

Zirconia phase effect in Pd/ZrO<sub>2</sub> catalyzed CO<sub>2</sub> hydrogenation into formateZhenhua Zhang<sup>a,\*</sup>, Liyuan Zhang<sup>b</sup>, Max J. Hülsey<sup>a</sup>, Ning Yan<sup>a,\*</sup><sup>a</sup> Department of Chemical and Biomolecular Engineering, National University of Singapore, Blk E5, 4 Engineering Drive 4, 117585, Singapore<sup>b</sup> Hefei National Laboratory for Physical Sciences at Microscale, CAS Key Laboratory of Materials for Energy Conversion and Department of Chemical Physics, University of Science and Technology of China, Hefei, 230026, PR China

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## ABSTRACT

In heterogeneous catalysis, transformation of CO<sub>2</sub> into formate largely relies on supported palladium catalyst, in which the support and in particular the support basicity plays a critical role. In this study, we prepared a series of Pd catalysts loaded on ZrO<sub>2</sub> bearing three different crystal phases, namely the tetragonal phase (ZrO<sub>2</sub>-T), the monoclinic phase (ZrO<sub>2</sub>-M), and the hybrid tetragonal and monoclinic phase (ZrO<sub>2</sub>-M&T). With the same metal loading, ZrO<sub>2</sub>-T supported Pd catalyst exhibited considerably higher intrinsic activity in CO<sub>2</sub> hydrogenation into formate compared with the other two catalysts. Pd/ZrO<sub>2</sub>-T with 2 wt% Pd was the most active one in the series, affording a TOF of 2817 h<sup>-1</sup> at 373 K. *In situ* DRIFTS spectroscopy, kinetic study and CO<sub>2</sub>-TPD analysis provided evidence that all Pd/ZrO<sub>2</sub> catalysts follow a similar catalytic mechanism, and the origin of the superior activity for Pd/ZrO<sub>2</sub>-T is the higher density of weak basic sites on ZrO<sub>2</sub>-T, which facilitates the transformation of CO<sub>2</sub> into the desirable surface adsorbed bicarbonate as the precursor for formate. This work highlights the importance of crystal-phase engineering of the support in tuning the catalytic activity of Pd based catalysts for CO<sub>2</sub> hydrogenation.

## 1. Introduction

CO<sub>2</sub> is a useful C<sub>1</sub> feedstock to produce fuels and chemicals, such as alcohols, hydrocarbons and formic acid (HCOOH, FA) [1–19]. Among these, FA is an attractive non-toxic and non-flammable liquid product finding applications in leather tanning, silage preservation and also in hydrogen storage [20–22]. While the direct conversion of CO<sub>2</sub> and hydrogen to FA in the gas phase is thermodynamically unfavorable ( $\Delta G = 33 \text{ kJ mol}^{-1}$ ), the reaction readily occurs in aqueous phase with addition of base providing FA in the form of formate ( $\Delta G = -4 \text{ kJ mol}^{-1}$ ) [23]. Many efforts were devoted to produce formate using homogeneous catalysts, including Ir-, Ru-, Rh-, and Fe-based catalysts [23–32], which exhibited high reaction rates [33]. Heterogeneous catalysts have also aroused considerable interests, but their activity is often an order or orders of magnitude lower than the best homogeneous catalysts. Thus, the development of effective heterogeneous catalysts for CO<sub>2</sub> hydrogenation into formate is highly desirable.

Among various heterogeneous catalysts in the literature [8,9,34–52], Pd-based catalysts are most promising. The first reported heterogeneous catalyst was Pd black, dating back a century ago [38]. Afterwards, there was a long silent period in the area until more efficient Pd-based catalysts were developed recently. As an example, Pd/AC catalyst afforded a turnover frequency (TOF) of 527 h<sup>-1</sup> using

NaHCO<sub>3</sub> as carbon source and 2.75 MPa H<sub>2</sub> at 293 K [39]. Moreover, Lee et al. found the catalytic properties of Pd-based catalysts is significantly affected by the basicity of support, since basic sites help to stabilize reduced Pd species and to promote the formation of formate [42]. For the same reason, nitrogen doped carbon materials are widely used as support since the doped nitrogen sites are believed to facilitate CO<sub>2</sub> activation [48,49,53,54].

The crystal phase of the support may remarkably affect the performance of catalyst in hydrogenation reactions [55–58]. For instance, copper nanoparticles (NPs) supported on monoclinic phase ZrO<sub>2</sub> (ZrO<sub>2</sub>-M) were found to be around 10 times more active compared to Cu supported on tetragonal phase ZrO<sub>2</sub> (ZrO<sub>2</sub>-T) for the CO hydrogenation to methanol, which was assigned to the higher concentration of anionic vacancies and coordinatively unsaturated Lewis acid centres enhancing the adsorption of CO as HCOO-Zr groups [59,60]. The reverse effect was observed for copper supported on ZrO<sub>2</sub> for CO<sub>2</sub> hydrogenation to methanol where the improved activity of ZrO<sub>2</sub>-T was assigned to stronger Cu-ZrO<sub>2</sub> interaction and a higher ratio of H/CO<sub>2</sub> on the surface [61,62]. Based on these previous investigations, ZrO<sub>2</sub>, with tuneable basicity [63,64], was chosen as support to engineer an efficient Pd catalyst for formate formation.

Herein we report a systematic study to reveal how the crystal phases of ZrO<sub>2</sub> affect the activity of Pd/ZrO<sub>2</sub> catalyst in CO<sub>2</sub> hydrogenation to

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formate. The structure of Pd and the crystal phase of  $\text{ZrO}_2$  both influence the catalytic performance of the catalyst. Metallic Pd promotes the  $\text{H}_2$  dissociation, leading to enhanced hydrogenation ability at the Pd- $\text{ZrO}_2$  interface, while  $\text{ZrO}_2$ -T phase has a larger number of weak basic sites and thus provides more active sites to activate  $\text{CO}_2$ . Therefore, a 2%Pd/ $\text{ZrO}_2$ -T catalyst, which simultaneously contains metallic Pd and  $\text{ZrO}_2$ -T phase, exhibits a high activity with a TOF of  $\sim 2817 \text{ h}^{-1}$  at 373 K.

