




1 Emiproc: A Python package for emission inventory 2 processing

3 **Constantin Lionel** ¹, **Brunner Dominik** ¹, **Thanwerdas Joel** ^{1*}, **Keller**
4 **Corina**^{1*}, **Steiner Michael**^{1*}, and **Koene Erik** ^{1*}

5 ¹ Empa, Laboratory for Air Pollution / Environmental Technology, Switzerland * These authors
6 contributed equally.

DOI: [10.xxxxxx/draft](https://doi.org/10.xxxxxx/draft)

Software

- [Review](#) 
- [Repository](#) 
- [Archive](#) 

Editor: 

Submitted: 02 October 2024

Published: unpublished

License

Authors of papers retain copyright, and release the work under a Creative Commons Attribution 4.0 International License ([CC BY 4.0](https://creativecommons.org/licenses/by/4.0/)).

7 Summary

8 Emission inventories are created by countries and regions to assess and address air quality
9 and climate change targets. An emission inventory is a spatial dataset that reports the yearly
10 amount of pollutants released into the atmosphere, often broken down by specific source sectors
11 or individual sources. Atmospheric modellers use such inventories to simulate the transport of
12 emitted species in order to compute their distribution and assess their potential impact on the
13 environment. The simulations are often compared with measurements to verify if the declared
14 emissions and their trends are consistent with the observed changes in the atmosphere, thereby
15 enhancing confidence in the inventories.

Figure 1 presents an example of a gridded inventory.

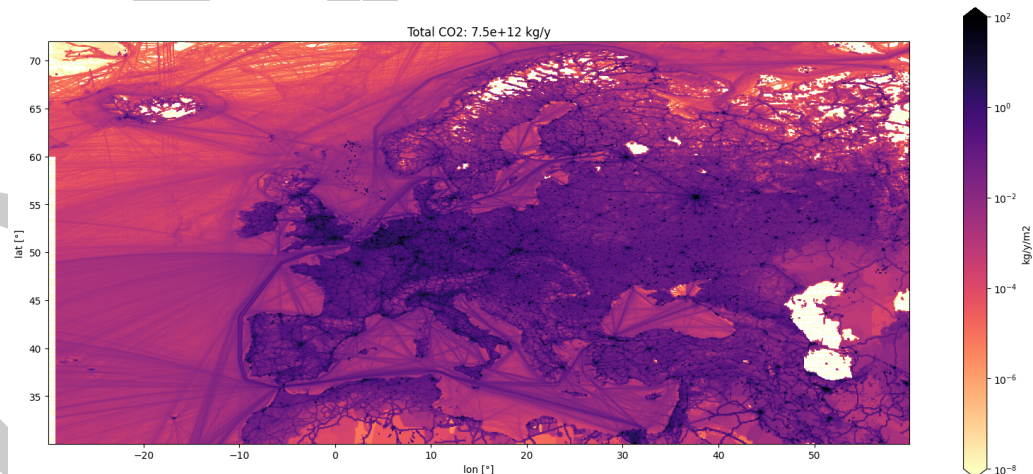


Figure 1: CO2 emissions of the year 2015 from the TNOGHGco2_v6 inventory produced by TNO .

17 Inventories are created in multiple different formats and resolutions, which makes it difficult
18 to compare and use them in atmospheric transport models. emiproc is a Python package
19 that provides tools for processing emission inventories, harmonizing datasets, and preparing
20 such data as inputs for atmospheric transport models. The package provides functions for
21 performing various operations on inventory data, such as remapping to different model grids,
22 aggregating emissions by sector or pollutant, or scaling emissions based on future scenarios.

23 Emission input files can be generated in regular (e.g. hourly) intervals by applying sector- and
24 country-specific temporal and vertical emission profiles. Alternatively, a small set of input

25 files can be generated, which describe the sectorial gridded emissions and their temporal and
26 vertical profiles. This set of files can then be read by an OEM (online emissions module)
27 described in (Jähn et al., 2020), which applies the temporal and vertical scaling online during
28 the model simulation. OEM is integrated into the atmospheric chemistry and transport models
29 COSMO-ART and ICON-ART.

30 emiproc is designed to be flexible and extendable, allowing users to easily add custom func-
31 tionality, to read new inventories or export data to other formats.

