

# Theoretische Informatik 1

## Algorithmen und Datenstrukturen

Inofficial lecture notes  
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Wintersemester 2022/23

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# 1 Tricks

## 1.1 Logarithms

$$\log_a(x) = \frac{\log_b(x)}{\log_b(a)}$$

## 2 Big-O-Notation

- $f \in \mathcal{O}(g)$ :  $f$  is of order at most  $g$ :

$$0 \geq \limsup_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty$$

- $f \in \Omega(g)$ :  $f$  is of order at least  $g$ :

$$0 < \liminf_{n \rightarrow \infty} \frac{f(n)}{g(n)} \leq \infty \iff g \in \mathcal{O}(f)$$

- $f \in o(g)$ :  $f$  is of order strictly smaller than  $g$ :

$$0 \geq \limsup_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

- $f \in \omega(g)$ :  $f$  is of order strictly larger than  $g$ :

$$\liminf_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty \iff g \in o(f)$$

- $f \in \Theta(g)$ :  $f$  has exactly the same order as  $g$ :

$$0 < \liminf_{n \rightarrow \infty} \frac{f(n)}{g(n)} \leq \limsup_{n \rightarrow \infty} \frac{f(n)}{g(n)} < \infty \iff f \in \mathcal{O}(g) \wedge f \in \Omega(g)$$

### 2.1 Naming

- linear  $\implies \Theta(n)$
- sublinear  $\implies o(n)$
- superlinear  $\implies \omega(n)$
- polynomial  $\implies \Theta(n^a)$
- exponential  $\implies \Theta(2^n)$

### 2.2 Rules

- $f \in \mathcal{O}(g_1 + g_2) \wedge g_1 \in \mathcal{O}(g_2) \implies f \in \mathcal{O}(g_2)$
- $f_1 \in \mathcal{O}(g_1) \wedge f_2 \in \mathcal{O}(g_2) \implies f_1 + f_2 \in \mathcal{O}(g_1 + g_2)$
- $f \in g_1 \mathcal{O}(g_2) \implies f \in \mathcal{O}(g_1 g_2)$
- $f \in \mathcal{O}(g_1), g_1 \in \mathcal{O}(g_2) \implies f \in \mathcal{O}(g_2)$

## 3 Divide and conquer

### Problem

Given two integers  $x$  and  $y$ , compute their product  $x \cdot y$ .

We know that  $x = 2^{n/2}x_l + x_r$  and  $y = 2^{n/2}y_l + y_r$ .

We use the following equality:

$$(x_l y_r + x_r y_l) = (x_l + x_r)(y_l + y_r) - x_l y_l - x_r y_r$$

leading to

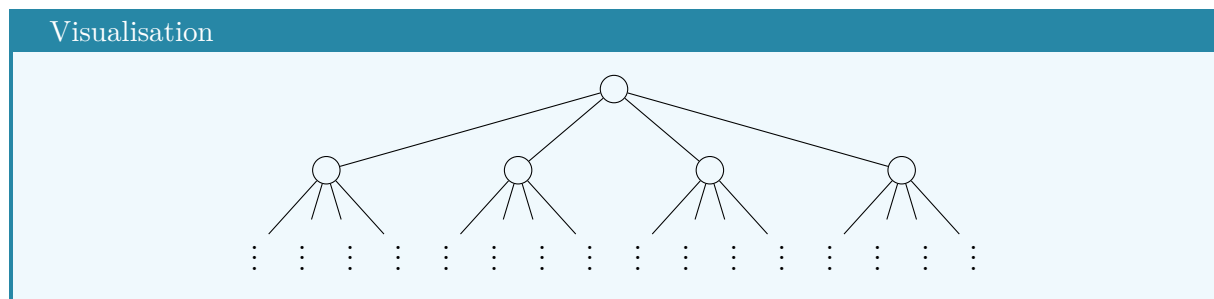
$$\begin{aligned} x \cdot y &= 2^n x_l y_l + 2^{n/2} (x_l y_r + x_r y_l) + x_r y_r \\ &= 2^n x_l y_l + 2^{n/2} ((x_l + x_r)(y_l + y_r) - x_l y_l - x_r y_r) + x_r y_r \\ &= 2^{n/2} x_l y_l + (1 - 2^{n/2}) x_r y_r + 2^{n/2} (x_l + x_r)(y_l y_r). \end{aligned}$$

Therefore we get the same result with 3 instead of 4 multiplications.

**If we apply this principle once:** Running time of  $(3/4)n^2$  instead of  $n^2$ .

**If we apply this principle recursively:** Running time of  $\mathcal{O}(n^{\log_2 3}) \approx \mathcal{O}(n^{1.59})$  instead of  $n^2$  (calculated using the height of a recursion tree).

