



# Support-dependent rate-determining step of CO<sub>2</sub> hydrogenation to formic acid on metal oxide supported Pd catalysts



Zhenhua Zhang<sup>a</sup>, Liyuan Zhang<sup>b</sup>, Siyu Yao<sup>c</sup>, Xiaozhe Song<sup>a</sup>, Weixin Huang<sup>b</sup>, Max J. Hülsey<sup>a,\*</sup>, Ning Yan<sup>a,\*</sup>

<sup>a</sup> Department of Chemical and Biomolecular Engineering, National University of Singapore, Blk E5, 4 Engineering Drive 4, Singapore 117585, Singapore

<sup>b</sup> Hefei National Laboratory for Physical Sciences at Microscale, CAS Key Laboratory of Materials for Energy Conversion and Department of Chemical Physics, University of Science and Technology of China, Hefei 230026, PR China

<sup>c</sup> Chemistry Department, Brookhaven National Laboratory, Upton, NY 11973, United States

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## ABSTRACT

Investigating the mechanism of CO<sub>2</sub> transformation into value-added chemicals is crucial for developing improved heterogeneous catalysts. In the present study, two common metal oxide (CeO<sub>2</sub> and ZnO) supported Pd catalysts were studied in detail. Basic sites on the support promote CO<sub>2</sub> activation to form surface adsorbed intermediates that further react with hydrogen atoms on metallic palladium to form formic acid. As such, both the support basicity and the (electronic) structure of Pd are critical parameters determining the catalytic performance, where the relative reaction rate for CO<sub>2</sub> activation and hydrogenation decides which step is rate-determining. Pd/CeO<sub>2</sub> with a higher density of basic sites readily activates CO<sub>2</sub> and therefore the hydrogenation is the limiting step governed by the nature of the Pd species on the support. For Pd/ZnO, however, the rate-determining step is the formation of adsorbed carbonaceous intermediates due to the low density of basic sites and thus, the structure of Pd has little influence on the catalytic performance. These insights are further validated on a Pd/TiO<sub>2</sub> catalyst, in which the optimized basic site density and Pd structure enhanced CO<sub>2</sub> hydrogenation activity of up to an order of magnitude.

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## 1. Introduction

A net-zero fuel economy may become possible if energy from renewable resources can be employed to produce CO<sub>2</sub>-based fuels or energy carriers such as alcohols, hydrocarbons and formic acid (FA, HCOOH) [1–9]. FA is an attractive liquid product that is easily available via CO<sub>2</sub> hydrogenation with H<sub>2</sub> [10]. CO<sub>2</sub> hydrogenation to FA using heterogeneous catalysts is first reported over a century ago using Pd black as a catalyst [2,11]. Since then, the synthesis of FA based on heterogeneous catalytic systems was gradually developed on various supported metal catalysts, mainly including Ru-, Au- and Pd-based catalysts [12–30], among which Pd received most attention [17–22,26–28,31].

Stalder et al. studied the catalytic efficiency of Pd supported on various supports (BaSO<sub>4</sub>, γ-Al<sub>2</sub>O<sub>3</sub> and carbon) and observed that Pd/C showed the highest activity (25 h<sup>-1</sup>) [17]. Similarly, Su et al. observed that Pd/AC exhibited superior performance with TOFs of 527 h<sup>-1</sup> using NaHCO<sub>3</sub> as reagent and 1103 h<sup>-1</sup> using NH<sub>4</sub>HCO<sub>3</sub>

as reagent [18]. Li et al. used heterogeneous Pd catalyst supported on mesoporous graphitic carbon nitride for catalytic hydrogenation reaction and demonstrated that the high TOF (144 h<sup>-1</sup>) resulted from the synergetic effect between the basic sites of mpg-C<sub>3</sub>N<sub>4</sub> support and reduced Pd nanoparticles (NPs), as suggested by DFT modelling [21]. Besides those advances of carbon-based supported Pd catalysts, the use of metal oxides remains underexplored despite their high stability and versatility in terms of redox and acid-base properties. Although it has been proposed that the catalytic activity is closely related to the choice of the metal oxide support, the governing factors such as the density and strength of basic sites has not been systematically investigated.

As an essential part of the commercial methanol synthesis catalyst, ZnO is the most widely investigated metal oxide support for CO<sub>2</sub> hydrogenation and is believed to contribute to the catalytic reaction in a variety of ways. In particular, a Pd/ZnO catalyst was previous shown to be active in converting CO<sub>2</sub> into methanol in which the PdZn alloy particles were assigned as active species [32]. Among other metal oxides, ZrO<sub>2</sub>, In<sub>2</sub>O<sub>3</sub> and mixed metal oxides received considerable attention for methanol synthesis [33,34]. For the CO<sub>2</sub> hydrogenation to FA, metal oxide supports like Al<sub>2</sub>O<sub>3</sub>, MgO, CeO<sub>2</sub> or ZnO and other basic supports like BaSO<sub>4</sub> and CaCO<sub>3</sub>

\* Corresponding authors.

E-mail addresses: [m.huelsey@u.nus.edu](mailto:m.huelsey@u.nus.edu) (M.J. Hülsey), [ning.yan@nus.edu.sg](mailto:ning.yan@nus.edu.sg) (N. Yan).

all show performance inferior to the above-mentioned carbon-supported catalysts [17,18,22,35–38]. Those previous reports did not discuss in detail the reason for this activity difference and thus do not provide reasonable design strategies regarding the development of enhanced metal oxide-supported catalysts.

By comparing the catalytic activity, structure and mechanism of Pd catalysts supported on CeO<sub>2</sub> and ZnO with different weight loadings, we have identified the main structural features governing the CO<sub>2</sub> hydrogenation activity. The Pd particle size and electronic structure was found to deviate between the two different supports, but the electronic state of the metal only affected FA formation on CeO<sub>2</sub> but not on ZnO. A thorough kinetic and *in situ* DRIFT spectroscopic analysis reveal that the rate-limiting step is different for the two catalysts, offering guidance to develop a TiO<sub>2</sub>-supported Pd catalyst with superior activity to produce FA from CO<sub>2</sub> under mild reaction conditions.

## 2. Experimental

All chemicals were purchased from Sigma-Aldrich and used without further purification. Pd/CeO<sub>2</sub> catalysts with varied Pd loadings were synthesized by a co-precipitation method. Typically, an aqueous solution of 1 mol L<sup>-1</sup> Na<sub>2</sub>CO<sub>3</sub> was added dropwise to an aqueous mixture (100 mL) of PdCl<sub>2</sub> with different contents and cerium (III) nitrate hexahydrate (5 g L<sup>-1</sup>) at 323 K until the pH value reached 10 with the stirring rate of 600 r/min. Then, the mixed solution was kept for 3 h under continuous stirring. The resulting precipitate was acquired by centrifugation, and then washed with deionized water until no Cl<sup>-</sup> can be detected. The acquired solids were dried in an oven at 333 K for 12 h, then calcined in air at 623 K for 2 h, and eventually reduced in 5% H<sub>2</sub>/N<sub>2</sub> with a flow rate of 30 mL min<sup>-1</sup> at 473 K for 1 h. The volumes of added PdCl<sub>2</sub> aqueous solution was used to change the amounts of added PdCl<sub>2</sub>. The acquired catalysts were denoted as x%Pd/CeO<sub>2</sub> (x is the calculated Pd:CeO<sub>2</sub> weight ratios). Pd/ZnO and Pd/TiO<sub>2</sub> catalysts were prepared following a similar procedure and the details are provided in the [supporting information](#).

