

1 Verified Progress Tracking for Timely Dataflow 2 (Extended Report)

3 **Matthias Brun** ✉

4 Department of Computer Science, ETH Zürich, Switzerland

5 **Sára Decova**

6 Department of Computer Science, ETH Zürich, Switzerland

7 **Andrea Lattuada** ✉

8 Department of Computer Science, ETH Zürich, Switzerland

9 **Dmitriy Traytel** ✉ 

10 Department of Computer Science, University of Copenhagen, Denmark

11 — Abstract —

12 Large-scale stream processing systems often follow the dataflow paradigm, which enforces a program
13 structure that exposes a high degree of parallelism. The Timely Dataflow distributed system supports
14 expressive cyclic dataflows for which it offers low-latency data- and pipeline-parallel stream processing.
15 To achieve high expressiveness and performance, Timely Dataflow uses an intricate distributed pro-
16 tocol for tracking the computation’s progress. We modeled the progress tracking protocol as a combin-
17 ation of two independent transition systems in the Isabelle/HOL proof assistant. We specified and veri-
18 fied the safety of the two components and of the combined protocol. To this end, we identified abstract
19 assumptions on dataflow programs that are sufficient for safety and were not previously formalized.

20 **2012 ACM Subject Classification** Security and privacy → Logic and verification; Computing meth-
21 odologies → Distributed algorithms; Software and its engineering → Data flow languages

22 **Keywords and phrases** safety, distributed systems, timely dataflow, Isabelle/HOL

23 **Digital Object Identifier** 10.4230/LIPIcs.ITP.2021.18

24 **Supplementary Material** https://www.isa-afp.org/entries/Progress_Tracking.html

25 **1** Introduction

26 The dataflow programming model represents a program as a directed graph of interconnected
27 operators that perform per-tuple data transformations. A message (an incoming datum)
28 arrives at an input (a root of the dataflow) and flows along the graph’s edges into operators.
29 Each operator takes the message, processes it, and emits any resulting derived messages.

30 This model enables automatic and seamless parallelization of tasks on large multiprocessor
31 systems and cluster-scale deployments. Many research-oriented and industry-grade systems
32 have employed this model to describe a variety of large scale data analytics and processing
33 tasks. Dataflow programming models with timestamp-based, fine-grained coordination, also
34 called time-aware dataflow [23], incur significantly less intrinsic overhead [25].

35 In a time-aware dataflow system, all messages are associated with a timestamp, and
36 operator instances need to know up-to-date (timestamp) *frontiers*—lower bounds on what
37 timestamps may still appear as their inputs. When informed that all data for a range of
38 timestamps has been delivered, an operator instance can complete the computation on input
39 data for that range of timestamps, produce the resulting output, and retire those timestamps.

40 A *progress tracking mechanism* is a core component of the dataflow system. It receives
41 information on outstanding timestamps from operator instances, exchanges this information
42 with other system workers (cores, nodes) and disseminates up-to-date approximations of the
43 frontiers to all operator instances.



© Matthias Brun, Sára Decova, Andrea Lattuada, and Dmitriy Traytel;
licensed under Creative Commons License CC-BY 4.0

12th International Conference on Interactive Theorem Proving (ITP 2021).

Editors: Liron Cohen and Cezary Kaliszyk; Article No. 18; pp. 18:1–18:21

Leibniz International Proceedings in Informatics



LIPICs Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

44 The progress tracking mechanism must be correct. Incorrect approximations of the
 45 frontiers can result in subtle concurrency errors, which may only appear under certain load
 46 and deployment circumstances. In this work, we formally model in Isabelle/HOL and prove
 47 the safety of the progress tracking protocol of *Timely Dataflow* [1, 25, 26] (Section 2), a
 48 time-aware dataflow programming model and a state-of-the-art streaming, data-parallel,
 49 distributed data processor.

50 In Timely Dataflow’s progress tracking, worker-local and distributed coordination are
 51 intertwined, and the formal model must account for this asymmetry. Individual agents
 52 (operator instances) on a worker generate coordination updates that have to be asynchronously
 53 exchanged with all other workers, and then propagated locally on the dataflow structure to
 54 provide local coordination information to all other operator instances.

55 This is an additional (worker-local) dimension in the specification when compared to
 56 well-known distributed coordination protocols, such as Paxos [20] and Raft [27], which focus
 57 on the interaction between symmetric communicating parties on different nodes. In contrast
 58 our environment model can be simpler, as progress tracking is designed to handle but not
 59 recover from fail-stop failures or unbounded pauses: upon crashes, unbounded stalls, or reset
 60 of a channel, the system stops without violating safety.¹

61 Abadi et al. [4] formalize and prove safety of the distributed exchange component of pro-
 62 gress tracking in the TLA⁺ Proof System. We present their *clocks protocol* through the lens
 63 of our Isabelle re-formalization (Section 3) and show that it subtly fails to capture behaviors
 64 supported by Timely Dataflow [25, 26]. We then significantly extend the formalized protocol
 65 (Section 4) to faithfully model Timely Dataflow’s modern reference implementation [1].

66 The above distributed protocol does not model the dataflow graph, operators, and
 67 timestamps within a worker. Thus, on its own it is insufficient to ensure that up-to-date fron-
 68 tiers are delivered to all operator instances. To this end, we formalize and prove the safety of
 69 the *local propagation* component (Section 5) of progress tracking, which computes and updates
 70 frontiers for all operator instances. Local propagation happens on a single worker, but oper-
 71 ator instances act as independent asynchronous actors. For this reason, we also employ a state
 72 machine model for this component. Along the way, we identify sufficient criteria on dataflow
 73 graphs, that were previously not explicitly (or only partially) formulated for Timely Dataflow.

74 Finally, we combine the distributed component with local propagation (Section 6) and
 75 formalize the global safety property that connects initial timestamps to their effect on the op-
 76 erator frontier. Specifically, we prove that our combined protocol ensures that frontiers always
 77 constitute safe lower bounds on what timestamps may still appear on the operator inputs.

78 Related Work

79 **Data management systems verification** Timely Dataflow is a system that supports low-
 80 latency, high-throughput data-processing applications. Higher level libraries [23, 24] and
 81 SQL abstractions [2] built on Timely Dataflow support high performance incremental view
 82 maintenance for complex queries over large datasets. Verification and formal methods efforts
 83 in the data management and processing space have focused on SQL and query-language
 84 semantics [6, 10, 12] and on query runtimes in database management systems [7, 22].

85 **Distributed systems verification** Timely Dataflow is a distributed, concurrent system: our
 86 modeling and proof techniques are based on the widely accepted state machine model and
 87 refinement approach as used, e.g., in the TLA⁺ Proof System [9] and Ironfleet [15]. Recent

¹ Systems based on Timely Dataflow and progress tracking can recover by re-starting the protocol.

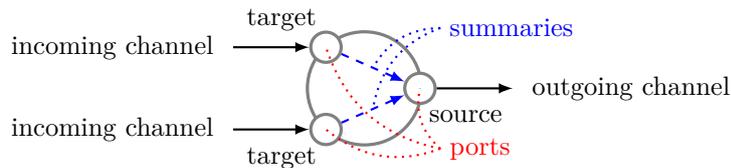
88 work focuses on proving consistency and safety properties of distributed storage systems [13,
89 14, 21] and providing tools for the implementation and verification of general distributed
90 protocols [19, 30] leveraging domain-specific languages [29, 32] and advanced type systems [16].

91 **Model of Timely Dataflow** Abadi and Isard [3] define abstractly the semantics of a Timely
92 Dataflow programming model [25]. Our work is complementary; we concretely compute their
93 *could-result-in* relation (Section 6) and formally model the implementation’s core component.

94 2 Timely Dataflow and Progress Tracking

95 Our formal model follows the progress tracking protocol of the modern Rust implementation
96 of Timely Dataflow [1]. The protocol has evolved from the one reported as part of the classic
97 implementation Naiad [25]. Here, we provide an informal overview of the basic notions, for
98 the purpose of supporting the presentation of our formal model and proofs.

99 **Dataflow graph** A Timely Dataflow computation is represented by a graph of operators,
100 connected by channels. Each worker in the system runs an instance of the entire dataflow
101 graph. Each instance of an operator is responsible for a subset, or shard, of the data being
102 processed. Workers run independently and only communicate through reliable message
103 queues—they act as communicating sequential processes [17]. Each worker alternately
104 executes the progress tracking protocol and the operator’s processing logic. Figure 1 shows a
105 Timely Dataflow operator and the related concepts described in this section.

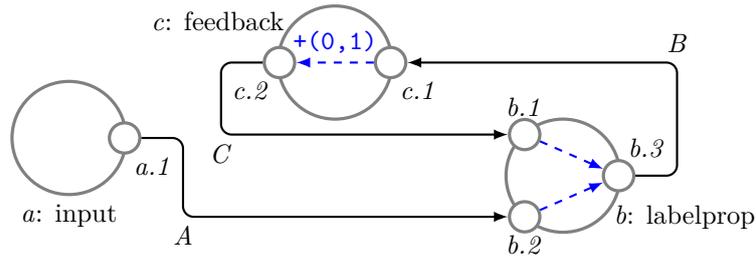


106 **Figure 1** A Timely Dataflow operator.

106 **Pointstamps** A pointstamp represents a datum at rest at an operator, or in motion on one
107 of the channels. A pointstamp (l, t) refers to a location l in the dataflow and a timestamp t .
108 Timestamps encode a semantic (causal) grouping of the data. For example, all data resulting
109 from a single transaction can be associated with the same timestamp. Timestamps are usually
110 tuples of positive integers, but can be of any type for which a partial order \preceq is defined.

111 **Locations and summaries** Each operator has an arbitrary number of input and output ports,
112 which are locations. An operator instance receives new data through its input ports, or target
113 locations, performs processing, and produces data through its output ports, or source locations.
114 A dataflow channel is an edge from a source to a target. Internal operator connections are
115 edges from a target to a source, which are additionally described by one or more summaries:
116 the minimal increment to timestamps applied to data processed by the operator.

117 **Frontiers** Operator instances must be informed of which timestamps they may still receive
118 from their incoming channels, to determine when they have a complete view of data associated
119 with a certain timestamp. The progress tracking protocol tracks the system’s pointstamps
120 and summarizes them to one frontier per operator port. A frontier is a lower bound on the
121 timestamps that may appear at the operator instance inputs. It is represented by an antichain
122 F indicating that the operator may still receive any timestamp t for which $\exists t' \in F. t' \preceq t$.



■ **Figure 2** A timely dataflow that computes weakly connected components.

123 **Progress tracking** Progress tracking computes frontiers in two steps. A distributed compon-
 124 ent *exchanges* pointstamp changes (Sections 3 and 4) to construct an approximate, conservat-
 125 ive view of all the pointstamps present in the system. Workers use this global view to locally
 126 *propagate* changes on the dataflow graph (Section 5) and update the frontiers at the operator in-
 127 put ports. The combined protocol (Section 6) asynchronously executes these two components.

