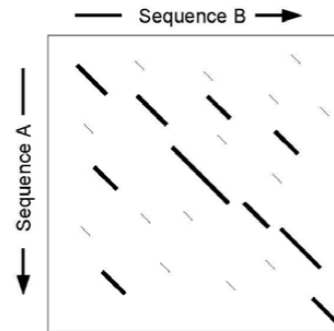
Word (only some shown)	Pos vertic. Seq	Pos horiz. Seq	Pos Hor - ver
CGG	2	2	0
CTT	5	6, 17	1, 12
TTA	6	8	2
GCT	4	16	12
ACC	9	10	1
CCG	10	11	1
GAC	15	4	-11

↑
Database entry,
Precalculated

FASTA (Pearson and Lipman, 1988)

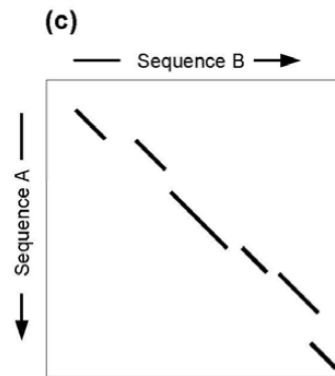


Find runs of identities

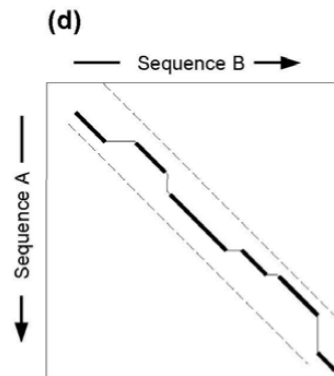


Re-score using PAM matrix
Keep top scoring segments.

speed is largely determined by k_{tp} size for finding the initial identities: the longer the faster, but with reduced sensitivity



Apply "joining threshold" to eliminate segments that are unlikely to be part of the alignment that includes highest scoring segment.



Use dynamic programming to optimise the alignment in a narrow band that encompasses the top scoring segments.

<http://en.wikipedia.org/wiki/FASTA>

BLAST: Basic Local Alignment Search Tool

Altschul et al., 1990; 1997

Similar to FASTA, with some alterations

1. Define matching (not only identical) words with scores above a given threshold.
Word size e.g. 11 for DNA or 3 for proteins. = hits
2. Search two hits within a predefined distance (e.g. <40 amino acids) on a diagonal and combine them in a **high scoring segment pair** (HSP)
3. Initiate gapped extension (dynamic programming) only on the **best** HSP

BLAST words (neighborhood words)

Sequences are split in words of defined length (k-tuples, e.g. 3)

“Neighborhood” words that match these above a fixed threshold are calculated with a substitution matrix

Sequence: **AGSDDFTSSCILVYAGLIWDETNMYYHCATTIELDKRRK....**

3-tuple	Match (Score)	1. Pos. Subst.	2. Pos. Subst.	3. Pos. Subst.	Mult. Subst.
AGS	AGS (14)	SGS (11)	none	AG(A,N,T) (10+)	none
GSD	GSD (16)	none	G(A,R,N,D,S,Q,K,M,P,T)D (12+)	GS(E,M) (10+)	none
SDD					
DFT					
.					
.					
.					
YHC	YHC (24)	XHC (17+)	YXC (15+)	YHX (16+)	(F,W)NC (10+)

Scores from the Blosum62 substitution matrix

word length 3 and threshold score 11 are defaults in WWW BLAST searches,

word length can be altered to 2 but no changes of threshold score are possible

Word tables are precalculated for database entries and are used in the initialization step: computationally advantageous

BLAST sequence alignment

matching words:

the sum of substitution values (derived from a scoring matrix) for a word pair must exceed a predefined threshold (is often fixed in web-based applications)

successful hit-extension:

extension occurs as long as the new score does not drop more than a defined threshold below the so far obtained highest score

output:

longest alignment that cannot be improved by further elongation

theory of substitution score for ungapped alignments is well established no

theory for introduction of gaps => empirical gap penalties

choice of gap penalties and substitution matrix influences output

Significance of BLAST hits

Bit score: $S' = (\lambda S - \ln K) / \ln 2$

S: raw score

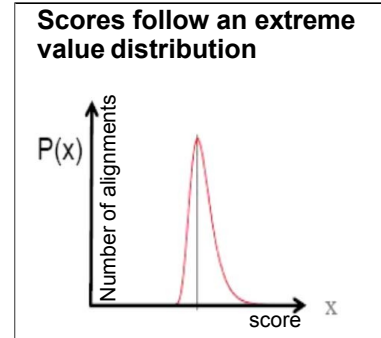
λ : log base of scoring matrix

K: scale of search space size

Expectation value: $E = mn2^{-S'}$

m: length of query

n: length of database sequence



Expectation value: Frequency of an accidental alignment with the respective score in a given search procedure (=comparison of obtained score with scores of all other alignments obtained in the search); the smaller the better

Some other programs use

Z score: $Z = \frac{(\text{score} - \text{average score of } N \text{ permutations})}{\text{standard deviation of randomized score distribution}}$

Z score: compares actual score to score of N (e.g. 100) randomized sequences with the same character frequencies; $Z \geq 3$ often regarded as significant
(note: this is not the z score of FastA!)

Implementations and methods

Alignment methods

BLAST <http://www.ncbi.nlm.nih.gov/> <ftp://ncbi.nlm.nih.gov/blast>
wuBLAST <http://www.ebi.ac.uk/> <http://www.ebi.ac.uk/Tools/sss/>
FASTA [fasta/nucleotide.html](http://fasta.nucleotide.html) <http://www.ch.embnet.org/software/>
LALIGN [LALIGN_form.html](http://www.sanger.ac.uk/resources/software/seqtools/) <http://www.sanger.ac.uk/resources/software/seqtools/> <http://www.ebi.ac.uk/Tools/msa/> <http://multalin.toulouse.inra.fr/multalin/>
DOTTER
Multiple seqAlign
MultAlin

Motifs and patterns

BLOCKS <http://blocks.fhcrc.org> <http://www.sanger.ac.uk/resources/databases/pfam.html> <http://expasy.org>
Pfam
PROSITE (+many links)

Presentation Methods

ALSCRIPT <http://www.csb.yale.edu/userguides/seq/alscript/>

Conversion utilities

<http://www.ebi.ac.uk/Tools/sfc/>

Phylogenetic resources

(huge collection of links): <http://evolution.genetics.washington.edu/phylip/software.html>

Search for Life Science Web services

<http://www.biocatalogue.org/>

http://en.wikipedia.org/wiki/Sequence_alignment_software

Websites for sequence searches

EBI: European Bioinformatics Institute (EMBL)

<http://www.ebi.ac.uk/>

FASTA3, wuBlast2

NCBI: National Center for Biotechnology Information (NIH)

<http://www.ncbi.nlm.nih.gov/>

Blast in all flavours

Search space in GenBank Aug. 2015: **199'823'644'287** bases in **187'066'846** sequences

~~+ 1'163'275'601'001 bases in 302'955'543 WGS records~~

Local sequence searches:

GCG package (UNIX based; FASTA and many other options) Downloadable

stand-alone versions of new ncbiBLASTs and wuBLAST2

Comparison of search performance

Protein family	Smith-W.	oriBLAST	BLAST	PSI-BLAST
Serine Protease	275	273	275	286
Ras	255	249	252	375
Globin	28	26	28	623
Cytochrome P450	211	197	211	224
run time	36	1.0	0.34	0.87

Altschul et al., Nucleic Acids Res. 25, 3389-3402 (1997)

Use of ambiguous words, pattern profiles

General substitution matrices are build on collections of „all proteins“

In functional sequence elements or protein motives the variation of some (or all) sequence positions may be restricted by functional constraints.

This can be modelled more specifically by
substitution matrices build only on a specific motif

Hidden Markov Models (HMMs) for a specific model

Regular expressions

*e.g. **PSI BLAST** (Position specific iterative BLAST) uses a detected alignment to calculate a new PSSM (position specific scoring matrix) and performs a new BLAST with this PSSM etc. More distant relationships may be detected.*

Precalculated PSSMs for known protein domains form the basis of „Conserved domain“ CD search, automatically performed whenever protein sequences are submitted to BLAST

BLAST programs

programs	Database	Query	Comments
blastp	protein	protein	finds also distant relationships
blastn	nucleotide	nucleotide	default for close relationships
blastx	protein	translated nucleotide	useful for analysis of new DNA and EST sequences
tblastn	translated nucleotide	protein	unannotated coding regions in database sequences
tblastx	translated nucleotide	translated nucleotide	EST analysis

Special BLAST programs

programs	Comments
BLAST1.4	first BLAST version
QBLAST =BLAST2.0	current NCBI default; “2 hit search strategy” for increased speed; performs “gapped BLAST”
PSI-BLAST	Position-Specific Iterative BLAST: generates a PSSM from multiple alignments of a protein query to a database and uses the PSSM repeatedly to search for more distant hits PSSM = position-specific scoring matrix (<i>option in blastp</i>)
PHI-BLAST	Pattern Hit Initiated BLAST: seeks for alignments that preserve a specific protein motif (<i>option in blastp</i>)
RPS-BLAST	Reverse Position-Specific BLAST: compares a protein query to predefined PSSMs for known conserved protein domains. Invoked by activating CD search in the BLAST window
Align 2 sequences	pairwise alignment of two defined sequences (<i>now also incorporated as option in different BLAST programs</i>)
Taxonomy BLAST	lists BLAST hits according to taxonomy

