

# Outline:

Last time: inductive types - identity type } §3-4  
Rijke

This time: identity type } §5

→ homotopy

# Why do we need the identity type?

(If we're not interested in homotopy.)

We already have a notion of equality:

judgmental equality  $\doteq$

(The identity type is called propositional equality  $=$ .)

Logical interpretation: propositions are types / proofs are terms.

To prove an equality (and be consistent with the logical interpretation)  
we want to produce a term of a type of equalities.

Why do we need the identity type actually?

We can prove many judgmental equalities using computation rules...

Ex.  $\text{add}(x, 0) \doteq x$   
 $\text{add}(x, sy) \doteq s \text{ add}(x, y)$

Why do we need the identity type actually?

We can prove many judgmental equalities using computation rules...

Ex.  $\text{add}(x, 0) \doteq x$   
 $\text{add}(x, sy) \doteq s \text{ add}(x, y)$

... but not all the equalities we want!

Ex. One cannot prove  $\text{add}(0, x) \doteq x$ .

To prove this, we need to induct on  $n$  (i.e. use  $\mathbb{N}$ -elimination), but this only allows us to construct a term of a type.

We will be able to prove  $\text{add}(0, x) = x$ .

$\mathbb{N}$ -elim (roughly):

$n: \mathbb{N} \vdash D(n)$  type

$\vdots$

$n: \mathbb{N} \vdash \text{ind}_{\mathbb{N}}(n): D(n)$



# Type constructors often internalize structure

- At a 'meta' level, we can talk about contexts:

$$\underline{\text{Ex.}} \quad x:A, y:B(x), z:C(x,y) \vdash$$

We can discuss this at the 'type-and-term' level by using  $\Sigma$ -types:

$$\underline{\text{Ex.}} \quad \alpha : \sum_{x:A} \sum_{y:B(x)} C(x,y)$$

# Type constructors often internalize structure

- At a 'meta' level, we can talk about dependent terms as functions:

$$\underline{\text{Ex.}} \quad x:A, y:B(x) \vdash c(x,y) : C(x,y)$$

We can discuss this at the 'type-and-term' level by using  $\Pi$ -types:

$$\underline{\text{Ex.}} \quad c : \prod_{x:A} \prod_{y:B(x)} C(x,y)$$

# Type constructors often internalize structure

- $\text{bool}$
- $\mathbb{N}$
- $\emptyset$
- $\mathbb{1}$

can also be seen as internalizing external versions.

- The universe type (next lecture) internalizes the judgment of the form

$A$  type

- We'll see how the identity type internalizes judgmental equality...

Identity type =

= - form

$$\frac{A \text{ type } a:A \ b:A}{a =_A b \text{ type}}$$

= - intro

$$\frac{a:A}{r_a: a =_A a}$$

= - elim

$$\frac{\begin{array}{l} x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \\ x:A \vdash d: D(x, x, r_x) \end{array}}{x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}}$$

= - comp

$$\frac{\begin{array}{l} x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \\ x:A \vdash d: D(x, x, r_x) \end{array}}{x:A \vdash \text{ind}_=(d, x, x, r_x) \doteq d: D(x, x, r_x)}$$

# Identity type =

= - form

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash a : A \quad \Gamma \vdash b : A}{\Gamma \vdash a =_A b \text{ type}}$$

(I am omitting the extra context  $\Gamma$  everywhere for readability, but it's still there.)

= - intro

$$\frac{\Gamma \vdash a : A}{\Gamma \vdash r_a : a =_A a}$$

= - elim

$$\frac{\begin{array}{l} \Gamma, x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \\ \Gamma, x:A \vdash d : D(x, x, r_x) \end{array}}{\Gamma, x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z) : D(x, y, z) \text{ type}}$$

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Identity type = with based path induction