128 ► **Running Example** (Weakly Connected Components by Propagating Labels). Figure 2 shows
 129 a dataflow that computes weakly connected components (WCC) by assigning integer labels
 130 to vertices in a graph, and propagating the lowest label seen so far by each vertex to all its
 131 neighbors. The input graph is initially sent by operator a as a stream of edges (s, d) with
 132 timestamp $(0, 0)$. Each input port has an associated sharding function to determine which
 133 data should be sent to which operator instance: port $b.2$ shards the incoming edges (s, d) by s .

134 The input operator a will continue sending additional edges in the graph as they appear,
 135 using increasing timestamps by incrementing one coordinate: $(1, 0)$, $(2, 0)$, etc. The compu-
 136 tation is tasked with reacting to these changes and performing incremental re-computation to
 137 produce correct output for each of these input graph versions. The first timestamp coordinate
 138 represents logical consistency boundaries for the input and output of the program. We will use
 139 the second timestamp coordinate to track the progress of the unbounded iterative algorithm.

140 The operator a starts with a pointstamp $(a.1, (0, 0))$ on port $a.1$, representing its intent
 141 to send data with that timestamp through the connected channel. When it sends messages
 142 on channel A , these are represented by pointstamps on the port $b.2$; e.g., $(b.2, (0, 0))$ for the
 143 initial timestamp $(0, 0)$. When it ceases sending data for a certain timestamp, e.g., $(0, 0)$, op-
 144 erator a drops the corresponding pointstamp on port $a.1$. The frontier at $b.2$ reflects whether
 145 pointstamps with a certain timestamp are present at either $a.1$ or $b.2$: when they both become
 146 absent (when all messages are delivered) each instance of b notices that its frontier has ad-
 147 vanced and determines it has received its entire share of the input (the graph) for a timestamp.

148 Each instance of b starts with a pointstamp on $b.3$ at timestamp $(0, 0)$; when it has
 149 received its entire share of the input, for each vertex with label x and each of its neighbors
 150 n , it sends (n, x) at timestamp $(0, 0)$. This stream then traverses operator c , that increases
 151 the timestamp associated to each message by $(0, 1)$, and reaches port $b.1$, which shards the
 152 incoming tuples (n, x) by n . Operator b inspects the frontier on $b.1$ to determine when it
 153 has received all messages with timestamp $(0, 1)$. These messages left $b.3$ with timestamp
 154 $(0, 0)$. The progress tracking mechanism will correctly report the frontier at $b.1$ by taking
 155 into consideration the summary between $c.1$ and $c.2$.

156 Operator b collects all label updates from $b.1$ and, for those vertices that received a value
 157 that is smaller than the current label, it updates internal state and sends a new update via $b.3$
 158 with timestamp $(0, 1)$. This process then repeats with increasing timestamps, $(0, 2)$, $(0, 3)$,
 159 etc., for each trip around the loop, until ultimately no new update message is generated on
 160 port $b.3$ by any of the operator instances, for a certain family of timestamps (t_1, t_2) with

161 a fixed t_1 corresponding to the input version being considered. Operator b determines it has
 162 correctly labeled all connected components for a given t_1 when the frontier at $b.1$ does not
 163 contain a (t_1, t_2) such that $t_2 \preceq$ the graph's diameter. In practice, once operator b determines
 164 it has computed the output for a given t_1 , the operator would also send the output on an
 165 additional outgoing channel to deliver it to the user. Later, operator b continues processing
 166 for further input versions, indicated by increasing t_1 , with timestamps $(t_1, 0)$, $(t_1, 1)$, etc. ◀

167 3 The Clocks Protocol

168 In this section, we present Abadi et al.'s approach to modeling the distributed component
 169 of progress tracking [4], termed the *clocks protocol*. Instead of showing their TLA⁺ Proof
 170 System formalization, we present our re-formalization of the protocol in Isabelle. Thereby,
 171 this section serves as an introduction to both the protocol and the relevant Isabelle constructs.

172 The clocks protocol is a distributed algorithm to track existing pointstamps in a dataflow.
 173 It models a finite set of workers. Each worker stores a (finite) multiset of pointstamps as seen
 174 from its perspective and shares updates to this information with all other workers. The pro-
 175 tocol considers workers as black boxes, i.e., it does *not* model their dataflow graph, locations,
 176 and timestamps. We extend the protocol to take these components into account in Section 5.

177 In Isabelle, we use the type variable $'w :: \text{finite}$ to represent workers. We assume that
 178 $'w$ belongs to the *finite* type class, which assures that $'w$'s universe is finite. Similarly, we
 179 model pointstamps abstractly by $'p :: \text{order}$. The *order* type class assumes the existence of a
 180 partial order $\leq :: 'p \Rightarrow 'p \Rightarrow \text{bool}$ (and the corresponding strict order $<$).

181 We model the protocol as a transition system that acts on configurations given as follows:

```
182 record ('w :: finite, 'p :: order) conf =
      rec :: 'p zmset
      msg :: 'w  $\Rightarrow$  'w  $\Rightarrow$  'p zmset list
      temp :: 'w  $\Rightarrow$  'p zmset
      glob :: 'w  $\Rightarrow$  'p zmset
```

183 Here, $\text{rec } c$ denotes the global multiset of pointstamps (or records) that are present in a
 184 system's configuration c . We use the type $'p \text{ zmset}$ of *signed multisets* [8]. An element
 185 $M :: 'p \text{ zmset}$ can be thought of as a function of type $'p \Rightarrow \text{int}$, which is non-zero only for
 186 finitely many values. (In contrast, an unsigned multiset $M :: 'p \text{ mset}$ corresponds to a function
 187 of type $'p \Rightarrow \text{nat}$.) Signed multisets enjoy nice algebraic properties; in particular, they form a
 188 group. This significantly simplifies the reasoning about subtraction. However, $\text{rec } c$ will always
 189 store only non-negative pointstamp counts. The other components of a configuration c are

- 190 ■ the progress message queues $\text{msg } c \ w \ w'$, which denote the progress update messages sent
 191 from worker w to worker w' (not to be confused with data messages, which are accounted
 192 for in $\text{rec } c$ but do not participate in the protocol otherwise);
- 193 ■ the temporary changes $\text{temp } c \ w$ in which worker w stores changes to pointstamps that it
 194 might need to communicate to other workers; and
- 195 ■ the local approximation $\text{glob } c \ w$ of $\text{rec } c$ from the perspective of worker w (we use Abadi
 196 et al. [4]'s slightly misleading term *glob* for the worker's *local* view on the global state).

197 In contrast to rec , these components may contain a negative count $-i$ for a pointstamp p ,
 198 which denotes that i occurrences of p have been discarded.

199 The following predicate characterizes the protocol's initial configurations. We write $\{\#\}_z$
 200 for the empty signed multiset and $M \#_z p$ for the count of pointstamp p in a signed multiset M .

201 **definition** $\text{Init} :: ('w, 'p) \text{conf} \Rightarrow \text{bool}$ **where**
 202 $\text{Init } c = (\forall p. \text{rec } c \#_z p \geq 0) \wedge (\forall w w'. \text{msg } c w w' = []) \wedge$
 203 $(\forall w. \text{temp } c w = \{\#\}_z) \wedge (\forall w. \text{glob } c w = \text{rec } c)$

202 In words: all global pointstamp counts in `rec` must be non-negative and equal to each worker's
 203 local view `glob`; all message queues and temporary changes must be empty.

204 Referencing our WCC example described in Section 2, the clocks protocol is the component
 205 in charge of distributing pointstamp changes to other workers. When one instance of the
 206 input operator a ceases sending data for a certain family of timestamps $(t_1, 0)$ it drops the
 207 corresponding pointstamp: the clocks protocol is in charge of *exchanging* this information
 208 with other workers, so that they can determine when all instances of a have ceased producing
 209 messages for a certain timestamp. This happens for all pointstamp changes in the system,
 210 including pointstamps that represent messages in-flight on channels.

211 The configurations evolve via one of three actions:

212 **perf_op**: A worker may perform an operation that causes a change in pointstamps. Changes
 213 may remove certain pointstamps and add others. They are recorded in `rec` and `temp`.

214 **send_upd**: A worker may broadcast some of its changes stored in `temp` to all other workers.

215 **recv_upd**: A worker may receive an earlier broadcast and update its local view `glob`.

216 Overall, the clocks protocol aims to establish that `glob` is a safe approximation for `rec`.
 217 Safe means here that no pointstamp in `rec` is less than any of `glob`'s minimal pointstamps.
 218 To achieve this property, the protocol imposes a restriction on which new pointstamps may
 219 be introduced in `rec` and which progress updates may be broadcast. This restriction is the
 220 *uprightness* property that ensures that a pointstamp can only be introduced if simultaneously
 221 a smaller (supporting) pointstamp is removed. Formally, a signed multiset of pointstamps
 222 is upright if every positive entry is accompanied by a smaller negative entry:

223 **definition** $\text{supp} :: 'p \text{zmsset} \Rightarrow 'p \Rightarrow \text{bool}$ **where** $\text{supp } M p = (\exists p' < p. M \#_z p' < 0)$

224 **definition** $\text{upright} :: 'p \text{zmsset} \Rightarrow \text{bool}$ **where** $\text{upright } M = (\forall p. M \#_z p > 0 \longrightarrow \text{supp } M p)$

225 Abadi et al. [4] additionally require that the pointstamp p' in `supp`'s definition satisfies
 226 $\forall p'' \leq p'. M \#_z p'' \leq 0$. The two variants of `upright` are equivalent in our formalization
 227 because signed multisets are finite and thus minimal elements exist even without \leq being
 228 well-founded. The extra assumption on p' is occasionally useful in proofs.

229 In practice, uprightness means that operators are only allowed to transition to pointstamps
 230 forward in time, and cannot re-introduce pointstamps that they relinquished. This is necessary
 231 to ensure that the frontiers always move to later timestamps and remain a conservative approx-
 232 imation of the pointstamps still present in the system. An advancing frontier triggers computa-
 233 tion in some of the dataflow operators, for example to output the result of a time-based aggrega-
 234 tion: this should only happen once all the relevant incoming data has been processed. This
 235 is the intuition behind the safety property of the protocol, **Safe**, discussed later in this section.

236 Figure 3 defines the three protocol actions formally as transition relations between an old
 237 configuration c and a new configuration c' along with the definition of the overall transition
 238 relation `Next`, which in addition to performing one of the actions may stutter, i.e., leave $c' = c$
 239 unchanged. The three actions take further parameters as arguments, which we explain next.