The reaction was conducted in a high-pressure batch reactor. Sodium bicarbonate was employed as the carbon source. In a typical procedure, sodium bicarbonate (168 mg, 2 mmol) dissolved in H<sub>2</sub>O (2 mL) and catalyst (10–20 mg) were added into the reactor (10 mL). After purging N<sub>2</sub> 3 times, the reactor was charged with H<sub>2</sub> (2 MPa) and sealed. The reactor was then placed in a pre-heated oil bath and at 800 r/min stirring rate. After 1 h, the reaction was quenched by placing the reactor in cold water. The products were analysed by high performance liquid chromatography (HPLC, SHIMADZU, Singapore) equipped with a Hi-plex H column and RID detector. For recycling tests, the spent catalysts were washed by deionized water and dried overnight in an oven at 333 K before using for the next batch reaction. Further details on kinetic study are provided in the [supporting information](#). For the CO<sub>2</sub> hydrogenation experiments, 10 mg catalyst was used in a solution of 2 mL 1.0 mol/L base (NaOH, KOH or NEt<sub>3</sub>). The reactor was then pressurized with 14 bar gas mixture of 50 vol% H<sub>2</sub> and 50 vol% CO<sub>2</sub>. After stirring for 1 h at room temperature, the mixture was filtered and analysed. Analysis of the product gas mixtures was performed using gas phase FTIR on a Nicolet iS50 FT-IR spectrometer with a CaF<sub>2</sub>-fitted FTIR cell. Before measurements, the cell was evacuated, the background was collected and then the respective gas mixture was allowed to fill the FTIR cell upon which the mixture was analysed.

TOFs have been calculated as follows:

$$TOF = \frac{\text{moles of formate generated}}{\text{moles of surface Pd on the catalyst} * \text{time}}$$

The amount of surface Pd was calculated as following using the following simple equation adopted from the literature [39]:

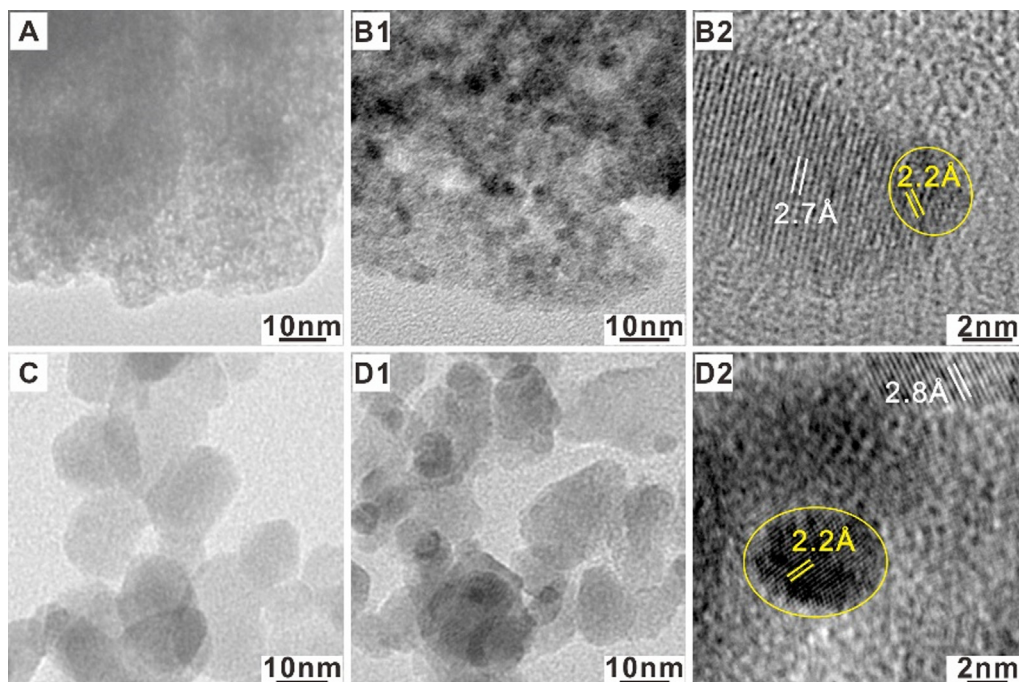
$$Pd_{\text{surface}} = Pd_{\text{total}} * Dispersion = \frac{Pd_{\text{total}}}{d(\text{average size})}$$

Instrument and analysis details for inductively coupled plasma optical emission spectrometer (ICP-OES), X-ray diffraction (XRD), X-ray photoelectron spectroscopy (XPS), transmission electron microscopy (TEM) and high-resolution transmission electron microscopy (HRTEM), X-ray absorption spectroscopy (XAS), H<sub>2</sub>-temperature programmed reduction (H<sub>2</sub>-TPR) and CO<sub>2</sub>-temperature programmed desorption (CO<sub>2</sub>-TPD), *in situ* diffuse reflectance infrared Fourier transformed spectra (DRIFTS) are provided in the [supporting information](#).