Special BLAST programs

programs

Comments

MegaBLAST

optimized for aligning longer sequences that differ only slightly uses longer words and a different algorithm (“greedy algorithm”) faster, works with longer sequences than BLAST for nucleic acids (*option in blastn*)

discontiguous MegaBLAST

uses a different type of words for initiation of alignments: words can be discontiguous, e.g. 11 or 12 matches in a template region of 16, 18 or 21 nucleotides. Options for 1 or 2 initial hits implemented different possibilities to analyze coding and non-coding regions (differentiated by the importance of the third codon position) for nucleic acids (*option in blastn*)

BLAST to find short, almost perfect matches now many BLAST procedures recognize query length and adapt search parameters automatically (option can be deactivated)

BLAST programs can be used with many different databases or subsections of databases

Output

Contains information of type and quality of matches

	Score (bits)	E-value
gi 1172846 sp Q09028 RB48_HUMAN CHROMATIN ASSEMBLY FACTOR...	131	4e-31

Score: raw score is calculated according to the number and weight of matches and gaps
depends on substitution matrix used

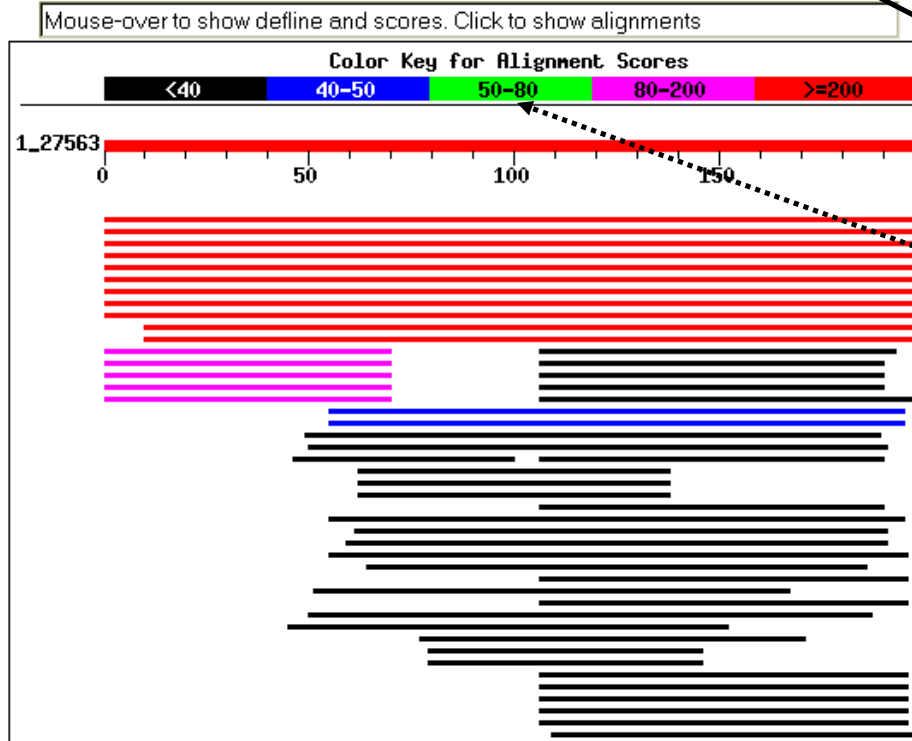
bits score is normalized with parameters reflecting the search strategy

E-value: statistic significance of hit; describes roughly how often a hit with a given score can be
expected to occur randomly with the applied search strategy

with short queries no low E-values can be expected!!

Output

Distribution of 50 Blast Hits on the Query Sequence



BLAST output

Number of detected hits (= similar sequences in the database)

Query sequence (=Input)

Regions where subject sequences (from the database) are similar (color code for alignment scores)

Page continues

Output

```
❑ >gi|12619777|gb|AAG60538.1| ORF1 [Rice tungro bacilliform virus]
    Length = 77
```

```
Score = 107 bits (268), Expect = 8e-23
Identities = 65/77 (84%), Positives = 67/77 (87%), Gaps = 6/77 (7%)
```

```
Query: 1 VPKRDLISQNIESRYEKLEFLDLAVWGKEKKQKYLSTDNISFYCYFD-----TSKTSE 54
          VPKR+L SQNIESRYEKLEFLDLAVWGKEKKQKY LSTDNISFYCYFD SKTS
Sbjct: 1 VPKRNLTQNIESRYEKLEFLDLAVWGKEKKQKYCLSTDNISFYCYFDNSTITSNMKTSA 60

Query: 55 SERKHTFHSDMKQLNSI 71
          +ERKHTFHSDMKQLNSI
Sbjct: 61 AERKHTFHSDMKQLNSI 77
```

Actual sequence alignment:

Protein sequence in single letter code of query sequence (with gaps) and of detected similar sequence

Identities between the two sequences or similarities with a positive alignment score (+)

```
❑ >gi|11275515|dbj|BAB18280.1| putative transcription factor [Oryza sativa (japonica
    cultivar-group)]
gi|12328532|dbj|BAB21190.1| putative transcription factor [Oryza sativa (japonica
    cultivar-group)]
    Length = 560
```

```
Score = 40.4 bits (93), Expect = 0.019
Identities = 41/149 (27%), Positives = 71/149 (47%), Gaps = 16/149 (10%)
```

```
Query: 56 ERKHTFHSDMKQLNSIVDLIIKHSEK----TKNIKEELEKYSQFLDKILDLPKTKKQVEK 111
          +R+ + N+++ + DL ++HS++ K ++ +LE Q LD K+++K
Sbjct: 217 QREQLQAYNEEIRKMQDLALRHSQRIMDENKKLRSDLESKMQLLDS-----RSKELDK 270

Query: 112 LLENQNLIKSNFDYIKEQMTQLEKSLRKTV---KLEDSINTLLVEIQARPEVELRTL 167
          L N N + KE+N K L+ K ++S+ L+ E R K+ L +
Sbjct: 271 LAVQSNSDRMNLEKEKEKMDIKTKHLKMATLEQQKADESVLKLVVE--HKREKQAALDKI 328

Query: 168 KIAEQNSKAIEKFEQEIKDLREILEFLKH 196
          EQ A +K E EI+ L+ LE +KH
Sbjct: 329 LKLEQLNAKQKLELEIQQLQGGKLEVMKH 357
```

Next hit (represents in this case two identical database entries) Information about the quality

Scores and E values like here are

probably not indicative for a significant homology (unless they come from short, very good alignment regions)

Output interpretation

Data usage

not all protein sequences will yield helpful results not

all “significant” matches will be found

not all found matches must be “significant”

relevant matches:

Prediction of protein function

Definition of functionally significant sequence motives in proteins or nucleic acids

Determination of phylogenetic relationships (multiple alignments, tree construction)

Structure prediction

Acquisition of complete sequences from partial sequence information (EST, peptide, etc.) Guide

to intelligent mutagenesis for functional analyses

Assembly of complete genomes from partial sequences

References

substitution matrices

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Henikoff, S. and Henikoff, J.G. (1992) Amino acid substitution matrices from protein blocks. *Proceedings of the National Academy of Sciences U.S.A.* **89**, 10915–10919.

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alignment programs

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Altschul, S.F., Madden, T.L., Schaffer, A.A., Zhang, J., Zhang, Z., Miller, W., and Lipman, D.J. (1997) Gapped BLAST and PSI-BLAST: a new generation of protein database search programs. *Nucleic Acids Research* **25**, 3389–3402.

Needleman, S.B. and Wunsch, C.D. (1970) A general method applicable to the search for similarities in the amino acid sequence of two proteins. *Journal of Molecular Biology* **48**, 443–453.

Pearson, W.B. (1998) Empirical statistical estimates for sequence similarity searches. *Journal of Molecular Biology* **276**, 71–84.

Pearson, W.R. and Lipman, D.J. (1988) Improved tools for biological sequence analysis. *Proceedings of the National Academy of Sciences U.S.A.* **85**, 2444–2448.

Smith, T.F. and Waterman, M.S. (1981) Comparison of bio-sequences. *Advances in Applied Mathematics* **2**, 482–489.

