







1 DUGseis: A Python package for real-time and 2 post-processing of picoseismicity

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8 Summary

9 Detecting earthquakes and compiling these to earthquake catalogs are fundamental tasks in
10 seismology. Acoustic emission sensors allow detecting tiniest so called picoseismic events repre-
11 senting fractures on mm, cm or dm scale ($-6 < \text{Magnitude} < 0$). Such picoseismic events have
12 corner frequencies of 1kHz-1MHz and cannot be handled by standard seismic processing soft-
13 wares that deal with signals < 500 Hz. Other commercial software for monitoring picoseismicity
14 for structural health monitoring applications, e.g. in mines exists, but are only trigger-based.
15 For large-scale experiments in underground laboratories (e.g., hydraulic stimulation, earthquake
16 nucleation, nuclear waste disposal) continuous recordings of the seismicity data streams in MHz
17 range are needed to study the rock response in great detail. The DUGseis software package is
18 filling this gap. It was developed to manage, process and visualize continuous, high-frequency
19 seismic data. The package can be used to create earthquake catalogs in real-time, as well as
20 in post-processing, and directly visualize their event waveforms and locations in a graphical
21 interface. Since the software is python based, users can easily add their own processing routines.

22 Statement of need

23 The open source, Python-based DUGseis package is designed to align with the functionalities
24 of SeisComP ([Helmholtz-Centre Potsdam - GFZ German Research Centre for Geosciences
25 and gempa GmbH, 2008](#)), a standard software used in microseismic-large scale earthquake
26 processing ($< 500\text{Hz}$, $M > -0.5$). DUGseis is tailored to picoseismic events ($-6 < M < 0$) with much
27 higher frequency ranges (kHz-MHz), as recorded by acoustic emission sensors (AE sensors).
28 High-frequency seismic data processing is common in mining environments to monitor tunnel
29 stability, and became very popular in underground laboratories. Until now, these projects have
30 employed trigger-based recordings, meaning that the incoming waveform data is only saved
31 to disk if a pre-set trigger threshold is reached by a recorded event. One disadvantage of
32 the triggered recording strategy is the so-called dead time. After an event is triggered, no
33 additional event can be triggered until the processing of the first triggered event is completed.
34 The dead time can be a multiple of the recording time, meaning important events can be
35 missed if another event happened just before. Removing these dead times plays a significant
36 role if high event rates are expected. With DUGseis it is possible to record and store ([Linus
37 Villiger et al., 2024](#)) continuous waveform data in the MHz range and directly process the
38 data, removing these dead times. Being Python-based, DUGseis offers a high flexibility for the
39 researchers to complement the processing with their own Python-based codes, adjusted to the
40 project needs.

41 **Functionality and Features**

42 The DUGseis software is a Python-based package with the main focus to process continuous
43 high-frequency data, extract picoseismic event waveforms and create an earthquake catalog.
44 To make its usage and its outputs more easily accessible for seismologists, some features use
45 modules and functions of the ObsPy package (Beyreuther et al., 2010), a popular package in
46 seismology.

47 The DUGseis software requires sensor metadata with sensor locations and continuous waveform
48 data in the ASDF format (D'Avella et al., 2023; Greenfield et al., 2015) to run. Here, the
49 continuous waveform data was acquired using DUGseis acquisition (Linus Villiger et al., 2024),
50 another software package developed specifically for the Bedretto Underground Laboratory to
51 record and store waveform data of AE sensors in the ASDF format using specific Spectrum
52 digitizer cards. For general usage of the here presented DUGseis package, the waveform data
53 does not need to be acquired from DUGseis acquisition but the ASDF format can be transferred
54 from other data formats.

55 DUGseis retrieves all important information regarding data directories and processing settings
56 from a configuration file. The configuration file can be used to open a graphical interface or
57 can be given to the processing script. After processing the continuous waveform data, DUGseis
58 outputs an event catalog as a database, which additionally can be saved as QuakeML or CSV
59 files. Figure Figure 1 shows the in- and output of the DUGseis processing.

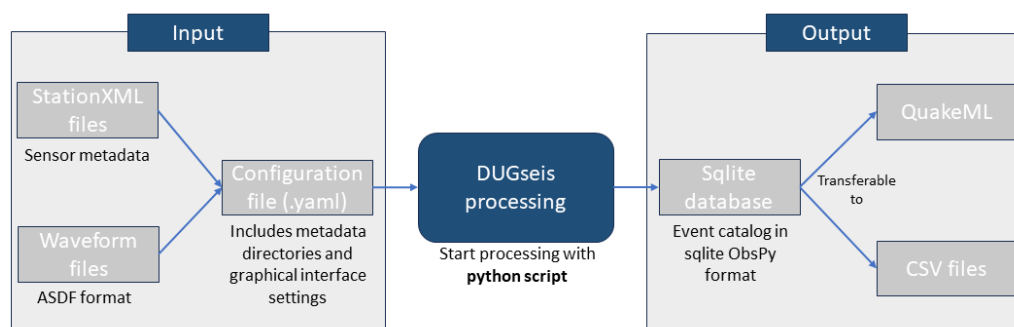


Figure 1: In- and output of DUGseis processing.

60 **Data processing**

61 The processing itself occurs within Python scripts which call different DUGseis functions,
62 enhancing flexibility in usage.