32 Statement of need

33 Emission inventory data can be represented in various formats and resolutions. For example,
34 TNO (Dutch Organization for Applied Scientific Research) provides inventories which contains
35 both, area emissions on a regular grid and point sources at their exact locations. Other
36 inventories, such as one from the city of Zurich, are provided as vector data with various shapes
37 depending on the category of the source. For example:

- 38 ■ Traffic emissions are represented as lines
- 39 ■ Building/industrial emissions are represented as point sources
- 40 ■ Private boats on the lake are represented as polygons

41 Atmospheric models require emission inventories to be in a specific file format. The atmospheric
42 chemistry transport model ICON-ART (Schröter et al., 2018), for example, requires emissions
43 on a semi-structured triangular grid. As input for OEM it also requires temporal profiles to
44 scale the emissions with hourly, daily and monthly variability. As an other example, the Graz
45 Lagrangian dispersion model (GRAL) can make direct use of emissions represented in vector
46 format as line, point or rectangular sources. GRAL needs additional detailed information such
47 as the height, the temperature or the gas exit velocity of a point source.

48 When modellers design their simulations, they are often interested in modifying the inventories.
49 For example, they could do the following: scale the emissions based on future scenarios,
50 aggregate emissions by sector or pollutant to simplify their simulations or combine multiple
51 inventories to represent different sources such as anthropogenic and natural emissions. emiproc
52 provides this functionality and has already been successfully applied for different use cases:

- 53 ■ (Steiner et al., 2024) produced emission files for ICON-ART-OEM based on the EDGARv6
54 inventory (Monforti Ferrario et al., 2021) (Emissions Database for Global Atmospheric
55 Research).
- 56 ■ (Dönmez & Brunner, 2024) conducted urban climate simulation using emissions produced
57 with emiproc for cities of Zurich and Basel.
- 58 ■ (Ponomarev & Brunner, 2024) used emiproc to nest the Zurich city inventory inside the
59 Swiss national inventory and to further nest the Swiss inventory inside the European
60 TNO inventory.

61 emiproc shares some of its functionality with another Python tool, HERMESv3 (Guevara et al.,
62 2019), which is also designed to process emission data and generate input files for atmospheric
63 transport models. Compared to HERMESv3, which relies on specific configuration files, emiproc
64 is more flexible, extensible and practical as it can be integrated in existing Python-based
65 workflows.

66 History

67 An earlier version of emiproc was already published (Jähn et al., 2020), but it was limited to
68 specific models and inventories. Starting in 2022 emiproc has been refactored to satisfy the
69 requirements of high flexibility and modularity. This included major changes to code structure,

70 the addition of new capabilities, a major performance increase for the task of spatial regridding,
71 a comprehensive documentation and the addition of test examples.

72 Since then the package is regularly updated with new features and bug fixes.

73 Design

74 In order to use different types of inventories in atmospheric models, it is necessary to harmonize
75 them. This is what the emiproc package is designed for.

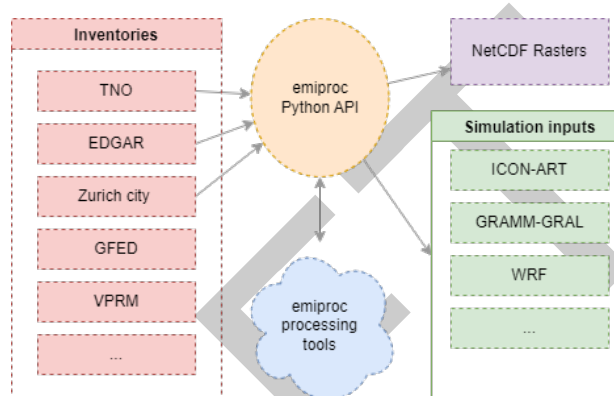


Figure 2: Design emiproc.

76 Thanks to the harmonization of the data, functions for additional data processing can easily
77 be applied to the different inventories once loaded into emiproc. The processed inventories
78 can be exported to any of the formats supported by the package.

79 The API of emiproc leverages the advantages of object-oriented programming. emiproc is built
80 on top of the geopandas package (Jordahl et al., 2020), which allows storing the geometries
81 of the emission maps and offers many functionalities related to geometric operations. Within
82 emiproc, the emission data of the inventory is stored as a [geopandas.GeoDataFrame](#).

83 Inventory

84 The main object is the Inventory object. Any inventory is a subclass of the base Inventory.
85 emiproc provides many utility functions to process them. Typically, a processing function takes
86 an inventory and some parameters as input and returns a new inventory with the processed
87 data.

88 Example: the group_categories function regroups an inventory with a given set of source
89 categories to a new inventory with another set of categories, based on a mapping provided by
90 the user. This is useful to reduce the number of sources simulated or to use a standardized set
91 of categories such as the GNFR (Gridded Nomenclature For Reporting) sectors from (European
92 Environment Agency, 2023).