Wilbur, W.J. and Lipman, D.J. (1983) Rapid Similarity Searches of Nucleic Acid and Protein Data Banks. *Proceedings of the National Academy of Sciences U.S.A.* **80**, 726–730.

http://en.wikipedia.org/wiki/Sequence_alignment_software

[Bonus Material: Multiple Sequence Alignment]

Motivations for sequence alignment

1) to identify and check the state of “active sites”

```

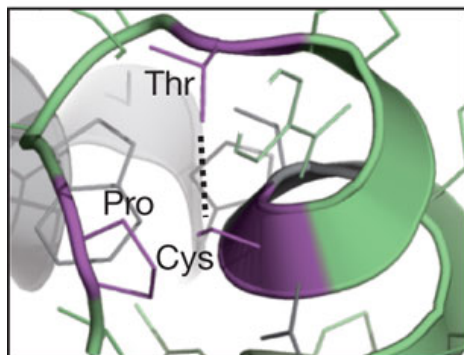
Ot  QDIKLS DYRG -- KYVVLFFYP LDFTFVCPTE ITAFS DRYEEFAKL NTEVVLGVS V
Se  QTIKLS NYRG -- KYVVLFFYP LDFTFVCPTE ITAFS DRYADFSAL NTEILGVS V
At  IKVKLS DYNGK - KYVILFFYP LDFTFVCPTE ITAFS DRHSEFEKL NTEVVLGVS V
Hs  KEVKLS DYKG -- KYVVLFFYP LDFTFVCPTE IIAFS NRAEDFRKL GCEVLGVS V
Mm  KEIKLS DYRG -- KYVVLFFYP LDFTFVCPTE IIAFS DHAEDFRKL GCEVLGVS V
Ce  VDVSLS DYKG -- KYVVLFFYP LDFTFVCPTE IIAFS DRAEEFKA INTVLLA AST
Se  DEVSLDKYKG -- KYVVLAFIPLAFTFVCPTE IIAFS EAARKFEEQGAQVLF AST
Dm  KDIKLS DYKG -- KYLVLFFYP LDFTFVCPTE IIAFS ESAAEFRK INCEVIGCST
Nc  -PIDFHEFIGD -NWVILF SHPEDYTPVCTTEL GEMARLEPEFKKRGVKLIGLSA
Has TRLGLTDALADNRAVVLFFYPDFSPVCATELCAIQNARWFDCTPGLAVWGISP
  
```

b

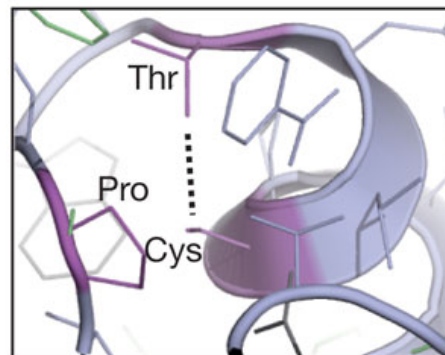
Generic
(PRX-V)

Human
(PRDX2)

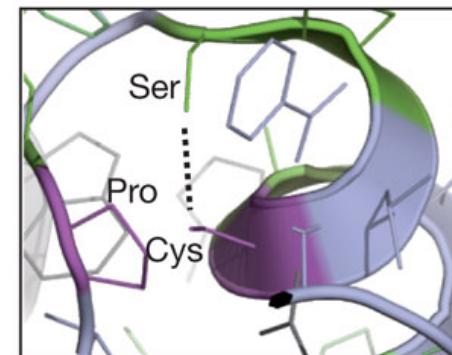
Archaea
(HyrA)



PGAFTPGCSKTH



PLDFTFVCPTEI

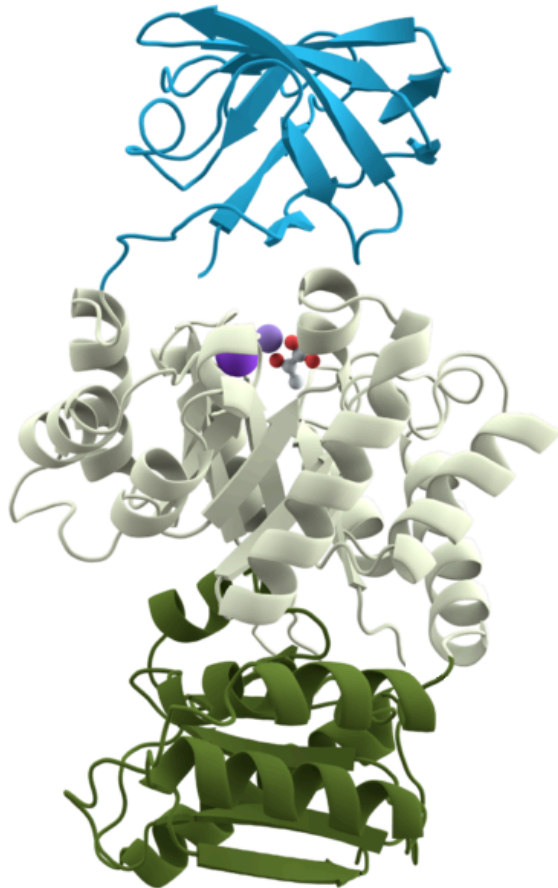


PDFDFSPVCATEL

From: Peroxiredoxins are conserved markers of circadian rhythms. Nature 485, 459–464 (24 May 2012)

Motivations for sequence alignment

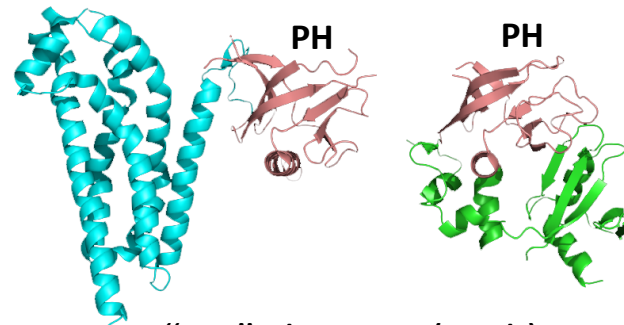
2) to identify and characterize “protein domains”



Pyruvate Kinase

definition:

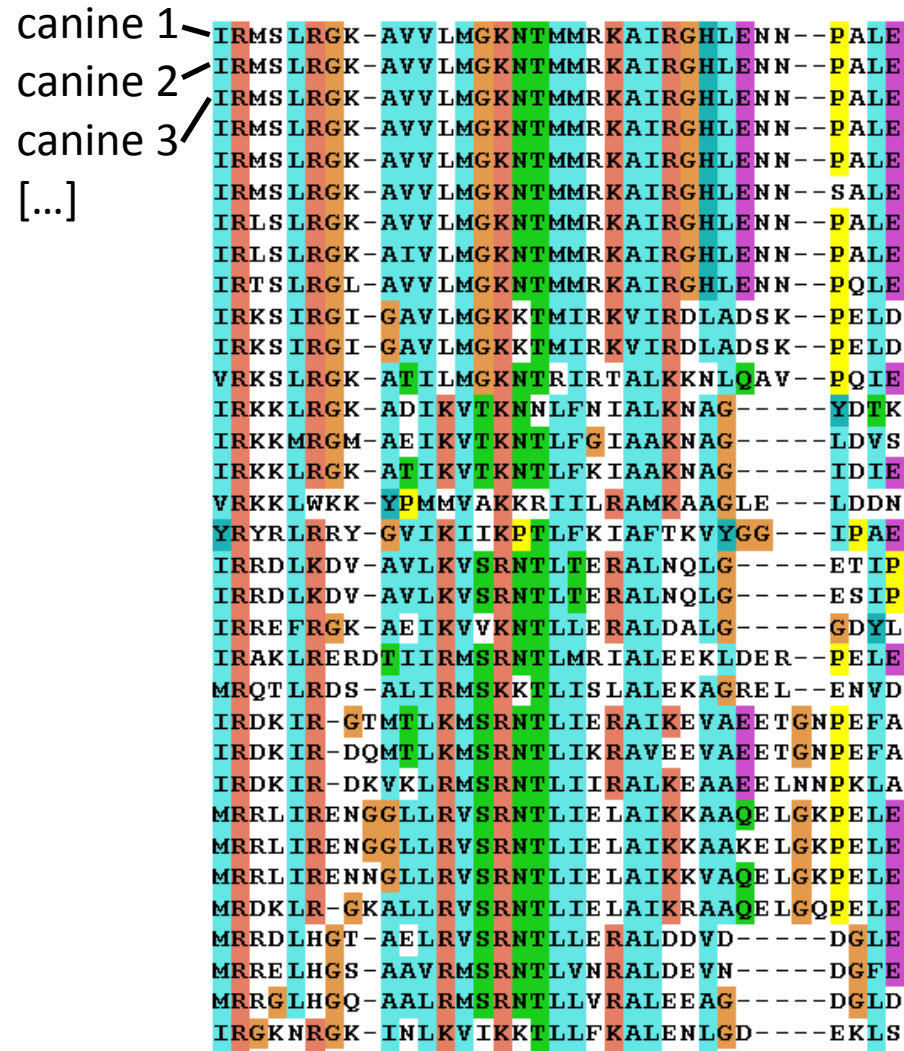
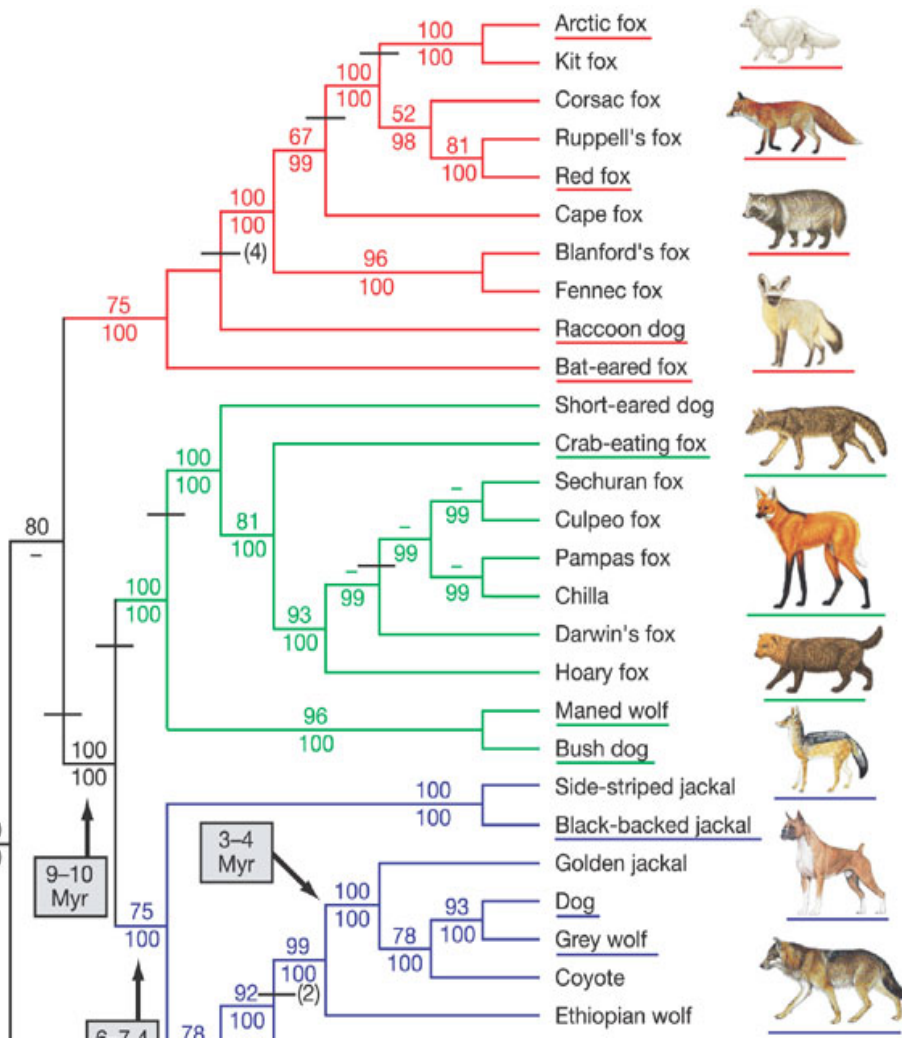
“parts of proteins that can evolve, function, and exist independently of the rest”