## 2. Experimental

### 2.1. Catalyst preparation

Hybrid monoclinic and tetragonal phase was synthesized by a precipitation method. Typically, zirconium nitrate (2.0 mol/L) was dissolved into dilute nitric acid (5 wt%). Then ammonium hydroxide (4.0 M) was added slowly to the solution at room temperature under vigorous stirring till pH reached 10. The acquired solution was kept at room temperature for 5 h with a stirring rate of 400 rpm. Next, the resulting precipitate was filtered and washed with distilled water for 5 times until pH became 7. Finally, the solid was dried at 70 °C for 12 h and calcined at 500 °C for 6 h.

Various Pd/ $\text{ZrO}_2$  catalysts were synthesized by a conventional precipitation-deposition method. In a typical procedure,  $\text{ZrO}_2$  (200 mg) was dispersed in distilled water (100 mL) under ultrasound, in which  $\text{ZrO}_2$ -M and  $\text{ZrO}_2$ -T supports were purchased and  $\text{ZrO}_2$ -M&T support was synthesized experimentally. Then, an aqueous solution of  $\text{Na}_2\text{CO}_3$  (1 mol/L) was added dropwise to a suspension mixture (100 mL) of  $\text{PdCl}_2$  with different contents and  $\text{ZrO}_2$  (200 mg) at 323 K until the pH value reached 10. The mixed solution was kept stirring for 3 h at 800 rpm. The resulting precipitate was acquired by centrifugation, and then washed with deionized water until no  $\text{Cl}^-$  can be detected. The solids were dried in an oven at 333 K for 12 h, calcined in air at 623 K for 2 h, and finally reduced in 5%  $\text{H}_2/\text{N}_2$  flow (30 mL/min) at 473 K for 1 h.

### 2.2. Catalyst characterizations

The details for the inductively coupled plasma optical emission spectrometry (ICP-OES), powder X-ray diffraction (XRD), X-ray photoelectron spectroscopy (XPS), transmission electron microscopy (TEM), *in situ* diffuse reflectance infrared Fourier transformed spectroscopy (DRIFTS) and  $\text{H}_2$ -temperature programmed reduction ( $\text{H}_2$ -TPR) and  $\text{CO}_2$ -temperature programmed desorption ( $\text{CO}_2$ -TPD) studies are provided in the supporting information.

### 2.3. Catalytic evaluation

The  $\text{CO}_2$  hydrogenation to FA was performed in the autoclave which is made by stainless steel and can bear the pressure up to 10 MPa. The autoclave can fill with 10 mL glass vial, in which contains the catalyst and reaction liquid. Before  $\text{H}_2$  input, the autoclave is rinsed by 1 MPa  $\text{H}_2$  for 20 times at room temperature. Before the catalytic tests, we first performed the reaction in different stirring rate ranging from 400 to 1200 rpm and proved that the mass transfer limitations can be neglected with 800 rpm stirring rate. Then we also changed the catalyst mass from 5 mg to 20 mg and found that the product formation rate (based on the total Pd atoms) and TOF (based on the surface Pd atoms) are both approximate, indicating that it is no mass transfer limitations in our reaction conditions. Consequently, 168 mg sodium bicarbonate is first dissolved into 2 mL  $\text{H}_2\text{O}$  to form a transparent solution using 10 mL glass vial. Then 20 mg catalysts are put into the glass vial and further ultrasounded for 10 min to perfectly disperse the catalysts. For the test of catalytic performance, the reaction is conducted at 373 K for 1 h. When  $\text{CO}_2$  was added into the feed gas, the autoclave was pressurized with the equal volumes of  $\text{CO}_2$  and  $\text{H}_2$  amounting to 4 MPa at room temperature ( $\text{CO}_2:\text{H}_2 = 1:1$ ). The product (formate) was analyzed by

High Performance Liquid Chromatography (HPLC, SHIMADZU, Singapore) equipped with a Hi-plex H column and RID detector. Repeating experiments suggest that the activity of a catalyst under a given condition is stable. Typically, the activity difference is within 5%. The recycling experiments were conducted according to the same procedure as described for the catalytic tests. The catalysts after reaction were washed by deionized water and dried in an oven at 333 K for the next batch use.

The apparent activation energy and the apparent kinetic orders of  $\text{H}_2$  and bicarbonate on Pd/ $\text{ZrO}_2$  on a given catalyst were measured using the same procedure as the test of catalytic performance. For apparent activation energy measurement, the reaction temperature was varied from 313 K to 343 K. The reaction order of  $\text{H}_2$  was acquired by keeping the sodium bicarbonate mass as 168 mg but varying the  $\text{H}_2$  pressure from 1 MPa to 2.5 MPa. The reaction order of sodium bicarbonate was acquired by keeping the  $\text{H}_2$  pressure as 2 MPa but varying the sodium bicarbonate mass from 16.8 mg to 117.6 mg. All reactions were kept at a conversion less than 10%.

## 3. Results and discussion

Fig. 1 shows the XRD patterns of various  $\text{ZrO}_2$  materials, which clearly reveal the crystal-phase structures of pure  $\text{ZrO}_2$ -M (JCPDS card NO. 37-1484), pure  $\text{ZrO}_2$ -T (JCPDS card NO. 65-2867), and a mixture of monoclinic and tetragonal phases ( $\text{ZrO}_2$ -M&T) [65]. The corresponding XPS spectra (Figure S1) show that the surfaces are exclusively composed of Zr(IV) features with the Zr 3d<sub>5/2</sub> binding energy of 181.9 eV. Microscopic characterization results of various  $\text{ZrO}_2$  further prove the successful acquisitions of  $\text{ZrO}_2$ -M with a lattice fringe of 3.7 Å (Fig. 2 A1 & A2), corresponding to monoclinic  $\text{ZrO}_2\{110\}$  crystal plane;  $\text{ZrO}_2$ -M&T with lattice fringes of 2.85 and 3.00 Å (Fig. 2 C1&C2), respectively corresponding to monoclinic  $\text{ZrO}_2\{111\}$  and tetragonal  $\text{ZrO}_2\{101\}$  crystal planes; and  $\text{ZrO}_2$ -T with a lattice fringe of 3.00 Å (Fig. 2 E1&E2), corresponding to tetragonal  $\text{ZrO}_2\{101\}$  crystal plane. Their corresponding specific BET surface areas were measured to be 103, 85, and 123 m<sup>2</sup>/g, respectively. (Table S1)