93 Grid

94 As inventories and models use different grids, emiproc defines a grid and projection through
95 the Grid object. Many use cases are covered by the RegularGrid child class, which represents
96 a standard latitude-longitude grid. On the other hand, users of models with more complex
97 geometries can define their own grid.

98 Example: The ICON Model ([ICON partnership et al., 2024](#)) uses an icosahedral grid composed
99 of triangular grid cells instead of rectangular ones. For this model, emiproc provides an
100 ICON_grid object.

101 Functions in emiproc can then perform various operations on these Grid objects.

102 Example: the remap_inventory function remaps the emissions to a different grid. It takes an
103 Inventory and a Grid as input, and returns a new Inventory containing emissions remapped
104 to the new grid.

105 **Temporal and vertical profiles**

106 To handle the temporal variation of the emissions, emiproc uses the TemporalProfile object,
107 which is assigned to the Inventory and contains the temporal distribution of the emissions.
108 Profiles can be either defined at specific datetimes or can be cyclic profiles at different temporal
109 resolutions (e.g. daily, weekly, monthly).

110 The vertical distribution of the emissions is handled in a similar manner by the VerticalProfile
111 object.

112 The temporal and vertical profile objects can be assigned specifically to certain types of
113 emissions. For example, it is possible to assign them to a specific category / pollutant /
114 country / gridcell.

115 **Export functions**

116 emiproc contains many functions to export inventories for various atmospheric models. The
117 export produces all emission input files required by the model. These export functions are
118 designed to make life of the modellers as simple as possible.

119 Some transport models might require additional data not included in the inventories. In this
120 case, emiproc provides error messages, which guide the user into adding the missing data.

121 **Emissions generation**

122 Emission inventories do not necessarily contain all data relevant for a simulation. For example,
123 human respiration contributes to emissions of CO₂ but is rarely included in an inventory.
124 However, an emission map for this sector can be estimated based on population density. For
125 this purpose, emiproc provides a module that helps produce emission maps that rely on a
126 spatial proxy (e.g. population density) and an emission factor.

127 Another example is CO₂ emissions from vegetation. Several different models are available
128 that estimate the exchange of CO₂ with vegetation. emiproc implements VPRM (Vegetation
129 Photosynthesis and Respiration Model) ([Mahadevan et al., 2008](#)), which (by default) makes
130 use of vegetation and soil moisture indices, extracted from satellite observations.

131 This topic is already well-developed in the bottom-up component of HERMESv3 ([Guevara et al.,
132 2020](#)), as it includes emission modules for a wide range of sectors and pollutants.

133 **Visualizing the data**

134 At the end of the processing, a modeller may want to check the output files to see if the
135 processing was successful. For some of the outputs, emiproc provides example plot scripts
136 based on matplotlib ([Hunter, 2007](#)). Inventories on regular grids can be plotted using the
137 plot_inventory function from the emiproc.plots module. Figure 1 was created using this
138 function.

139 Availability

140 The package is available on [GitHub](#) and the documentation is available on [readthedocs](#).
141 [Tutorials](#) are available to guide new users. A good first start is the [Edgar processing tutorial](#)
142 which shows how emiproc can be used to load, process and export a freely available inventory.

143 Acknowledgements

144 We acknowledge all the previous and current contributors of emiproc: Michael Jähn, Gerrit
145 Kuhlmann, Qing Mu, Jean-Matthieu Haussaire, David Ochsner, Katherine Osterried, Valentin
146 Clément, Alessandro Bigi.

147 We would like to thank Hugo Denier van der Gon and Jeroen Kuenen from TNO for their
148 support for the integration of the latest TNO inventories and Tobias Kugler and Corinne Hörger
149 from the city of Zurich for providing the detailed city inventory.

150 We also acknowledge C2SM (Center for Climate Systems Modeling) for the development of
151 the first version of emiproc.

152 Finally we would like to thank the developers of the Python packages used by emiproc and
153 the whole Python community for providing such a great ecosystem.