240 The action `perf_op` is parameterized by a worker w and two (unsigned) multisets Δ_{neg} and
 241 Δ_{pos} , corresponding to negative and positive pointstamp changes. The action's overall effect
 242 on the pointstamps is thus $\Delta = \Delta_{pos} - \Delta_{neg}$. Here and elsewhere, subtraction expects signed
 243 multisets as arguments and we omit the type conversions from unsigned to signed multisets
 244 (which are included in our Isabelle formalization). The action is only enabled if its parameters

definition `perf_op` :: $'w \Rightarrow 'p \text{ mset} \Rightarrow 'p \text{ mset} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
`perf_op` $w \Delta_{neg} \Delta_{pos} c c' = \text{let } \Delta = \Delta_{pos} - \Delta_{neg} \text{ in } (\forall p. \Delta_{neg} \# p \leq \text{rec } c \#_z p) \wedge \text{upright } \Delta \wedge$
 $c' = c(\text{rec} = \text{rec } c + \Delta, \text{temp} = (\text{temp } c)(w := \text{temp } c \ w + \Delta))$

definition `send_upd` :: $'w \Rightarrow 'p \text{ set} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
`send_upd` $w P c c' = \text{let } \gamma = \{\#p \in \#_z \text{temp } c \ w. p \in P\# \} \text{ in}$
 $\gamma \neq \{\#\}_z \wedge \text{upright } (\text{temp } c \ w - \gamma) \wedge$
 $c' = c(\text{msg} = (\text{msg } c)(w := \lambda w'. \text{msg } c \ w \ w' \cdot [\gamma]), \text{temp} = (\text{temp } c)(w := \text{temp } c \ w - \gamma))$

definition `rcv_upd` :: $'w \Rightarrow 'w \Rightarrow ('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
`rcv_upd` $w w' c c' = \text{msg } c \ w \ w' \neq [] \wedge$
 $c' = c(\text{msg} = (\text{msg } c)(w := (\text{msg } c \ w)(w' := \text{tl } (\text{msg } c \ w \ w'))),$
 $\text{glob} = (\text{glob } c)(w' := \text{glob } c \ w' + \text{hd } (\text{msg } c \ w \ w')))$

definition `Next` :: $('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
`Next` $c c' = (c = c') \vee (\exists w \Delta_{neg} \Delta_{pos}. \text{perf_op } w \Delta_{neg} \Delta_{pos} c c') \vee$
 $(\exists w P. \text{send_upd } w P c c') \vee (\exists w w'. \text{rcv_upd } w w' c c')$

■ **Figure 3** Transition relation of Abadi et al.'s clocks protocol

245 satisfy two requirements. First, only pointstamps present in `rec` may be dropped, and thus the
 246 counts from Δ_{neg} must be bounded by the ones from `rec`. (Arguably, accessing `rec` is problem-
 247 atic for distributed workers. We rectify this modeling deficiency in Section 4.) Second, Δ must
 248 be upright, which ensures that we will never introduce a pointstamp that is lower than any
 249 pointstamp in `rec`. If these requirements are met, the action can be performed and will update
 250 both `rec` and `temp` with Δ (expressed using Isabelle's record and function update syntax).

251 The action `send_upd` is parameterized by a worker (sender w) and a set of pointstamps
 252 P , the outstanding changes to which, called γ , we want to broadcast. The key requirement
 253 is that the still unsent changes remain upright. Note that it is always possible to send all
 254 changes or all positive changes in `temp`, because any multiset without a positive change is
 255 upright. The operation enqueues γ in all message queues that have w as the sender. We
 256 model first-in-first-out queues as lists, where enqueueing means appending at the end ($_ \cdot [_]$).

257 Finally, the action `rcv_upd` is parameterized by two workers (sender w and receiver w').
 258 Given a non-empty queue `msg c w w'`, the action dequeues the first message (head `hd` gives
 259 the message, tail `tl` the queue's remainder) and adds it to the receiver's `glob`.

260 An execution of the clocks protocol is an infinite sequence of configurations. Infinite
 261 sequences of elements of type $'a$ are expressed in Isabelle using the coinductive datatype
 262 (short codatatype) of streams defined as `codatatype 'a stream = Stream 'a ('a stream)`.
 263 We can inspect a stream's head and tail using the functions `shd` :: $'a \text{ stream} \Rightarrow 'a$ and
 264 `stl` :: $'a \text{ stream} \Rightarrow 'a \text{ stream}$. Valid protocol executions satisfy the predicate `Spec`, i.e., they
 265 start in an initial configuration and all neighboring configurations are related by `Next`:

266 **definition** `Spec` :: $('w, 'p) \text{ conf} \text{ stream} \Rightarrow \text{bool}$ **where**
`Spec` $s = \text{now Init } s \wedge \text{alw } (\text{relates Next}) s$

267 The operators `now` and `relates` lift unary and binary predicates over configurations to exe-
 268 cutions by evaluating them on the first one or two configurations respectively: `now P s =`
 269 $P (\text{shd } s)$ and `relates R s = R (shd s) (shd (stl s))`. The coinductive operator `alw` resembles
 270 a temporal logic operator: `alw P s` holds if P holds for all suffixes of s .

271 **coinductive** `alw` :: $('a \text{ stream} \Rightarrow \text{bool}) \Rightarrow 'a \text{ stream} \Rightarrow \text{bool}$ **where**
 $P s \longrightarrow \text{alw } P (\text{stl } s) \longrightarrow \text{alw } P s$

272 We use the operators `now`, `relates`, and `alw` not only to specify valid execution, but also

18:8 Verified Progress Tracking for Timely Dataflow

273 to state the main safety property. Moreover, we use the predicate `vacant` to express that a
 274 pointstamp (and all smaller pointstamps) are not present in a signed multiset:

275 **definition** `vacant` :: $'p \text{ zmsset} \Rightarrow 'p \Rightarrow \text{bool}$ **where** `vacant` $M \ p = (\forall p' \leq p. M \#_z \ p' = 0)$

276 Safety states that if any worker's `glob` becomes vacant up to some pointstamp, then that
 277 pointstamp and any lesser ones do not exist in the system, i.e., are not present in `rec` (and will
 278 remain so). Thus, safety allows workers to learn locally, via `glob`, something about the system's
 279 global state `rec`, namely that they will never encounter certain pointstamps again. Formally:

280 **definition** `Safe` :: $('w, 'p) \text{ conf stream} \Rightarrow \text{bool}$ **where**

`Safe` $s = (\forall w \ p. \text{now } (\lambda c. \text{vacant } (\text{glob } c \ w) \ p) \ s \longrightarrow \text{alw } (\text{now } (\lambda c. \text{vacant } (\text{rec } c) \ p) \ s))$

lemma `safe`: $\text{Spec } s \longrightarrow \text{alw } \text{Safe } s$

281 **Proof Sketch.** We prove safety following Abadi et al. [4]. First, we establish three invariants
 282 by showing that `Next` preserves them:

- 283 1. `rec` only contains positive entries
- 284 2. `rec` is the sum of any worker w 's `glob` and its *incoming information* $\text{info } c \ w = \sum_{w'} (\text{temp } c \ w' +$
 285 $\sum_{M \in \text{set } (\text{msg } c \ w' \ w) \ M})$, that is the sum of all workers' `temp` and all `msg` directed towards w
- 286 3. any worker w 's incoming information is upright

287 We then show that whenever `rec` becomes vacant up to some pointstamp p , then it forever stays
 288 vacant up to p . Thus, we can eliminate the “inner” occurrence of `alw` from the definition of
 289 `Safe`. The remaining property follows by contradiction, i.e., by assuming a non-zero count for
 290 some pointstamp p in `rec`, up to which some worker w 's `glob` is vacant. Invariants 1 and 2 imply
 291 that w 's incoming information has a positive count for p . Because it is upright by invariant 3,
 292 w 's incoming information must also contain a smaller pointstamp $q < p$ with a negative count.
 293 But w 's `glob` count for q must be zero (recall that w 's `glob` is vacant up to p), which together
 294 with invariant 2 implies that `rec` has a negative count at q . This contradicts invariant 1. ◀

295 Having established safety, we also prove a second important property of `glob` formalized
 296 by Abadi et al.: monotonicity. This property states that once `glob` becomes vacant upto
 297 some pointstamp p , it will forever stay so:

298 **definition** `Mono` :: $('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**

`Mono` $c \ c' = (\forall w \ p. \text{vacant } (\text{glob } c \ w) \ p \longrightarrow \text{vacant } (\text{glob } c' \ w) \ p)$

lemma `mono`: $\text{Spec } s \longrightarrow \text{alw } (\text{relates } \text{Mono}) \ s$

299 Establishing `glob`'s monotonicity is significantly more difficult than proving the same
 300 property for `rec`, which we have used in the proof of safety. New positive entries in `rec` can only
 301 be introduced in the `perf_op` transition, where they are guarded by smaller negative changes
 302 due to the uprightness requirement. In contrast, `glob` is altered in the `recv_upd` transition,
 303 where it is far less clear a priori why this step cannot introduce pointstamps up to which
 304 `glob` is vacant. The key idea, again due to Abadi et al., to establish `glob`'s monotonicity is to
 305 generalize the notion of uprightness and show that all individual messages from `msg` satisfy the
 306 generalized notion. Abadi et al. call the generalized notion *beta uprightness*. It allows positive
 307 pointstamp entries from a message $M :: 'p \text{ zmsset}$ to be supported not only by smaller negative
 308 pointstamp entries in M itself, but also by negative entries in another multiset $N :: 'p \text{ zmsset}$.

309 **definition** `beta_upright` :: $'p \text{ zmsset} \Rightarrow 'p \text{ zmsset} \Rightarrow \text{bool}$ **where**

`beta_upright` $M \ N = (\forall p. M \#_z \ p > 0 \longrightarrow (\exists p' < p. M \#_z \ p' < 0 \vee N \#_z \ p' < 0))$

310 We do not describe in detail how beta uprightness helps with monotonicity, but the main
 311 step is to establish the invariant that all messages M from `msg` are beta upright with respect
 312 to N being the sum of messages following M in `msg` and the sender’s `temp`.

313 Overall, we have replicated the formalization of Abadi et al.’s clocks protocol and proofs of
 314 its safety and the monotonicity of `glob`, each worker’s approximated view of the system’s point-
 315 stamps. Their protocol accurately models the implementation of the progress tracking pro-
 316 tocol’s distributed component in Timely Dataflow’s original implementation Naiad with one
 317 subtle exception. The Naiad API (`OnNotify`, `SendBy`) allows an operator to repeatedly send
 318 data messages through its output port, which generates pointstamps at the receiver, without
 319 requiring that a pointstamp on the output port is decremented. This can result in a `perf_op`
 320 transition that is not upright.² Additionally, the modern reference implementation of Timely
 321 Dataflow in Rust is more expressive than Naiad, and permits multiple operations that result in
 322 non-upright changes. We address and correct this limitation of the clocks protocol in Section 4.

323 One example of an operator that expresses behavior that results in non-upright changes
 324 is the input operator a in the WCC example. This operator may be reading data from an
 325 external source, and as soon as it receives new edges, it can forward them with the current
 326 pointstamp $(a.1, (t_1, 0))$. This operator may be invoked multiple times, and perform this
 327 action repeatedly, until it determines from the external source that it should mark a certain
 328 timestamp as complete by dropping the pointstamp. All of these intermediate actions that
 329 send data at $(t_1, 0)$ are not upright, as sending messages creates new pointstamps on the
 330 message targets, without dropping a smaller pointstamp that can support the positive change.