The three  $\text{ZrO}_2$  materials were applied to prepare  $\text{ZrO}_2$ -supported Pd catalysts (Pd/ $\text{ZrO}_2$ ) by a conventional precipitation-deposition method. We denote the acquired Pd/ $\text{ZrO}_2$  catalysts as x%Pd/ $\text{ZrO}_2$ -y, in which x represents the calculated weight percentage of Pd and y corresponds to the crystal-phase structure of  $\text{ZrO}_2$ . Table S1 summarizes the compositions of the Pd/ $\text{ZrO}_2$  catalysts determined by ICP-OES. The actual Pd loadings on different catalysts are close to the predicted

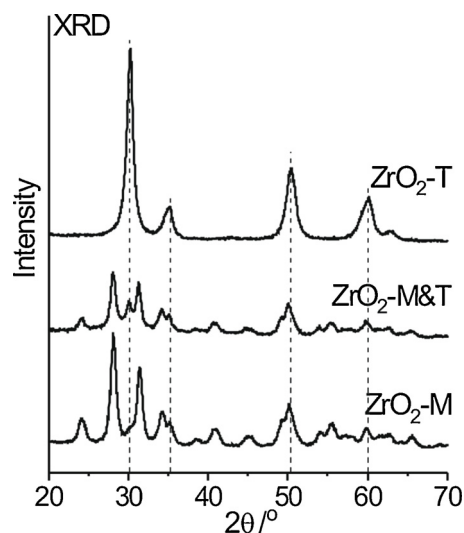
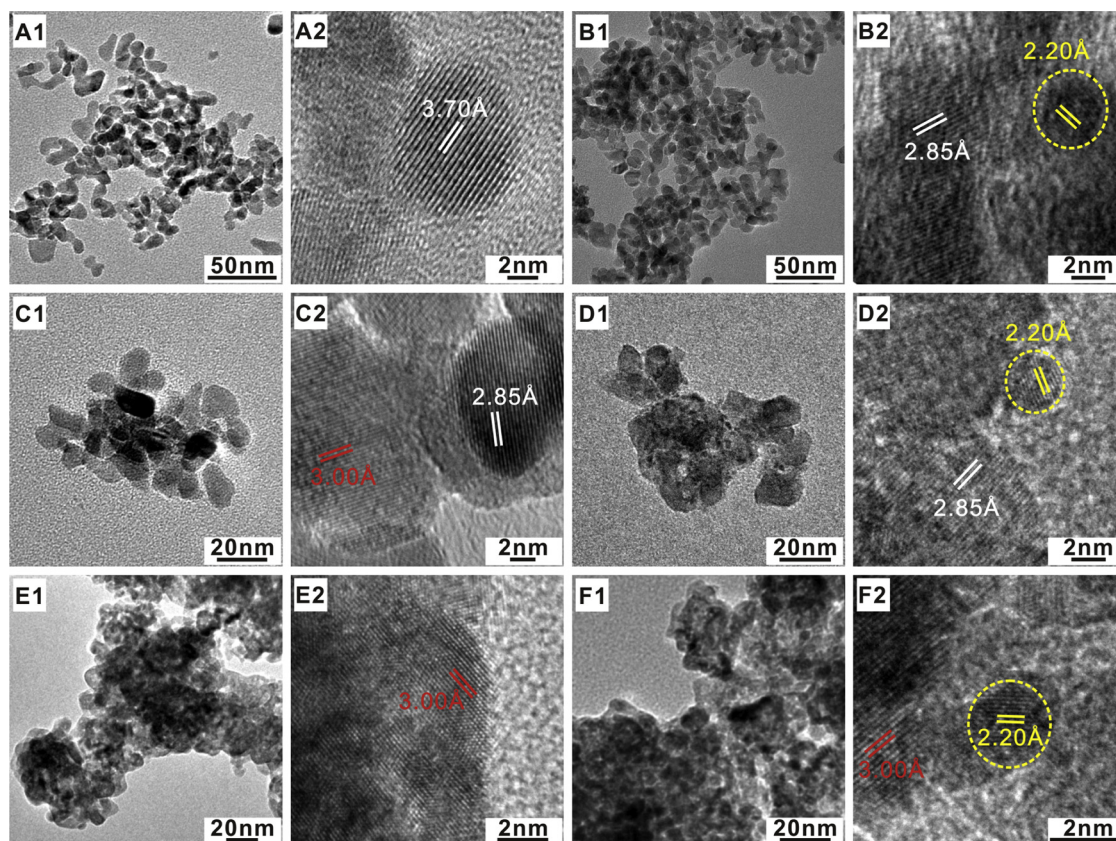


Fig. 1. XRD patterns of various  $\text{ZrO}_2$  supports. The dash lines represent the diffraction peaks for tetragonal zirconia.



**Fig. 2.** TEM and HRTEM images of (A1, A2) ZrO<sub>2</sub>-M, (B1, B2) 2%Pd/ZrO<sub>2</sub>-M, (C1, C2) ZrO<sub>2</sub>-M&T, (D1, D2) 2%Pd/ZrO<sub>2</sub>-M&T, (E1, E2) ZrO<sub>2</sub>-T, and (F1, F2) 2%Pd/ZrO<sub>2</sub>-T catalysts. The lattice fringes of 2.85, 3.70, 3.00 and 2.20 Å correspond to the spacing of monoclinic ZrO<sub>2</sub>{111} (JCPDS card NO. 37-1484), monoclinic ZrO<sub>2</sub>{110}, tetragonal ZrO<sub>2</sub>{101} (JCPDS card NO. 42-1164) and Pd{111} (JCPDS card NO. 65-2867) crystal planes respectively.

values based on the amount of Pd precursor, indicating that Pd was completely precipitated onto the supports.