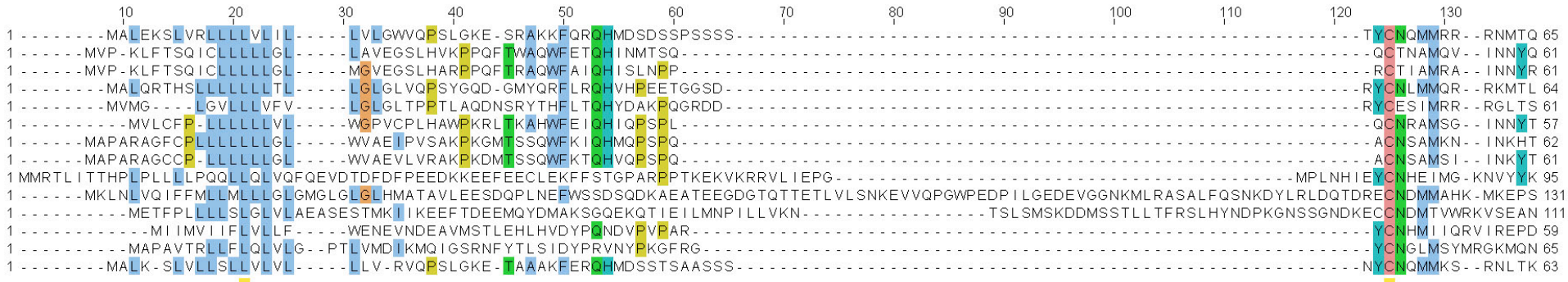
“PH”-domain (pink);
occurring in two different proteins

Motivations for sequence alignment

3) to make phylogenetic inferences (“trees”)



Multiple Alignment



Combinatorial Explosion: very many possible solutions

Complexity: $O(\text{alignment_length}^{\text{number_seqs}})$

=> an NP-complete problem !!

Multiple Alignment

TCOFFEE

PROBCONS

MUSCLE



SATé



MAFFT



PRANK

DIALIGN-TX

Quality of MSA: Benchmarking



Structural Alignments
offer the best
benchmarks !

“BAliBASE”:

Benchmark Alignment Database

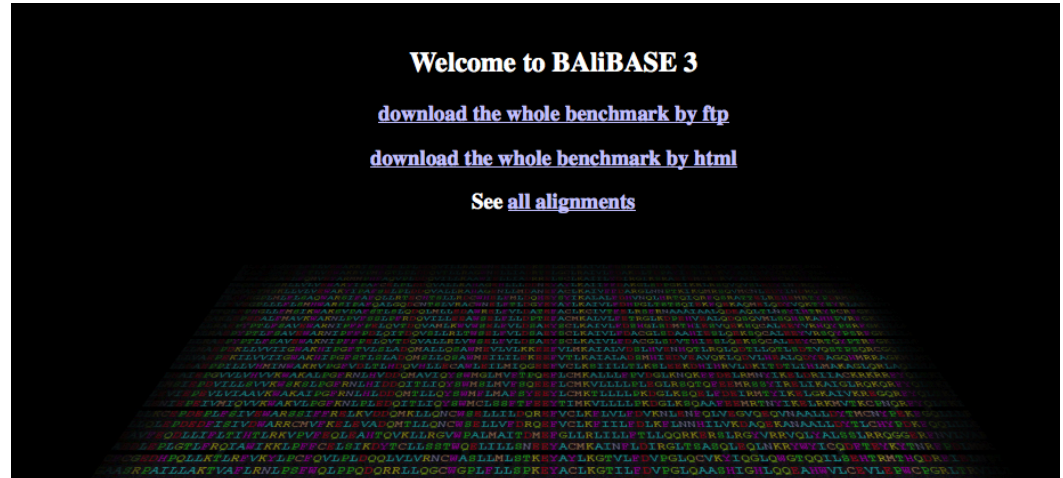
Hand-made multiple sequence alignments
Based on selected structural alignments

Welcome to BAliBASE 3

[download the whole benchmark by ftp](#)

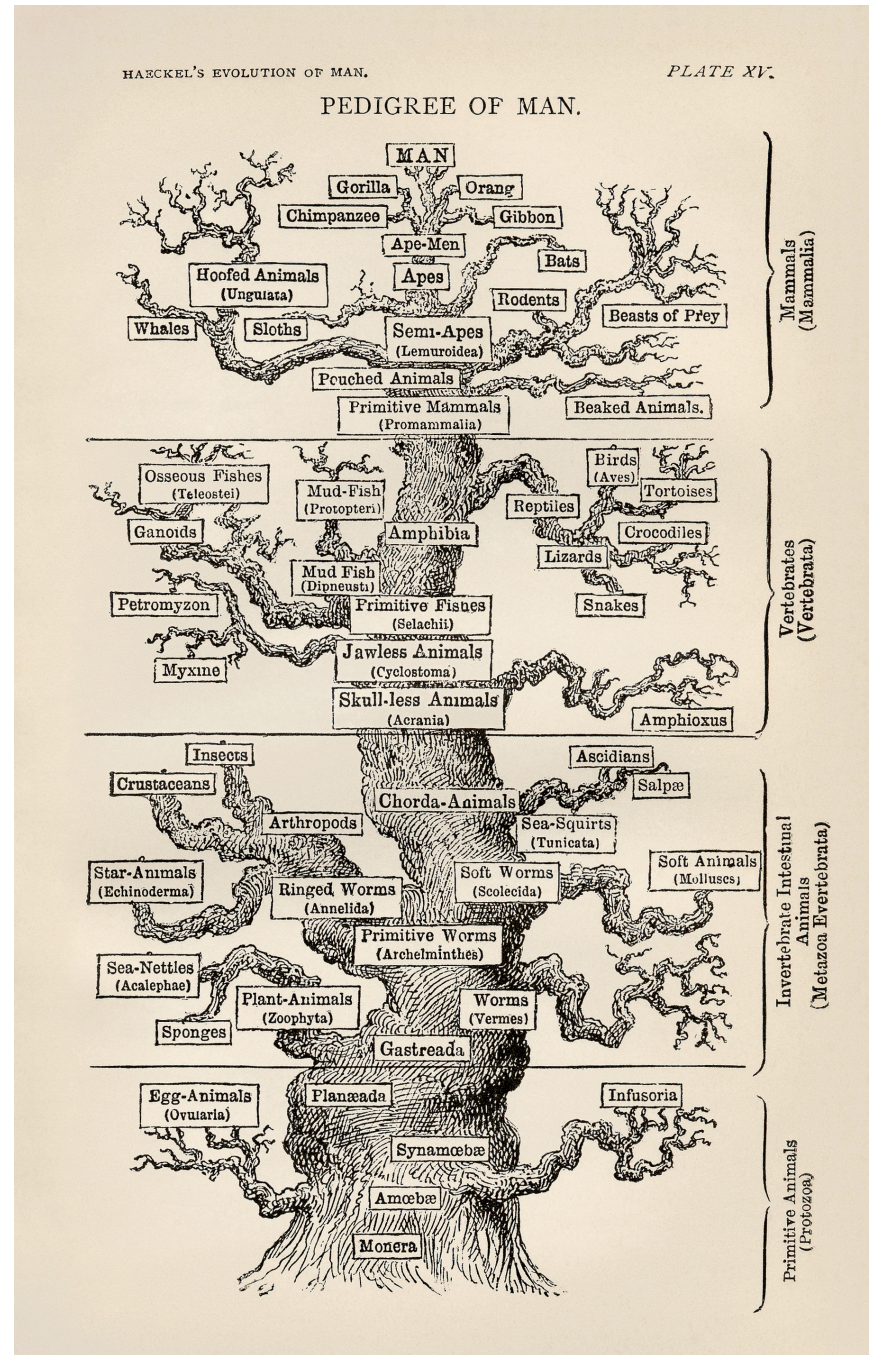
[download the whole benchmark by http](#)

