Intro to Rust Lang Smart Pointers and Trait Objects

Today: Smart Pointers and Trait Objects

- Box<T>
- The Deref trait
- The Drop trait
- Trait Objects
- Smart Pointers

Motivation for Box<T>

Let's Make a List

Let's say we wanted to make a recursive-style list:

```
enum List {
   Cons(i32, List),
   Nil,
}
fn main() {
   // List of [1, 2, 3]
   let list = Cons(1, Cons(2, Cons(3, Nil)));
}
```



The Compiler's Suggestion

- The compiler is complaining because we've defined a type with *infinite size*
- The suggestion is to use a Box<List>

Indirection with Box<T>

```
let singleton = Cons(1, Box::new(Nil));
let list = Cons(1, Box::new(Cons(2,
                         Box::new(Cons(3,
                          Box::new(Nil))))));
```

- In the suggestion, "indirection" means we store a *pointer* to a List rather than an entire List
 - Pointers have fixed size, so our enum is no longer of infinite size!
- We create a Box<List> with the Box::new associated function

More about Box<T>

- Box<T> is a simple "smart" pointer to memory allocated on the heap*
 It is "smart" because it frees the memory when dropped
- Other than the cost of allocation and pointer indirection, Box has no performance overhead
- Box<T> fully owns the data it points to (just like Vec<T>)

When to use Box<T>

- When you have a type of unknown size **at compile time** (like List)
- When you have a large amount of data and want to transfer ownership
 - $\circ\,$ Transferring ownership of a pointer is faster than a large chunk of data
- Trait Objects
 - We'll get to this soon...

Using Values in the Box

```
let x = 5;
let y = Box::new(x);
assert_eq!(5, x);
assert_eq!(5, *y);
```

- Just like a reference we can dereference a Box<T> to get T
- Box<T> implements the Deref trait which customizes the behavior of *



The deref trait is defined as follows:

```
pub trait Deref {
   type Target: ?Sized;
   // Required method
   fn deref(&self) -> &Self::Target;
}
```

- Behind the scenes *y is actually *(y.deref())
- Note this does not recurse infinitely
- We can treat anything that implements Deref like a pointer!

Deref Coercion

Recall that we were able to coerce a &String into a &str. We can also coerce a &Box<String> into a &str!

```
fn hello(name: &str) {
    println!("Hello, {name}!");
}
fn main() {
    let m = Box::new(String::from("Rust"));
    hello(&m);
}
```

- Deref coercion converts a &T into &U if Deref::Target = U
- Example: Deref coercion can convert a &String into &str
 - String implements the Deref trait such that Deref::Target = &str

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Deref Coercion Rules

Rust is able to coerce mutable to immutable but not the reverse.

- From &T to &U when T: Deref<Target=U>
- From &mut T to &mut U when T: DerefMut<Target=U>
- From &mut T to &U when T: Deref<Target=U>
- For more information, consult the Rustonomicon

&T to &U Example

```
fn foo(s: &[i32]) {
    print(s[0])
}
// Vec<T> implements Deref<Target=[T]>.
let owned = vec![1, 2, 3];
// Here we coerce &Vec<T> to &[T]
foo(&owned);
println!("{:?}", owned);
```

[1] [1, 2, 3]

&mut T to &mut U Example

```
fn foo(s: &mut [i32]) {
    s[0] += 1;
}
// Vec<T> implements DerefMut<Target=[T]>.
let mut owned = vec![1, 2, 3];
// Here we coerce &mut Vec<T> to &mut [T]
foo(&mut owned);
printlal("(:2)" owned);
```

```
println!("{:?}", owned);
```

[2, 2, 3]

• DerefMut also allows coercing to &[T]

The Drop Trait

```
pub trait Drop {
    fn drop(&mut self);
}
```

- Values are dropped when they go out of scope
- Dropping a value will recursively drop all its fields by default
 - This mechanism allows automatically freeing memory
- You can also provide a custom implementation of Drop on your types
 - $\circ\,$ Allows us to run user code when values are dropped

Drop Trait Example

```
struct CustomSmartPointer {
    data: String,
}
impl Drop for CustomSmartPointer {
    fn drop(&mut self) {
        println!("Dropping `CustomSmartPointer` with data `{}`!", self.data);
    }
}
```

- This is a custom implementation that simply prints the data on drop
- The data will still be freed automatically
 - This method does not include automatic memory freeing logic

Drop Trait Example

```
let c = CustomSmartPointer {
    data: String::from("my stuff"),
};
let d = CustomSmartPointer {
    data: String::from("other stuff"),
};
println!("CustomSmartPointers created.");
```

CustomSmartPointers created. Dropping CustomSmartPointer with data `other stuff`! Dropping CustomSmartPointer with data `my stuff`!