331 4 Exchanging Progress

332 As outlined in the previous section, the clocks protocol is not flexible enough to capture
 333 executions with non-upright changes, which are desired and supported by concrete imple-
 334 mentations of Timely Dataflow. At the same time, the protocol captures behaviors that are
 335 not reasonable in practice. Specifically, the clocks protocol does not separate the worker-local
 336 state from the system’s global state. The `perf_op` transition, which is meant to be executed
 337 by a single worker, uses the global state to check whether the transition is enabled and
 338 simultaneously updates the global state `rec` as part of the transition. In particular, a single
 339 `perf_op` transition allows a worker to drop a pointstamp that in the real system “belongs”
 340 to a different worker w and simultaneously consistently updates w ’s state. In concrete
 341 implementations of Timely Dataflow, workers execute `perf_op`’s asynchronously, and thus
 342 can only base the transition on information that is locally available to them.

343 Our modified model of the protocol, called *exchange*, resolves both issues. As the first step,
 344 we split the `rec` field into worker-local signed multisets `caps` of pointstamps, which we call
 345 *capabilities* as they indicate the possibility for the respective worker to emit these pointstamps.
 346 Workers may transfer capabilities to other workers. To do so, they asynchronously send
 347 capabilities as data messages to a central multiset `data` of pairs of workers (receivers) and
 348 pointstamps. We arrive at the following updated type of configurations:

² We refer here to locations as presented in Section 2. The model in Naiad is slightly different: there is no notion of ports, and pointstamp locations are either operators or edges. A straightforward translation of the Naiad model interprets pointstamps on operators as pointstamps on their source port, and pointstamps on edges become pointstamps on the associated target ports.

18:10 Verified Progress Tracking for Timely Dataflow

definition $\text{rcv_cap} :: 'w \Rightarrow 'p \Rightarrow ('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
 $\text{rcv_cap } w \ p \ c \ c' = (w, p) \in \# \text{ data } c \wedge$
 $c' = c(\text{caps} = (\text{caps } c)(w := \text{caps } c \ w + \{\#p\}_z), \text{data} = \text{data } c - \{\#(w, p)\}_z)$

definition $\text{perf_op} :: 'w \Rightarrow 'p \text{ mset} \Rightarrow ('w \times 'p) \text{ mset} \Rightarrow 'p \text{ mset} \Rightarrow$
 $('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
 $\text{perf_op } w \ \Delta_{\text{neg}} \ \Delta_{\text{data}} \ \Delta_{\text{self}} \ c \ c' =$
 $(\Delta_{\text{data}} \neq \{\#\} \vee \Delta_{\text{self}} - \Delta_{\text{neg}} \neq \{\#\}_z) \wedge (\forall p. \Delta_{\text{neg}} \# p \leq \text{caps } c \ w \ \#_z \ p) \wedge$
 $(\forall (w', p) \in \# \Delta_{\text{data}}. \exists p' < p. \text{caps } c \ w \ \#_z \ p' > 0) \wedge$
 $(\forall p \in \# \Delta_{\text{self}}. \exists p' \leq p. \text{caps } c \ w \ \#_z \ p' > 0) \wedge$
 $c' = c(\text{caps} = (\text{caps } c)(w := \text{caps } c \ w + \Delta_{\text{self}} - \Delta_{\text{neg}}), \text{data} = \text{data } c + \Delta_{\text{data}},$
 $\text{temp} = (\text{temp } c)(w := \text{temp } c \ w + (\text{snd } \# \Delta_{\text{data}} + \Delta_{\text{self}} - \Delta_{\text{neg}}))$

definition $\text{send_upd} :: 'w \Rightarrow 'p \text{ set} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
 $\text{send_upd } w \ P \ c \ c' = \text{let } \gamma = \{\#p \in \#_z \text{ temp } c \ w. p \in P\} \text{ in}$
 $\gamma \neq \{\#\}_z \wedge \text{justified } (\text{caps } c \ w) (\text{temp } c \ w - \gamma) \wedge$
 $c' = c(\text{msg} = (\text{msg } c)(w := \lambda w'. \text{msg } c \ w \ w' \cdot [\gamma]), \text{temp} = (\text{temp } c)(w := \text{temp } c \ w - \gamma))$

definition $\text{rcv_upd} :: 'w \Rightarrow 'w \Rightarrow ('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
 $\text{rcv_upd } w \ w' \ c \ c' = \text{msg } c \ w \ w' \neq [] \wedge$
 $c' = c(\text{msg} = (\text{msg } c)(w := (\text{msg } c \ w)(w' := \text{tl } (\text{msg } c \ w \ w'))),$
 $\text{glob} = (\text{glob } c)(w' := \text{glob } c \ w' + \text{hd } (\text{msg } c \ w \ w'))$

definition $\text{Next} :: ('w, 'p) \text{ conf} \Rightarrow ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
 $\text{Next } c \ c' = (c = c') \vee (\exists w \ p. \text{rcv_cap } w \ p \ c \ c') \vee$
 $(\exists w \ \Delta_{\text{neg}} \ \Delta_{\text{data}} \ \Delta_{\text{self}}. \text{perf_op } w \ \Delta_{\text{neg}} \ \Delta_{\text{data}} \ \Delta_{\text{self}} \ c \ c') \vee$
 $(\exists w \ P. \text{send_upd } w \ P \ c \ c') \vee (\exists w \ w'. \text{rcv_upd } w \ w' \ c \ c')$

■ **Figure 4** Transition relation of the exchange protocol

```

349   record ('w :: finite, 'p :: order) conf =
      caps :: 'w ⇒ 'p zmultiset
      data  :: ('w × 'p) mset
      msg   :: 'w ⇒ 'w ⇒ 'p zmultiset list
      temp  :: 'w ⇒ 'p zmultiset
      glob  :: 'w ⇒ 'p zmultiset

```

350 Including this fine-grained view on pointstamps will allow workers to make transitions based
351 on worker-local information. The entirety of the system's pointstamps, rec , which was
352 previously part of the configuration and which the protocol aims to track, can be computed
353 as the sum of all the workers' capabilities and data 's in-flight pointstamps.

354 **definition** $\text{rec} :: ('w, 'p) \text{ conf} \Rightarrow 'p \text{ zmultiset}$ **where** $\text{rec } c = (\sum_w \text{caps } c \ w) + \text{snd } \# \text{ data } c$

355 Here, the infix operator $\#$ denotes the image of a function over a multiset with resulting
356 counts given by $(f \# M) \# x = \sum_{y \in \{y \in \#M \mid f \ y = x\}} M \# y$.

357 The exchange protocol's initial state allows workers to start with some positive capabilities.
358 Each worker's glob must correctly reflect all initially present capabilities.

359 **definition** $\text{Init} :: ('w, 'p) \text{ conf} \Rightarrow \text{bool}$ **where**
 $\text{Init } c = (\forall w \ p. \text{caps } c \ w \ \#_z \ p \geq 0) \wedge \text{data } c = \{\#\} \wedge$
 $(\forall w \ w'. \text{msg } c \ w \ w' = []) \wedge (\forall w. \text{temp } c \ w = \{\#\}_z) \wedge (\forall w. \text{glob } c \ w = \text{rec } c)$

360 The transition relation of the exchange protocol, shown in Figure 4, is similar to that of the
361 clocks protocol. We focus on the differences between the two protocols. First, the exchange

362 protocol has an additional transition `recv_cap` to receive a previously sent capability. The
 363 transition removes a pointstamp from `data` and adds it to the receiving worker's capabilities.

364 The `perf_op` transition resembles its homonymous counterpart from the clocks protocol.
 365 Yet, the information flow is more fine grained. In particular, the transition is parameterized
 366 by a worker w and three multisets of pointstamps. As in the clocks protocol, the multiset
 367 Δ_{neg} represents negative changes to pointstamps. Only pointstamps for which w owns a
 368 capability in `caps` may be dropped in this way. The other two multisets Δ_{data} and Δ_{self}
 369 represent positive changes. The multiset Δ_{data} represents positive changes to other workers'
 370 capabilities—the receiving worker is stored in Δ_{data} . These changes are not immediately
 371 applied to the other worker's `caps`, but are sent via the `data` field. The multiset Δ_{self}
 372 represents positive changes to w 's capabilities, which are applied immediately applied to
 373 w 's `caps`. The separation between Δ_{data} and Δ_{self} is motivated by different requirements
 374 on these positive changes to pointstamps that we prove to be sufficient for safety. To send
 375 a positive capability to another worker, w is required to hold a positive capability for a
 376 strictly smaller pointstamp. In contrast, w can create a new capability for itself, if it is
 377 already holding a capability for the very same (or a smaller) pointstamp. In other words,
 378 w can arbitrarily increase the multiset counts of its own capabilities. Note that, unlike in the
 379 clocks protocol, there is no requirement of uprightness and, in fact, workers are not required
 380 to perform negative changes at all. Of course, it is useful for workers to perform negative
 381 changes every now and then so that the overall system can make progress.

382 The first condition in `perf_op`, namely $\Delta_{data} \neq \{\#\} \vee \Delta_{self} - \Delta_{neg} \neq \{\#\}_z$, ensures that
 383 the transition changes the configuration. In the exchange protocol, we also include explicit
 384 stutter steps in the `Next` relation ($c = c'$) but avoid them in the individual transitions.

385 Sending (`send_upd`) and receiving (`recv_upd`) progress updates works precisely as in the
 386 clocks protocol except for the condition on what remains in the sender's `temp` highlighted
 387 in gray in Figure 4. Because we allowed `perf_op` to perform non-upright changes, we can
 388 no longer expect the contents of `temp` to be upright. Instead, we use the predicate `justified`,
 389 which offers three possible justifications for positive entries in the signed multiset M (in
 390 contrast to `upright`'s sole justification of being supported in M):

391 **definition** `justified` :: ' p $zmset$ \Rightarrow ' p $zmset$ \Rightarrow **bool** **where**

$$\text{justified } C \ M = (\forall p. M\#_z p > 0 \longrightarrow \text{supp } M \ p \vee (\exists p' < p. C\#_z p' > 0) \vee M\#_z p < C\#_z p)$$

392 Thus, a positive count for pointstamp p in M may be either

- 393 ■ supported in M , i.e., in particular every upright change is justified, or
- 394 ■ justified by a smaller pointstamp in C , which we think of as the sender's capabilities, or
- 395 ■ justified by p in C , with the requirement that p 's count in M is smaller than p 's count in C .