### 3.1 Recursion tree



- Level  $k$  has  $a^k$  problems of size  $\frac{n}{b^k}$
- Total height of tree:  $\lceil \log_b n \rceil$
- Number of problems at the bottom of the tree is  $a^{\log_b n} = n^{\log_b a}$
- Time spent at the bottom is  $\Theta(n^{\log_b a})$

### 3.2 Master theorem

If  $T(n) = aT(\lceil n/b \rceil) + \mathcal{O}(n^d)$  for constants  $a > 0$ ,  $b > 1$  and  $d \geq 0$ , then

$$T(n) = \begin{cases} \mathcal{O}(n^d) & d > \log_b a \\ \mathcal{O}(n^d \log_n) & d = \log_b a \\ \mathcal{O}(n^{\log_b a}) & d < \log_b a \end{cases}$$

#### Example

Previous example of clever integer multiplication:

$$T(n) = 3T(n/2) + \mathcal{O}(n) \implies T(n) = \mathcal{O}(n^{\log_2 3})$$

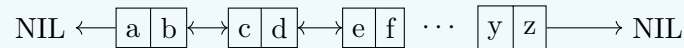
## 4 Arrays and lists

### 4.1 Array

- needs to be allocated in advance
- read/write happens in constant time (using memory address)

## 4.2 Doubly linked list

### Visualisation



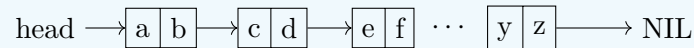
NIL can be replaced by a sentinel element, basically linking the list to form a loop.

### 4.2.1 Basic operations

- **Insert:** If the current pointer is at  $e$ , inserting  $x$  after  $e$  is possible in  $\mathcal{O}(1)$ .
- **Delete:** If the current pointer is at  $e$ , deleting  $x$  before  $e$  is possible in  $\mathcal{O}(1)$ .
- **Find element with key:** We need to walk through the whole list  $\implies \mathcal{O}(n)$
- **Delete a whole sublist:** If you know the first and last element of the sublist:  $\mathcal{O}(1)$
- **Insert a list after element:** Obviously also  $\mathcal{O}(1)$

## 4.3 Singly linked list

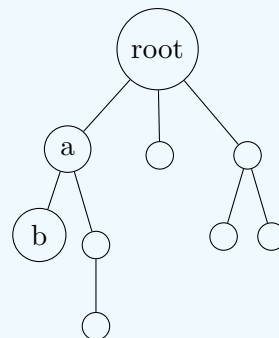
### Visualisation



- needs less storage
- no constant time deletion  $\implies$  not good

## 5 Trees

### Visualisation

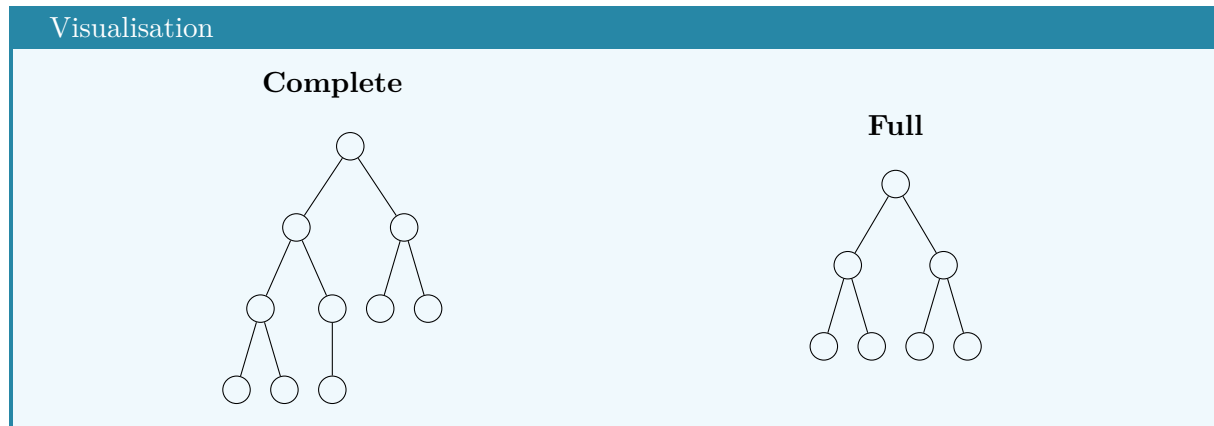


- (a) is the parent/predecessor of (b)
- (b) is a child of (a)

- *Height of a vertex:* length of the shortest path from the vertex to the root
- *Height of the tree:* maximum vertex height in the tree

### 5.1 Binary tree

- each vertex has at most 2 children
- *complete* if all layers except the last one are filled
- *full* if the last level is filled completely



### 5.1.1 Height of binary tree

- Full binary tree with  $n$  vertices:  $\log_2(n + 1) - 1 \in \Theta(\log n)$
- Complete binary tree with  $n$  vertices:  $\lceil \log_2(n + 1) - 1 \rceil \in \Theta(\log n)$

### 5.1.2 Representation

- Complete binary tree: Array with entries layer by layer
- Arbitrary binary tree: Each vertex contains the key value and pointers to left, right, and parent vertices
  - Elegant: Each vertex has three pointers: A pointer to its parent, leftmost child, and right sibling

## 6 Stack and Queue

### 6.1 Stack

- Analogy: Stack of books to read
- `Push(x)` inserts the new element  $x$  to the stack
- `Pop()` removes the next element from the stack (LIFO)

#### 6.1.1 Implementation

- Array with a pointer to the current element, `Push` and `Pop` in  $\mathcal{O}(1)$
- Doubly linked list with pointer to the end of the list
- Singly linked list and insert elements at the beginning of the list

### 6.2 Queue

- Analogy: waiting line of customers
- `Enqueue(x)` inserts the new element  $x$  to the end of the queue
- `Dequeue()` removes the next element from the queue (FIFO)

#### 6.2.1 Implementation

- Array with two pointers, one to the head and one to the tail  $\implies$  `Enqueue/Dequeue` in  $\mathcal{O}(1)$
- Linked lists