• Notice how values are dropped in reverse order of creation

Drop Trait Usage

Drop trait implementations are typically not needed unless:

- You are manually managing memory
 - This likely involves using unsafe under the hood
- You need to do something special before a value is dropped
 - Might involve managing OS resources
 - Might involve signalling other parts of your codebase

Manual Drop

What if we want to manually drop a value before the end of the scope?

```
let csm = CustomSmartPointer {
    data: String::from("some data"),
};
println!("CSM created.");
```

csm.drop();

println!("CSM dropped before the end of the scope");



Manual Drop

• Rust won't let you explicitly call the drop trait method to avoid double drops

Manual Drop

```
let csm = CustomSmartPointer {
    data: String::from("some data"),
};
println!("CSM created.");
std::mem::drop(csm);
println!("CSM dropped before the end of the scope");
```

- This code works since we use std::mem::drop instead
- What's the difference?

std::mem::drop

Here is the actual source code of std::mem::drop in the standard library:

pub fn drop<T>(_x: T) {}

- It takes ownership of _x , and then _x reaches the end of the scope and is dropped
 - Hence, calling this function drops it, on demand!

Object Oriented Programming

- Well...
 - Not quite...

What We Know So Far...

```
pub struct AveragedCollection {
    list: Vec<i32>,
    average: f64,
}
impl AveragedCollection {
    pub fn add(&mut self, value: i32) {
        self.list.push(value);
        self.update_average();
    }
    <-- snip -->
}
```

- Encapsulation within impl blocks and crates
- Public and private functions and methods with pub

Inheritence?

Rust structs cannot "inherit" the implementations of methods or data fields from another struct...

- If we want to wrap another struct's functionality, we can use composition
- If we want to define interfaces, we can use traits
- If we want polymorphism...
 - Rust has something called "trait objects"

Polymorphism

- Polymorphism != Inheritance
- Polymorphism == "Code that can work with multiple data types"
- In object oriented languages, polymorphism is usually expressed with classes
- Rust expresses polymorphism with generics and traits:
 - Generics are abstract over different possible types
 - Traits impose constraints on what behaviors types must have

Trait Objects

Trait objects allow us to store objects that implement a trait.

```
pub trait Window {
    fn draw(&self);
}
pub struct LaptopScreen {
    pub windows: Vec<Box<dyn Window>>,
}
```

- In this example, LaptopScreen holds a vector of Window objects
- We use the dyn keyword to describe any type that implements Window
 In a Box , since objects implementing Window could be of any size at runtime

Trait Objects and Closures

Since closures implement the Fn traits, they can be represented as trait objects!

```
fn returns_closure() -> Box<dyn Fn(i32) -> i32> {
    Box::new(|x| x + 1)
}
fn main() {
    let closure = returns_closure();
    print!("{}", closure(5)); // prints 6
}
```

- We can use trait objects to return dynamic types
- Deref coercion happening in the background to keep ergonomics clean!

Working With Trait Objects

```
struct ChromeWindow {
    width: u32,
    height: u32,
    evil_tracking: bool
}
struct FirefoxWindow {
    width: u32,
    height: u32,
}
impl Window for ChromeWindow { fn draw(&self) { ... } }
impl Window for FirefoxWindow { fn draw(&self) { ... } }
```

• Say we have **multiple** types that implement Window

Working With Trait Objects: Dynamic Dispatch

```
impl LaptopScreen {
    pub fn run(&self) {
        // `windows` is of type Vec<Box<dyn Window>>
        for window in self.windows.iter() {
            window.draw();
        }
    }
}
```

- This is different than if windows was Vec<ChromeWindow>
 The generic parameter (in Vec) is known at compile time.
- Trait objects allow for types to fill in for the trait object at runtime