396 The definitions of valid executions `Spec` and the safety predicate `Safe` are unchanged com-
 397 pared to the clocks protocol. Also, we prove precisely the same safety property `safe` following a
 398 similar proof structure. The main difference is that uprightness invariant 3 is replaced by the
 399 statement that every worker's incoming information is justified with respect to pointstamps
 400 present in `rec`, i.e. $\forall w. \text{justified}(\text{rec } c) (\text{info } c \ w)$. It is more tedious to reason about point-
 401 stamps being justified compared with being upright due to the three-way case distinction that
 402 is usually necessary. These case distinctions occur when establishing the above invariant, but
 403 also in the contradiction proof establishing safety. The contradiction proof proceeds as before
 404 by assuming a non-zero count for some pointstamp p in `rec`, up to which some worker w 's `glob` is
 405 vacant. Crucially, p is now additionally and without loss of generality assumed to be a minimal
 406 pointstamp with this property. By invariants 1 and 2, we deduce that w 's incoming informa-
 407 tion has a positive count for p . Because it is justified by the new invariant 3, we perform the

18:12 Verified Progress Tracking for Timely Dataflow

locale graph =
 fixes weights :: ('vtx :: finite) ⇒ 'vtx ⇒ ('lbl :: {order, monoid_add}) antichain
 assumes (l :: 'lbl) ≥ 0 and (l₁ :: 'lbl) ≤ l₃ → l₂ ≤ l₄ → l₁ + l₂ ≤ l₃ + l₄
 and weights l l = {}
locale dataflow = graph summary
 for summary :: ('l :: finite) ⇒ 'l ⇒ ('sum :: {order, monoid_add}) antichain +
 fixes ⊕ :: ('t :: order) ⇒ 'sum ⇒ 't
 assumes t ⊕ 0 = t and (t ⊕ s) ⊕ s' = t ⊕ (s + s') and t ≤ t' → s ≤ s' → t ⊕ s ≤ t' ⊕ s'
 and path l l xs → xs ≠ [] → t < t ⊕ (∑ xs)

■ **Figure 5** Locales for graphs and dataflows

408 case distinction on the justification. If p is supported in w 's incoming information, we proceed
 409 as in the clocks protocol. If p is justified by a positive count for a strictly smaller pointstamp
 410 in rec , we obtain a contradiction to p 's minimality. Finally, if p 's multiplicity in w 's incoming
 411 information is strictly smaller than p 's multiplicity in rec , invariant 2 tells us that p must
 412 have a positive count in glob , which contradicts the assumption of glob being vacant up to p .

413 We prove glob 's monotonicity for the exchange protocol, too. The proof resembles the
 414 one for the clocks protocol; it requires a generalization of justified , called justified_with , to
 415 account for positive entries in every in-flight progress message M . The generalization has the
 416 same three disjuncts as justified , but relaxes the first and third disjunct to take into account
 417 an additional multiset N of justifying pointstamps. Usages of justified_with instantiate N
 418 with the sum of messages following M in msg and the sender's temp .

419 **definition** justified_with :: 'p zmult ⇒ 'p zmult ⇒ 'p zmult ⇒ bool **where**
 $\text{justified_with } C M N = (\forall p. M \#_z p > 0 \rightarrow$
 $(\exists p' < p. M \#_z p' < 0 \vee N \#_z p' < 0) \vee (\exists p' < p. C \#_z p' > 0) \vee (M + N) \#_z p < C \#_z p)$

420 We also derive the following additional property of glob , which shows that any in-flight
 421 progress updates to a pointstamp p , positive or negative, have a corresponding positive
 422 count for some pointstamp less or equal than p in the receiver's glob . We will use this
 423 property when combining in Section 6 the exchange protocol with the worker-local progress
 424 propagation, which we cover next in Section 5.

425 **lemma** glob : $\text{Spec } s \rightarrow \text{alw } (\text{now } (\lambda c. \forall w w'. p.$
 $(\exists M \in \text{set } (\text{msg } c w w'). p \in \#_z M) \rightarrow (\exists p' \leq p. \text{glob } c w' \#_z p' > 0))) s$

5 Locally Propagating Progress

427 The previous sections focused on the progress-relevant communication between workers and
 428 abstracted over the actual dataflow that is evaluated by each worker. Next, we refine this
 429 abstraction: we model the actual dataflow graph as a weighted directed graph with vertices
 430 representing operator input and output ports, termed *locations*. We do not distinguish
 431 between source and target locations and thus also not between internal and dataflow edges.
 432 Each weight denotes a minimum increment that is performed to a timestamp when it con-
 433 ceptually travels along the corresponding edge from one location to another. On a single
 434 worker, progress updates can be communicated locally, so that every operator learns which
 435 timestamps it may still receive in the future. We formalize Timely Dataflow's approach for
 436 this local communication: the algorithm gradually propagates learned pointstamp changes
 437 along dataflow edges to update downstream frontiers.

438 Figure 5 details our modeling of graphs and dataflows, which uses locales [5] to capture
 439 our abstract assumptions on dataflows and timestamps. A locale lets us fix parameters (types
 440 and constants) and assume properties about them. In our setting, a weighted directed graph
 441 is given by a finite (class *finite*) type *'vtx* of vertices and a **weights** function that assigns each
 442 pair of vertices a weight. To express weights, we fix a type of labels *'lbl*, which we assume to
 443 be partially ordered (class *order*) and to form a monoid (class *monoid_add*) with the monoid
 444 operation $+$ and the neutral element 0 . We assume that labels are non-negative and that
 445 $+$ on labels is monotone with respect to the partial order \leq . A weight is then an antichain
 446 of labels, that is a set of incomparable (with respect to \leq) labels, which we model as follows:

447 **typedef** (*'t* :: *order*) *antichain* = $\{A :: 't \text{ set. finite } A \wedge (\forall a \in A. \forall b \in A. a \not\prec b \wedge b \not\prec a)\}$

448 We use standard set notation for antichains and omit type conversions from antichains to
 449 (signed) multisets. The empty antichain $\{\}$ is a valid weight, too, in which case we think
 450 of the involved vertices as not being connected to each other. Thus, the **graph** locale's final
 451 assumption expresses the non-existence of self-edges in a graph.

452 Within the **graph** locale, we can define the predicate **path** :: *'vtx* \Rightarrow *'vtx* \Rightarrow *'lbl list* \Rightarrow *bool*.
 453 Intuitively, **path** *v w xs* expresses that the list of labels *xs* is a valid path from *v* to *w* (the
 454 empty list being a valid path only if $v = w$ and any weight $l \in \text{weights } u v$ can extend a valid
 455 path from *v* to *w* to a path from *u* to *w*). We omit **path**'s formal straightforward inductive
 456 definition. Note that even though self-edges are disallowed, cycles in graphs are possible
 457 (and desired). In other words, **path** *v v xs* can be true for a non-empty list *xs*.

458 The second locale, **dataflow**, has two purposes. First, it refines the generic graph termino-
 459 logic from vertices and labels to locations (*'l*) and summaries (*'sum*), which is the correspond-
 460 ing terminology used in Timely Dataflow. Second, it introduces the type for timestamps *'t*,
 461 which is partially ordered (class *order*) and an operation \oplus (read as “results in”) that applies
 462 a summary to a timestamp to obtain a new timestamp. We chose the asymmetric symbol for
 463 the operation to remind the reader that its two arguments have different types, timestamps
 464 and summaries. The locale requires the operation \oplus to be well-behaved with respect to the
 465 available vocabulary on summaries (0 , $+$, and \leq). Moreover, it requires that proper cycles *xs*
 466 have a path summary $\sum xs$ (defined by iterating $+$) that strictly increments any timestamp *t*.

467 Now, consider a function **P** :: *'l* \Rightarrow *'t zmsset* that assigns each location a set of timestamps
 468 that it currently holds. We are interested in computing a lower bound of timestamps (with
 469 respect to the order \leq) that may arrive at any location for a given **P**. Timely Dataflow calls
 470 antichains that constitute such a lower bound frontiers. Formally, a frontier is the set of
 471 minimal incomparable elements that have a positive count in a signed multiset of timestamps.

472 **definition** *antichain_of* :: *'t set* \Rightarrow *'t set* **where** *antichain_of* *A* = $\{x \in A. \neg \exists y \in A. y < x\}$

473 **lift_definition** *frontier* :: *'t zmsset* \Rightarrow *'t antichain* **is** $\lambda M. \text{antichain_of } \{t. M \#_z t > 0\}$

474 Our frontier of interest, called the implied frontier, at location *l* can be computed directly
 475 for a given function **P** by adding, for every location *l'*, every (minimal) possible path summary
 476 between *l'* and *l*, denoted by the antichain **path_summary** *l' l*, to every timestamp present at
 477 *l'* and computing the frontier of the result. Formally, we first lift \oplus to signed multisets and
 478 antichains. Then, we use the lifted operator \oplus to define the implied frontier.

479 **definition** \oplus :: *'t zmsset* \Rightarrow *'sum antichain* \Rightarrow *'t zmsset* **where**

$$M \oplus A = \sum_{s \in A} (\lambda t. t \oplus s) \#_z M$$

480 **definition** *implied_frontier* :: (*'l* \Rightarrow *'t zmsset*) \Rightarrow *'l* \Rightarrow *'t antichain* **where**

$$\text{implied_frontier } P l = \text{frontier } \left(\sum_{l'} (\text{pos}_z (P l') \oplus \text{path_summary } l' l) \right)$$

18:14 Verified Progress Tracking for Timely Dataflow

definition `change_multiplicity` :: $'l \Rightarrow 't \Rightarrow \text{int} \Rightarrow ('l, 't) \text{ conf} \Rightarrow ('l, 't) \text{ conf} \Rightarrow \text{bool}$ **where**
`change_multiplicity` $l\ t\ n\ c\ c' = n \neq 0 \wedge (\exists t' \in \text{frontier (implications } c\ l). t' \leq t) \wedge$
 $c' = c(\text{pts} = (\text{pts } c)(l := \text{pts } c\ l + \text{replicate } n\ t),$
 $\text{work} = (\text{work } c)(l := \text{work } c\ l + \text{frontier (pts } c'\ l) - \text{frontier (pts } c\ l)))$

definition `propagate` :: $'l \Rightarrow 't \Rightarrow ('l, 't) \text{ conf} \Rightarrow ('l, 't) \text{ conf} \Rightarrow \text{bool}$ **where**
`propagate` $l\ t\ c\ c' = t \in \#_z \text{work } c\ l \wedge (\forall l'. \forall t' \in \#_z \text{work } c\ l'. \neg t' < t) \wedge$
 $c' = c(\text{imp} = (\text{imp } c)(l := \text{imp } c\ l + \text{replicate (work } c\ l\ \#_z\ t)\ t),$
 $\text{work} = \lambda l'. \text{if } l = l' \text{ then } \{\#t' \in \#_z \text{work } c\ l. t' \neq t\}$
 $\text{else } \text{work } c\ l' + ((\text{frontier (imp } c'\ l) - \text{frontier (imp } c\ l)) \oplus \text{summary } l\ l'))$

definition `Next` :: $('l, 't) \text{ conf} \Rightarrow ('l, 't) \text{ conf} \Rightarrow \text{bool}$ **where**
`Next` $c\ c' = (c = c') \vee (\exists l\ t\ n. \text{change_multiplicity } l\ t\ n\ c\ c') \vee (\exists l\ t. \text{propagate } l\ t\ c\ c')$

■ **Figure 6** Transition relation of the local progress propagation

481 Above and elsewhere, given a signed multiset M , we write $f \#_z M$ for the image (as a signed
 482 multiset) of f over M and $\text{pos}_z M$ for the signed multiset of M 's positive entries.