The XRD patterns of all Pd/ZrO<sub>2</sub> catalysts (Figure S2) show the absence of Pd-associated diffraction patterns, suggesting the formation of either highly dispersed or amorphous Pd species. Meanwhile, the crystal-phase structures of various Pd/ZrO<sub>2</sub> catalysts are similar to their corresponding supports, except for the obvious weakening of ZrO<sub>2</sub>-T phase on all Pd/ZrO<sub>2</sub>-M&T catalysts, which may result from crystal transformation during the preparation process. As a result, the crystal-phase structures of acquired Pd/ZrO<sub>2</sub>-M&T catalysts are similar to that of the Pd/ZrO<sub>2</sub>-M catalysts. As shown in the TEM images (Fig. 2 and Figure S3-Figure S5), all acquired Pd/ZrO<sub>2</sub> catalysts well maintain their original ZrO<sub>2</sub> structures, in which Pd NPs were absent on catalysts with 0.01 wt% and 0.1 wt% Pd, but were easily recognized on 2%Pd/ZrO<sub>2</sub>-M, 2%Pd/ZrO<sub>2</sub>-M&T and 2%Pd/ZrO<sub>2</sub>-T catalysts. The size distributions of Pd in the latter three samples are  $3.4 \pm 1.1$ ,  $3.0 \pm 0.9$  and  $4.2 \pm 0.7$  nm, respectively (Figure S6). These results suggest the sizes of Pd can be adjusted by changing the Pd loading. The HRTEM images of various Pd/ZrO<sub>2</sub> catalysts reveal these NPs with a lattice fringe of 2.20 Å, which corresponds to the spacing of metallic Pd{111} crystal plane. The lattice fringes of ZrO<sub>2</sub>-T phase are difficult to be identified on Pd/ZrO<sub>2</sub>-M&T catalysts, consistent with the XRD results.

The surface compositions and structures of various Pd/ZrO<sub>2</sub> catalysts were further probed by XPS and *in situ* DRIFTS CO adsorption. Due to the strong interference from Zr 3p signal, Pd 3d XPS signals were only observed on 2 wt% Pd loading samples, in which two symmetric components with the Pd 3d<sub>5/2</sub> binding energy of 335.9 and 337.6 eV appear and can be assigned respectively to metallic Pd and Pd(II) features (Fig. 3 A1-A3). This further demonstrates metallic Pd formed on 2 wt% Pd loading and the appearance of Pd(II) features may arise from Pd atoms of the interface between Pd NPs and ZrO<sub>2</sub>, or from the

oxidation of Pd NPs after catalysts exposure to air. The corresponding Zr 3d XPS spectra (Figure S7) show identical signals with the Zr 3d<sub>5/2</sub> binding energy of 181.9 eV on all Pd/ZrO<sub>2</sub> catalysts.

In the *in situ* DRIFTS CO adsorption spectra (Fig. 3 B1-B3), CO adsorption peaks at 2080, 1920, and 1865 cm<sup>-1</sup>, assignable to CO adsorbed onto linear, bridged, and 3-fold Pd<sup>0</sup> sites, respectively [66], were observed on Pd/ZrO<sub>2</sub> catalysts with 0.1 wt% and 2 wt% Pd loadings. The ratio of bridged/3-fold and linear Pd sites is higher on 2 wt% Pd loading, suggesting the size of Pd on 2 wt% Pd loading is larger than that on 0.1 wt% Pd loading samples [67]. When Pd loading is further decreased to 0.01 wt%, only linear CO adsorption peak is observed with peak position shift to 2090 cm<sup>-1</sup>, indicating the formation of positively charged Pd species [68]. These prove that the size of Pd changes with the increase of Pd loading, from positively charged single-atom/cluster Pd species on 0.01%Pd/ZrO<sub>2</sub> to Pd NPs on 0.1%Pd/ZrO<sub>2</sub>, and then to larger Pd NPs on 2%Pd/ZrO<sub>2</sub>. Meanwhile, it is interesting to find that the CO adsorption peaks on various Pd/ZrO<sub>2</sub> catalysts are almost identical under the same Pd loading, indicating the structure of Pd is similar on ZrO<sub>2</sub> bearing varied phases.

Various Pd/ZrO<sub>2</sub> catalysts were evaluated in the catalytic reaction of CO<sub>2</sub> hydrogenation to formate. We first conducted CO<sub>2</sub> hydrogenation into formate under 2 MPa CO<sub>2</sub>, 2 MPa H<sub>2</sub> at 373 K over the 2% Pd/ZrO<sub>2</sub> catalysts. NaHCO<sub>3</sub> was employed as a weak base. Interestingly, almost identical production rate of formate was observed when the CO<sub>2</sub> pressure decreased to zero (Figure S8), suggesting bicarbonate species to be the true precursor for formate formation (which was substantiated by *in situ* DRIFTS study using CO<sub>2</sub> as shown in later sections). Therefore, no gas-phase CO<sub>2</sub> is added for further activity comparison.

The catalytic performance of various Pd/ZrO<sub>2</sub> catalysts in NaHCO<sub>3</sub> hydrogenation to formate was evaluated. Pure ZrO<sub>2</sub> supports were inert, thus the catalytic performance is compared based on the amount