Generic Version

What about a version implemented with generics?

```
pub struct LaptopScreen<T: Window> {
    pub windows: Vec<T>,
}
impl<T> LaptopScreen<T>
where
    T: Window,
{
    pub fn run(&self) {
        for window in self.windows.iter() {
            window.draw();
```

Trait Objects: Mixing Objects

```
let screen = LaptopScreen {
    windows: vec![
        Box::new(ChromeWindow {
            width: 1280,
            width: 720,
            evil_tracking: true,
        }),
        Box::new(FirefoxWindow {
            <-- snip -->
        }),
    ],
};
screen.run();
```

• This is not possible with the version using generics

Aside: Dynamically Sized Types

- dyn Window is an example of a dynamically sized type (DST)
- DSTs have to be in a Box , because we don't know the size at compile time
 - A dyn Window could be a ChromeWindow or FirefoxWindow object
 - How much space should we make on the stack?
- Pointers to DSTs are double the size (wide pointers)
 - If you're interested in more information, ask us after lecture!

Smart Pointers

Smart Pointers

- Rc<T>
- RefCell<T>
- Interior Mutability
- Memory Leaks

Motivation for Rc<T>

Let's Make a List (again)

Let's continue making the recursive-style list from the beginning of lecture with Box<T> .

```
enum List {
   Cons(i32, Box<List>),
   Nil,
}
fn main() {
   let a = Cons(5, Box::new(Cons(10, Box::new(Nil))));
   let b = Cons(3, Box::new(a));
   let c = Cons(4, Box::new(a));
}
```



Cargo's Suggestion

- Cons needs to **own** the data it holds
- We want both b and c to point to the same instance a
 - a was already moved into b when we create c

References?

```
enum List<'a> {
    Cons(i32, &'a List<'a>),
    Nil,
}
use crate::List::{Cons, Nil};
fn main() {
  let nil = Nil;
  let a = Cons(10, &nil);
  let b = Cons(5, &a);
 let c = Cons(3, \&a);
  let d = Cons(4, \&a);
}
```

• While it can be done, it's a little messy

Introducing Rc<T>

```
enum List {
  Cons(i32, Rc<List>),
  Nil,
}
use crate::List::{Cons, Nil};
use std::rc::Rc;
fn main() {
  let a = Rc::new(Cons(5, Rc::new(Cons(10, Rc::new(Nil)))));
  let b = Cons(3, Rc::clone(&a));
  let c = Cons(4, Rc::clone(&a));
}
```

- Short for reference counted
- Keeps track of the number of references to a value

Rc<T> : Reference Counted

- Use Rc::new(T) to create a new Rc<T>
 - Rc::clone() isn't a deep clone, it increments the ref counter
- When an Rc is cloned, increment reference count
- When an Rc is dropped, decrement reference count
- When the reference count reaches zero, free the memory

When to use Rc<T>

- Share one instance of allocated memory throughout the program
 - $\circ~$ We can only access the data as read-only
 - \circ We don't need to know what part of the program is going to use it last
- Only used for single-threaded scenarios
 - Arc<T> for multi-threaded (more on that soon)

Rc<T> Reference Counting Demonstrated

```
fn main() {
    let a = Rc::new(String::new("TODO: Steal Connor's identity"));
    // Ref count after creating a: 1
    let b = Rc::clone(&a);
    // Ref count after creating b: 2
    {
        let c = Rc::clone(&a);
        // Ref count after creating c: 3
    // Ref count after dropping c: 2
}
// Ref count after dropping b and c: 0
```

- The ref count is incremented on each clone
- The ref count is decremented on each drop

Rc<T> Recap

- Allows sharing *immutable* references without lifetimes
- Should be used when the last user of the data is unknown
- Very low overhead for providing this capability
 - O(1) increment/decrement of counter
 - Potential allocation/de-allocation on heap
- Implemented using the Drop trait and unsafe !