483 Computing the implied frontier for each location in this way (quadratic in the number of
 484 locations) would be too inefficient, especially because we want to frequently supply operators
 485 with up-to-date progress information. Instead, we follow the optimized approach implemented
 486 in Timely Dataflow: after performing some work and making some progress, operators start
 487 pushing relevant updates only to their immediate successors in the dataflow graph. The
 488 information gradually propagates and eventually converges to the implied frontier. Despite
 489 this local propagation not being a distributed protocol as such, we formalize it for a fixed
 490 dataflow in a similar state-machine style as the earlier exchange protocol.

491 Local propagation uses a configuration consisting of three signed multiset components.

492 **record** $('l :: \text{finite}, 't :: \{\text{monoid_add}, \text{order}\}) \text{ conf} =$
 $\text{pts} :: 'l \Rightarrow 't\ \text{zmset}$
 $\text{imp} :: 'l \Rightarrow 't\ \text{zmset}$
 $\text{work} :: 'l \Rightarrow 't\ \text{zmset}$

493 Following Timely Dataflow terminology, pointstamps `pts` are the present timestamps grouped
 494 by location (the P function from above). The implications `imp` are the output of the local
 495 propagation and contain an over-approximation of the implied frontier (as we will show). Fi-
 496 nally, the worklist `work` is an auxiliary data structure to store not-yet propagated timestamps.

497 Initially, all implications are empty and worklists consist of the frontiers of the pointstamps.

498 **definition** `Init` :: $('l, 't) \text{ conf} \Rightarrow \text{bool}$ **where**
`Init` $c = (\forall l. \text{imp } c\ l = \{\#\}_z \wedge \text{work } c\ l = \text{frontier (pts } c\ l))$

499 The propagation proceeds by executing one of two actions shown in Figure 6. The action
 500 `change_multiplicity` constitutes the algorithm's information input: The system may have
 501 changed the multiplicity of some timestamp t at location l and can use this action to notify
 502 the propagation algorithm of the change. The change value n is required to be non-zero and
 503 the affected timestamp t must be witnessed by some timestamp in the implications. Note
 504 that the latter requirement prohibits executing this action in the initial state. The action
 505 updates the pointstamps according to the declared change. It also updates the worklist,
 506 but only if the update of the pointstamps affects the frontier of the pointstamps at l and
 507 moreover the worklists are updated merely by the change to the frontier.

508 The second action, `propagate`, applies the information for the timestamp t stored in the
 509 worklist at a given location l , to the location's implications (thus potentially enabling the first

510 action). It also updates the worklists at the location's immediate successors in the dataflow
 511 graph. Again the worklist updates are filtered by whether they affect the frontier (of the
 512 implications) and are adjusted by the summary between l and each successor. Importantly,
 513 only minimal timestamps (with respect to timestamps in worklists at all locations) may be
 514 propagated, which ensures that any timestamp will eventually disappear from all worklists.

515 The overall transition relation `Next` allows us to choose between these two actions and a
 516 stutter step. Together with `Init`, it gives rise to the predicate describing valid executions in
 517 the standard way: `Spec s = now Init s ∧ alw (relates Next) s`.

518 We show that valid executions satisfy a safety invariant. Ideally, we would like to show that
 519 for any t with a positive count in `pts` at location l and for any path summary s between l and
 520 some location l' , there is a timestamp in the (frontier of the) implications at l' that is less than
 521 or equal to $t \oplus s$. In other words, the location l' is aware that it may still encounter timestamp
 522 $t \oplus s$. Stated as above, the invariant does not hold, due to the not-yet-propagated progress
 523 information stored in the worklists. If some timestamp, however, does not occur in any worklist
 524 (formalized by the below `work_vacant` predicate), we obtain our desired invariant `Safe`.

525 **definition** `work_vacant` :: (l, t) *conf* \Rightarrow $t \Rightarrow$ *bool* **where**
 $\text{work_vacant } c \ t = (\forall l' \ s \ t'. t' \in \#_z \text{ work } c \ l \longrightarrow s \in \text{path_summary } l \ l' \longrightarrow t' \oplus s \not\leq t)$
 526 **definition** `Safe` :: (l, t) *conf stream* \Rightarrow *bool* **where**
 $\text{Safe } c = (\forall l' \ t \ s. \text{pts } c \ l \ \#_z \ t > 0 \wedge s \in \text{path_summary } l \ l' \wedge \text{work_vacant } c \ (t \oplus s) \longrightarrow$
 $(\exists t' \in \text{frontier } (\text{imp } c \ l'). t' \leq t \oplus s))$
 527 **lemma** `safe`: `Spec s \longrightarrow alw (now Safe) s`

528 In our running WCC example, `Safe` is for example necessary to determine once operator b
 529 has received all incoming updates for a certain round of label propagation, which is encoded
 530 as a timestamp (t_1, t_2) . If a pointstamp at port $b.3$ was not correctly reflected in the frontier
 531 at $b.1$ the operator may incorrectly determine that it has seen all incoming labels for a
 532 certain graph node and proceed to the next round of propagation. `Safe` states, that this
 533 cannot happen and all pointstamps are correctly reflected in relevant downstream frontiers.

534 The safety proof relies on two auxiliary invariants. First, implications have only positive
 535 entries. Second, the sum of the implication and the worklist at a given location l is equal to the
 536 sum of the frontier of the pointstamps at l and the sum of all frontiers of the implications of
 537 all immediate predecessor locations l' (adjusted by the corresponding summary `summary $l' \ l$`).

538 While the above safety property is sufficient to prove safety of the combination of the
 539 local propagation and the exchange protocol in the next section, we also establish that the
 540 computed frontier of the implications converges to the implied frontier. Specifically, the two
 541 frontiers coincide for timestamps which are not contained in any of the worklists.

542 **lemma** `implied_frontier`: `Spec s \longrightarrow alw (now ($\lambda c. \text{work_vacant } c \ t \longrightarrow$
 $(\forall l. t \in \text{frontier } (\text{imp } c \ l) \longleftrightarrow t \in \text{implied_frontier } (\text{pts } c \ l)))) s$`

543 6 Progress Tracking

544 We are now ready to combine the two parts presented so far: the between-worker exchange
 545 of progress updates (Section 4) and the worker-local progress propagation (Section 5). The
 546 combined protocol takes pointstamp changes and determines per-location frontiers at each
 547 operator on each worker. It operates on configurations consisting of a single exchange protocol
 548 configuration (referred to with the prefix `E`) and for each worker a local propagation configura-
 549 tion (prefix `P`) and a Boolean flag indicating whether the worker has been properly initialized.

18:16 Verified Progress Tracking for Timely Dataflow

definition $\text{recv_cap} :: 'w \Rightarrow 'l \times 't \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow \text{bool}$ **where**
 $\text{recv_cap } w \ p \ c \ c' = \text{E.recv_cap } w \ p \ (\text{exch } c) \ (\text{exch } c') \wedge \text{prop } c' = \text{prop } c \wedge \text{init } c' = \text{init } c$

definition $\text{perf_op} :: 'w \Rightarrow ('l \times 't) \text{mset} \Rightarrow ('w \times ('l \times 't)) \text{mset} \Rightarrow ('l \times 't) \text{mset} \Rightarrow$
 $('w, 'l, 't) \text{conf} \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow \text{bool}$ **where**
 $\text{perf_op } w \ \Delta_{\text{neg}} \ \Delta_{\text{data}} \ \Delta_{\text{self}} \ c \ c' = \text{E.perf_op } w \ \Delta_{\text{neg}} \ \Delta_{\text{data}} \ \Delta_{\text{self}} \ (\text{exch } c) \ (\text{exch } c') \wedge$
 $\text{prop } c' = \text{prop } c \wedge \text{init } c' = \text{init } c$

definition $\text{send_upd} :: 'w \Rightarrow ('l \times 't) \text{set} \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow \text{bool}$ **where**
 $\text{send_upd } w \ P \ c \ c' = \text{E.send_upd} \ (\text{exch } c) \ (\text{exch } c') \ w \ P \wedge \text{prop } c' = \text{prop } c \wedge \text{init } c' = \text{init } c$

definition $\text{cm_all} :: ('l, 't) P.\text{conf} \Rightarrow ('l \times 't) \text{zmset} \Rightarrow ('l, 't) P.\text{conf}$ **where**
 $\text{cm_all } c \ \Delta = \text{Set.fold} \ (\lambda(l, t) \ c. \text{SOME } c'. P.\text{change_multiplicity } c \ c' \ l \ t \ (\Delta \#_z (l, t))) \ c$
 $\{(l, t). (l, t) \in \#_z \ \Delta\}$

definition $\text{recv_upd} :: 'w \Rightarrow 'w \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow \text{bool}$ **where**
 $\text{recv_upd } w \ w' \ c \ c' = \text{init } c \ w' \wedge \text{E.recv_upd } w \ t \ (\text{exch } c) \ (\text{exch } c') \wedge$
 $\text{prop } c' = (\text{prop } c)(w' := \text{cm_all} \ (\text{prop } c \ w')) \ (\text{hd} \ (\text{E.msg} \ (\text{exch } c))) \wedge \text{init } c' = \text{init } c$

definition $\text{propagate} :: 'w \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow \text{bool}$ **where**
 $\text{propagate } w \ c \ c' = \text{exch } c' = \text{exch } c \wedge \text{init } c' = (\text{init } c)(w := \text{True}) \wedge$
 $(\text{Some} \circ \text{prop } c') = (\text{Some} \circ \text{prop } c)(w := \text{while_option}$
 $(\lambda c. \exists l. P.\text{work } c \ l \neq \{\#\}_z) \ (\lambda c. \text{SOME } c'. \exists t. P.\text{propagate } l \ t \ c \ c')) \ (\text{prop } c \ w))$

definition $\text{Next} :: ('w, 'l, 't) \text{conf} \Rightarrow ('w, 'l, 't) \text{conf} \Rightarrow \text{bool}$ **where**
 $\text{Next } c \ c' = (c = c') \vee (\exists w \ p. \text{recv_cap } w \ p \ c \ c') \vee$
 $(\exists w \ \Delta_{\text{neg}} \ \Delta_{\text{data}} \ \Delta_{\text{self}}. \text{perf_op } w \ \Delta_{\text{neg}} \ \Delta_{\text{data}} \ \Delta_{\text{self}} \ c \ c') \vee$
 $(\exists w \ P. \text{send_upd } w \ P \ c \ c') \vee (\exists w \ w'. \text{recv_upd } w \ w' \ c \ c') \vee (\exists w. \text{propagate } w \ c \ c')$

■ **Figure 7** Transition relation of the combined progress tracker

550 **record** $('w :: \text{finite}, 'l :: \text{finite}, 't :: \{\text{monoid_add}, \text{order}\}) \text{conf} =$
 $\text{exch} :: ('w, 'l \times 't) E.\text{conf}$
 $\text{prop} :: 'w \Rightarrow ('l, 't) P.\text{conf}$
 $\text{init} :: 'w \Rightarrow \text{bool}$

551 As pointstamps in the exchange protocol, we use pairs of locations and timestamps. To order
552 pointstamps, we use the following *could-result-in* relation, inspired by Abadi and Isard [3].