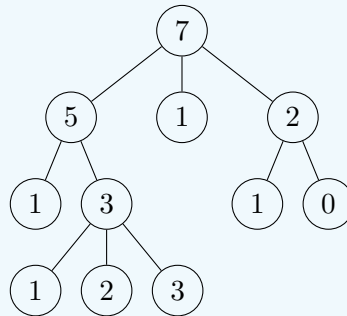
## 7 Heaps and priority queues

### 7.1 Heaps

- Data structure that stores elements as vertices in a tree
- Each element has a key value assigned to it
- Max-heap property: all vertices in the tree satisfy

$$\text{key}(\text{parent}(v)) \geq \text{key}(v)$$

#### Visualisation



- Binary heap:
  - Each vertex has at most two children
  - Layers must be finished before starting a new one (left to right insertion)
  - Advantage:
    - \* Control over height/width of tree
    - \* easy storage in array without any pointers

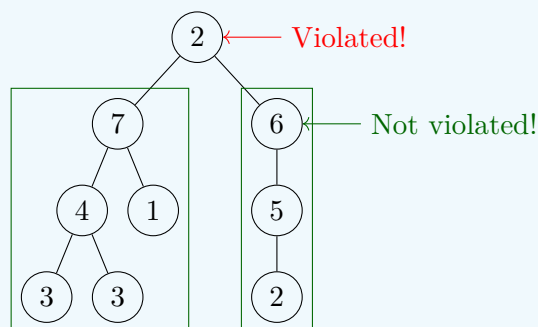
#### 7.1.1 Usage

- Compromise between a completely unsorted and completely sorted array
- Easier to maintain/build than a sorted array
- Useful for many other data structures (e.g. priority queue)

#### 7.1.2 Heapify

The **Heapify** operation can repair a heap with a violated heap property ( $\text{key}(i) < \text{key}(\text{child}(i))$  for some vertex  $i$  and at least one child).

#### Visualisation



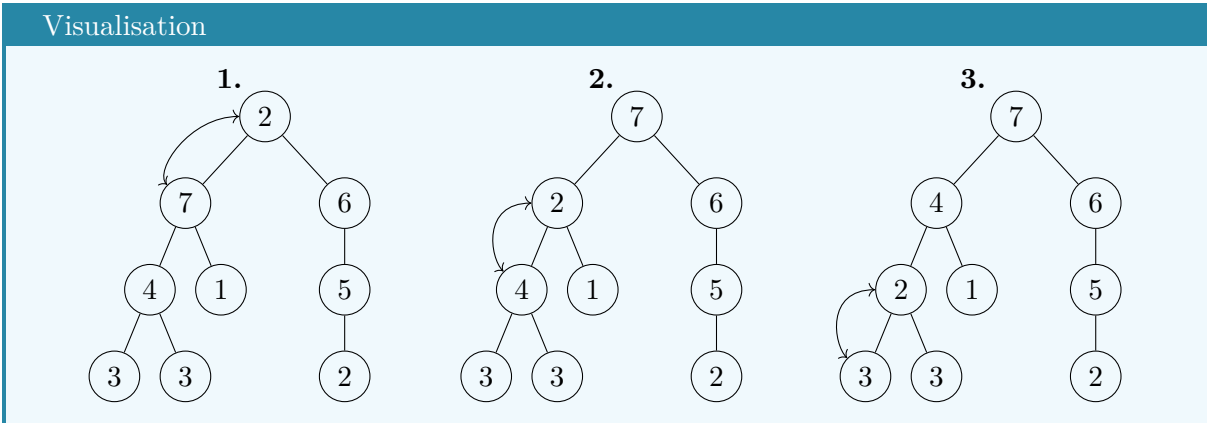
**Procedure:** “Let  $\text{key}(i)$  float down”

- Swap  $i$  with the larger of its children



- Recursively call `heapify` on this child
- Stop when heap condition is no longer violated

#### Visualisation



#### Worst case running time:

- Number of swapping operations is at most the height of the tree
- Height of tree is at most  $h = \lceil \log(n) \rceil = \mathcal{O}(\log n)$
- Swapping is in  $\mathcal{O}(1) \implies$  worst case running time is  $\mathcal{O}(\log n)$

#### 7.1.3 DecreaseKey

The `DecreaseKey` operation *decreases* the key value of a particular element in a correct heap.

##### Procedure:

- Decrease the value of the key at index  $i$  to new value  $b$
- Call `heapify` at  $i$  to let it bubble down