=<sup>b</sup>-form

$$\frac{A \text{ type } a:A}{x:A \vdash a =_A x \text{ type}}$$

=-form  $\frac{A \text{ type } a:A \quad b:A}{a =_A b \text{ type}}$

=-intro

$$\frac{a:A}{r_a: a =_A a}$$

=<sup>b</sup>-elim

$$\frac{\begin{array}{c} a:A \\ x:A, z: a =_A x \vdash D(x,z) \text{ type} \\ \vdash d: D(a, r_a) \end{array}}{x:A, z: a =_A x \vdash \text{ind}_=^b(d, x, z): D(x,z) \text{ type}}$$

=-elim  $\frac{\begin{array}{c} x:A, y:A, z: x =_A y \vdash D(x,y,z) \text{ type} \\ x:A \vdash d: D(x,x, r_x) \end{array}}{x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z): D(x,y,z) \text{ type}}$

=<sup>b</sup>-comp

$$\frac{\begin{array}{c} a:A \\ x:A, z: x =_A y \vdash D(x,z) \text{ type} \\ \vdash d: D(a, r_a) \end{array}}{\vdash \text{ind}_=(d, a, r_a) \doteq d: D(a, r_a)}$$

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## Type constructors often internalize structure

- At a 'meta' level, we can talk about judgmental equality:

Ex.  $a \doteq b : A$

We can discuss this at the 'type-and-term' level by using identity types:

Ex.  $r_a : a =_A a$

Note that the rules governing equality say that

if  $a \doteq b : A$ , then  $(a =_A a) \doteq (a =_A b)$ , and

if  $r_a : a =_A a$  and  $(a =_A a) \doteq (a =_A b)$ , then  $r_a : a =_A b$ .

→ Reflexivity ( $r_{\rightarrow}$ ) turns judgmental equalities into propositional equalities.



# Functoriality

Functions act on paths.

Prop. For any types  $A, B$ , any function  $f: A \rightarrow B$ , and any two terms  $a, a': A$ , there is a function

$$\text{ap}_f : a =_A a' \rightarrow fa =_B fa'$$

NB. Every proposition we make in type theory is really a type, but we often write some of the type in words for ease of understanding.

This proposition stands for

$$\prod_{A, B: \text{Type}} \prod_{f: A \rightarrow B} \prod_{a, a': A} a =_A a' \rightarrow fa =_B fa'.$$

Functionality:

$$\text{ap} : \prod_{f:A \rightarrow B} \prod_{a,a':A} a =_A a' \rightarrow fa =_B fa'$$

= - elim

$$\frac{x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \quad x:A \vdash d: D(x, x, r_x)}{x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}}$$

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$$? : \prod_{f:A \rightarrow B} \prod_{a,a':A} a =_A a' \rightarrow fa =_B fa'$$

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Functionality:  $ap: \prod_{f:A \rightarrow B} \prod_{a,a':A} a =_A a' \rightarrow fa =_B fa'$ .

$f: A \rightarrow B, a, a': A, p: a =_A a' \vdash \quad ? \quad : fa =_B fa'$

$? : \prod_{f:A \rightarrow B} \prod_{a,a':A} a =_A a' \rightarrow fa =_B fa'$

= - elim

$x:A, y:A, z: x =_A y \vdash D(x,y,z)$  type  
 $x:A \vdash d: D(x,x,r_x)$

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$f:A \rightarrow B, a \vdash ? : fa =_B fa$

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Functionality:  $\text{ap} : \prod_{f:A \rightarrow B} \prod_{a,a':A} a =_A a' \rightarrow fa =_B fa'$ .

$f:A \rightarrow B, a \vdash r_{fa} : fa =_B fa$

$f:A \rightarrow B, a, a':A, p: a =_A a' \vdash \quad ? \quad : fa =_B fa'$

$? : \prod_{f:A \rightarrow B} \prod_{a,a':A} a =_A a' \rightarrow fa =_B fa'$

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Example:  $\prod_{n:\mathbb{N}} \text{add}(0, n) = n$

Use:  $\text{add}(n, 0) \doteq n$

$\text{add}(n, sm) \doteq s \text{ add}(n, m)$

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---

$n:\mathbb{N} \vdash$

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---

?