[See all alignments](#)

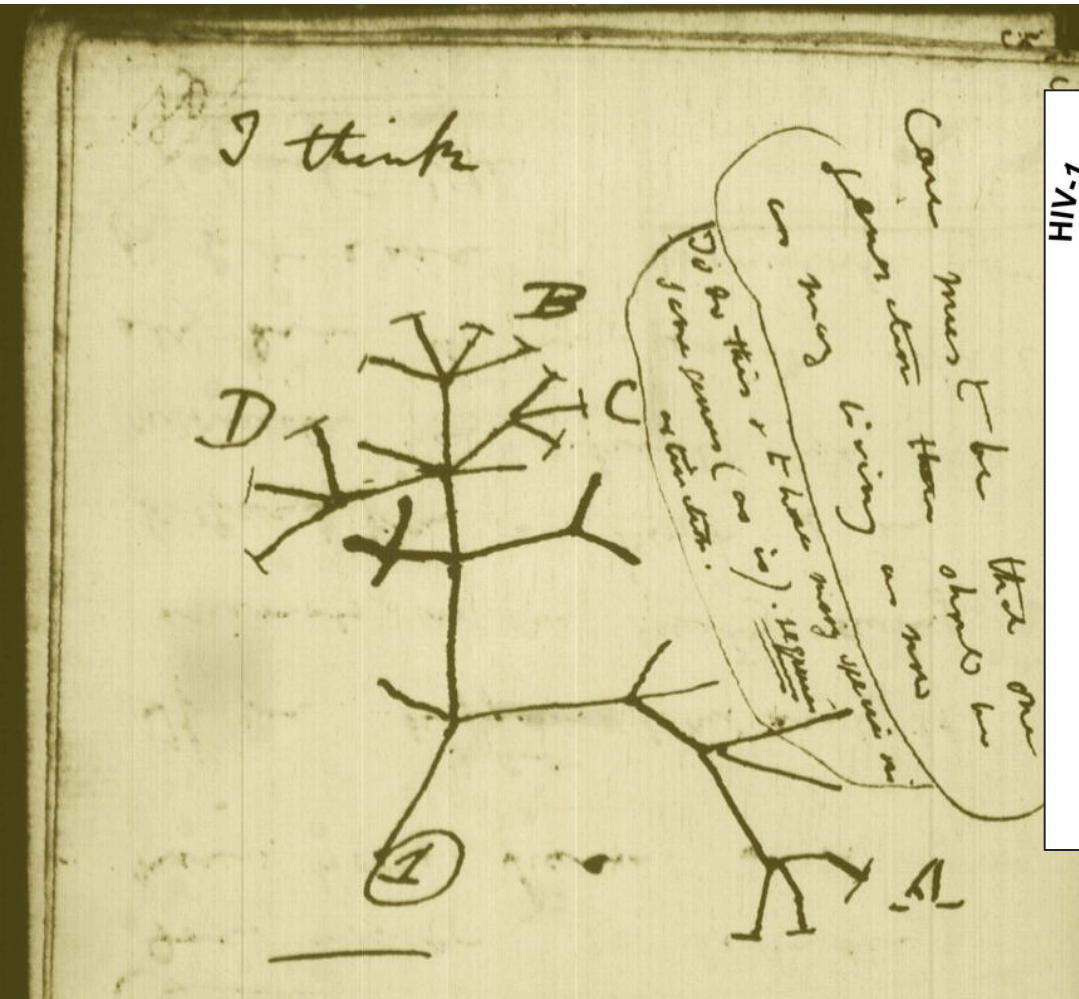


Phylogeny Reconstruction

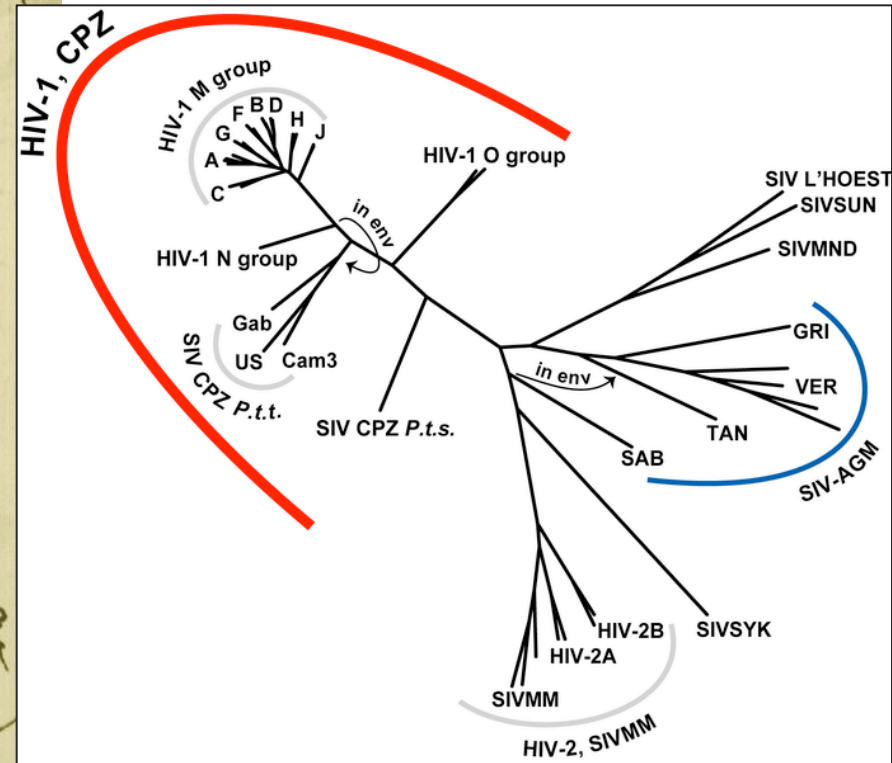
- a quick overview -



Some iconic phylogenetic trees

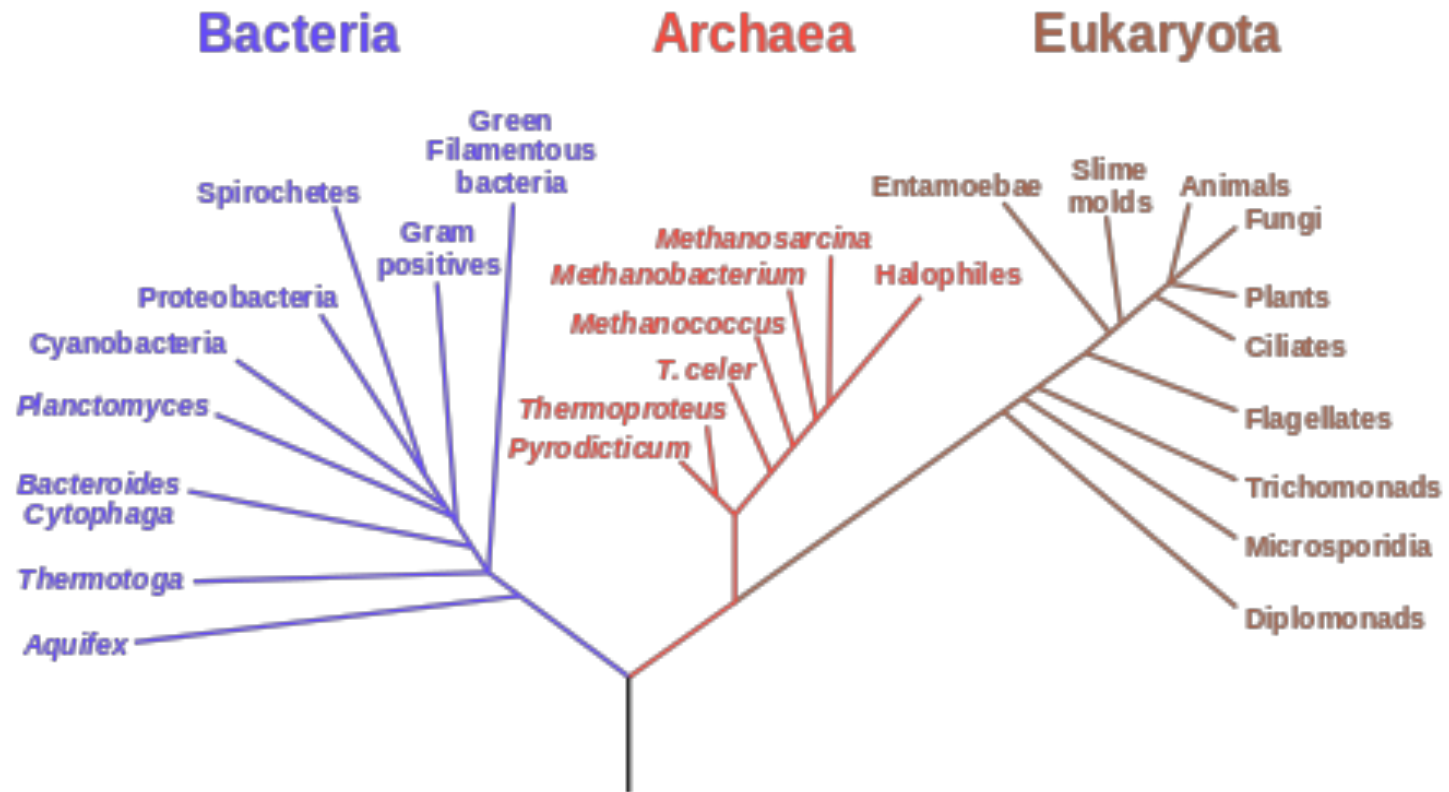


Charles Darwin, *personal notebook*



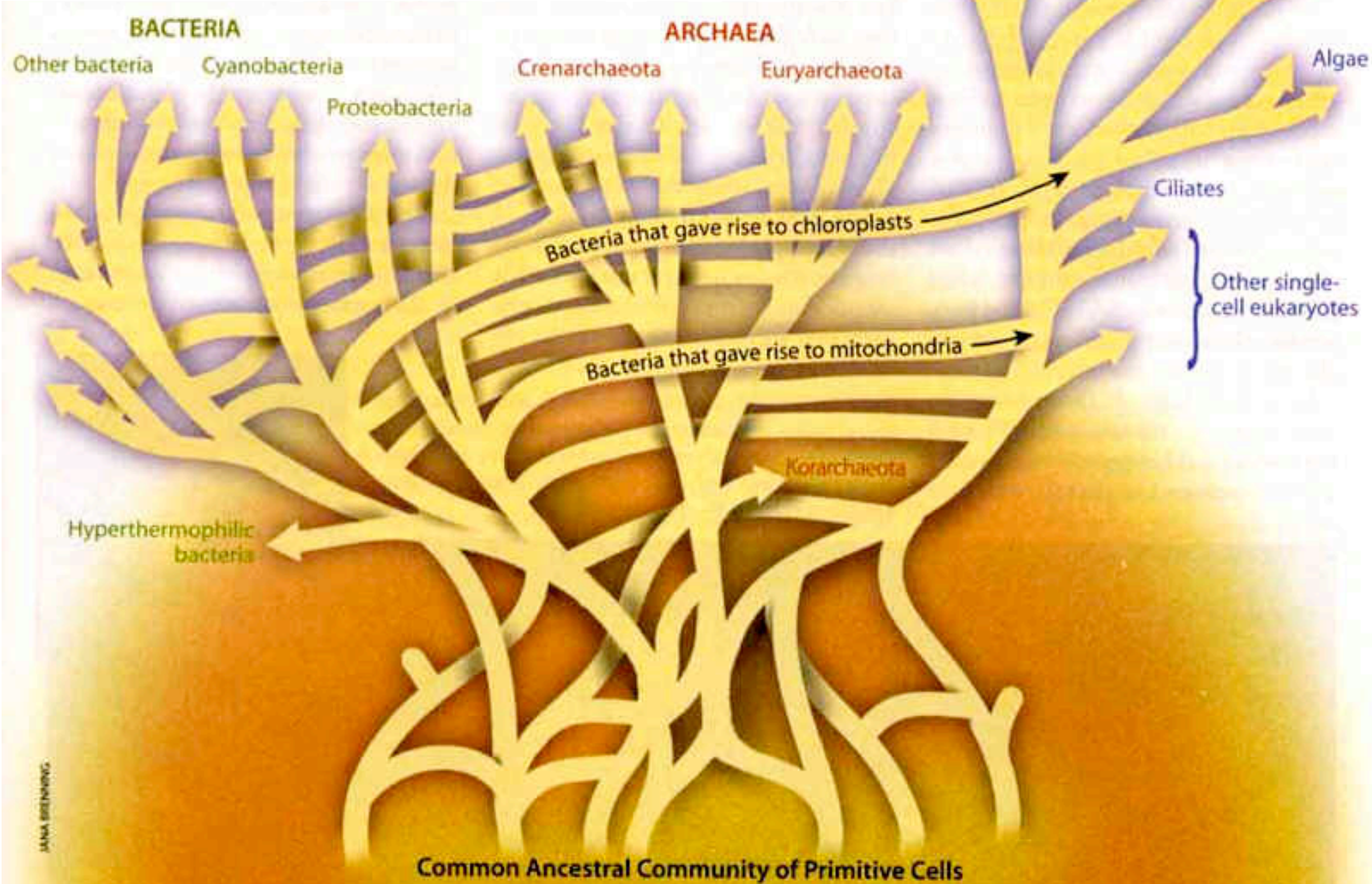
HIV (AIDS),
and closely related
animal viruses.

Some iconic phylogenetic trees



current, conventional version of the 'tree of life'



an alternative view,
emphasizing some problems



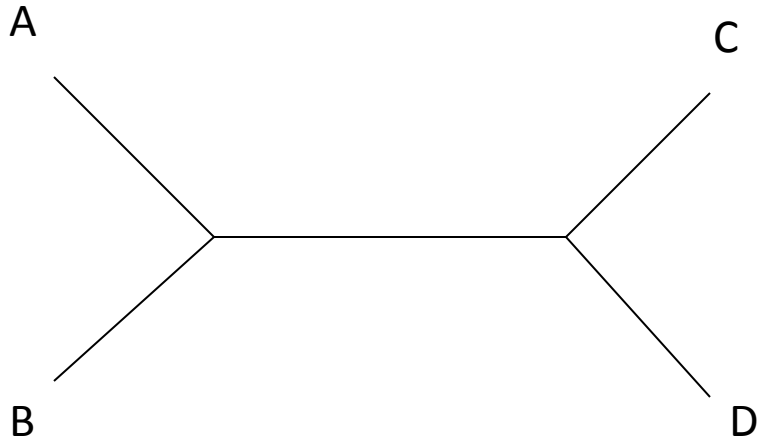
JANA BERTHOLD

Generating phylogenetic trees

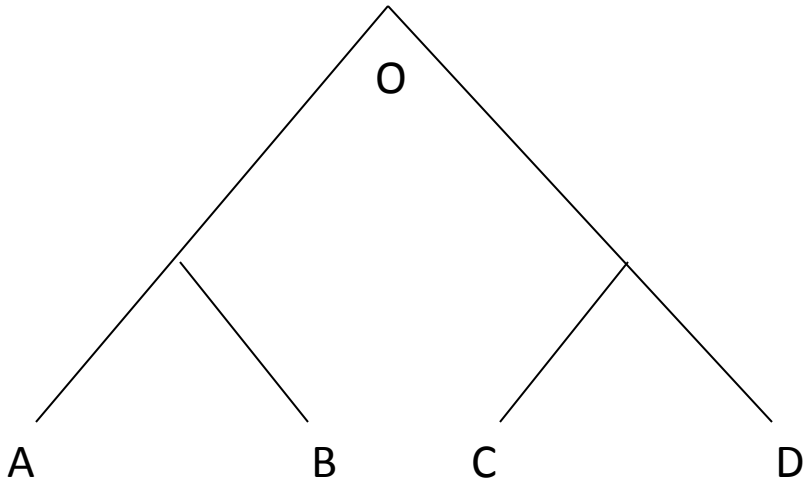
- from gene/protein sequences -