154 References

- 155 Dönmez, E., K., & Brunner, D. (2024). Urban climate and CO₂ simulations with the new at-
156 mospheric model ICON-ART accounting for spatially varying urban morphology and material
157 properties. *EGU General Assembly 2024*. <https://doi.org/10.5194/egusphere-egu24-3375>
- 158 European Environment Agency. (2023). *EMEP/EEA air pollutant emission inventory guidebook*
159 *2023 – technical guidance to prepare national emission inventories*. Publications Office of
160 the European Union. <https://doi.org/10.2800/795737>
- 161 Guevara, M., Tena, C., Porquet, M., Jorba, O., & Pérez García-Pando, C. (2019). HERMESv3,
162 a stand-alone multi-scale atmospheric emission modelling framework – part 1: Global and
163 regional module. *Geoscientific Model Development*, 12(5), 1885–1907. [https://doi.org/10.](https://doi.org/10.5194/gmd-12-1885-2019)
164 [5194/gmd-12-1885-2019](https://doi.org/10.5194/gmd-12-1885-2019)
- 165 Guevara, M., Tena, C., Porquet, M., Jorba, O., & Pérez García-Pando, C. (2020). HER-
166 MESv3, a stand-alone multi-scale atmospheric emission modelling framework – part 2:
167 The bottom-up module. *Geoscientific Model Development*, 13(3), 873–903. <https://doi.org/10.5194/gmd-13-873-2020>
- 169 Hunter, J. D. (2007). Matplotlib: A 2D graphics environment. *Computing in Science &*
170 *Engineering*, 9(3), 90–95. <https://doi.org/10.1109/MCSE.2007.55>
- 171 ICON partnership, DWD, MPI-M, DKRZ, KIT, & C2SM. (2024). *ICON release 2024.01*.
172 World Data Center for Climate (WDCC) at DKRZ. [https://doi.org/10.35089/WDCC/](https://doi.org/10.35089/WDCC/IconRelease01)
173 [IconRelease01](https://doi.org/10.35089/WDCC/IconRelease01)
- 174 Jähn, M., Kuhlmann, G., Mu, Q., Haussaire, J.-M., Ochsner, D., Osterried, K., Clément, V.,
175 & Brunner, D. (2020). An online emission module for atmospheric chemistry transport
176 models: Implementation in COSMO-GHG v5.6a and COSMO-ART v5.1-3.1. *Geoscientific*
177 *Model Development*, 13(5), 2379–2392. <https://doi.org/10.5194/gmd-13-2379-2020>
- 178 Jordahl, K., Bossche, J. V. den, Fleischmann, M., Wasserman, J., McBride, J., Gerard,
179 J., Tratner, J., Perry, M., Badaracco, A. G., Farmer, C., Hjelle, G. A., Snow, A. D.,
180 Cochran, M., Gillies, S., Culbertson, L., Bartos, M., Eubank, N., maxalbert, Bilogur,

- 181 A., ... Leblanc, F. (2020). *Geopandas/geopandas: v0.8.1* (Version v0.8.1). Zenodo.
182 <https://doi.org/10.5281/zenodo.3946761>
- 183 Mahadevan, P., Wofsy, S. C., Matross, D. M., Xiao, X., Dunn, A. L., Lin, J. C., Gerbig, C.,
184 Munger, J. W., Chow, V. Y., & Gottlieb, E. W. (2008). A satellite-based biosphere parame-
185 terization for net ecosystem CO₂ exchange: Vegetation photosynthesis and respiration model
186 (VPRM). *Global Biogeochemical Cycles*, 22(2). <https://doi.org/10.1029/2006GB002735>
- 187 Monforti Ferrario, F., Crippa, M., Guizzardi, D., Muntean, M., Schaaf, E., Lo Vullo, E., Solazzo,
188 E., Olivier, J., & Vignati, E. (2021). *EDGAR (Emissions Database for Global Atmospheric
189 Research) v6.0 Greenhouse Gas Emissions*. European Commission, Joint Research Centre
190 (JRC) [Dataset]. <http://data.europa.eu/89h/97a67d67-c62e-4826-b873-9d972c4f670b>
- 191 Ponomarev, S., N., & Brunner, D. (2024). Estimation of CO₂ fluxes in the city of zurich
192 using the mesoscale atmospheric transport and inversion model ICON-ART-CTDAS. *EGU
193 General Assembly 2024*. <https://doi.org/10.5194/egusphere-egu24-7420>
- 194 Schröter, J., Rieger, D., Stassen, C., Vogel, H., Weimer, M., Werchner, S., Förstner, J., Prill,
195 F., Reinert, D., Zängl, G., Giorgetta, M., Ruhnke, R., Vogel, B., & Braesicke, P. (2018).
196 ICON-ART 2.1: A flexible tracer framework and its application for composition studies in
197 numerical weather forecasting and climate simulations. *Geoscientific Model Development*,
198 11(10), 4043–4068. <https://doi.org/10.5194/gmd-11-4043-2018>
- 199 Steiner, M., Peters, W., Lujikx, I., Henne, S., Chen, H., Hammer, S., & Brunner, D. (2024).
200 European CH₄ inversions with ICON-ART coupled to the CarbonTracker data assimilation
201 shell. *Atmospheric Chemistry and Physics*, 24(4), 2759–2782. [https://doi.org/10.5194/
202 acp-24-2759-2024](https://doi.org/10.5194/acp-24-2759-2024)

DRAFT