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---

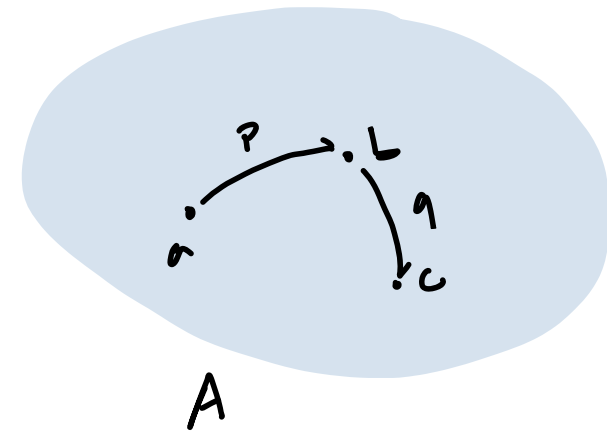
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---

$\lambda n. \text{ind}_{\mathbb{N}}(r_0, ap_s, n) : \prod_{n:\mathbb{N}} \text{add}(0, n) = n$

# The groupoidal behaviour of types

(The first homotopical phenomena)

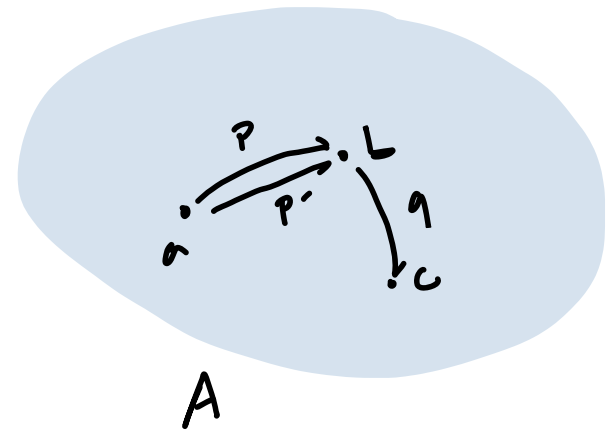


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# The groupoidal behaviour of types

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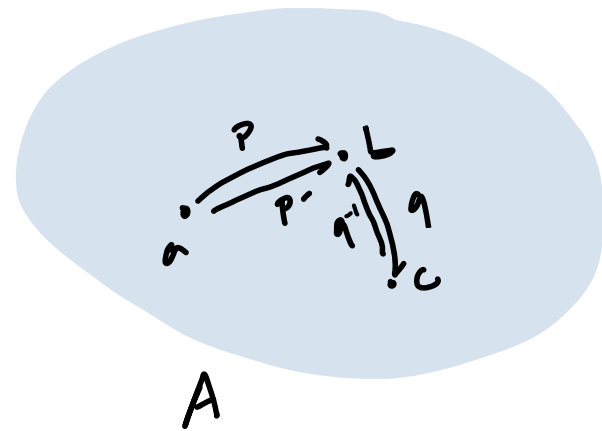
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- have multiple equalities of the same type (ex:  $p, p': a =_A b$ )

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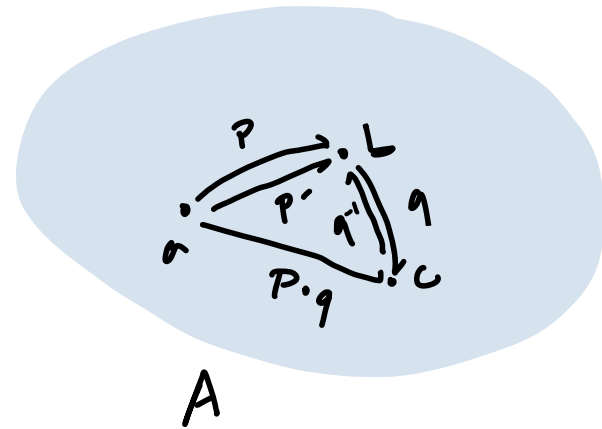
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We can:

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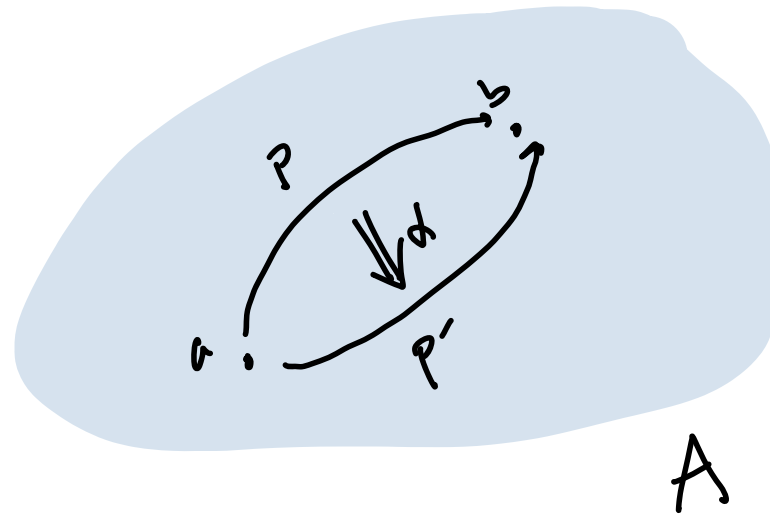
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- take composition of equalities (if  $p: a =_A b$  and  $q: b =_A c$ , then  $p \cdot q: a =_A c$ )

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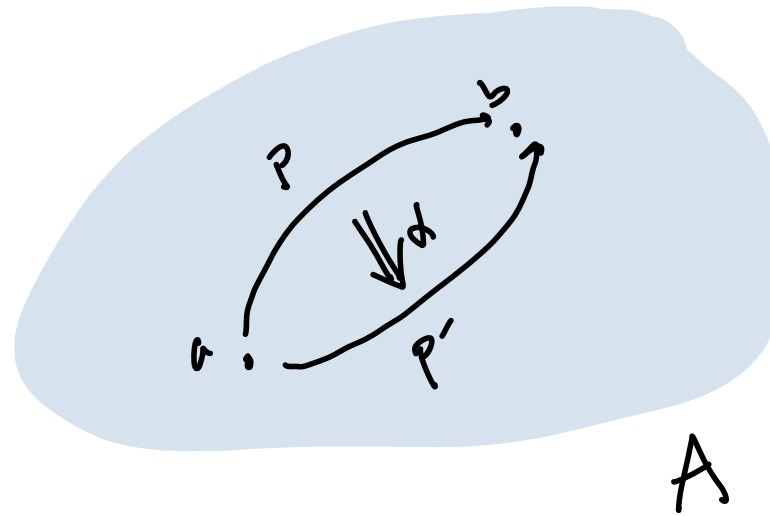
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This is how homotopies in spaces behave.

# The space interpretation

Thm. (Voevodsky) There is an interpretation of dependent type theory into Spaces (the category of Kan complexes) in which

types	$\leadsto$	spaces	or	Kan complexes
terms	$\leadsto$	points	or	0-cells
equalities	$\leadsto$	paths	or	0-cells of the path object
$\pi: \sum_{b:B} E(b) \rightarrow B$	$\leadsto$			Kan fibrations
$\prod_{b:B} E(b)$	$\leadsto$			sections of $\pi: \sum_{b:B} E(b) \rightarrow B$

Inverse of equalities:  $\prod_{a,b:A} a =_A b \rightarrow b =_A a.$

$$a:A \vdash r_a: a =_A a$$

$$a,b:A, p: a =_A b \vdash \text{ind}_=(r, a, b, p): b =_A a$$

$$\lambda a, b, p. \text{ind}_=(r, a, b, p): \prod_{a,b:A} a =_A b \rightarrow b =_A a.$$

= - elim

$$x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type}$$

$$x:A \vdash d: D(x, x, r_x)$$

$$x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}$$

Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

= - elim

$x:A, y:A, z: x =_A y \vdash D(x,y,z)$  type  
 $x:A \vdash d: D(x,x,r_x)$

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Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

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Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

$$\frac{a,b,c:A, p: a =_A b \quad ? : b =_A c \longrightarrow a =_A c}{? : \prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c}$$