**Running time:**  $\mathcal{O}(\log n)$

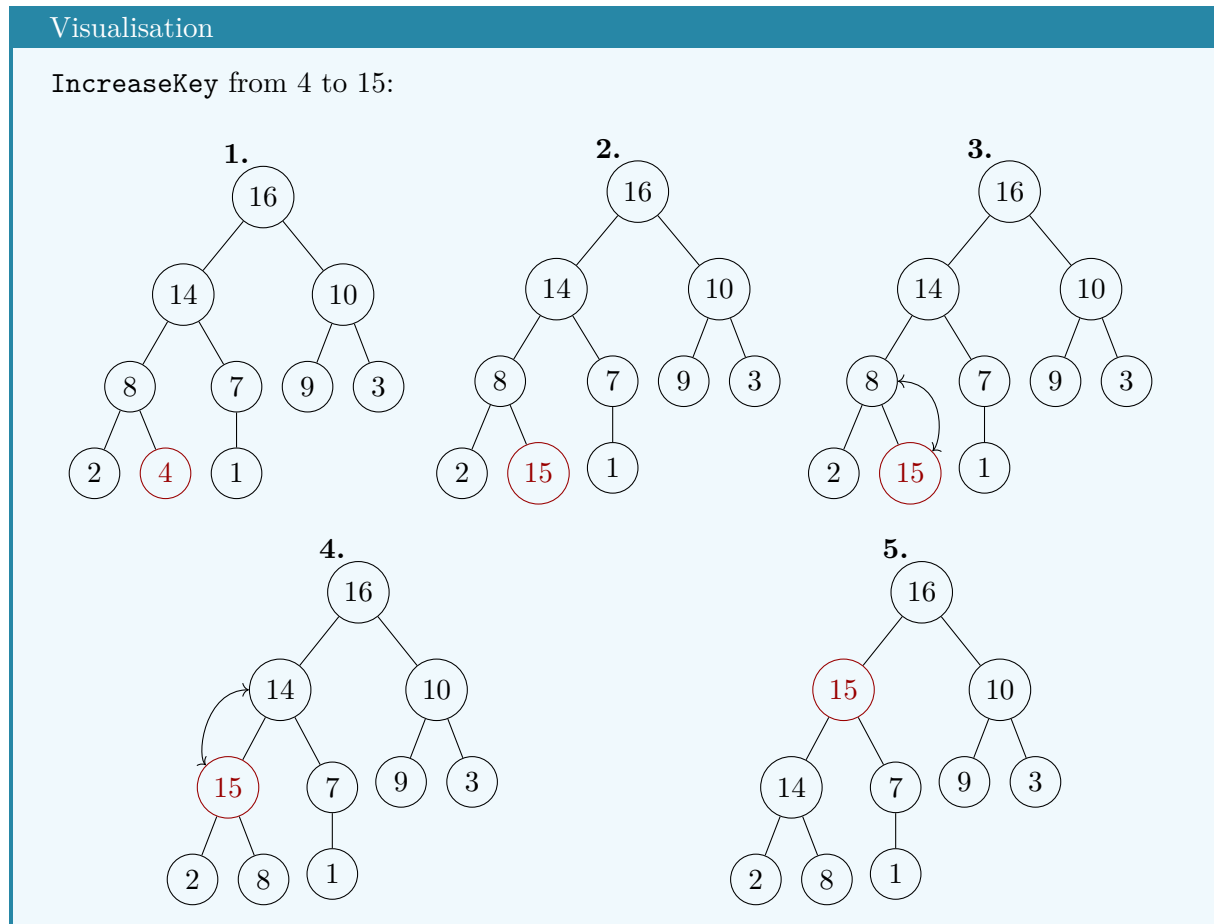
#### 7.1.4 IncreaseKey

The `IncreaseKey` operation *increases* the key value of a particular element in a correct heap.

##### Procedure:

- Increase the value of the key at index  $i$  to new value  $b$
- Walk upwards to the root, exchanging the key values of a vertex and its parent if the heap property is violated

**Running time:**  $\mathcal{O}(\log n)$



### 7.1.5 ExtractMax

The ExtractMax operation *removes* the largest element in a correct heap.

**Procedure:**

- Extract the root element (the largest element)
- Replace the root element by the last leaf in the tree and remove that leaf
- Call `heapify(root)`

**Running time:**  $\mathcal{O}(\log n)$

### 7.1.6 InsertElement

The InsertElement operation *inserts* a new element in a correct heap.

**Procedure:**

- Insert it at the next free position as a leaf, assign it the key  $-\infty$
- Call `IncreaseKey` to set the key to the given value

**Running time:**  $\mathcal{O}(\log n)$

### 7.1.7 BuildMaxHeap

The BuildMaxHeap operation makes a heap out of an unsorted array  $A$  of  $n$  elements.

**Procedure:**

- Write all elements in the tree in any order

- Then, starting from the leafs, call **heapify** on each vertex

**Running time:**  $\mathcal{O}(n)$

## 8 Priority queue

Maintains a set of prioritized elements. The **Dequeue** operation returns the element with the largest priority value. **Enqueue** and **IncreaseKey** work as normal.

### 8.1 Implementation

Typically using a heap:

- Building the heap is  $\mathcal{O}(n)$
- Enqueue: heap **InsertElement**,  $\mathcal{O}(\log n)$
- Dequeue: heap **ExtractMax**,  $\mathcal{O}(\log n)$
- **IncreaseKey**, **DecreaseKey**:  $\mathcal{O}(\log n)$

“Fibonacci heaps” can achieve **DecreaseKey** in  $\mathcal{O}(1)$ .

## 9 Hashing

**Idea:**

- Store data that is assigned to particular key values
- Give a “nickname” to each of the key values
- Choose the space of nicknames reasonably small
- Have a way to compute “nicknames” from the keys themselves
- Store the information in an array (size = #nicknames)

**Formally:**

- **Universe**  $U$ : All possible keys, actually used key values are much less ( $m < |U|$ )
- **Hash function:**  $h : U \rightarrow \{1, \dots, m\}$
- **Hash values:**  $h(k)$  (slot)
- **Collision:**  $h(k_1) = h(k_2)$ ,  $k_1 \neq k_2$

### 9.1 Simple hash function

If we want to hash  $m$  elements in universe  $\mathbb{N}$ :

$$h(k) = k \pmod{m}$$

For  $n$  slots generally choose  $m$  using a prime number  $m_p > n$

### 9.2 Hashing with chaining

Method to cope with collisions:

Each hash table entry points to a linked list containing all elements with this particular hash key - collisions make the list longer.