RefCell<T> and Interior Mutability

First, Cell<T>

```
use std::cell::Cell;
```

```
let c1 = Cell::new(5i32);
c1.set(15i32);
```

```
let c2 = Cell::new(10i32);
c1.swap(&c2);
```

```
assert_eq!(10, c1.into_inner()); // consumes cell
assert_eq!(15, c2.get()); // returns copy of value
```

- Shareable, mutable container
- Values can be moved in and out of a cell
- Used for Copy types
 - (where copying or moving values isn't too resource intensive)

RefCell<T>

- Hold's sole ownership like Box<T>
- Allows borrow checker rules to be enforced at **runtime**
 - o Interface with .borrow() or borrow_mut()
 - If borrowing rules are violated, panic!
- Typically used when Rust's conservative compile-time checking "gets in the way"
- It is **not** thread safe!
 - Use Mutex<T> instead

Interior Mutability

```
fn main() {
   let x = 5;
   let y = &mut x; // cannot borrow immutable x as mutable
}
```

- It would be useful for a value to mutate itself in its methods but appear immutable to other code
- Code outside the value's methods wouldn't be able to mutate it
- This can be achieved with RefCell<T>

Interior Mutability with Mock Objects

```
pub trait Messenger {
    // Note this takes &self and not &mut self
    fn send(&self, msg: &str);
}
pub struct LimitTracker<'a, T: Messenger> {
    messenger: &'a T,
    value: usize,
    max: usize,
}
```

- LimitTracker tracks a value against a maximum value and sends messages based on how close to the maximum value the current value is
- We want to mock a messenger for our limit tracker to keep track of messages for testing

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Limit Tracker

```
impl<'a, T> LimitTracker<'a, T>
where
    T: Messenger,
{
    // --- snip ---
    pub fn set_value(&mut self, value: usize) {
        self.value = value;
        let percentage_of_max = self.value as f64 / self.max as f64;
        if percentage_of_max >= 1.0 {
            self.messenger.send("Error: You are over your quota!");
        } else if percentage_of_max >= 0.9 {
            self.messenger
                .send("Urgent warning: You've used up over 90% of your quota!");
        } else if percentage_of_max >= 0.75 {
            self.messenger
                .send("Warning: You've used up over 75% of your quota!");
```

Our Mock Messenger

```
struct MockMessenger {
  sent_messages: Vec<String>,
}
impl MockMessenger {
  fn new() -> MockMessenger {
    MockMessenger { sent_messages: vec![] }
  }
impl Messenger for MockMessenger {
  fn send(&self, message: &str) {
    self.sent_messages.push(String::from(message));
```

• This code won't compile! self.sent_messages.push requires &mut self

Let's Use Interior Mutability

```
use std::cell::RefCell;
struct MockMessenger {
  sent_messages: RefCell<Vec<String>>,
impl MockMessenger {
  fn new() -> MockMessenger {
    MockMessenger {
      sent_messages: RefCell::new(vec![]),
impl Messenger for MockMessenger {
  fn send(&self, message: &str) {
    self.sent_messages.borrow_mut().push(String::from(message));
  }
```

Managing Borrows

```
impl Messenger for MockMessenger {
  fn send(&self, message: &str) {
    let mut one_borrow = self.sent_messages.borrow_mut();
    let mut two_borrow = self.sent_messages.borrow_mut();
    one_borrow.push(String::from(message));
    two_borrow.push(String::from(message));
  }
}
```



- We still use the & and mut syntax for RefCell
- borrow returns either a Ref Or RefMut which implement Deref / DerefMut
 - Deref coercion applies: Can be treated as standard references

What Makes Each Smart Pointer Unique

- Rc<T> Enables multiple read-only owners of the same data
- Box<T> Allows immutable or mutable borrows that are checked at compile time
- RefCell<T> Allows immutable/mutable borrows that are checked at *runtime*

Combining Smart Pointers: Rc<RefCell<T>>

```
#[derive(Debug)]
enum List {
   Cons(Rc<RefCell<i32>>, Rc<List>),
   Nil,
}
```

- Common type seen in Rust
- Enables multiple owners of mutable data (with runtime checks)
- Extremely powerful, but comes with some overhead

Rc<RefCell<T>> List

```
let value = Rc::new(RefCell::new(5));
```

let a = Rc::new(Cons(Rc::clone(&value), Rc::new(Nil)));

```
let b = Cons(Rc::new(RefCell::new(3)), Rc::clone(&a));
let c = Cons(Rc::new(RefCell::new(4)), Rc::clone(&a));
```

```
*value.borrow_mut() += 10;
```