- Phenetic: trees are constructed based on observed characteristics directly, not on evolutionary history  Distance methods
- Cladistic: trees are constructed based on fitting observed characteristics to some model of evolutionary history  Parsimony and Maximum Likelihood methods

Unrooted tree



Rooted Tree



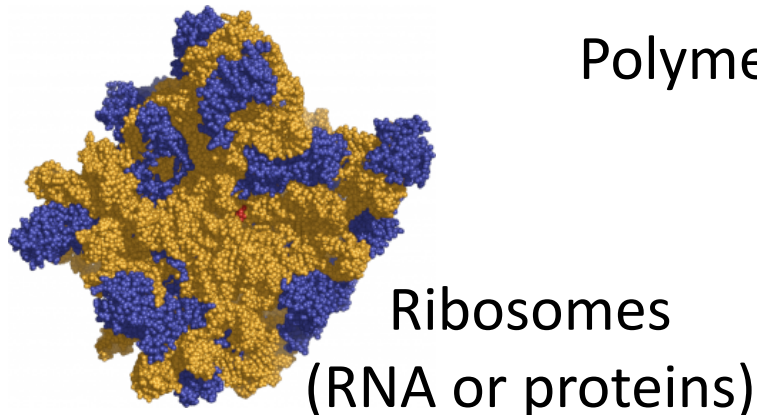
Number of topologies for m taxa

M	Rooted tree $(2m-3)! / 2^{m-2}(m-2)!$	UnRooted Tree $(2m-5)! / 2^{m-3}(m-3)!$
2.	1	1
3	3	1
4	15	3
5	105	15
6	945	105
7	10395	945
8	135135	10395
9	2027025	135135
10	34459425	2027025

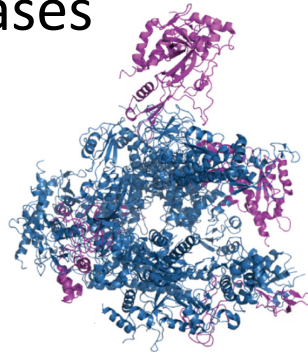
Which genes to use ?

suitable marker genes ...