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Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

$$\frac{c, a, b : A, p : a =_A b \quad ? : b =_A c \longrightarrow a =_A c}{a, b, c : A, p : a =_A b \quad ? : b =_A c \longrightarrow a =_A c}$$

$$? : \prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$$

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$$\frac{x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \quad x:A \vdash d: D(x, x, r_x)}{x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}}$$

$$x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}$$

Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

$$\begin{array}{c}
 \frac{c, a: A \vdash ? : a =_A c \longrightarrow a =_A c}{c, a, b: A, p: a =_A b \vdash ? : b =_A c \longrightarrow a =_A c} \\
 \frac{c, a, b: A, p: a =_A b \vdash ? : b =_A c \longrightarrow a =_A c}{a, b, c: A, p: a =_A b \vdash ? : b =_A c \longrightarrow a =_A c} \\
 ? : \prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c
 \end{array}$$

= - elim

$x:A, y:A, z: x =_A y \vdash D(x, y, z)$  type  
 $x:A \vdash d: D(x, x, r_x)$

$x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z)$  type

Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

$c, a : A \vdash \lambda x. x : a =_A c \longrightarrow a =_A c$

$c, a, b : A, p : a =_A b \vdash ? : b =_A c \longrightarrow a =_A c$

$a, b, c : A, p : a =_A b \vdash ? : b =_A c \longrightarrow a =_A c$

$? : \prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

= - elim

$x:A, y:A, z: x =_A y \vdash D(x,y,z)$  type

$x:A \vdash d: D(x,x,r_x)$

$x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z): D(x,y,z)$  type

Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

$$\frac{c, a: A \vdash \lambda x. x : a =_A c \longrightarrow a =_A c}{}$$

$$\frac{c, a, b: A, p: a =_A b \vdash \text{ind}_=(\lambda x. x, a, b, p) : b =_A c \longrightarrow a =_A c}{}$$

$$\frac{a, b, c: A, p: a =_A b \vdash \quad ? \quad : b =_A c \longrightarrow a =_A c}{}$$

$$? : \prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$$

= - elim

$$\frac{x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \quad x:A \vdash d: D(x, x, r_x)}{}$$

$$x:A, y:A, z: x =_A y \vdash \text{ind}_=(d, x, y, z) : D(x, y, z) \text{ type}$$

Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

$$\frac{c, a: A \vdash \lambda x. x : a =_A c \longrightarrow a =_A c}{}$$

$$\frac{c, a, b: A, p: a =_A b \vdash \text{ind} = (\lambda x. x, a, b, p) : b =_A c \longrightarrow a =_A c}{}$$

$$\frac{a, b, c: A, p: a =_A b \vdash \text{ind} = (\lambda x. x, a, b, p) : b =_A c \longrightarrow a =_A c}{}$$

$$? : \prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$$

= - elim

$$\frac{x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \quad x:A \vdash d: D(x, x, r_x)}{}$$

$$x:A, y:A, z: x =_A y \vdash \text{ind} = (d, x, y, z) : D(x, y, z) \text{ type}$$

Composition of equalities :  $\prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$

$$\frac{c, a : A \vdash \lambda x. x : a =_A c \longrightarrow a =_A c}{}$$

$$\frac{c, a, b : A, p : a =_A b \vdash \text{ind} = (\lambda x. x, a, b, p) : b =_A c \longrightarrow a =_A c}{}$$

$$\frac{a, b, c : A, p : a =_A b \vdash \text{ind} = (\lambda x. x, a, b, p) : b =_A c \longrightarrow a =_A c}{}$$

$$\lambda a, b, c, p. \text{ind} = (\lambda x. x, a, b, p) : \prod_{a,b,c:A} a =_A b \longrightarrow b =_A c \longrightarrow a =_A c$$

= - elim

$$\frac{x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \quad x:A \vdash d: D(x, x, r_x)}{}$$

$$x:A, y:A, z: x =_A \vdash \text{ind} = (d, x, y, z) : D(x, y, z) \text{ type}$$



# Transport

Prop. For any dependent type  $x:B \vdash E(x)$  type, any terms  $b, b': B$ , and any equality  $p: b =_B b'$ , there is a function  $\text{tr}_B: E(b) \rightarrow E(b')$ .