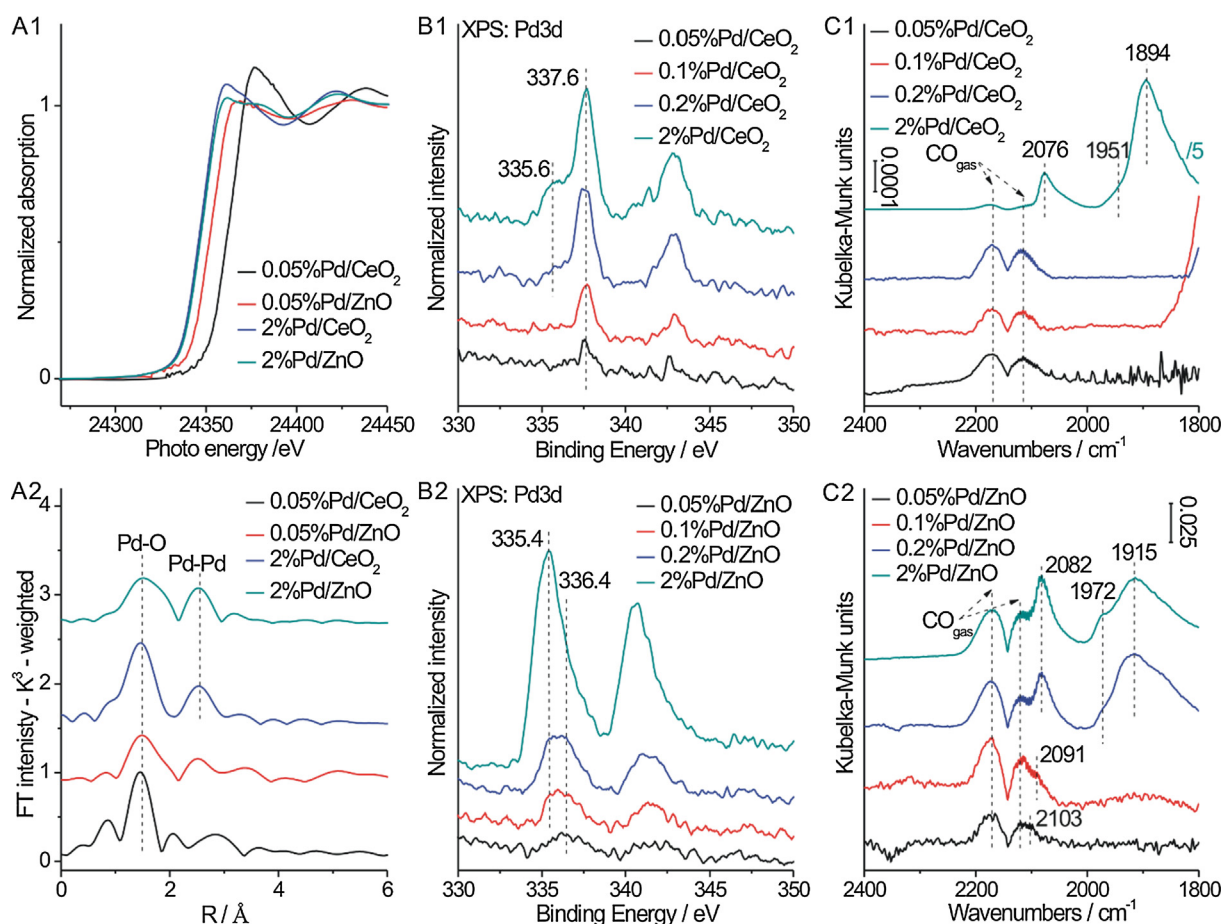
## 3. Results and discussion

A series of Pd/CeO<sub>2</sub> and Pd/ZnO catalysts with 0.05, 0.1, 0.2 and 2 wt% Pd loadings were synthesized by co-precipitation. As measured by ICP-OES (data summarized in Table S1), the actual Pd contents are close to the amount of added Pd precursor during synthesis, indicating that Pd was completely precipitated onto the supports. The XRD patterns (Fig. S1) of the supported Pd catalysts only show diffraction patterns arising from the metal oxides, except for a weak reflection from the Pd{1 1 1} crystal face observed on 2%Pd/ZnO catalyst. Fig. 1 shows the TEM images of 0.05%Pd/CeO<sub>2</sub>, 2%Pd/CeO<sub>2</sub>, 0.05%Pd/ZnO, and 2%Pd/ZnO catalysts. Pd NPs were absent on both 0.05%Pd/CeO<sub>2</sub> and 0.05%Pd/ZnO, indicating that Pd is highly dispersed. However, Pd NPs were easily identified on 2%Pd/CeO<sub>2</sub> and 2%Pd/ZnO, with size distributions of 3.4 ± 0.8 nm (Fig. S2) and 5.4 ± 1.4 nm (Fig. S3), respectively. Their corresponding HRTEM images reveal a lattice fringe of 2.2 Å of these particles, which matches well with the spacing of Pd{1 1 1} crystal plane, demonstrating that Pd exists in metallic form. The electronic and coordination structures of Pd in various catalysts were determined by XAS. In the Pd L<sub>III</sub>-edge XANES spectra (Fig. 2A1), the adsorption edges of Pd on ZnO and CeO<sub>2</sub> are almost identical at 2 wt% Pd loading, close to metallic Pd. However, the absorption edge shifts to higher energy when Pd loading is decreased to 0.05%, suggesting that Pd species are in the oxidized form. The shift on 0.05%Pd/CeO<sub>2</sub> is more than that on 0.05%Pd/ZnO, indicating that Pd is more positively charged on CeO<sub>2</sub>. Their corresponding Pd L<sub>III</sub>-edge EXAFS spectra show Pd-Pd and Pd-O scattering contributions on 2%Pd/CeO<sub>2</sub> and 2%Pd/ZnO, whereas only Pd-O scattering is observed for 0.05%Pd/CeO<sub>2</sub> and 0.05%Pd/ZnO catalysts (Fig. 2A2).

The Pd 3d<sub>5/2</sub> XPS spectra (Fig. 2B1 and B2) exhibit one symmetric component with binding energy of 337.6 eV on 0.05%Pd/CeO<sub>2</sub> and 0.1%Pd/CeO<sub>2</sub> catalysts and binding energy of 336.4 eV on 0.05%Pd/ZnO catalyst, respectively. These Pd 3d<sub>5/2</sub> binding energies typically arise from Pd(II) features, assignable to highly-dispersed Pd interacting with surface O on the supports [40]. It is well-known that supports have an influence on the electronic structure of supported Pd, thus the similar Pd(II) features exhibit different binding energies on Pd/CeO<sub>2</sub> and Pd/ZnO catalysts [41,42]. Besides the Pd(II) features, another component with the Pd 3d<sub>5/2</sub> binding energy at 335.4–335.6 eV belonging to Pd(0) appears on higher loading Pd/CeO<sub>2</sub> and Pd/ZnO catalysts, fully consistent with XAS and TEM findings. In Ce 3d and Zn 2p XPS spectra (Fig. S4), only Zn(II) features appear on all Pd/ZnO catalysts while a weak Ce(III) feature, in addition to the dominant Ce(IV) features, is present on (0.2% or 2%) Pd/CeO<sub>2</sub> catalysts, indicating that higher Pd loading on CeO<sub>2</sub> is beneficial for the reduction of Ce<sup>4+</sup> due to Pd-CeO<sub>2</sub> interaction.



**Fig. 1.** TEM images of (A) 0.05% Pd/CeO<sub>2</sub> and (C) 0.05% Pd/ZnO; TEM and HRTEM images of (B1, B2) 2% Pd/CeO<sub>2</sub> and (D1, D2) 2% Pd/ZnO. The lattice fringes (indicated in yellow and white) of 2.7, 2.8, and 2.2 Å, correspond to the spacing of CeO<sub>2</sub>{2 0 0} (JCPDS card number 43-1002), ZnO{1 0 0} (JCPDS card number 89-1397), and Pd{1 1 1} (JCPDS card number 65-2867) crystal planes, respectively.

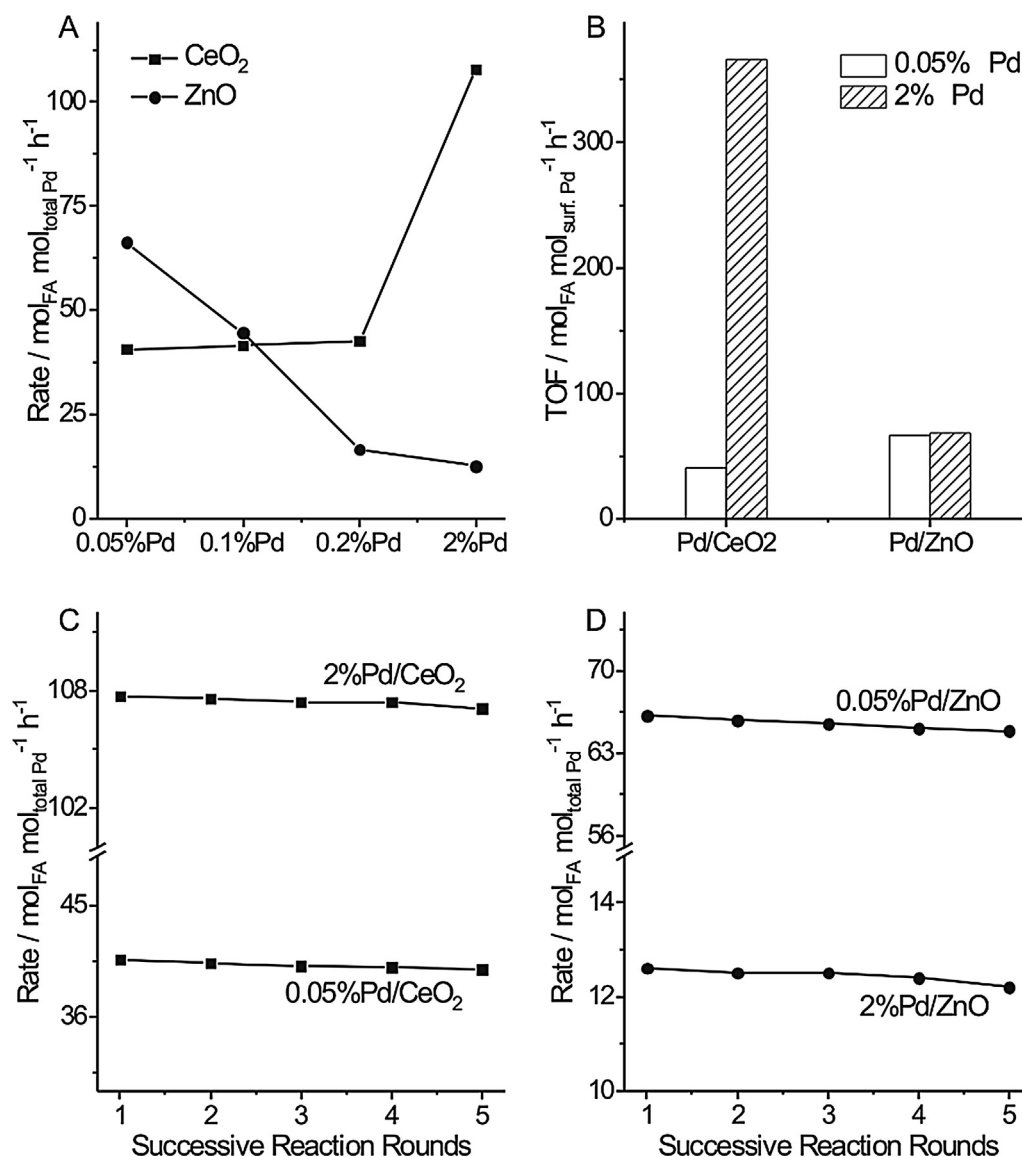


**Fig. 2.** (A1) Pd L<sub>III</sub>-edge XANES spectra and (A2) Pd L<sub>III</sub>-edge EXAFS spectra of various Pd-based catalysts; Pd 3d XPS spectra of various Pd loadings of (B1) Pd/CeO<sub>2</sub> and (B2) Pd/ZnO catalysts; *In situ* DRIFTS spectra of CO adsorbed at 298 K on various Pd loadings of (C1) Pd/CeO<sub>2</sub> and (C2) Pd/ZnO catalysts.