We might need to traverse this list to retrieve a particular element.

### 9.3 Hashing with open addressing

#### (Linear probing)

All empty slots get marked as empty

#### Inserting a new key into $h(k)$ :

- If unused, insert at  $h(k)$
- If used, try insert at  $h(k) + 1$

#### Retrieving elements: Walk from $h(k)$

- If we find the key: Yay
- If we hit the empty marker: Nay

#### Removing elements:

- Another special symbol marker..
- Or move entries up that would be affected by the “hole” in the array

## 10 Graph algorithms

### 10.1 Graphs

A graph  $G = (V, E)$  consists of a set of vertices  $V$  and a set of edges  $E \subset V \times V$ .

- edges can be **directed**  $(u, v)$  or **undirected**  $\{u, v\}$
- $u$  is **adjacent** to  $v$  if there exists an edge between  $u$  and  $v$ :  $u \sim v$  or  $u \rightarrow v$
- edges can be **weighted**:  $w(u, v)$
- undirected **degree of a vertex**:

$$d_v := d(v) := \sum_{v \sim u} w_{vu}$$

- directed **in-/out-degree of a vertex**:

$$d_{in} = \sum_{\{u:u \rightarrow v\}} w(u, v)$$

$$d_{out} = \sum_{\{u:v \rightarrow u\}} w(v, y)$$

- number of vertices:  $n = |V|$
- number of edges:  $m = |E|$
- **simple** path if each vertex occurs at most once
- **cycle** path if it end in the vertex where it started from and uses each edge at most once
- **strongly connected** directed graph if for all  $u, v \in V$ ,  $u \neq v$  exists a directed path from  $u$  to  $v$  and a directed path from  $v$  to  $u$
- **acyclic** graph if it does not contain any cycles (**DAG** if directed)
- **bipartite** graph if its vertex set can be decomposed into two disjoint subsets such that all edges are only between them

#### 10.1.1 Representation

- Unordered edge list: For each edge, encode start and end point
- Adjacency matrix:
  - $n \times n$  matrix that contains entries  $a_{ij} = 1$  if there is a directed edge from vertex  $i$  to vertex  $j$

- if weighted,  $a_{ij} = w_{ij}$
- **implementation** using  $n$  arrays of length  $n$
- adjacency test in  $\mathcal{O}(1)$
- space usage  $n^2$
- Adjacency list:
  - for each vertex, store a list of all outgoing edges
  - if the edges are weighted, store the weight additionally in the list
  - sometimes store both incoming and outgoing edges
  - **implementation** using an array with list pointers or using a list for each vertex that encodes outgoing edges

#### Typical choice:

- *dense* graphs: adjacency matrices tend to be easier.
- *sparse* graphs: adjacency lists

## 10.2 Depth first search

**Idea:** Starting at a arbitrary vertex, jump to one of its neighbors, then one of his neighbors etc., never visiting a vertex twice. At the end of the chain we backtrack and walk along another chain.

#### Running time

- graph:  $\mathcal{O}(|V| + |E|)$
- adjacency matrix:  $\mathcal{O}(|V|^2)$

#### Algorithm:

```

1 function DFS(G)
2   for all  $u \in V$ 
3     u.color = white # not visited yet
4   for all  $u \in V$ 
5     if u.color == white
6       DFS-Visit(G, u)
7
8 function DFS-Visit(G, u)
9   u.color = grey # in process
10  for all  $v \in \text{Adj}(u)$ 
11    if v.color == white
12      v.pre = u # just for analysis
13      DFS-Visit(G, v)
14  u.color = black # done!
```

## 10.3 Strongly connected components

**Component graph**  $G^{SCC}$  of a directed graph:

- vertices of  $G^{SCC}$  correspond to the components of  $G$
- edge between vertices  $A$  and  $B$  in  $G^{SCC}$  if vertices  $u$  and  $v$  in connected components represented by  $A$  and  $B$  such that there is an edge from  $u$  to  $v$
- $G^{SCC}$  is a DAG for any directed graph  $G$
- **sink** component if the vertex in  $G^{SCC}$  does not have an out-edge
- **source** component if the vertex in  $G^{SCC}$  does not have an in-edge

## 10.4 DFS in sink components

With sink component  $B$ :

- DFS on  $G$  in vertex  $u \in B$ : **DFS-Visit** tree covers the whole component  $B$
- DFS on  $G$  in vertex  $u$  non-sink: **DFS-Visit** tree covers more than this component

$\implies$  use DFS to discover SCCs

## 10.5 Finding sources

- **discovery time**  $d(u)$ : time when DFS first visits  $u$
- **finishing time**  $f(u)$ : time when DFS is done with  $u$

Also:  $d(A) = \min_{u \in A} d(u)$  and  $f(A) = \max_{u \in A} f(u)$ .

Let  $A$  and  $B$  be two SCCs of  $G$  and assume that  $B$  is a descendent of  $A$  in  $G^{SCC}$ . Then  $f(B) < f(A)$  always.

Assume we run DFS on  $G$  (with any starting vertex) and record the finishing times of all vertices. Then the vertex with the largest finishing time is in a source component.