- This ensures that everything respects propositional equality. If we think of  $E$  as a predicate on  $B$ , then if  $E(b)$  is true and  $b =_B b'$ , so is  $E(b')$ .
- This is part of a more sophisticated relationship between type theory and homotopy theory (Quillen model category theory). Transport says that  $\pi: \sum_{b:B} E(b) \rightarrow B$  behaves like a fibration in a QMC.

Transport

$$\prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

= - elim

$$\frac{x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \quad x:A \vdash d: D(x, x, r_x)}{x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}}$$

Transport

$$\prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

$$? : \prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

= - elim

$$\frac{x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type} \quad x:A \vdash d: D(x, x, r_x)}{x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}}$$

Transport

$$\prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

$$b, b': B, p: b = b' \vdash \quad ? \quad : E(b) \longrightarrow E(b')$$

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$$? : \prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

= - elim

$$x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type}$$

$$x:A \vdash d: D(x, x, r_x)$$

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$$x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}$$

Transport

$$\prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

$$b: B \vdash ? : E(b) \longrightarrow E(b)$$

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$$b, b': B, p: b = b' \vdash ? : E(b) \longrightarrow E(b')$$

---

$$? : \prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

= - elim

$$x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type}$$

$$x:A \vdash d: D(x, x, r_x)$$

---

$$x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}$$

Transport

$$\prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

$$b: B \vdash \lambda x. x: E(b) \longrightarrow E(b)$$

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$$b, b': B, p: b = b' \vdash \quad ? \quad : E(b) \longrightarrow E(b')$$

---

$$? : \prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

= - elim

$$x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type}$$

$$x:A \vdash d: D(x, x, r_x)$$

---

$$x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}$$

Transport

$$\prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

$$b: B \vdash \lambda x. x: E(b) \longrightarrow E(b)$$

---

$$b, b': B, p: b = b' \vdash \text{ind}_=(\lambda x. x, b, b', p): E(b) \longrightarrow E(b')$$

---

$$? : \prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

= - elim

$$x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type}$$

$$x:A \vdash d: D(x, x, r_x)$$

---

$$x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}$$

Transport

$$\prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

$$b: B \vdash \lambda x. x: E(b) \longrightarrow E(b)$$

---

$$b, b': B, p: b = b' \vdash \text{ind}_=(\lambda x. x, b, b', p): E(b) \longrightarrow E(b')$$

---

$$\lambda b, b', p. \text{ind}_=(\lambda x. x, b, b', p): \prod_{b, b': B} (b = b') \longrightarrow E(b) \longrightarrow E(b')$$

= - elim

$$x:A, y:A, z: x =_A y \vdash D(x, y, z) \text{ type}$$

$$x:A \vdash d: D(x, x, r_x)$$

---

$$x:A, y:A, z: x =_A \vdash \text{ind}_=(d, x, y, z): D(x, y, z) \text{ type}$$



# The homotopical content so far

- Types behave like spaces.
- However, UIP (the principle of uniqueness of identity proofs) is still consistent with what we have introduced thus far.

$$\text{UIP}(A) := \prod_{a,b:A} \prod_{p,q:a=b} p = q$$

- I.e., we still have an interpretation into sets.
- Only with higher inductive types or the univalence axiom honest homotopical content.
- I.e., we won't have an interpretation into sets.

# Uniqueness of canonical terms in $\Sigma$ -types

We have  $\pi: \sum_{b:B} E(b) \rightarrow B$  and we can construct

$$\rho: \prod_{x: \sum_{b:B} E(b)} E(\pi x)$$

Then we can show

$$\prod_{x: \sum_{b:B} E(b)} x = \text{pair}(\pi x, \rho x)$$

This is a propositional  $\eta$ -rule for  $\Sigma$  types.

There is a similar propositional  $\eta$ -rule for Id types if you set it up correctly (see exercises).