In the CO adsorption DRIFT spectra (Fig. 2C1 and C2), absorption peaks at 2082, 1982, and 1915  $\text{cm}^{-1}$  are observed, which are commonly assigned to linearly or bridged adsorbed CO or CO on 3-fold  $\text{Pd}^0$  sites [43]. All those signals are present on 0.2%Pd/ZnO and 2% Pd/ZnO catalysts. However, when the Pd loading is decreased to 0.1%, linear adsorption of CO shifts to 2091  $\text{cm}^{-1}$  accompanied by significantly weakened bridged/3-fold adsorption of CO, suggesting the presence of positively charged Pd species [44]. When Pd loading is further reduced to 0.05%, a further blue shift of the linearly adsorbed CO to 2103  $\text{cm}^{-1}$  is observed [44]. On 2%Pd/CeO<sub>2</sub>, all above-mentioned metallic Pd-bound CO signals are present, but such features disappear on samples with lower Pd loadings. Based on TEM, XRD, XAS, XPS and CO-DRIFT-IR studies, we conclude that Pd predominantly stays in the form of NPs on CeO<sub>2</sub> and ZnO at 2 wt %. As the loading decreases, the size of the NPs decreases while the percentage of charged Pd species increases. At 0.05 wt%, Pd stays almost exclusively as atomically dispersed species with a valence state close to +2.

The catalytic performance of various Pd/CeO<sub>2</sub> and Pd/ZnO catalysts was evaluated in the hydrogenation of NaHCO<sub>3</sub> into formate at 373 K. Total Pd amounts were used to determine the production rate of formate. Interestingly, the rates exhibit opposite variation trends as a function of Pd loading on CeO<sub>2</sub> and ZnO (Fig. 3A). For Pd/CeO<sub>2</sub> catalysts, the production rate slightly increases when the Pd loading is increased from 0.05% to 0.2%, and further increases significantly on 2%Pd/CeO<sub>2</sub>. In contrast, the production rate gradually decreases on Pd/ZnO catalysts with increasing Pd loading. To better understand the structure–activity correlations, Pd catalysts on CeO<sub>2</sub> and ZnO supports with two extreme loadings, i.e., 0.05 wt% and 2 wt%, were selected for a more detailed study.

The TOF values were obtained for Pd catalysts at 0.05% loading, assuming all Pd species are active sites since Pd is atomically dispersed. For 2 wt% catalysts, the Pd surface fraction is estimated based on the average particle size in TEM analysis (Fig. S2 and Fig. S3). The obtained TOF values revealed a remarkable difference between the CeO<sub>2</sub> and the ZnO supports (Fig. 3B). 2%Pd/CeO<sub>2</sub>

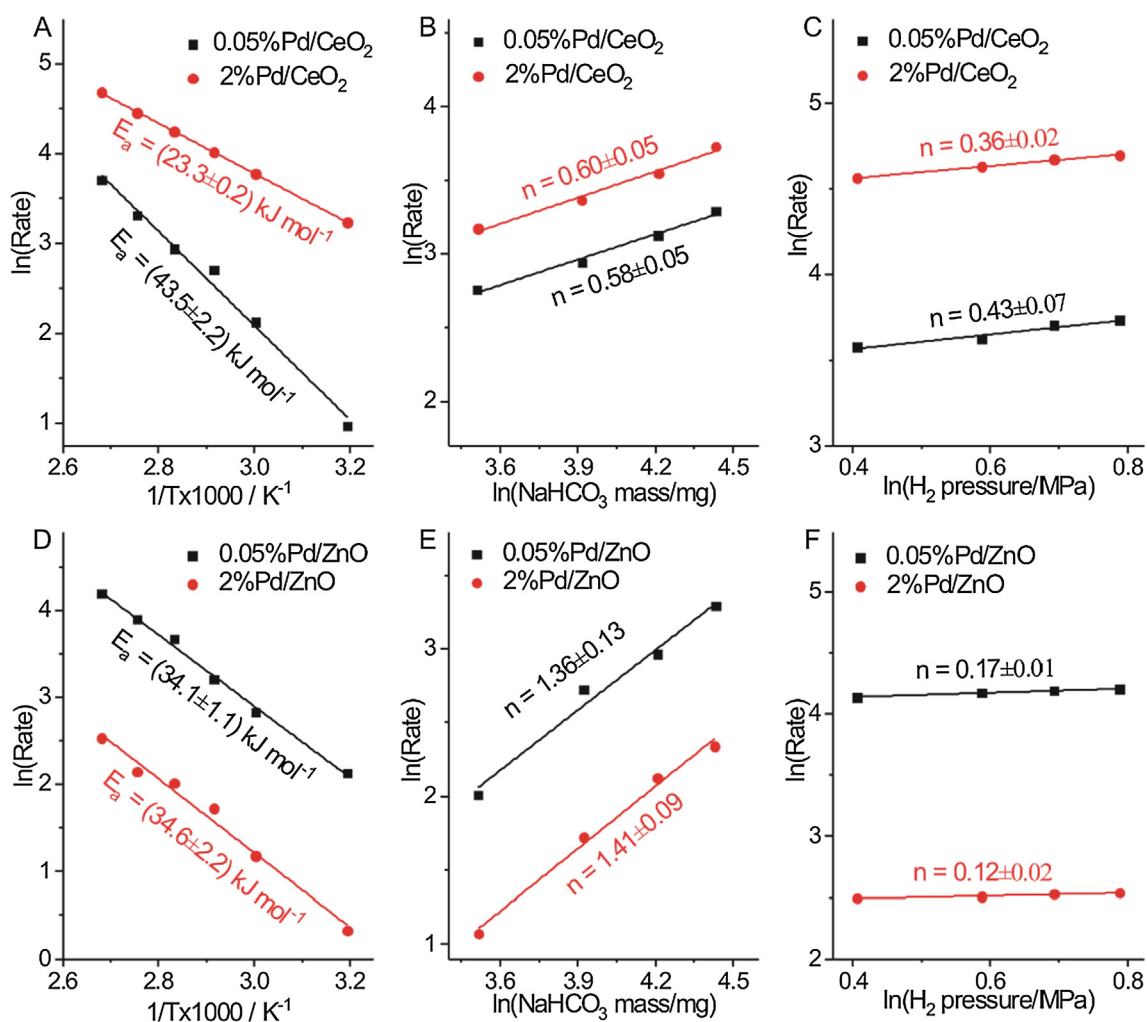


**Fig. 3.** (A) Formation rate of FA ( $\text{mol}_{\text{FA}} \text{mol}_{\text{total Pd}}^{-1} \text{h}^{-1}$ ) calculated by total Pd atoms on various Pd loadings of Pd/CeO<sub>2</sub> and Pd/ZnO catalysts at 373 K; (B) TOFs ( $\text{mol}_{\text{FA}} \text{mol}_{\text{surf. Pd}}^{-1} \text{h}^{-1}$ , TOF) of FA calculated by surface Pd atoms on 0.05%Pd/CeO<sub>2</sub>, 2%Pd/CeO<sub>2</sub>, 0.05%Pd/ZnO, and 2%Pd/ZnO catalysts at 373 K; Reaction rate ( $\text{mol}_{\text{FA}} \text{mol}_{\text{total Pd}}^{-1} \text{h}^{-1}$ ) for the formation of FA on (C) 0.05%Pd/CeO<sub>2</sub> and 2%Pd/CeO<sub>2</sub>, and (D) 0.05%Pd/ZnO and 2%Pd/ZnO over the course of five rounds of successive reaction at 373 K.