## 10.6 Converting sources to sinks

Reversing the graph: we consider the graph  $G^t$  which has the same vertices as  $G$  but all edges with reversed directions. Note that  $G^t$  has the same SCCs as  $G$ .

We can then use the source-finding algorithm to find sinks by first reversing the graph.

## 10.7 Finding SCCs

- run DFS on  $G$  with any arbitrary starting vertex. The vertex  $u^*$  with the largest  $f(u)$  is in a source of  $G^{SCC}$
- the vertex  $u^*$  is in a sink of  $(G^t)^{SCC}$
- start a second DFS on  $u^*$  in  $G^t$ . The tree discovered by  $\text{DFS}(G^t, u^*)$  is the first SCC
- continue with DFS on the remaining vertices  $V = v^*$  with the highest  $f(u)$
- etc.

**Running time:**

- DFS twice:  $\mathcal{O}(|V| + |E|)$
- reverse:  $\mathcal{O}(|E|)$
- order the vertices by  $f(u)$ :  $\mathcal{O}(|V|)$
- $\implies \mathcal{O}(|V| + |E|)$

## 10.8 Cycle detection

A directed graph has a cycle iff its DFS reveals a back edge (to a previously visited vertex).

## 10.9 Topological sort

A **topological sort** of a directed graph is a linear ordering of its vertices such that whenever there exists a directed edge from vertex  $u$  to vertex  $v$ ,  $u$  comes before  $v$  in the ordering.

Every DAG has a topological sort.

**Procedure:**

- run DFS with an arbitrary starting vertex

- if the DFS reveals a back edge, topological sort doesn't exist
- otherwise, sort the vertices by decreasing finishing times

### 10.10 Breadth first search

DFS has inefficiency problems with some specific graph structures.

BFS explores the local neighborhood first.

DFS uses a stack, BFS uses a queue.

**Algorithm:**

```

1 function BFS(G)
2   for all  $u \in V$ 
3      $u.color = white$  # not visited yet
4   for all  $s \in V$ 
5     if  $s.color == white$ 
6       BFS-Visit(G, s)
7
8 function BFS-Visit(G, s)
9    $u.color = grey$  # in process
10   $Q = [s]$  # queue containing s
11  while  $Q \neq \emptyset$ 
12     $u = dequeue(Q)$ 
13    for all  $v \in Adj(u)$ 
14      if  $v.color == white$ 
15         $v.color = grey$ 
16         $enqueue(Q, v)$ 
17     $u.color = black$ 

```

**Running time:**

- $\mathcal{O}(|E| + |V|)$  in adjacency list
- $\mathcal{O}(|V|^2)$  in adjacency matrix

### 10.11 Shortest path problem (unweighted)

$$d(u, v) = \min\{l(\pi) \mid \pi \text{ path between } u \text{ and } v\}$$

Simple **algorithm** using BFS:

```

1 function BFS(G)
2   for all  $u \in V \setminus \{s\}$ 
3      $u.color = white$  # not visited yet
4      $u.dist = \infty$ 
5    $s.dist = 0$ 
6    $s.color = grey$  # in process
7    $Q = [s]$  # queue containing s
8   while  $Q \neq \emptyset$ 
9      $u = dequeue(Q)$ 
10    for all  $v \in Adj(u)$ 
11      if  $v.color == white$ 
12         $v.color = grey$ 
13         $v.dist = u.dist + 1$ 

```

```

14     enqueue(Q, v)
15     u.color = black

```

Other **algorithm** using BFS, easily provable:

```

1 function BFS(s)
2   d = [ $\infty$ , ...,  $\infty$ ]
3   parent = [bot, ..., bot]
4   d[s] = s
5   Q = {s}
6   Q' = {s}
7   for l = 0 to  $\infty$  while Q  $\neq \emptyset$  do
8     for each  $u \in Q$  do
9       for each  $(u, v) \in E$  do
10        if parent(v) =  $\perp$  then
11          Q' = Q'  $\cup \{v\}$ 
12          d[v] = l + 1
13          parent[v] = u
14        (Q, Q') = (Q',  $\emptyset$ )
15   return (d, parent)

```

## 10.12 Testing whether a graph is bipartite

**Algorithm:**

- assume the graph is connected or run on each component
- start BFS with arbitrary vertex, color start red
- neighbors of a red vertex become blue
- neighbors of a blue vertex become red
- bipartite iff there's no color conflict

## 10.13 Shortest path problems

- **Single Source Shortest Paths:** Shortest path distance of one particular vertex  $s$  to all other vertices
- **All Pairs Shortest Paths:** Shortest path distance between all pairs of points
- **Point to Point Shortest Paths:** Shortest path distance between a particular start vertex  $s$  and a particular target vertex  $t$

### 10.13.1 Storing paths efficiently

Keep track of the predecessors in the shortest paths with the help of a **predecessor matrix**  $\Pi = (\pi_{ij})_{i,j=1,\dots,n}$ :

- If  $i = j$  or there is no path from  $i$  to  $j$ , set  $\pi_{ij} = \text{NIL}$
- Else set  $\pi_{ij}$  as the predecessor of  $j$  on a shortest path from  $i$  to  $j$

**Space requirement:**

- SSSP:  $\mathcal{O}(|V|)$
- APSP:  $\mathcal{O}(|V|^2)$

## 10.14 Relaxation

- for each vertex, keep an attribute  $v.dist$  that is the current estimate of the shortest path distance to the source vertex  $s$