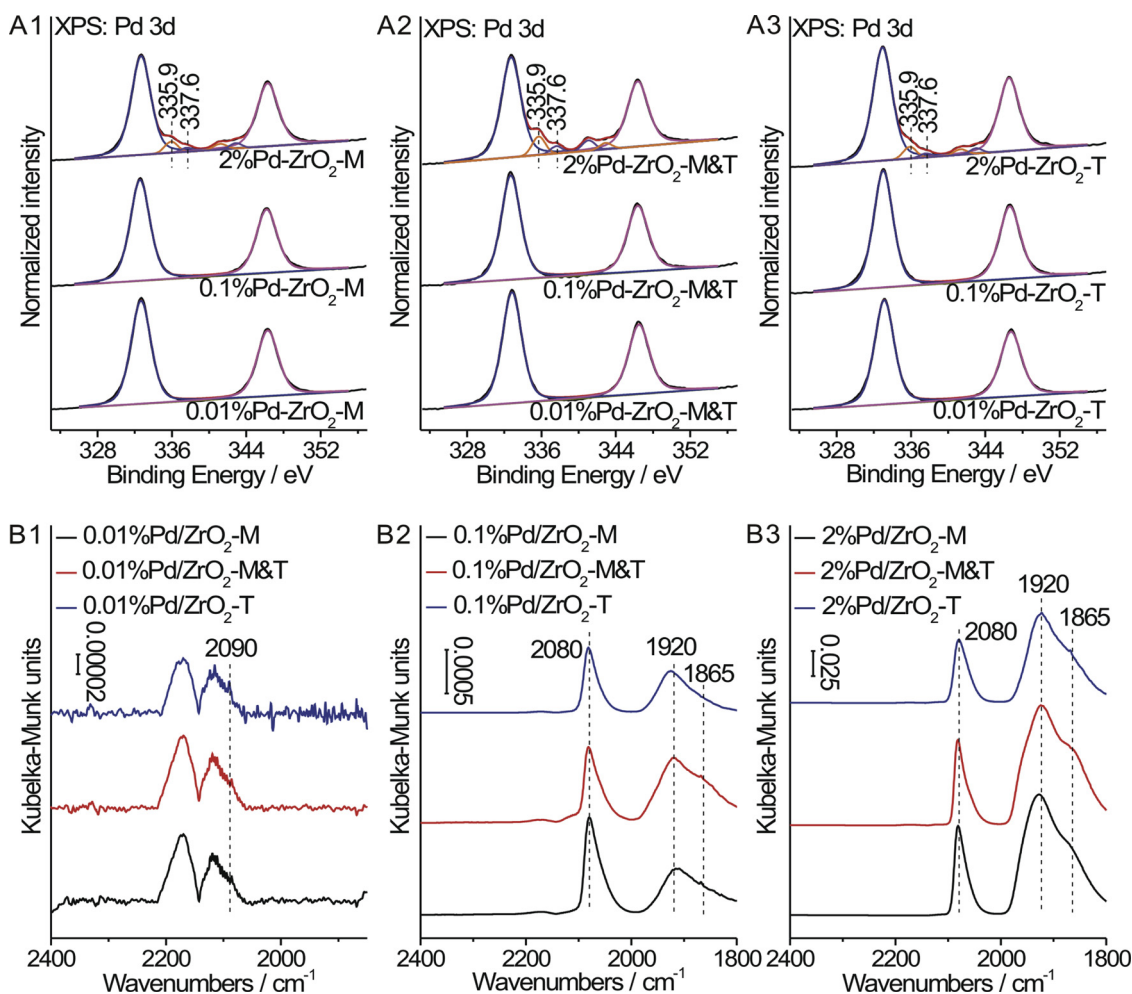


Fig. 3. (A1-A3) Pd 3d XPS spectra and (B1-B3) *in situ* DRIFTS of CO adsorption on various Pd/ZrO<sub>2</sub> catalysts at 298 K.

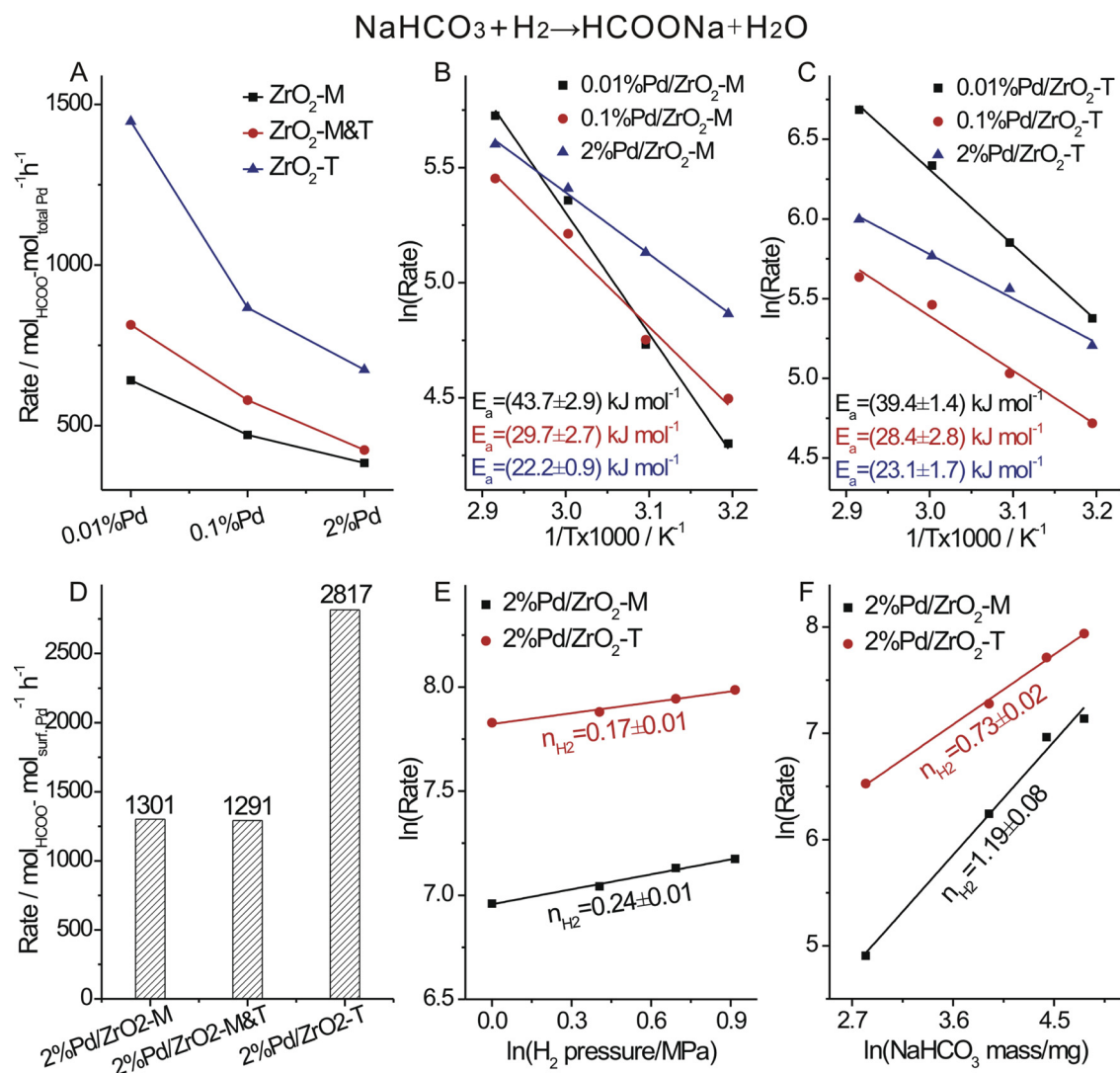
of Pd atoms. Fig. 4A shows the catalytic performance of various Pd/ZrO<sub>2</sub> catalysts normalized by the total amount of Pd atoms. The production rate of formate increases with the decrease of Pd loading on a particular ZrO<sub>2</sub> crystal phase, plausibly due to the increased dispersion of Pd at lower loadings. At the same Pd loading, the production rate of formate follows the order of Pd/ZrO<sub>2</sub>-T > Pd/ZrO<sub>2</sub>-M&T > Pd/ZrO<sub>2</sub>-M. Pd supported on ZrO<sub>2</sub>-T is always two times more active than Pd on ZrO<sub>2</sub>-M&T, while Pd/ZrO<sub>2</sub>-M&T is about 50% more active than Pd/ZrO<sub>2</sub>-M. The TOF values of 2 wt% Pd catalysts were further obtained from estimation of surface Pd atoms based on the average particle size in TEM analysis (Figure S6). Indeed, 2%Pd/ZrO<sub>2</sub>-T catalyst shows more than 2 times higher TOF numbers (2817 h<sup>-1</sup>) than the 2%Pd/ZrO<sub>2</sub>-M (1301 h<sup>-1</sup>) and 2%Pd/ZrO<sub>2</sub>-M&T catalysts (1291 h<sup>-1</sup>) (Fig. 4D). Of note, 93% of the original reaction activity was preserved after 5 cycles for both 2%Pd/ZrO<sub>2</sub>-M and 2%Pd/ZrO<sub>2</sub>-T catalysts (Figure S12), suggesting the high stability of the Pd/ZrO<sub>2</sub> catalysts.