( $366 \text{ h}^{-1}$ ) exhibit 9 times higher TOF than  $0.05\% \text{Pd/CeO}_2$  ( $41 \text{ h}^{-1}$ ), while the TOF numbers are identical on  $2\% \text{Pd/ZnO}$  and  $0.05\% \text{Pd/ZnO}$  ( $\approx 68 \text{ h}^{-1}$  vs.  $\approx 66 \text{ h}^{-1}$ ). These results clearly demonstrate that formate synthesis on  $\text{Pd/CeO}_2$  is a structure sensitive reaction, where the geometry and electronic structure of Pd strongly affect catalytic activity, whereas the same reaction on  $\text{Pd/ZnO}$  catalysts is structure insensitive. Recycling experiments were conducted using the four catalysts to determine the catalyst stability (Fig. 3D). The catalytic performance is almost unchanged after 5 cycles, indicating that the structure and catalytic activity of Pd were well maintained after the reaction. In addition to sodium bicarbonate, we also used  $\text{CO}_2$  as carbon source for hydrogenation reactions. For the aqueous phase  $\text{CO}_2$  hydrogenation into formate, basic solutions are crucial. Both KOH and NaOH seem to benefit the  $\text{CO}_2$  hydrogenation reaction whereas the use of  $\text{NEt}_3$  does not yield any product (Fig. S5). Based on gas cell FTIR measurements, the mixtures of the gas after reaction do not contain significant amounts of CO and  $\text{CH}_4$  (Fig. S6). Furthermore, no formaldehyde was observed by HPLC. Therefore, we confirm that the  $\text{CO}_2$  hydrogenation reaction yields formate as the sole product in the system.

The calculated apparent activation energies ( $E_a$ ) of  $\text{CO}_2$  hydrogenation to FA on various  $\text{Pd/CeO}_2$  and  $\text{Pd/ZnO}$  catalysts were determined using Arrhenius plots. Before measurements, it has been confirmed that no thermodynamic equilibrium or mass

transfer limitations exist in the system (Fig. S7). For  $\text{Pd/CeO}_2$  catalysts (Fig. 4A and Fig. S8 A&B), the calculated  $E_a$  using  $0.05\% \text{Pd/CeO}_2$  and  $2\% \text{Pd/CeO}_2$  catalysts were  $43.5 \pm 2.2$  and  $23.3 \pm 0.2 \text{ kJ/mol}$ , respectively, while similar  $E_a$  values of around  $34 \text{ kJ/mol}$  were obtained on  $0.05\% \text{Pd/ZnO}$  and  $2\% \text{Pd/ZnO}$  catalysts (Fig. 4D and Fig. S8C and D). These results further confirm that the structure of Pd strongly influences the catalytic performance of  $\text{Pd/CeO}_2$  catalysts but does not affect activity on  $\text{Pd/ZnO}$  catalysts. Kinetic investigations indicate that the reaction orders of  $\text{H}_2$  and sodium bicarbonate are independent on the structure of Pd species but highly dependent on the nature of the support (Fig. 4 B and C, Fig. 4 E and F, Fig. S9, and Fig. S10). Regardless of Pd loading, the reaction order of sodium bicarbonate is around 1.4 on  $\text{Pd/ZnO}$  catalysts, much higher than that on  $\text{Pd/CeO}_2$  catalysts (around 0.6). The reaction order above 1 occurs because of the participation of bicarbonate in more than one kinetically relevant steps. On the contrary, the reaction order of  $\text{H}_2$  is always considerably higher on  $\text{Pd/CeO}_2$  catalysts (around 0.36–0.43) than those on  $\text{Pd/ZnO}$  catalysts (around 0.12–0.17). This finding suggests that the rate-determining step of  $\text{CO}_2$  hydrogenation into FA is different on the two supports: bicarbonate species are involved in the rate-limiting step on ZnO-based catalysts while  $\text{H}_2$  is more kinetically relevant on  $\text{CeO}_2$ -based catalysts.



**Fig. 4.** Arrhenius plots of (A)  $0.05\% \text{Pd/CeO}_2$  and  $2\% \text{Pd/CeO}_2$  catalysts, and (D)  $0.05\% \text{Pd/ZnO}$  and  $2\% \text{Pd/ZnO}$  catalysts;  $\text{CO}_2$  reaction orders of (B)  $0.05\% \text{Pd/CeO}_2$  and  $2\% \text{Pd/CeO}_2$  catalysts, and (E)  $0.05\% \text{Pd/ZnO}$  and  $2\% \text{Pd/ZnO}$  catalysts at 373 K;  $\text{H}_2$  reaction orders of (C)  $0.05\% \text{Pd/CeO}_2$  and  $2\% \text{Pd/CeO}_2$  catalysts, and (F)  $0.05\% \text{Pd/ZnO}$  and  $2\% \text{Pd/ZnO}$  catalysts at 373 K.

*In situ* DRIFTS was used to systematically study the reaction mechanism for FA synthesis by CO<sub>2</sub> hydrogenation on Pd/CeO<sub>2</sub> and Pd/ZnO. Band assignments for all different vibrations observed by *in situ* DRIFTS are summarized in Table 1 [45–49]. All catalysts were first activated by pure H<sub>2</sub> at 373 K for 1 h, after which the reaction cell was purged with high purity N<sub>2</sub> before pure CO<sub>2</sub> was introduced at the same temperature (see Fig. 5). Bidentate carbonate (1680–1682 cm<sup>-1</sup>) and bicarbonate (1240–1276 and 1630–1638 cm<sup>-1</sup>) were immediately generated on the two Pd/CeO<sub>2</sub> catalysts reaching saturation level within 1 min, while much slower generation of adsorbed bidentate carbonate (1668 cm<sup>-1</sup>), bicarbonate (1231, 1443–1446, and 1616–1617 cm<sup>-1</sup>), and bidentate formate (1379–1380 and 1563–1564 cm<sup>-1</sup>) species were observed on Pd/ZnO catalysts. In fact, these species did not reach saturation level even after 1 h. It is well-known that certain metal oxides bind and potentially activate CO<sub>2</sub> on their surface [50,51]. Thus, these results suggest that the reaction of CO<sub>2</sub> with ZnO to form surface adsorbed carbonaceous species is more difficult compared to CeO<sub>2</sub>, in accordance with the higher reaction order of bicarbonate on Pd/ZnO catalysts. After

exposure under CO<sub>2</sub> for 1 h, the reaction cell was purged by high purity N<sub>2</sub> again. The intensity of the bands by formed carbonaceous species had no appreciable change on Pd/CeO<sub>2</sub> catalysts, indicating these surface species do not undergo desorption under applied condition (Fig. S13). Next, pure H<sub>2</sub> was introduced into the *in situ* cell to probe the reaction between H<sub>2</sub> and the pre-formed carbonaceous species on the surface. The bands at 1243–1279, 1632–1639, 1675–1678, and 3300–3410 cm<sup>-1</sup> decrease slowly on Pd/CeO<sub>2</sub> catalysts, where the bands vanish faster at a higher Pd loading in agreement with the fact the 2%Pd/CeO<sub>2</sub> has higher activity than 0.05%Pd/CeO<sub>2</sub>. In sharp contrast, bands for surface adsorbed carbonaceous species on Pd/ZnO vanish almost immediately on both 0.05% and 2.0% Pd/ZnO catalysts. These results provide evidence that the formation of bicarbonate species is the rate-determining step on Pd/ZnO. In contrast, reaction of H with surface carbonaceous species is slow on Pd/CeO<sub>2</sub>, thus perhaps is rate-determining. Fig. 6 shows *in situ* DRIFT spectra under an atmosphere of CO<sub>2</sub> and H<sub>2</sub> (1:1) on typical Pd/CeO<sub>2</sub> and Pd/ZnO catalysts. Bicarbonate (1680 cm<sup>-1</sup>) and bidentate carbonate (1240 and 1638 cm<sup>-1</sup>) are simultaneously generated on 0.05%Pd/CeO<sub>2</sub>,