- ... should occur in every organism
- ... should rarely undergo horizontal transfer
- ... should be evolving 'slowly'
- ... should only occur in one copy per genome
- ... should function in a process that sees no change



Polymerases



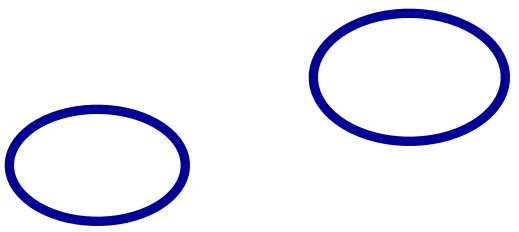
But, for recent events:
fast-evolving genes

Example for a phenetic technique: Neighbor Joining

1) Alignment

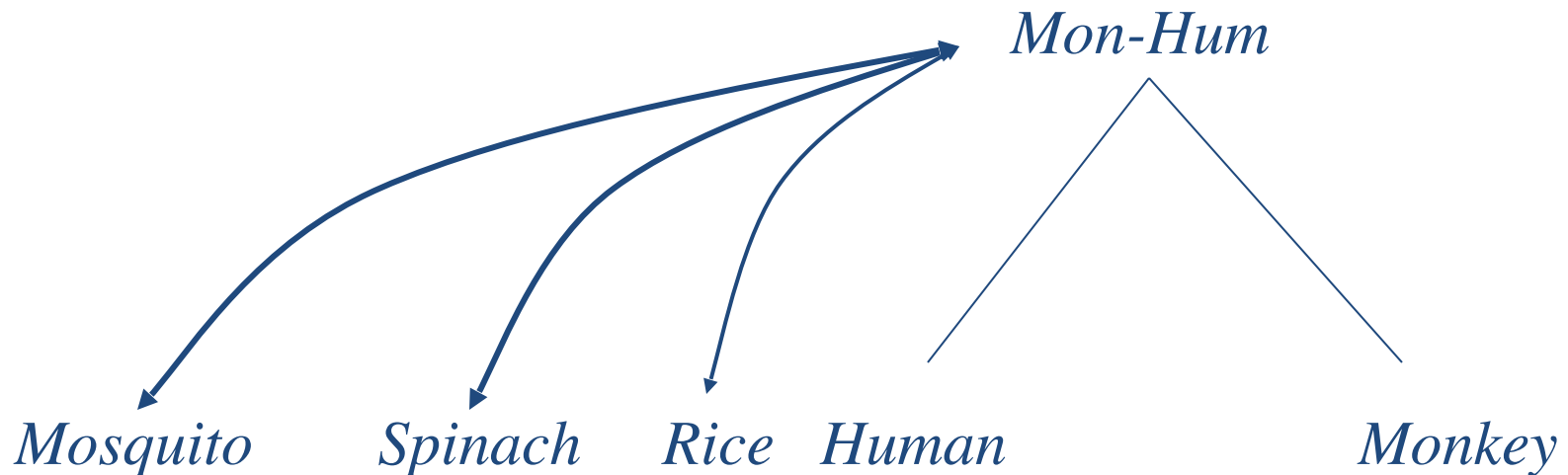
```
FMSLDEVIIVNSGDLILEAFVCMKDNKIGGVPVVEGPNKKLVGVSIRDRIRFLLRPDLF-SNFRQLTVMEFMKTIIGS--(15)-GSPDASLGSVIDSIASRITHRYVVDGDGVVTLRDVISDFI
MIRFSRLVKVRHDEPALKAFRLMRKRGGVGFVVDHAG-KPTGSIIMIKD-VKHLLASDAN-RDYRTLTAQEFIANARQ--(10)-CKKEESIKEIFKLDAEKRIYVVDDEGLITLRDIIAKLV
LMKCKLVKVNEDOPVLKAFRLMRKRGVGLFVMDTSGTKAIGNISIRDRVQYLLTAPNIY-KDYRTLAKDFLTAVRQ--(17)-CRRDVEVKDIILKLDSEKTHRYVIDDKGVITLRDIISKLV
KASNRQLRTRSRSTPLNSCLDLLLEDVSSIPVDDNG-ALLDVYSLSD----IMALGKN-DVYTRIELEQVIVNEHAL--(14)-CLSTSTFLEVLEQLAPGVRVVVIEPROIISLRDAFTFLI
KASNRQLRTRSRSTPLNSCLDLLLEDVSSIPVDDNG-ALLDVYSLSD----IMALGKN-DVYTRIELEQVIVNEHAL--(14)-CLSTSTFLEVLEQLAPGVRVVVIEPROIISLRDAFTFLI
KASNRQLRTRSRSTPLNSCLDLLLEDVSSIPVDDNG-ALLDVYSLSD----IMALGKN-DVYTRIELEQVIVNEHAL--(14)-CLSTSTFLEVLEQLAPGVRVVVIEPROIISLRDAFTFLI
VPSKIAVLDARLPVKQAFIMHDEGLSLVPLWDDQQQTVTGMLTASDFVILLRKLQRNIRTLGHEELEMHSVSAWKEA--(20)-VKSDNLRDVALAIRNEISSVPIFKRSGLATLPGIVKFC
TVGKPEVVELHDTDLDAARAIAAASPEGAVPVWPPSGARFLGMIASLD--IATFVAASGVDRAMAAVGEVQVQNPGL--(03)-VDPGTRLIDALDMKQG-VKRFVVRKNGAWRGIKRFVSVLY
IMSKDHIIKIIYEDERVLQAFRLMRKRGVGFVVDHAG-KPTGSIIMIKD-VKHLLASDAN-RDYRTLTAQEFIANARQ--(18)-CTKNHTLKEILMLDAEKIHRIVVDDFGLITLRDIARLV
FMSNEVISEEELILEAFVCMKDNKIGGVPVVEGPNKKLVGVSIRDRIRYLLLOPEMF-SNFRQLTVKSFATKIAT--(10)-CRPDTLGSVINSLASRSVHRVYVAAAGDGVITLRDVISCFV
ESSKPLALTRRHASLGSALALLVQAIVSSIPVDDNG-SLIDIVSRSD--ITA-LAKD--KAYAQLHLDMMVHOAL--(20)-CLRSDSLVKVMEFLANPGVRLVIVEAGGIISLSDVDFLL
GAVNDSVYAITERTVSNAINVMKCALNAVPIVDIAQEDHLQLVNRRHRKVIQTFSATDL-KGCRLPELQTWLPLTAL--(20)-CGVESTMEEAIEKVVTRGVHRVWVMDQQGVVSLTDIIRSLR
RLRLSKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--ITTRVIAREL-KLEETPVSKVMTRNPLF--(00)-VLSDTLAVEALKMVGKFRHLPVVENGEVIALDIAKCLY
KRLRLKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--IAKRVIAEGL-RVEQITISKIMTRTPVY--(00)-VMSDTLAI EALKMVGKFRHLPVVENGEVIALDIAKCLY
KRLRLKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--ISGRVIAEGL-RPDEINAKAMTRNPFV--(00)-VMSNSPAIEALKMVGKFRHLPVVENGEVIALDIAKCLY
KRLRLKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--VATRVVAEGL-RVEQITISKIMTRNPTI--(00)-AMSDTLAI EALKMVGKFRHLPVVENGEVIALDIAKCLY
RLRLSKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--IATRVVIAEGL-NVEETPVSKVMTRNPMF--(00)-VLSDTLAVEALKMVGKFRHLPVVENGEVIALDIAKCLY
KRLRLKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--IATRVVIAEGL-RPDEINAKAMTRNPFV--(00)-VMSNSPAIEALKMVGKFRHLPVVENGEVIALDIAKCLY
KRLRLKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--IATRVVIAEGL-RPDEINAKAMTRNPFV--(00)-VMSNSPAIEALKMVGKFRHLPVVENGEVIALDIAKCLY
RLRLCKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--IATRVVIAEGL-NLEETPVSKVMTRNPFV--(00)-VLSDTLAVEALKMVGKFRHLPVVENGEVIALDIAKCLY
RLRLCKALIPDHTVYEACRMAARVDAVLLTDSA-LLCGILDKD--IATRVVIAEGL-NLEETPVSKVMTRNPFV--(00)-VMSNSPAIEALKMVGKFRHLPVVENGEVIALDIAKCLY
```

2) Distance matrix



First Step

PAM distance 3.3 (Human - Monkey) is the minimum. So we'll join Human and Monkey to MonHum and we'll calculate the new distances.



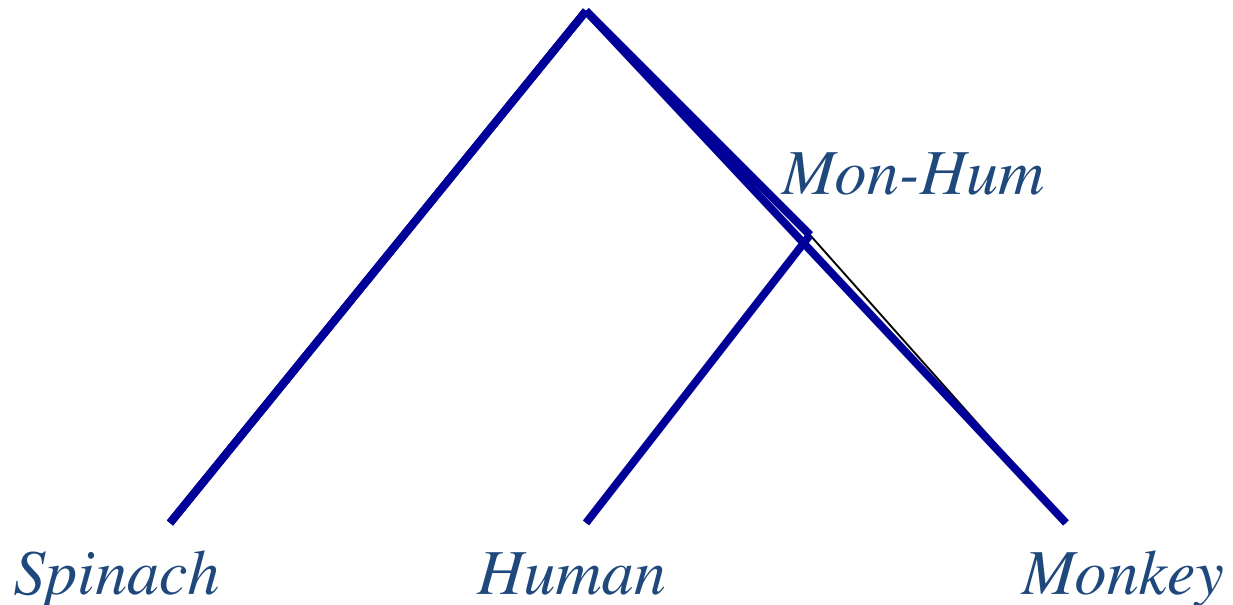
Calculation of new distances

After we have joined two species in a subtree we have to compute the distances from every other node to the new subtree. We do this with a simple average of distances:

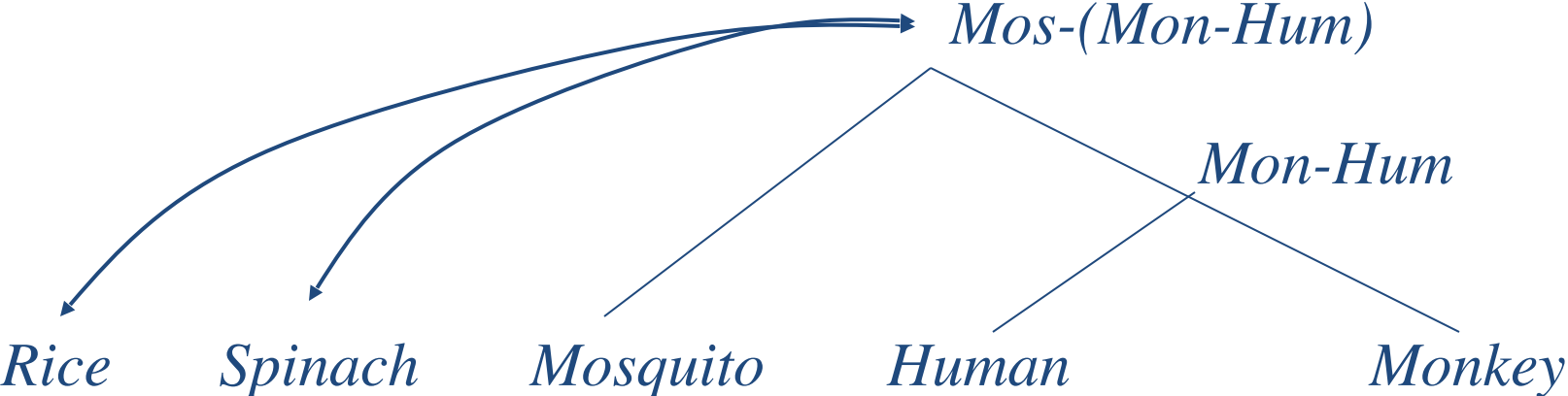
$Dist[Spinach, MonHum]$

$$= (Dist[Spinach, Monkey] + Dist[Spinach, Human])/2$$

$$= (90.8 + 86.3)/2 = 88.55$$

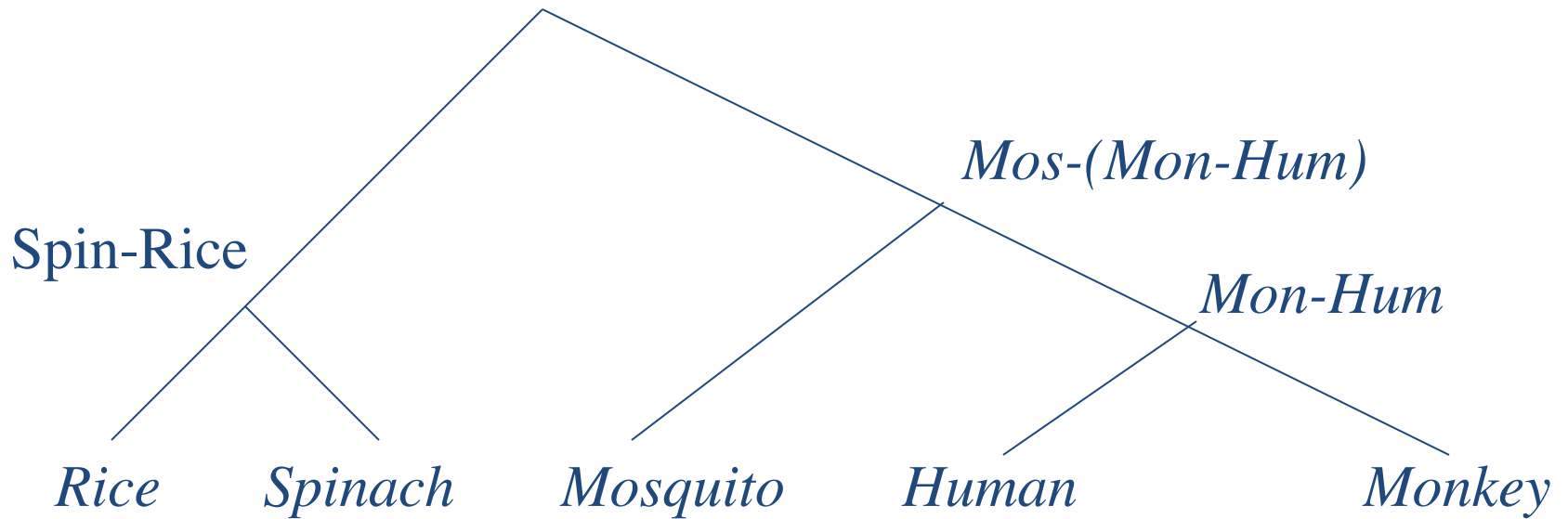


Next cycle



Last joining

(Spin-Rice)-(Mos-(Mon-Hum))



Example for a cladistic technique: Maximum Likelihood

- The likelihood is the probability of the data given the model
- The probability of observing the data under the assumed model will change depending on the parameter values of the model.
- The aim of maximum likelihood is to choose the value of the parameter that maximizes the probability of finding the data.

What is an evolutionary model in this context ?

“an empirical matrix describing the relative rates of amino acid replacements”

Dayhoff matrix (Dayhoff et al., 1978)

JTT matrix (Jones et al., 1992)

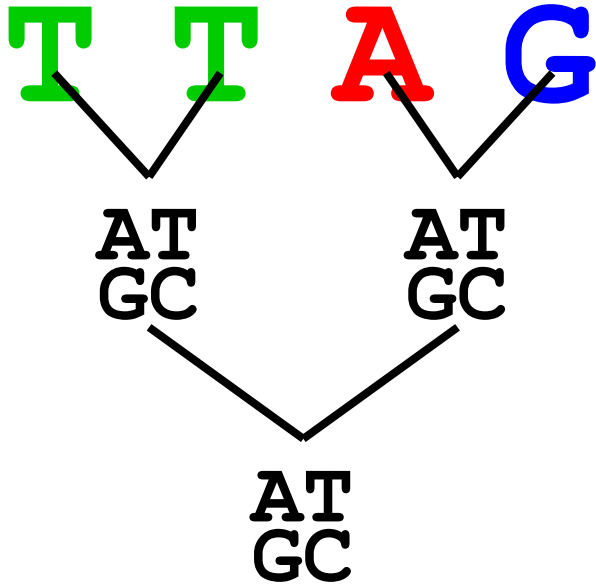
mtREV matrix (Adachi and Hasegawa, 1996)

WAG matrix (Whelan and Goldman, 2001).

Typically, the model has additional free ‘parameters’:

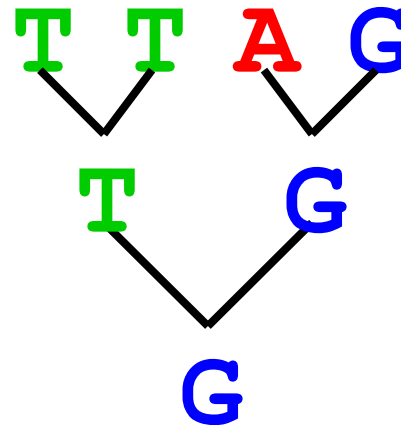
- The rate of evolution can vary across parts of the tree
- The rate of evolution can vary from site to site in the protein

How is maximum likelihood computed ?



1) Image all ancestral possibilities and evolutionary paths.

2) Compute the likelihood of each path



$$L(\text{path}) = L(\text{root}) \times \prod L(\text{branches})$$

$$= P(G \rightarrow T) P(G \rightarrow G) P(G \rightarrow A) P(G \rightarrow G) [\dots]$$

3) multiply all likelihoods over all possible paths

4) throughout, do not forget to optimize all free parameters

5) Repeat for each tree topology, identify the one with best Likelihood

How do we verify a tree?

Difficult ! Very few trees are actually known with certainty

a) **Simulation**

b) **Bootstrapping**

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16
A T A G C C A T A G C A A C C T
A T A C C C A T G A C A A C G A
A T A C C C A T A G C A A C C A
A T A G C C A T A G C A A C G A
A T C C C C A T A G C A A C C T

The real multiple alignment

2 7 4 9 11 4 16 5

T A G A C G T C
T A C G C C A C
T A C A C C A C
T A G A C G A C
T A C A C C T C

New alignment