The apparent activation energy ( $E_a$ ) calculated based on the Arrhenius plots (Fig. 4B&C and Figure S9) show a monotonic decrease of  $E_a$  when Pd loading increases from 0.01 wt% to 0.1 wt% and then to 2 wt%. On one hand, it indicates that 2 wt% Pd loadings are intrinsically more active on different ZrO<sub>2</sub>, suggesting that metallic Pd is favourable for the formate formation. On the other hand, at the same Pd loading  $E_a$  is very close to each other for Pd catalysts supported on different ZrO<sub>2</sub> suggesting the phase of zirconia does not considerably affect the electronic structure of Pd. For instance,  $E_a$  values for both 0.01%Pd/ZrO<sub>2</sub>-T and 0.01%Pd/ZrO<sub>2</sub>-M are at around 40 kJ/mol, while the values for both 2%Pd/ZrO<sub>2</sub>-T and 2%Pd/ZrO<sub>2</sub>-M are slightly higher than 20 kJ/mol. As such, we conclude the significantly different TOF

values are induced by the different number of active sites in different ZrO<sub>2</sub> support.

The 2%Pd/ZrO<sub>2</sub>-M and 2%Pd/ZrO<sub>2</sub>-T catalysts are chosen for further kinetic investigations. The reaction order of H<sub>2</sub> (Fig. 4E and Figure S10) is similar on both catalysts, exhibiting a slightly positive order of around 0.2, suggesting H<sub>2</sub> activation is unlikely to be the rate-limiting step. In contrast, the reaction order of bicarbonate (since its concentration is easier to control compared with CO<sub>2</sub>) on 2%Pd/ZrO<sub>2</sub>-M catalyst (1.19 ± 0.08) is higher than that on 2%Pd/ZrO<sub>2</sub>-T catalyst (0.73 ± 0.02) (Fig. 4F and Figure S11), indicating their different catalytic performance is associated with the activation of CO<sub>2</sub>. It has been reported that CO<sub>2</sub> prefers to bind with metal oxide to start a catalytic cycle. Plausibly, ZrO<sub>2</sub>-T phase binds CO<sub>2</sub> more favourably than ZrO<sub>2</sub>-M for the hydrogenation reaction to formate.

Subsequently, CO<sub>2</sub>-TPD measurements were performed to probe the basicity of different supports. Various pure ZrO<sub>2</sub> support exhibit similar desorption temperature of CO<sub>2</sub> starting at 315 K (Fig. 5A and Table S1), indicating that they all bear similarly weak basic sites. On the contrary, the amount of basic sites is different, following the order of ZrO<sub>2</sub>-T > ZrO<sub>2</sub>-M&T > ZrO<sub>2</sub>-M, similar to the reported results [69]. The CO<sub>2</sub>-TPD results of various 2%Pd/ZrO<sub>2</sub> catalysts are slightly different. First, the amount of weak basic sites on 2%Pd/ZrO<sub>2</sub>-M&T catalyst is lower than that on 2%Pd/ZrO<sub>2</sub>-M catalyst, which can be explained by the larger particle size of ZrO<sub>2</sub>-M&T catalyst (Fig. 2). Second, a new desorption peak of CO<sub>2</sub>, starting at 480 K (reflecting strong basicity), only appears on 2%Pd/ZrO<sub>2</sub>-M&T and 2%Pd/ZrO<sub>2</sub>-M catalysts, not on the best performed 2%Pd/ZrO<sub>2</sub>-T catalyst. Thus, it is inferred from the CO<sub>2</sub>-TPD results that the catalytic performance of CO<sub>2</sub> hydrogenation to