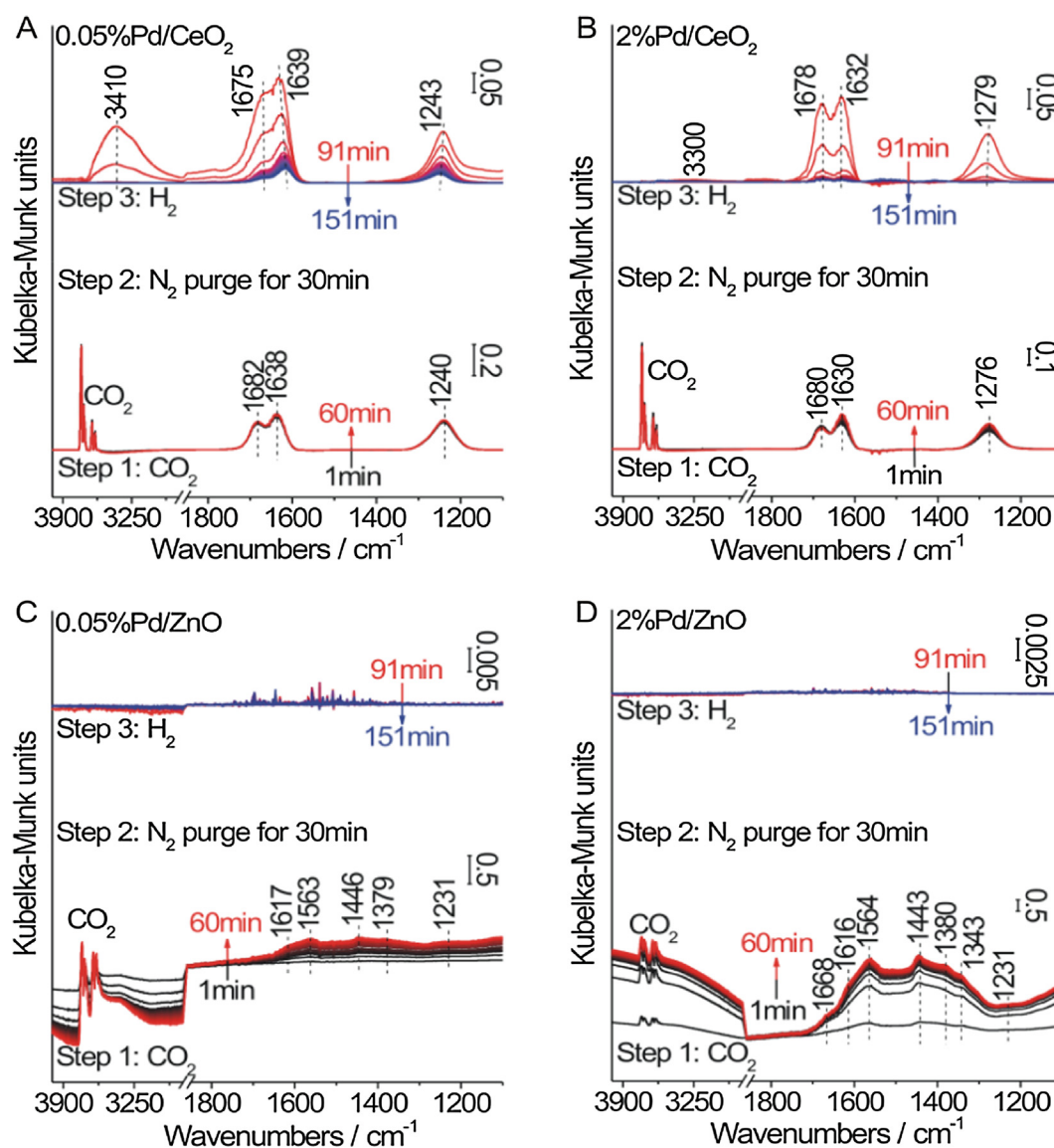
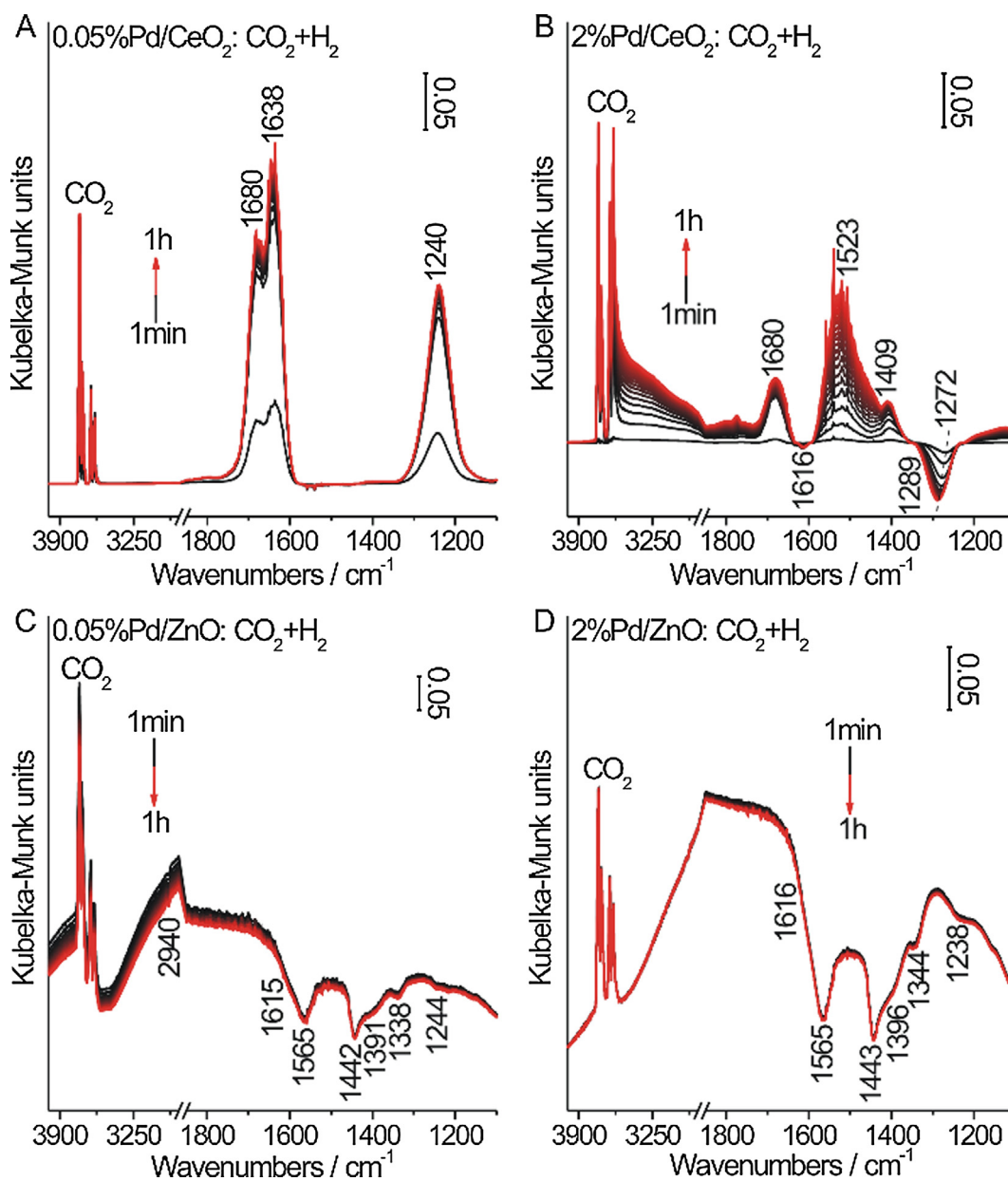


Fig. 5. *In situ* time-resolved DRIFTS spectra of first CO<sub>2</sub> and then H<sub>2</sub> stepwise reactions at 373 K on (A) 0.05%Pd/CeO<sub>2</sub>, (B) 2%Pd/CeO<sub>2</sub>, (C) 0.05%Pd/ZnO, and (D) 2%Pd/ZnO catalysts after pretreatment by H<sub>2</sub> at 373 K for 1 h.

**Table 1**  
Assignment of vibrational bands in the DRIFTS spectra of surface species at various supported Pd catalysts.