553 **definition** \leq_{cri} **where** $(l, t) \leq_{\text{cri}} (l', t') = (\exists s \in \text{path_summary } l \ l'. t \oplus s \leq t')$

554 As required by the exchange protocol, this definition yields a partial order. In particular,
555 antisymmetry follows from the assumption that proper cycles have a non-zero summary and
556 transitivity relies on the operation \oplus being monotone. Intuitively, \leq_{cri} captures a notion of
557 reachability in the dataflow graph: as timestamp t traverses the graph starting at location l , it
558 could arrive at location l' , being incremented to timestamp t' . (In Timely Dataflow, an edge's
559 summary represents the minimal increment to a timestamp when it traverses that edge.)

560 In an initial combined configuration, all workers are not initialized and all involved
561 configurations are initial. Moreover, the local propagation's pointstamps coincide with
562 exchange protocol's glob , which is kept invariant in the combined protocol.

563 **definition** $\text{Init} :: ('w, 'l, 't) \text{conf} \Rightarrow \text{bool}$ **where**
 $\text{Init } c = (\forall w. \text{init } c \ w = \text{False}) \wedge \text{E.Init} \ (\text{exch } c) \wedge (\forall w. P.\text{Init} \ (\text{prop } c \ w)) \wedge$
 $(\forall w \ l \ t. P.\text{pts} \ (\text{prop } c \ w) \ l \ \#_z \ t = \text{E.glob} \ (\text{exch } c) \ w \ \#_z \ (l, t))$

564 Figure 7 shows the combined protocol's transition relation Next . Most actions have
565 identical names as the exchange protocol's actions and they mostly perform the correspond-
566 ing actions on the exchange part of the configuration. In addition, the recv_upd action

567 also performs several `change_multiplicity` local propagation actions: the receiver updates
 568 the state of its local propagation configuration for all received timestamp updates. The
 569 action `propagate` does not have a counterpart in the exchange protocol. It iterates, using
 570 the `while_option` combinator from Isabelle’s library, propagation on a single worker until all
 571 worklists are empty. The term `while_option b c s` repeatedly applies `c` starting from the initial
 572 state `s`, until the predicate `b` is satisfied. Overall, it evaluates to `Some s'` satisfying $\neg b\ s'$ and
 573 $s' = c(\dots(c\ s))$ with the least possible number of repetitions of `c` and to `None` if no such
 574 state exists. Thus, it is only possible to take the `propagate` action, if the repeated propagation
 575 terminates for the considered configuration. We believe that repeated propagation terminates
 576 for any configuration, but we do not prove this non-obvious³ fact formally. Timely Dataflow
 577 also iterates propagation until all worklists of a worker become empty. This gives us additional
 578 empirical evidence that the iteration terminates on practical dataflows. Moreover, even
 579 if the iteration were to not terminate for some worker on some dataflow (both in Timely
 580 Dataflow and in our model), our combined protocol can faithfully capture this behavior by
 581 not executing the `propagate` action, but also not any other action involving the looping worker,
 582 thus retaining safety for the rest of the workers. Finally, any worker that has completed at
 583 least one propagation action is considered to be initialized (by setting its `init` flag to `True`).

584 The `Init` predicate and the `Next` relation give rise to the familiar specification of valid
 585 executions $\text{Spec } s = \text{now Init } s \wedge \text{alw } (\text{relates Next})\ s$. Safety of the combined protocol can be
 586 described informally as follows: Every initialized worker `w` has some evidence for the existence
 587 of a timestamp `t` at location `l` at *any* worker `w'` in the frontier of its (i.e., `w`’s) implications at all
 588 locations `l'` reachable from `l`. Formally, `E.rec` contains the timestamps that exist in the system:

589 **definition** `Safe` :: $(\text{'w, 'l, 't})\ \text{conf stream} \Rightarrow \text{bool}$ **where**

$$\text{Safe } c = (\forall w\ l\ l'\ t\ s.\ \text{init } c\ w \wedge \text{E.rec } (\text{exch } c) \#_z(l, t) > 0 \wedge s \in \text{path_summary } l\ l' \longrightarrow$$

$$(\exists l' \in \text{frontier } (\text{P.imp } (\text{prop } c\ w))\ l').\ l' \leq t \oplus s)$$

590 Our main formalized result is the statement that the above predicate is an invariant.

591 **lemma** `safe`: $\text{Spec } s \longrightarrow \text{alw } (\text{now Safe})\ s$

592 The proof proceeds by lifting (and then combining) the safety statements and some auxiliary
 593 invariants of the exchange protocol and the local propagation to the combined execution.
 594 The lifting step is feasible, because we included stutter steps in the modeling of these
 595 components. In particular, the projection of a valid execution to the exchange configurations
 596 results in a valid execution of the exchange protocol: the `propagate` step constitutes a stutter
 597 step for the exchange configuration. In contrast, the projection to the local propagation
 598 configuration does not result in a valid execution of the local propagation, but in an execution
 599 that takes steps according to the reflexive transitive closure of the local propagation’s
 600 transition relation `P.Next`: the steps `propagate` and `rcv_upd` can take an arbitrary number
 601 of local propagation steps (whereas other transitions stutter from the point of view of local
 602 propagation). Fortunately, safety properties are easy to lift to such “big-step” executions.

603 In the combined progress tracking protocol, safety guarantees that if a pointstamp is
 604 present at an operator’s port, it is correctly reflected at every downstream port. In the
 605 WCC example, when deployed on two workers, each operator is instantiated twice, once on

³ Because propagation must operate on a globally minimal timestamp and because loops in the dataflow graph have a non-zero summary, repeated propagation will eventually forever remove any timestamp from any worklist. However, it is not as obvious why it eventually will stop introducing larger and larger timestamps in worklists. The termination argument must rely on the fact that only timestamps that modify the frontier of the implications are ever added to worklists.

606 each worker. If a pointstamp $(b.3, (3, 0))$ is present on port $b.3$ of one of the instances of
 607 operator b , the frontier at $c.1$ on all workers must contain a t such that $t \preceq (3, 0)$. Due to the
 608 summary between $c.1$ and $c.2$, frontiers at $c.2$ and $b.1$ must contain a t such that $t \preceq (3, 1)$.
 609 As an example, this ensures that operator b waits for each of its instances to complete the
 610 first round propagation of all labels before it chooses the lowest label for the next round.

611 **7** Discussion

612 We have presented an Isabelle/HOL formalization of Timely Dataflow’s progress tracking
 613 protocol, including the verification of its safety. Compared to an earlier formalization by
 614 Abadi et al. [4], our protocol is both more general, which allows it to capture behaviors
 615 present in the implementations of Timely Dataflow and absent in Abadi et al.’s model, and
 616 more detailed in that it explicitly models the local propagation of progress information.

617 Our formalization spans about 7000 lines of Isabelle definitions and proofs. These are
 618 roughly distributed as follows over the components we presented: basic properties of **graphs**
 619 and signed multisets (1000), exchange protocol (3100), local propagation (1700), combined
 620 protocol (1200). This is comparable in size to the TLA⁺ Proof System formalization by Abadi
 621 et al., even though we formalized a significantly more detailed, complex, and realistic variant of
 622 the progress tracking protocol. Ground to this claim is the fact that we had actually started our
 623 formalization by porting significant parts of the TLA⁺ Proof System formalization to Isabelle.
 624 We completed the proofs of their two main safety statement within one person-week in about
 625 1000 lines of Isabelle (not included above). Our use of Isabelle’s library for linear temporal
 626 logic on streams (in particular, the coinductive predicate `alw`) allowed us to copy directly a vast
 627 majority of the TLA⁺ definitions. Additionally, Isabelle’s mature proof automation allowed us
 628 to apply a fairly mechanical porting process to many of the proofs. Most ported lemmas could
 629 be proved either directly by Sledgehammer [28] or by sketching an Isar [31] proof skeleton
 630 of the main proof steps and discharging most of the resulting subgoals with Sledgehammer.

631 In the subsequent development of the combined protocol, Isabelle’s locales [5] were an
 632 important asset. By confining the exchange protocol and the local propagation each to their
 633 own local assumptions, we were able to develop them in parallel and in their full generality.
 634 Thus, we obtain formal models not only of the combined protocol itself but also of these two
 635 subsystems in a generality that goes beyond what is needed for the concrete combined instance.
 636 For example, although the combined protocol uses the `could-result-in` order, the exchange
 637 protocol works for any partial order on pointstamps. Moreover, the combined protocol always
 638 propagates until all worklists are empty, even though the local propagation’s safety supports
 639 small-step propagation, resulting in a more fine-grained safety property via `work_vacant`.

640 In our formalization, we make extensive use of signed multisets [8]. The alternative (used in
 641 the TLA⁺ Proof System formalization), would be to use integer-valued functions instead. The
 642 signed multiset type additionally captures a finite domain assumption, which it was convenient
 643 not to carry around explicitly and in particular simplified reasoning about summations. The
 644 expected downside of having separate types for function-like (`mset`) and set-like (`antichain`)
 645 objects was the need to insert explicit type conversions and to transfer properties across these
 646 conversions. Both complications were to some extent alleviated by Lifting and Transfer [18].

647 Progress tracking is only a small, albeit arguably the most intricate part of Timely Data-
 648 flow. Verifying its safety is an important first step towards our long-term goal of developing a
 649 verified, executable variant of Timely Dataflow and using it as a framework for the verification
 650 of efficient and scalable stream processing algorithms. More modest next steps are to prove
 651 the local propagation algorithm’s termination and to make our formalization executable. We

652 have made first steps towards the latter goal, by creating a functional, executable variant
653 of the local propagation’s transition relation [11]. This allowed us to compare our formalized
654 propagation algorithm to the one implemented in Rust. We found that their input–output
655 behavior coincides on all example dataflows accompanying the Rust implementation, con-
656 firming our model’s faithfulness. We are working on including the exchange protocol in this
657 comparative testing, which poses a challenge because of the protocol’s distributed nature.

658 **Acknowledgments** We thank David Basin and Timothy Roscoe for supporting this work
659 and Frank McSherry for providing valuable input on our formalization, e.g, by suggesting to
660 consider the `implied_frontier` notion and to show that it is what local propagation computes.
661 David Cock, Jon Howell, and Frank McSherry provided helpful feedback after reading early
662 drafts of this paper. Dmitriy Traytel is supported by a Novo Nordisk Fonden Start Package
663 Grant (NNF20OC0063462). Andrea Lattuada is supported by a Google PhD Fellowship.