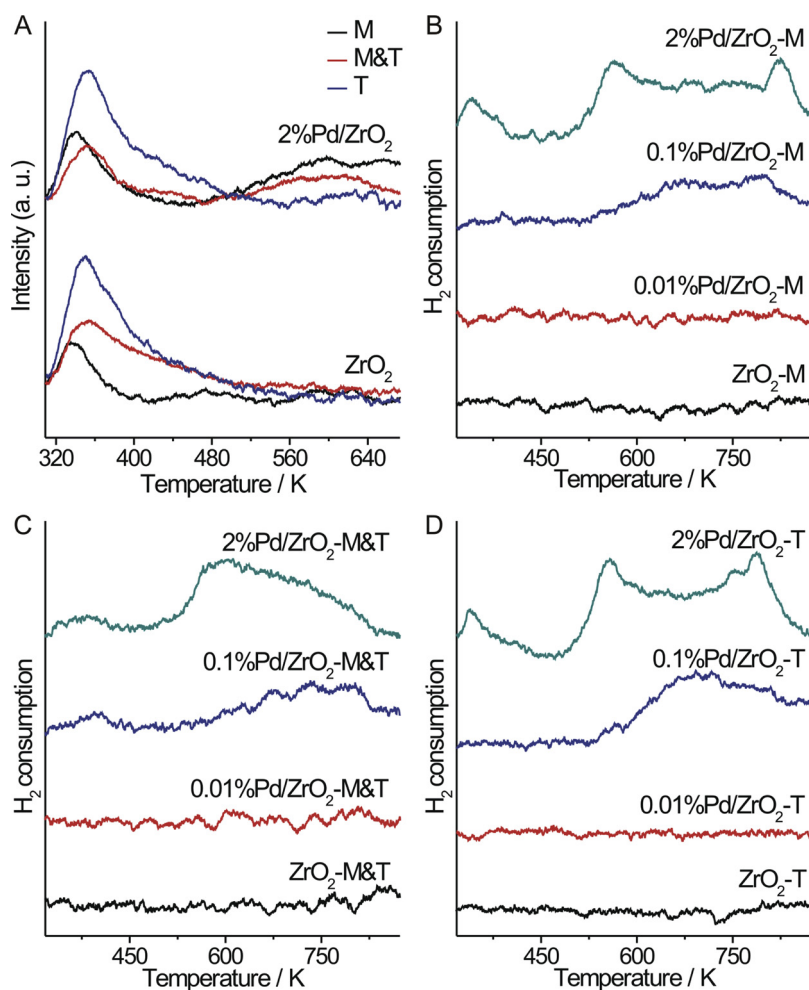
**Fig. 4.** Chemical equation of formate formation shown on the title. (A) Production rate ( $\text{mol}_{\text{HCOO}} \cdot \text{mol}_{\text{total Pd}}^{-1} \text{h}^{-1}$ ) of formate on various Pd/ZrO<sub>2</sub> catalysts based on the total amount of Pd atoms; Arrhenius plots of various (B) Pd/ZrO<sub>2</sub>-M and (C) Pd/ZrO<sub>2</sub>-T catalysts; (D) Production rate ( $\text{mol}_{\text{HCOO}} \cdot \text{mol}_{\text{surf. Pd}}^{-1} \text{h}^{-1}$ ) of formate on representative various 2%Pd/ZrO<sub>2</sub> catalysts based on the surface Pd atoms; Reaction orders of (E) bicarbonate and (F) H<sub>2</sub> of 2%Pd/ZrO<sub>2</sub>-M and 2%Pd/ZrO<sub>2</sub>-T catalysts.

formate on various Pd/ZrO<sub>2</sub> catalysts is strongly dependent on the density and strength of the basicity of the support. While higher density of basic sites is desirable for CO<sub>2</sub> adsorption, only CO<sub>2</sub> bound on weak basic sites can be easily further transformed into formate.

In the H<sub>2</sub>-TPR results (Fig. 5 B–D), no reduction peak was observed for pure ZrO<sub>2</sub>. For various calcined Pd/ZrO<sub>2</sub> catalysts, no reduction signal was observed for Pd at 0.01 wt% loading. A broad reduction peak starting from 525 K appears on 0.1 wt% Pd loadings, which is assigned to the reduction of PdO-ZrO<sub>2</sub> interface [70]. The reduction temperature of PdO-ZrO<sub>2</sub> interface shifts to 480 K when the Pd loading further increases to 2 wt%, accompanied by a new reduction peak at an even lower temperature, which can be ascribed to the reduction of PdO [70]. The H<sub>2</sub>-TPR result clearly reveals the reaction properties with H<sub>2</sub> on various Pd/ZrO<sub>2</sub> catalysts are dependant with the loading of Pd, not with the crystal phase of the ZrO<sub>2</sub> support.

The reaction mechanism of CO<sub>2</sub> hydrogenation to formate catalysed by 2%Pd/ZrO<sub>2</sub>-M and 2%Pd/ZrO<sub>2</sub>-T catalysts was studied by *in situ* DRIFTS. Fig. 6 shows the *in situ* time-resolved DRIFTS results of the two catalysts under one bar CO<sub>2</sub> and H<sub>2</sub> mixture (CO<sub>2</sub>:H<sub>2</sub> = 1:1) at 373 K. The band assignments are summarized in Table S2. Similar surface species, including monodentate carbonate (1354–1358 and 1439–1459

cm<sup>-1</sup>), bidentate carbonate (1304–1316, 1510–1541, and 1684–1691 cm<sup>-1</sup>), bicarbonate (1470–1487 and 1613–1630 cm<sup>-1</sup>), and formate (1375–1380 and 1595–1599 cm<sup>-1</sup>) [71–76], are generated on two catalysts with different ratios. The ratio of formate on 2%Pd/ZrO<sub>2</sub>-T catalyst is much higher than that on 2%Pd/ZrO<sub>2</sub>-M catalyst, which is consistent with their catalytic performance. Other vibrational bands at 1841–1848, 1900–1908, and 2018–2037 cm<sup>-1</sup> can be assigned to CO adsorption on Pd NPs [66]. The formation of CO may arise from the by-product of CO<sub>2</sub> hydrogenation to FA or the decomposition of formed FA. Meanwhile, their positions shift towards higher wavenumbers as the reaction proceeds, indicating the wavenumbers increase with the CO coverage. It also explains why the wavenumbers of CO vibrational bands under reaction condition are lower than those obtained in the *in situ* DRIFTS CO adsorption experiments conducted under a higher CO coverage (Fig. 3 B1–B3). *In situ* time-resolved DRIFTS spectra of the stepwise treatment of the catalysts by CO<sub>2</sub> or H<sub>2</sub> are shown in Figure S13. The surface species were firstly generated under pure CO<sub>2</sub> or H<sub>2</sub> stream for 30 min at 373 K and then the other gas was introduced. The results reveal bidentate carbonate and bicarbonate are the main surface species on both fresh catalysts under pure CO<sub>2</sub> atmosphere. Upon H<sub>2</sub> introduction, the amount of bicarbonate and surface-bound formate