Assignment	Schematic structure	Bands ( $\text{cm}^{-1}$ )				Ref.
		0.05% Pd/CeO <sub>2</sub>	2% Pd/CeO <sub>2</sub>	0.05% Pd/ZnO	2% Pd/ZnO	
Bidentate carbonate		1665 ~ 1682	1678 ~ 1680	-	1668	26
Bicarbonate		1240 ~ 1256 1615 ~ 1639	1616 ~ 1632 1263 ~ 1279	1231 ~ 1250 1442 ~ 1446 1615 ~ 1630	1231 ~ 1238 1442 ~ 1443 1616 ~ 1645	26,27
Bidentate formate		-	-	1379 ~ 1395 1563 ~ 1567	1380 ~ 1396 1564 ~ 1565	28
Monodentate carbonate		-	1401 ~ 1409 1523 ~ 1540	-	-	29
Polydentate carbonate		1489	-	-	-	28
Unassigned carbonate	-	-	-	1338 ~ 1341	1340 ~ 1344	28
Hydroxyl groups	-	3300 ~ 3500	-	-	-	29,30
CO <sub>2</sub> (g) and CO <sub>2</sub> (a)	-	3550 ~ 3750	-	-	-	30



**Fig. 6.** *In situ* DRIFT spectra of CO<sub>2</sub> hydrogenation reaction under an atmosphere of CO<sub>2</sub> and H<sub>2</sub> (1:1) on (A) 0.05%Pd/CeO<sub>2</sub>, (B) 2%Pd/CeO<sub>2</sub>, (C) 0.05%Pd/ZnO, and (D) 2%Pd/ZnO catalysts at 373 K.

but only bicarbonate ( $1272\text{--}1289$  and  $1616\text{ cm}^{-1}$ ) significantly decreases on  $2\%\text{Pd}/\text{CeO}_2$ . This bicarbonate species is generated simultaneously with bidentate carbonate during  $\text{CO}_2$  activation, but disappears rapidly in  $\text{CO}_2 + \text{H}_2$  atmosphere on high-performance catalyst, indicating that bicarbonate is the active intermediate for  $\text{CO}_2$  hydrogenation to FA. Moreover, according to the schematic structure in Table 1, we propose that bicarbonate can be formed by bidentate carbonate reacting with atomic H. For various Pd/ZnO catalysts, both catalysts exhibit significant negative peaks of bicarbonate ( $1238\text{--}1244$ ,  $1442\text{--}1443$ , and  $1615\text{--}1616\text{ cm}^{-1}$ ), bidentate formate ( $1391\text{--}1396$  and  $1565\text{ cm}^{-1}$ ), and unassigned carbonate ( $1338\text{--}1344\text{ cm}^{-1}$ ). Fig. 6 provides important information concerning the Pd catalysts under working state: Pd/CeO<sub>2</sub> catalyst surface is covered with bicarbonate and bidentate carbonate, while Pd/ZnO catalyst surface has no such coverage due to faster reaction of these species than their generation. This further confirms a rapid reaction between surface carbonaceous species and atomic H on Pd/ZnO catalysts.

According to *in situ* DRIFTS results, we propose that surface adsorbed bicarbonate is the active intermediate for  $\text{CO}_2$  hydrogenation to FA. It is formed by  $\text{CO}_2$  reacting with the supports to obtain bidentate carbonate, followed by H transfer to form a bicarbonate. Reaction between adsorbed bicarbonate and activated H generates formate as the desired product. The formation of surface bicarbonate is facilitated by the support, whereas the last step is promoted by Pd. On Pd/CeO<sub>2</sub> catalyst, surface adsorbed bicarbonate is easily generated, while its reaction with atomic H is slower. Since Pd catalysed hydrogenation of surface bicarbonate is rate-determining, the structure of Pd species on CeO<sub>2</sub> decides the catalytic activity. On Pd/ZnO,  $\text{CO}_2$  reacts with ZnO much more slowly compared to the subsequent hydrogenation steps indicating that the activation of  $\text{CO}_2$  becomes critical. As a result, Pd/ZnO catalysts with different Pd weight loadings exhibit similar  $E_a$  and their reaction order towards bicarbonate is high. The structure of Pd has no influence on their catalytic performance since Pd does not participate in the rate-determining step.

The basicity of CeO<sub>2</sub> and ZnO were tested using  $\text{CO}_2$ -temperature programmed desorption ( $\text{CO}_2$ -TPD) measurements (Fig. 7A). The results show that the desorption temperature of  $\text{CO}_2$  is similar for both CeO<sub>2</sub> and ZnO, starting at 315 K. However, the amount of adsorbed  $\text{CO}_2$  is more than five times higher on CeO<sub>2</sub> compared to ZnO, indicating that CeO<sub>2</sub> has a larger number of basic sites with similar strengths. We also determined the BET surface areas for pure CeO<sub>2</sub> and ZnO to be 27.4 and 42.2 m<sup>2</sup>/g which then allows us to estimate the density of basic sites to be 38.0 and

$5.4\text{ }\mu\text{mol}/\text{m}^2$ , respectively. The same holds true for supported Pd catalysts (Fig. S14). Therefore, it can be assumed that the formation of bicarbonate is hindered by the limited density of basic sites on ZnO, resulting in the reaction of  $\text{CO}_2$  with the basic sites on the support as rate-limiting step for  $\text{CO}_2$  hydrogenation.

$\text{H}_2$ -TPR experiments were conducted to investigate the reduction properties of calcined Pd/CeO<sub>2</sub>, Pd/ZnO, and their corresponding supports with  $\text{H}_2$  (Fig. 7 B&C). For individual supports, CeO<sub>2</sub> shows several small and broad reduction peaks around 495–740 K, which can be ascribed to the reduction of surface-capping oxygen of ceria [52]. However, no reduction peak was observed for ZnO. It has been reported that supported Pd materials can remarkably promote the reduction properties of supports [47]. This phenomenon was indeed observed on various Pd/CeO<sub>2</sub> catalysts. Three types of reduction peaks, denoted as  $\alpha$ ,  $\beta$ , and  $\lambda$ , which can be ascribed to the reduction of surface-capping oxygen of ceria, the reduction of PdO–CeO<sub>2</sub> interface, and the two-step reduction of PdO, appeared on various Pd/CeO<sub>2</sub> catalysts [52,53]. Among them, only one peak for the reduction of PdO–CeO<sub>2</sub> interface appears on (0.05%, 0.1%, or 0.2%) Pd/CeO<sub>2</sub> catalysts, while the two-step reduction of PdO only appears on 2%Pd/CeO<sub>2</sub>, indicating that Pd is highly dispersed in the former three, consistent with earlier spectroscopic characterizations. On the other hand, the promotional effect of support by Pd cannot be observed on ZnO-based catalysts due to the low reducibility of ZnO. For 0.05%Pd/ZnO, no reduction peak was observed. When Pd loading increases, a broad reduction peak accompanying with a weak shoulder peak (denoted as  $\lambda$ ), which can be ascribed to the two-step reduction of PdO [53], appears on 0.1%Pd/ZnO and 0.2% Pd/ZnO catalysts, indicating that the particle size of Pd starts to increase. An intense negative peak was observed on 2% Pd/ZnO catalyst, which is generally attributed to the decomposition of PdH phases [54]. The formation of PdH phase comes from the reduction of PdO species at ambient temperature under the reducing environment, followed by the subsequent decomposition of at higher temperature releasing hydrogen. Of note, the reduction temperature of the PdO–CeO<sub>2</sub> interface decreases with the increase of Pd loading, demonstrating that  $\text{H}_2$  dissociation and the reaction of hydrogen atoms with CeO<sub>2</sub> occurs more easily on these samples.