63 DUGseis was mainly developed to process continuous waveform data recorded from AE sensors.
64 L. Villiger et al. (2020) used an initial version of the DUGseis software in the Grimsel Laboratory.
65 The software was further developed for the hydraulic stimulation experiments performed in the
66 Bedretto Underground Laboratory (Obermann et al., 2024). For this purpose, it was necessary
67 to process data in real-time during the stimulations to evaluate the hazard potential of the
68 ongoing stimulation and to gain a direct understanding of the fluid propagation in the rock
69 volume. In addition, after the stimulation was completed, more detailed post-processing was
70 needed to learn more about the rock-volume response. Both, real-time analysis and post-
71 processing, can be done using the DUGseis package. During the live processing, directories are
72 monitored in real time for new incoming data. For the post-processing, all directories with
73 stored continuous waveform data are given to the processing script. This continuous data is
74 then again processed in playback, which is a big advantage compared to the trigger-based
75 software, where only the waveforms of the already triggered events could be revisited.

76 The DUGseis package includes many typical seismological processing steps to create earthquake
77 catalogs. The steps can include a detection stage to select event candidates on a number of
78 pre-defined sensors. This step can be useful to speed up the processing, especially in real-time.

79 Other processing steps are the picking of all traces with different pickers (e.g. STA/LTA),
80 locating events with a basic location algorithm and magnitude estimations, which for now are
81 based on acoustic emission sensors. For some of the processing steps, several methods are
82 implemented, that the user can choose. Furthermore, all processing steps can be adapted to
83 the researcher's needs. For more detailed information on which steps were used in the Bedretto
84 Underground laboratory, we refer to Obermann et al. (2024).
85 After the processing is finished, the outputs of the events, included arrivals and picks, are
86 stored in a database and can also be saved as QuakeML or CSV files.

87 **Graphical Interface**

88 Another functionality of DUGSeis is to visualize the recorded waveform data and to allow
89 manual repicking and relocation, both in real-time and in post-processing. Within the graphical
90 interface, not only waveforms are displayed but also the output of the processing stage, such
91 as origin and picktimes of an event. Additionally, the event and sensor locations are shown in
92 a 3D visualization. Figure Figure 2 shows the layout of the graphical user interface.
93 The graphical interface provides the opportunity to inspect each event and display the channels
94 that recorded the event. Additionally, manual repicking and relocating can be done here.

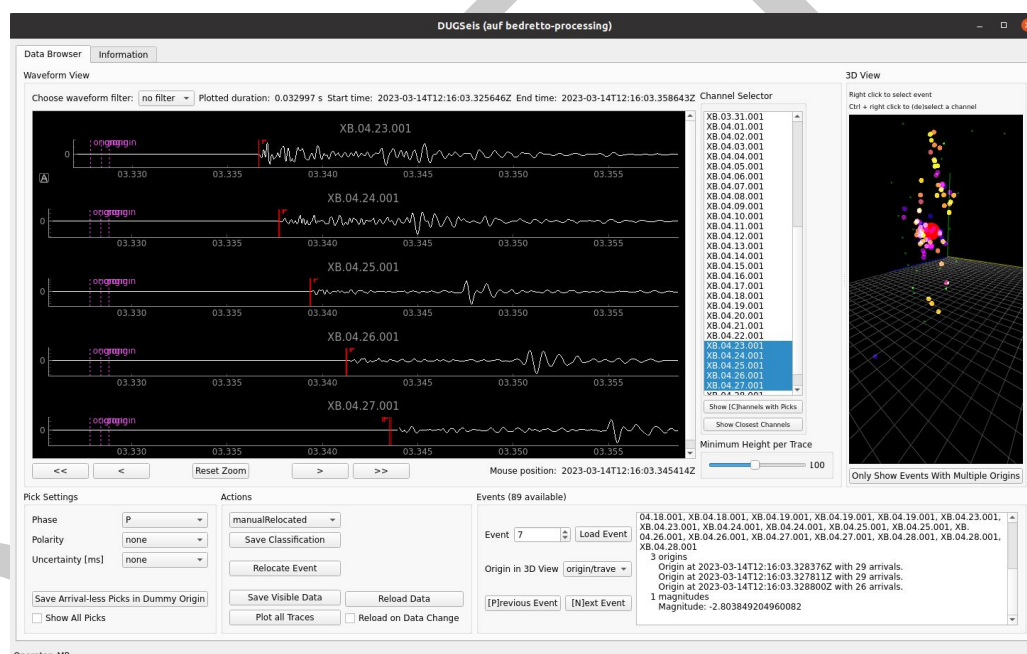


Figure 2: Graphical interface of GUI with waveforms and picks of an event and the 3D plot of the events in the database.

95 **Usage**

96 Since 2021 dozens of hydraulic stimulation experiments have been performed in the Bedretto
97 Underground Laboratory in Switzerland (Ma et al., 2022; Obermann et al., 2024; Plenkers
98 et al., 2023). In this context, the DUGSeis package was used to detect picoseismicity by
99 processing the incoming high-frequency waveform data in real-time and in post-processing
100 mode (Obermann et al., 2024). A small seismic dataset of one of the hydraulic stimulations
101 can be found in (Roskopf et al., 2024).

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