- initially set to  $\infty$  for all vertices except start
- step: figure out whether there is a shorter path from  $s$  to  $v$  by using an edge  $(u, v)$  and thus extending the shortest path of  $s$  to  $u$

**Formally:**

```

1 function Relax(u,v)
2     if v.dist > u.dist + w(u, v)
3         v.dist = u.dist + w(u,v)
4         v.π = u

```

**Also useful:**

```

1 function InitializeSingleSource(G,s)
2     for all v ∈ V
3         v.dist = ∞ # current distance estimate
4         v.π = NIL
5     s.dist = 0

```

### 10.15 Bellman-Ford algorithm

SSSP algorithm for general weighted graphs (including negative edges).

```

1 function BellmanFord(G,s)
2     InitializeSingleSource(G,s)
3     for i = 1, ..., |V| - 1
4         for all edges (u,v) ∈ E
5             Relax(u,v)
6     for all edges (u,v) ∈ E
7         if v.dist > u.dist + w(u,v)
8             return false # cycle detected
9     return true

```

**Running time:**  $\mathcal{O}(|V| \cdot |E|)$

#### Note

Originally designed for directed graphs. Edges need to be relaxed in both directions in an undirected graph. Negative weights in an undirected graph result in an undefined shortest path.

### 10.16 Decentralized Bellman-Ford

**Idea:** “push-based” version of the algorithm: Whenever a value  $v.dist$  changes, the vertex  $v$  communicates this to its neighbors.

**Synchronous algorithm:**

```

1 function SynchronousBellmanFord(G,w,s)
2     InitializeSingleSource(G,s)
3     for i = 1, ..., |V| - 1
4         for all u ∈ V
5             if u.dist has been updated in previous iteration
6                 for all edges (u,v) ∈ E
7                     v.dist = min{v.dist, u.dist + w(u, v)}
8     if no v.dist changed

```

9            terminate algorithm

**Asynchronous algorithm** for static graphs with non-negative weights:

```

1 function AsynchronousBellmanFord(G,w,s)
2   InitializeSingleSource(G,s)
3   set s as active, other nodes as inactive
4   while an active node exists:
5     u = active node
6     for all edges  $(u,v) \in E$ 
7       v.dist = min{v.dist, u.dist + w(u, v)}
8       if last operation changed v.dist
9         set v active
10    set u inactive

```

## 10.17 Dijkstra's algorithm

Works on any weighted, (un)directed graph in which all edge weights  $w(u, v)$  are non-negative.

**Greedy algorithm:** At each point in time it does the “locally best” action resulting in the “globally optimal” solution.

### 10.17.1 Naive algorithm

**Idea:**

- maintain a set  $S$  of vertices for which we already know the shortest path distances from  $s$
- look at neighbors  $u$  of  $S$  and assign a guess for the shortest path by using a path through  $S$  and adding one edge

```

1 function Dijkstra(G,s)
2   InitializeSingleSource(G,s)
3   S = {s}
4   while S  $\neq$  V
5     U = {u  $\notin$  S | u neighbor of vertex  $\in$  S}
6     for all u  $\in$  U
7       for all pre(u)  $\in$  S that are predecessors of u
8         d'(u, pre(u)) = pre(u).dist + w(pre(u), u)
9     d* = min{d'(u,pre(u)) | u  $\in$  U, pre(U)  $\in$  S}
10    u* = argmin{d'(u,pre(u)) | u  $\in$  U, pre(U)  $\in$  S}
11    u*.dist = d*
12    S = S  $\cup$  {u*}

```

**Running time:**  $\mathcal{O}(|V| \cdot |E|)$

### 10.17.2 Using min-priority queues

**Algorithm:**

```

1 function Dijkstra(G,w,s)
2   InitializeSingleSource(G,s)
3   Q = (V, V.dist)
4   while Q  $\neq$   $\emptyset$ 
5     u = Extract(Q)
6     for all v adjacent to u
7       Relax(u,v) and update keys in Q

```

It follows that  $Q = V \setminus S$ .

**Running time:**  $\mathcal{O}((|V| + |E|) \log |V|)$

### 10.18 All pairs shortest paths

**Naive approach:**

- run Bellman-Ford or Dijkstra with all possible start vertices
- running time of  $\approx \mathcal{O}(|V|^2 \cdot |E|)$
- doesn't reuse already calculated results

**Better:** Floyd-Warshall

### 10.19 Floyd-Warshall algorithm

**Idea:**

- assume all vertices are numbered from 1 to  $n$ .
- fix two vertices  $s$  and  $t$
- consider all paths from  $s$  to  $t$  that only use vertices  $1, \dots, k$  as intermediate vertices. Let  $\pi_k(s, t)$  be a shortest path *from this set* and denote its length by  $d_k(s, t)$
- recursive relation between  $\pi_k$  and  $\pi_{k-1}$  to construct the solution bottom-up

**Algorithm:**

```

1 function FloydWarshall(W)
2   n = number of vertices
3    $D^{(0)} = W$ 
4   for k = 1, ..., n
5     let  $D^{(k)}$  be a new  $n \times n$  matrix
6     for s = 1, ..., n
7       for t = 1, ..., n
8          $d_k(s, t) = \min\{d_{k-1}(s, t), d_{k-1}(s, k) + d_{k-1}(k, t)\}$ 
9   return  $D^{(n)}$ 

```

**Running time:**  $\mathcal{O}(|V|^3) \implies$  not that much better than naive approach but easier to implement

#### Note

Negative-weight cycles can be detected by looking at the values of the diagonal of the distance matrix. If it contains negative entries, the graph contains a negative cycle.