<pre>println!("a</pre>	after	=	{:?}",	a);
<pre>println!("b</pre>	after	=	{:?}",	b);
<pre>println!("c</pre>	after	=	<pre>{:?}</pre> ,	c);

```
a after = Cons(RefCell { value: 15 }, Nil)
b after = Cons(RefCell { value: 3 }, Cons(RefCell { value: 15 }, Nil))
c after = Cons(RefCell { value: 4 }, Cons(RefCell { value: 15 }, Nil))
```

Let's Try Another List

```
enum List {
  Cons(i32, RefCell<Rc<List>>),
  Nil,
}
impl List {
  fn tail(&self) -> Option<&RefCell<Rc<List>>> {
    match self {
      Cons(_, item) => Some(item),
      Nil => None,
```

- This implementation allows modifying the list structure instead of list values
- Now we have a function tail that gets the rest of our list

What Happens?

let a = Rc::new(Cons(5, RefCell::new(Rc::new(Nil))));

```
println!("a initial rc count = {}", Rc::strong_count(&a));
println!("a next item = {:?}", a.tail());
```

let b = Rc::new(Cons(10, RefCell::new(Rc::clone(&a))));

```
println!("a rc count after b creation = {}", Rc::strong_count(&a));
println!("b initial rc count = {}", Rc::strong_count(&b));
println!("b next item = {:?}", b.tail());
```

```
if let Some(link) = a.tail() {
    *link.borrow_mut() = Rc::clone(&b);
}
```

```
println!("b rc count after changing a = {}", Rc::strong_count(&b));
println!("a rc count after changing a = {}", Rc::strong_count(&a));
```

```
println!("a next item = {:?}", a.tail());
```

Answer

```
Exited with signal 6 (SIGABRT): abort program
a initial rc count = 1
a next item = Some(RefCell { value: Nil })
a rc count after b creation = 2
b initial rc count = 1
b next item = Some(RefCell { value: Cons(5, RefCell { value: Nil }) })
b rc count after changing a = 2
a rc count after changing a = 2
a next item = Some(RefCell { value: Cons(10, RefCell { value: Cons(5, RefCell...
```

• We see that at the end we have a reference cycle!

Let's Look Closer

```
let a = Rc::new(Cons(5, RefCell::new(Rc::new(Nil))));
// a is Cons(5, Nil)
let b = Rc::new(Cons(10, RefCell::new(Rc::clone(&a))));
// b is Cons(10, a) = Cons(10, Cons(5, Nil))
if let Some(link) = a.tail() {
    // link is Nil (pointed to by a)
    *link.borrow_mut() = Rc::clone(&b);
    // link is now b = Cons(10, a)
}
//a = Cons(5, link) = Cons(5, b) = Cons(5, Cons(10, a))
// ^^^ reference cycle of a made!
```

- This can cause a memory leak!
 - Rc only frees when the strong_count is 0

Avoiding Reference Cycles

- We know Rc::clone increases the strong_count
- You can create a Weak<T> reference to a value with Rc::downgrade
 This increases the weak_count and can be nonzero when the Rc is freed
- To ensure valid references, Weak<T> must be upgraded before any use
 - Returns an Option<Rc<T>>

Weak<T> Trees

```
use std::cell::RefCell;
use std::rc::{Rc, Weak};
#[derive(Debug)]
struct Node {
 value: i32,
 parent: RefCell<Weak<Node>>,
 children: RefCell<Vec<Rc<Node>>>,
}
```

Weak<T> Trees In Action

```
fn main() {
  let leaf = Rc::new(Node {
    value: 3,
    parent: RefCell::new(Weak::new()),
    children: RefCell::new(vec![]),
  });
  println!("leaf parent = {:?}", leaf.parent.borrow().upgrade());
  let branch = Rc::new(Node {
    value: 5,
    parent: RefCell::new(Weak::new()),
    children: RefCell::new(vec![Rc::clone(&leaf)]),
  });
```

*leaf.parent.borrow_mut() = Rc::downgrade(&branch);

```
println!("leaf parent = {:?}", leaf.parent.borrow().upgrade());
} // Tree is effectively dropped even with parent references!
```

Recap

- Box<T>
- The Deref trait
- The Drop trait
- Trait Objects
- Smart Pointers

Next Lecture: Unsafe

Thanks for coming!

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