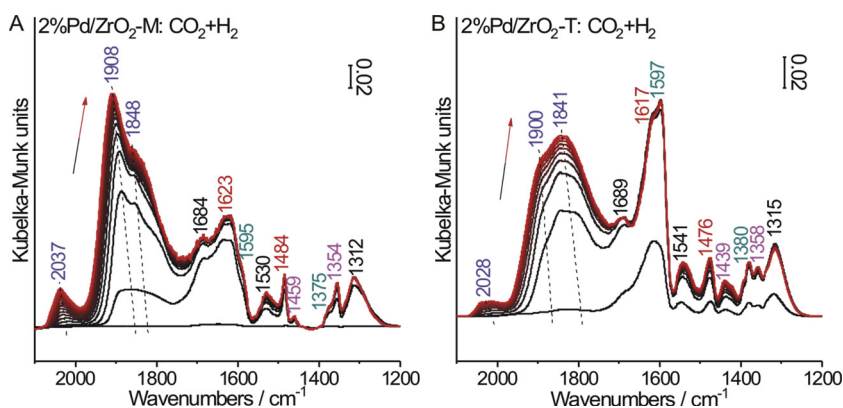


**Fig. 5.** (A) CO<sub>2</sub>-TPD profiles of various ZrO<sub>2</sub> and Pd/ZrO<sub>2</sub> catalysts; H<sub>2</sub>-TPR profiles of (B) ZrO<sub>2</sub>-M and various Pd/ZrO<sub>2</sub>-M catalysts, (C) ZrO<sub>2</sub>-M&T and various Pd/ZrO<sub>2</sub>-M&T catalysts, and (D) ZrO<sub>2</sub>-T and various Pd/ZrO<sub>2</sub>-T catalysts.

increase at the expense of surface bidentate carbonate on 2%Pd/ZrO<sub>2</sub>-M catalyst (Figure S13 A1), while formate formation accompanied by the decrease of both bidentate carbonate and bicarbonate species was observed on 2%Pd/ZrO<sub>2</sub>-T catalyst (Figure S13 B1). When H<sub>2</sub> is introduced first, weak desorption of bicarbonate on 2%Pd/ZrO<sub>2</sub> catalyst and bicarbonate/formate on 2%Pd/ZrO<sub>2</sub>-T catalyst is generated, accompanied by the consumption of surface monodentate carbonate and bidentate carbonate species originally presented in the catalysts (Figure S13 A2&B2). Based on these, it appears that the catalytic mechanism for both catalysts is similar, that is, CO<sub>2</sub> reacts with the support to form adsorbed bidentate carbonate, and subsequently to bicarbonate species

and formate species by stepwise H transfer. Another useful information from *in situ* DRIFT study is that CO is only generated under H<sub>2</sub> atmosphere, indicating that its formation perhaps is due to the hydrodeoxygenation of surface carbonate, not from FA decomposition.

In summary, a series of ZrO<sub>2</sub>-M, ZrO<sub>2</sub>-M&T, and ZrO<sub>2</sub>-T supported Pd catalysts were synthesized, which exhibited a distinct crystal-phase-dependent catalytic performance in the hydrogenation of CO<sub>2</sub> to formate. Under the same Pd loading, Pd supported on ZrO<sub>2</sub>-T phase is much more active than Pd supported on ZrO<sub>2</sub>-M and ZrO<sub>2</sub>-M&T phases. The best 2%Pd/ZrO<sub>2</sub>-T catalyst afforded a high activity with TOFs close to 3000 h<sup>-1</sup> in the presence of 2 MPa CO<sub>2</sub>, 2 MPa H<sub>2</sub> at 373 K, higher



**Fig. 6.** *In situ* time-resolved DRIFTS spectra of CO<sub>2</sub> hydrogenation reaction (CO<sub>2</sub>:H<sub>2</sub> = 1:1) on (A) 2%Pd/ZrO<sub>2</sub>-M and (B) 2%Pd/ZrO<sub>2</sub>-T catalysts at 373 K. Duration of the measurement is one hour and the colored arrow indicates the time progress. Time interval between two adjacent spectra is around three minutes. Wavenumbers indicated at the plots are color-coded as follows: Purple – CO, Black – bidentate carbonate, Red – bicarbonate, turquoise – formate and pink – monodentate carbonate. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).

than a majority of existing reports under similar reaction conditions. The catalyst is also stable, maintaining 93% of initial activity after 5 cycles. *In situ* DRIFTS results reveal that the reaction follows the same mechanism on both Pd/ZrO<sub>2</sub>-M and Pd/ZrO<sub>2</sub>-T catalysts, in which stepwise hydrogenation of surface adsorbed CO<sub>2</sub> leads to formate formation. Kinetic study, combined with CO<sub>2</sub>-TPD and H<sub>2</sub>-TPR techniques, suggested CO<sub>2</sub> activation to be the rate-determining step, and that the different activity of 2%Pd/ZrO<sub>2</sub> catalyst is associated with the differences in the density of weak basic sites on the support. This study adds insights into the catalytic mechanism of Pd-based catalysts in CO<sub>2</sub> hydrogenation, and highlights the importance of crystal phase engineering of the support to improve the catalyst for FA synthesis.

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## Appendix A. Supplementary data

Supplementary material related to this article can be found, in the online version, at doi:<https://doi.org/10.1016/j.mcat.2019.110461>.

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