### 10.20 Point to Point Shortest Paths

Given a graph  $G$  and two vertices  $s$  and  $t$  we want to compute the shortest path between  $s$  and  $t$  only.

**Idea:**

- run  $\text{Dijkstra}(G, s)$  and stop the algorithm when we reached  $t$
- has the same worst case running time as Dijkstra
  - often faster in practice

## 10.21 Bidirectional Dijkstra

**Idea:**

- instead of starting Dijkstra at  $s$  and waiting until we hit  $t$ , we start copies of the Dijkstra algorithm from  $s$  as well as  $t$
- alternate between the two algorithms, stop when they meet

**Algorithm:**

- $\mu = \infty$  (best path length currently known)
- alternately run steps of  $\text{Dijkstra}(G, s)$  and  $\text{Dijkstra}(G', t)$ 
  - when an edge  $(v, w)$  is scanned by the forward search and  $w$  has already been visited by the backward search:
    - \* found a path between  $s$  and  $t$ :  $s \dots v \ w \dots t$
    - \* length of path is  $l = d(s, v) + w(v, w) + d(w, t)$
    - \* if  $\mu > l$ , set  $\mu = l$
  - analogously for the backward search
- terminate when the search in one direction selects a vertex  $v$  that has already been selected in other direction
- return  $\mu$

Note

It is not always true that if the algorithm stops at  $v$ , that then the shortest path between  $s$  and  $t$  has to go through  $v$ .

## 10.22 Generic labeling method

A convenient generalization of Dijkstra and Bellman-Ford:

- for each vertex, maintain a status variable  $S(v) \in \{\text{unreached}, \text{labelchanged}, \text{settled}\}$
- repeatedly relax edges
- repeat until nothing changes

```

1 function GenericLabelingMethod(G, s)
2   for all v ∈ V
3     v.dist = ∞
4     v.parent = NIL
5     v.status = unreached
6   s.dist = 0
7   s.status = labelchanged
8   while a vertex exists with status labelchanged
9     pick such vertex v
10    for all neighbors u of v
11      Relax(v, u)
12    if relaxation changed value u.dist
13      u.status = labelchanged
14    v.status = settled

```

## 10.23 A\* search

**Idea:**

- assume that we know a lower bound  $\pi(v)$  on the distance  $d(v, t)$  for all vertices  $v$ :

$$\forall v : \pi(v) \leq d(v, t)$$

- run the *Generic Labeling Method* with start in  $s$
- while Dijkstra selects by  $d(s, u) + w(u, v)$ , A\* selects by  $d(s, u) + w(u, v) + \pi(v)$

**Algorithm:**

```

1 function AstarSearch(G, s, t)
2   for all v ∈ V
3     v.dist = ∞
4     v.status = unreached
5   s.dist = 0
6   s.status = labelchanged
7   while a vertex exists with status labelchanged
8     select u = argmin(u.dist + π(u))
9     if u == t
10      terminate, found correct distance
11     for all neighbors v of u
12       Relax(u, v)
13       if relaxation changed value v.dist
14         v.status = labelchanged
15     u.status = settled

```

**Running time:** If the lower bounds are feasible, A\*-search has the same running time as Dijkstra. Can often work fast but in rare cases very slow.

## 10.24 Union-find data structure

TODO.

## 10.25 Operation O1

TODO.

## 10.26 Minimal spanning trees

**Idea:**

Given an undirected graph  $G = (V, E)$  with real-valued edge values  $(w_e)_{e \in E}$  find a tree  $T = (V', A)$  with  $V = V', A \subset E$  that minimizes

$$\text{weight}(T) = \sum_{e \in A} w_e.$$

Minimal spanning trees are not unique and most graphs have many minimal spanning trees.

### 10.26.1 Safe edges

Given a subset  $A$  of the edges of an MST, a new edge  $e \in E \setminus A$  is called **safe** with respect to  $A$  if there exists a MST with edge set  $A \cup \{e\}$ .

- start with MST  $T = (V, E')$
- take some of its edges  $A \subset E'$
- new edge  $e$  is *safe* if  $A \cup \{e\}$  can be completed to an MST  $T'$

### 10.26.2 Cut property to find safe edges

A cut  $(S, V \setminus S)$  is a partition of the vertex set of a graph in two disjoint subsets.

TODO.

### 10.26.3 Kruskal's algorithm

**Idea:**

- start with an empty tree
- repeatedly add the lightest remaining edge that does not produce a cycle
- stop when the resulting tree connects the whole graph

**Naive algorithm** using cut property:

```
1 function KruskalNaiveMST(V,E,W)
2   sort all edges according to their weight
3   A = {}
4   for all e ∈ E, in increasing order of weight
5     if A ∪ {e} does not contain a cycle
6       A = A ∪ {e}
7     if |A| = n - 1
8       return A
```

**Running time:**

- sorting  $\mathcal{O}(|E| \log |E|)$
- check for cycle:  $\mathcal{O}(|E| \cdot ?)$
- total:  $\mathcal{O}(|E| \log |E| + |E| \cdot ?)$