664 — References —

- 665 1 Github: Timely dataflow. URL: <https://github.com/TimelyDataflow/timely-dataflow/>.
- 666 2 Materialize: Incrementally-updated materialized views. URL: <https://materialize.com>.
- 667 3 Martín Abadi and Michael Isard. Timely dataflow: A model. In Susanne Graf and Mahesh
668 Viswanathan, editors, *FORTE 2015*, volume 9039 of *LNCS*, pages 131–145. Springer, 2015.
669 doi:10.1007/978-3-319-19195-9_9.
- 670 4 Martín Abadi, Frank McSherry, Derek Gordon Murray, and Thomas L. Rodeheffer. Formal
671 analysis of a distributed algorithm for tracking progress. In Dirk Beyer and Michele Boreale,
672 editors, *FMOODS/FORTE 2013*, volume 7892 of *LNCS*, pages 5–19. Springer, 2013. doi:
673 10.1007/978-3-642-38592-6_2.
- 674 5 Clemens Ballarin. Locales: A module system for mathematical theories. *J. Autom. Reason.*,
675 52(2):123–153, 2014. URL: <https://doi.org/10.1007/s10817-013-9284-7>.
- 676 6 Véronique Benzaken and Evelyne Contejean. A Coq mechanised formal semantics for realistic
677 SQL queries: formally reconciling SQL and bag relational algebra. In Assia Mahboubi and
678 Magnus O. Myreen, editors, *CPP 2019*, pages 249–261. ACM, 2019. doi:10.1145/3293880.
679 3294107.
- 680 7 Véronique Benzaken, Evelyne Contejean, Chantal Keller, and E. Martins. A Coq formalisation
681 of SQL’s execution engines. In Jeremy Avigad and Assia Mahboubi, editors, *ITP 2018*, volume
682 10895 of *LNCS*, pages 88–107. Springer, 2018. doi:10.1007/978-3-319-94821-8_6.
- 683 8 Jasmin Christian Blanchette, Mathias Fleury, and Dmitriy Traytel. Nested multisets, hereditary
684 multisets, and syntactic ordinals in Isabelle/HOL. In Dale Miller, editor, *FSCD 2017*, volume 84
685 of *LIPICs*, pages 11:1–11:18. Schloss Dagstuhl – Leibniz-Zentrum für Informatik, 2017. doi:
686 10.4230/LIPICs.FSCD.2017.11.
- 687 9 Kaustuv Chaudhuri, Damien Doligez, Leslie Lamport, and Stephan Merz. Verifying safety prop-
688 erties with the TLA+ proof system. In Jürgen Giesl and Reiner Hähnle, editors, *IJCAR 2010*,
689 volume 6173 of *LNCS*, pages 142–148. Springer, 2010. doi:10.1007/978-3-642-14203-1_12.
- 690 10 Shumo Chu, Chenglong Wang, Konstantin Weitz, and Alvin Cheung. Cosette: An automated
691 prover for SQL. In *CIDR 2017*. www.cidrdb.org, 2017. URL: [http://cidrdb.org/cidr2017/](http://cidrdb.org/cidr2017/papers/p51-chu-cidr17.pdf)
692 [papers/p51-chu-cidr17.pdf](http://cidrdb.org/cidr2017/papers/p51-chu-cidr17.pdf).
- 693 11 Sára Decova. Modelling and verification of the Timely Dataflow progress tracking protocol.
694 Master’s thesis, ETH Zurich, Zurich, 2020. doi:10.3929/ethz-b-000444762.
- 695 12 Tomás Díaz, Federico Olmedo, and Éric Tanter. A mechanized formalization of GraphQL.
696 In Jasmin Blanchette and Catalin Hritcu, editors, *CPP 2020*, pages 201–214. ACM, 2020.
697 doi:10.1145/3372885.3373822.
- 698 13 Victor B. F. Gomes, Martin Kleppmann, Dominic P. Mulligan, and Alastair R. Beresford.
699 Verifying strong eventual consistency in distributed systems. *Proc. ACM Program. Lang.*,
700 1(OOPSLA):109:1–109:28, 2017. doi:10.1145/3133933.

18:20 Verified Progress Tracking for Timely Dataflow

- 701 **14** Travis Hance, Andrea Lattuada, Chris Hawblitzel, Jon Howell, Rob Johnson, and Bryan Parno.
702 Storage systems are distributed systems (so verify them that way!). In *OSDI 2020*, pages
703 99–115. USENIX Association, 2020. URL: [https://www.usenix.org/conference/osdi20/](https://www.usenix.org/conference/osdi20/presentation/hance)
704 [presentation/hance](https://www.usenix.org/conference/osdi20/presentation/hance).
- 705 **15** Chris Hawblitzel, Jon Howell, Manos Kapritsos, Jacob R. Lorch, Bryan Parno, Michael L.
706 Roberts, Srinath T. V. Setty, and Brian Zill. Ironfleet: proving practical distributed systems
707 correct. In Ethan L. Miller and Steven Hand, editors, *SOSP 2015*, pages 1–17. ACM, 2015.
708 doi:10.1145/2815400.2815428.
- 709 **16** Jonas Kastberg Hinrichsen, Jesper Bengtson, and Robbert Krebbers. Actris: session-type
710 based reasoning in separation logic. *Proc. ACM Program. Lang.*, 4(POPL):6:1–6:30, 2020.
711 doi:10.1145/3371074.
- 712 **17** C. A. R. Hoare. Communicating sequential processes. *Commun. ACM*, 21(8):666–677, 1978.
713 doi:10.1145/359576.359585.
- 714 **18** Brian Huffman and Ondrej Kuncar. Lifting and Transfer: A modular design for quotients in
715 Isabelle/HOL. In Georges Gonthier and Michael Norrish, editors, *CPP 2013*, volume 8307 of
716 *LNCS*, pages 131–146. Springer, 2013. doi:10.1007/978-3-319-03545-1_9.
- 717 **19** Ralf Jung, Robbert Krebbers, Jacques-Henri Jourdan, Ales Bizjak, Lars Birkedal, and Derek
718 Dreyer. Iris from the ground up: A modular foundation for higher-order concurrent separation
719 logic. *J. Funct. Program.*, 28:e20, 2018. doi:10.1017/S0956796818000151.
- 720 **20** Leslie Lamport. Paxos made simple, fast, and Byzantine. In Alain Bui and Hacène Fouchal,
721 editors, *OPODIS 2002*, volume 3 of *Studia Informatica Universalis*, pages 7–9. Suger, Saint-
722 Denis, rue Catulienne, France, 2002.
- 723 **21** Mohsen Lesani, Christian J. Bell, and Adam Chlipala. Chapar: certified causally consistent
724 distributed key-value stores. In Rastislav Bodík and Rupak Majumdar, editors, *POPL 2016*,
725 pages 357–370. ACM, 2016. doi:10.1145/2837614.2837622.
- 726 **22** J. Gregory Malecha, Greg Morrisett, Avraham Shinnar, and Ryan Wisnesky. Toward a verified
727 relational database management system. In Manuel V. Hermenegildo and Jens Palsberg,
728 editors, *POPL 2010*, pages 237–248. ACM, 2010. doi:10.1145/1706299.1706329.
- 729 **23** Frank McSherry, Andrea Lattuada, Malte Schwarzkopf, and Timothy Roscoe. Shared ar-
730 rangements: practical inter-query sharing for streaming dataflows. *Proc. VLDB Endow.*,
731 13(10):1793–1806, 2020. URL: <http://www.vldb.org/pvldb/vol13/p1793-mcsherry.pdf>.
- 732 **24** Frank McSherry, Derek Gordon Murray, Rebecca Isaacs, and Michael Isard. Differential
733 dataflow. In *CIDR 2013*. www.cidrdb.org, 2013. URL: [http://cidrdb.org/cidr2013/Papers/](http://cidrdb.org/cidr2013/Papers/CIDR13_Paper111.pdf)
734 [CIDR13_Paper111.pdf](http://cidrdb.org/cidr2013/Papers/CIDR13_Paper111.pdf).
- 735 **25** Derek Gordon Murray, Frank McSherry, Rebecca Isaacs, Michael Isard, Paul Barham, and
736 Martín Abadi. Naiad: a timely dataflow system. In Michael Kaminsky and Mike Dahlin,
737 editors, *SOSP 2013*, pages 439–455. ACM, 2013. doi:10.1145/2517349.2522738.
- 738 **26** Derek Gordon Murray, Frank McSherry, Michael Isard, Rebecca Isaacs, Paul Barham, and
739 Martín Abadi. Incremental, iterative data processing with timely dataflow. *Commun. ACM*,
740 59(10):75–83, 2016. doi:10.1145/2983551.
- 741 **27** Diego Ongaro and John K. Ousterhout. In search of an understandable consensus algorithm. In
742 Garth Gibson and Nikolai Zeldovich, editors, *USENIX ATC 2014*, pages 305–319. USENIX As-
743 sociation, 2014. URL: [https://www.usenix.org/conference/atc14/technical-sessions/](https://www.usenix.org/conference/atc14/technical-sessions/presentation/ongaro)
744 [presentation/ongaro](https://www.usenix.org/conference/atc14/technical-sessions/presentation/ongaro).
- 745 **28** Lawrence C. Paulson and Jasmin Christian Blanchette. Three years of experience with
746 Sledgehammer, a practical link between automatic and interactive theorem provers. In Geoff
747 Sutcliffe, Stephan Schulz, and Eugenia Ternovska, editors, *IWIL 2010*, volume 2 of *EPiC Series*
748 *in Computing*, pages 1–11. EasyChair, 2010. URL: [https://easychair.org/publications/](https://easychair.org/publications/paper/wV)
749 [paper/wV](https://easychair.org/publications/paper/wV).
- 750 **29** Ilya Sergey, James R. Wilcox, and Zachary Tatlock. Programming and proving with distributed
751 protocols. *Proc. ACM Program. Lang.*, 2(POPL):28:1–28:30, 2018. doi:10.1145/3158116.

- 752 30 Christoph Sprenger, Tobias Klenze, Marco Eilers, Felix A. Wolf, Peter Müller, Martin Clochard,
753 and David A. Basin. Igloo: soundly linking compositional refinement and separation logic for
754 distributed system verification. *Proc. ACM Program. Lang.*, 4(OOPSLA):152:1–152:31, 2020.
755 doi:10.1145/3428220.
- 756 31 Makarius Wenzel. Isabelle/Isar—A generic framework for human-readable proof documents.
757 In Roman Matuszewski and Anna Zalewska, editors, *From Insight to Proof: Festschrift in*
758 *Honour of Andrzej Trybulec*, volume 10(23) of *Studies in Logic, Grammar, and Rhetoric*.
759 Uniwersytet w Białymstoku, 2007.
- 760 32 James R. Wilcox, Doug Woos, Pavel Panchekha, Zachary Tatlock, Xi Wang, Michael D.
761 Ernst, and Thomas E. Anderson. Verdi: a framework for implementing and formally verifying
762 distributed systems. In David Grove and Steve Blackburn, editors, *PLDI 2015*, pages 357–368.
763 ACM, 2015. doi:10.1145/2737924.2737958.