Based on the above investigations, we conclude that a high-performance Pd-based catalyst for FA formation must contain two elements: enough basic sites with suitable basicity for  $\text{CO}_2$  activation and metallic Pd species for hydrogen splitting. It has been reported that TiO<sub>2</sub> exhibits a much higher number of basic sites than CeO<sub>2</sub> [55,56]. Moreover, TiO<sub>2</sub> was used as support for

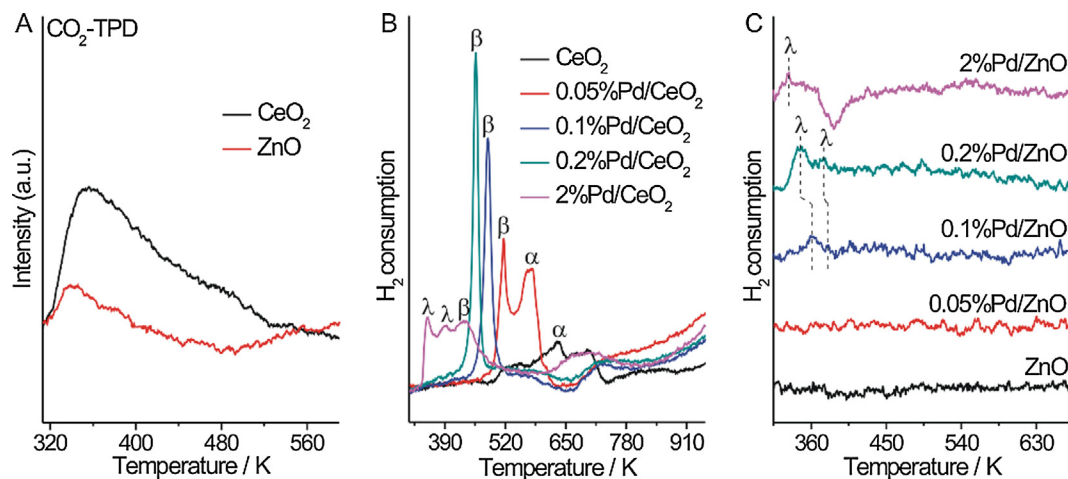
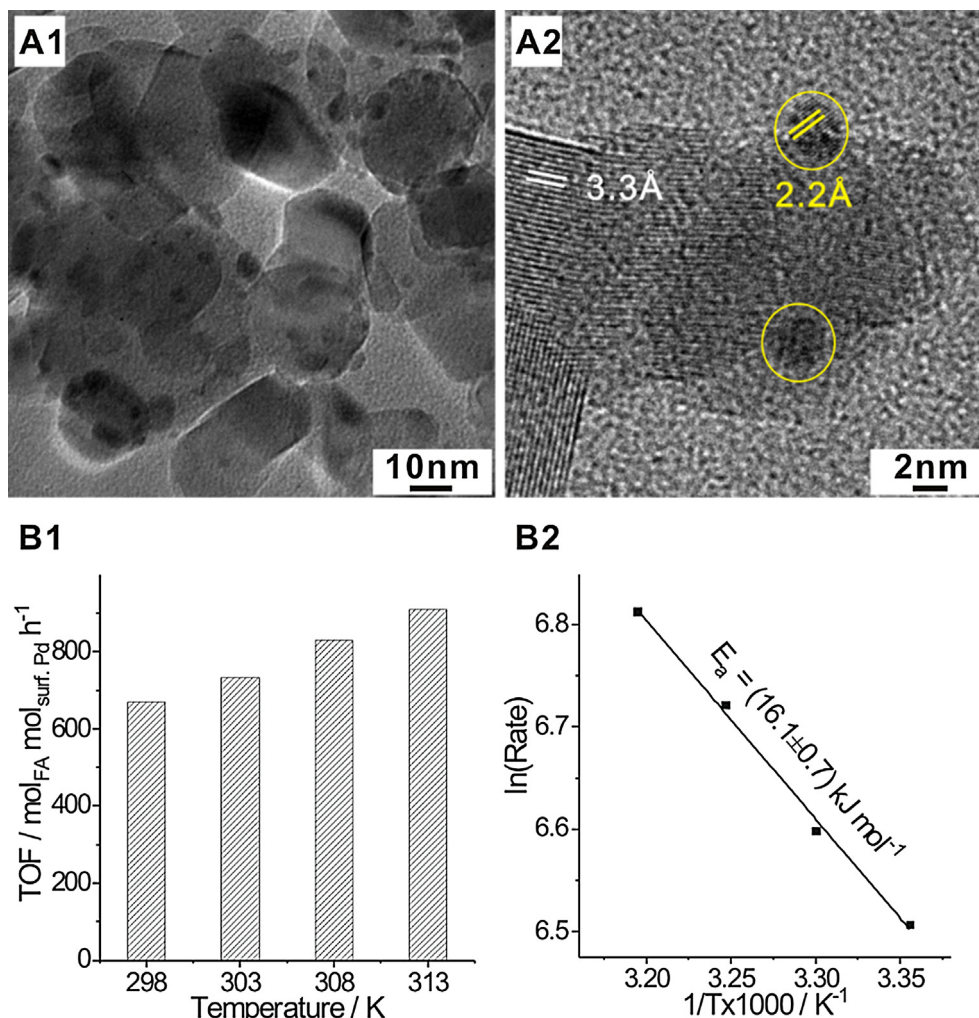


Fig. 7. (A)  $\text{CO}_2$ -TPD profiles of CeO<sub>2</sub> and ZnO;  $\text{H}_2$ -TPR profiles of (B) CeO<sub>2</sub> and different Pd/CeO<sub>2</sub> catalysts, and (C) ZnO and various Pd/ZnO catalysts.





**Fig. 8.** (A1) TEM and (A2) HRTEM images of 2%Pd/TiO<sub>2</sub> catalyst; (B1) TOFs (mol<sub>FA</sub> mol<sub>surf. Pd</sub><sup>-1</sup> h<sup>-1</sup>) for the production of FA calculated by surface Pd atoms on 2%Pd/TiO<sub>2</sub> catalyst at different temperatures and (B2) the corresponding Arrhenius plots. The lattice fringes of 3.3 and 2.2 Å (indicated in yellow and white), correspond to the spacing of TiO<sub>2</sub>{2 0 0} (JCPDS card number 21-1272) and Pd{1 1 1} (JCPDS card number 65-2867) crystal planes respectively.

highly active Ag-Pd alloy- and Au-based catalysts for FA formation [22,57]. Therefore, a commercial TiO<sub>2</sub> (P25)-supported Pd catalyst with a Pd weight loading of 2% (2%Pd/TiO<sub>2</sub>) was synthesized. The actual Pd loading was measured to be 1.9 wt% using ICP-OES. The crystal structure is confirmed by XRD patterns (Fig. S14A) and the absence of Pd-related diffraction signals may be due to the small particle size and low weight loading. TEM images show the existence of Pd NPs with size distributions of  $4.6 \pm 0.9$  nm (Fig. 8A1 and Fig. S15). The corresponding HRTEM images (Fig. 8A2) suggest the presence of metallic Pd{1 1 1} crystal planes indicated by lattice fringes of 2.2 Å. CO adsorption DRIFT spectra (Fig. S14B) also prove the formation of metallic Pd with the typical metallic Pd bound CO adsorption peaks [43]. As-synthesized 2%Pd/TiO<sub>2</sub> catalyst was applied for the hydrogenation reaction of CO<sub>2</sub> to FA and exhibits high activity under mild reaction conditions. The calculated TOF (Fig. 8B1) reached 670 h<sup>-1</sup> at room temperature and increased to 909 h<sup>-1</sup> at 313 K which is much higher than Pd/AC catalyst and belongs to the best reported TOF values under comparable reaction conditions [18]. The E<sub>a</sub>, determined using Arrhenius plots, was  $16.1 \pm 0.7$  kJ mol<sup>-1</sup> (Fig. 8B2), which is even smaller than for 2%Pd/CeO<sub>2</sub> catalyst. The above results confirm that TiO<sub>2</sub> indeed serves as a better catalyst support for the hydrogenation of CO<sub>2</sub>.

In this work, we used a series of CeO<sub>2</sub> and ZnO supported Pd catalysts for the hydrogenation of CO<sub>2</sub> to FA. We investigated the

reaction mechanism and the factors that govern the catalytic activity. Reaction of CO<sub>2</sub> with the support to form surface adsorbed bicarbonate species promoted by surface basic sites, followed by Pd catalyzed hydrogenation into formate appear to be a general pathway for all Pd catalysts studied. In this context, activation and reaction of CO<sub>2</sub> into surface carbonaceous species is the rate-determining step for supports without sufficient densities of basic sites such as ZnO, where the structure of Pd does not play a crucial role. On the other hand, on supports such as CeO<sub>2</sub> where the formation of surface adsorbed carbonate is facile, reaction of surface adsorbed intermediates with activated H is rate-determining, so that the structure of Pd becomes the activity-determining factor. This study generates a deeper insight into the catalytic mechanism for the CO<sub>2</sub> hydrogenation to FA over heterogeneous catalysts, offers understanding why a TiO<sub>2</sub>-supported Pd catalyst exhibits higher activity, and potentially provides clues for the development of enhanced catalysts for CO<sub>2</sub> hydrogenation.

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## Appendix A. Supplementary material

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jcat.2019.06.048>.

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