

### Recap: Parallelism vs. Concurrency

#### **Parallelism**

- Work on multiple tasks at the same time
- Utilizes multiple processors/cores

#### Concurrency

- Manage multiple tasks, but only do one thing at a time.
- Better utilizes a single processor/core

These terms are used (and abused) interchangeably

### Concurrency

Today, we'll be talking about Rust's mechanism for concurrency.

- Different from how other languages approach concurrency
- Rust has specific keywords async and await
- When we say something is asynchronous, we generally also mean it is concurrent
- When we mention cooperative multitasking, we mean asynchronous

### Concurrency is Complicated

- Asynchronous execution in *any* language is complicated
- Async is not a mutually exclusive feature to parallelism
  - Parallelism and concurrency can "mix" in Rust

### Rust's Concurrency is Even More Complicated!

Due to the high complexity of Rust's rules and features, async is *even harder* to implement and use in Rust.

- Asynchronous execution is still evolving both as a feature in Rust and as a programming paradigm
- We're going to keep this lecture primarily focused on the high level details of using async rather than creating your own Future s

### What is Asynchronous Code?

- A concurrent programming model supported by many languages
  - All in slightly different forms under the hood
- Allows for a large number of tasks on only a few threads
  - You can imagine "lightweight" tasks on "heavyweight" OS-backed threads
- Still preserves the "feel" of synchronous programming through the async / await syntax

### Rust Async vs Other Concurrency Models

- OS threads
  - Very easy to express, but hard to synchronize and have overhead on startup
- Event driven programming
  - Can be performant with callbacks
  - Causes overly verbose non-linear control flow (debugging nightmare)
- Coroutines
  - Supports many tasks like async
  - Abstract away low-level details needed for systems programmers
- Actor Model
  - A fine solution for many distributed systems using message passing
  - Leaves practical issues such as control flow and retry logic up to the user.

### What Makes Rust Async Special?

- Futures are inert
  - Futures only make progress when polled, dropping a future stops progress
- Async is zero-cost
  - Only pay for what you use (like iterators)
  - Async without heap allocation or dynamic dispatch
  - Great for low-resource systems
- Rust has no built-in runtime
  - Provided by community crates such as Tokio
- Single and Multithreaded runtimes are possible in Rust
  - Have different advantages/disadvantages

#### **Threaded Download**

```
fn get_two_sites() {
    // Spawn two threads to do work.
    let thread_one = thread::spawn(|| download("https://www.foo.com"));
    let thread_two = thread::spawn(|| download("https://www.bar.com"));

    // Wait for both threads to complete.
    thread_one.join().expect("thread one panicked");
    thread_two.join().expect("thread two panicked");
}
```

• This is pretty wasteful, let's use async instead!

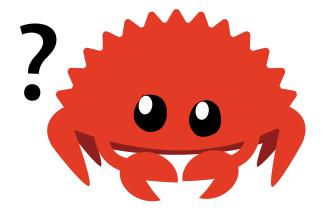
### **Async Download**

```
async fn get_two_sites_async() {
    // Create two different "futures" which, when run to completion,
    // will asynchronously download the webpages.
    let future_one = download_async("https://www.foo.com");
    let future_two = download_async("https://www.bar.com");
    // Run both futures to completion at the same time.
    futures::join!(future_one, future_two);
    // Could've instead done:
    // future_one.await;
    // future_two.await;
    // But would've been slower since serial computation
```

### Another Async Example

```
async fn hello_world() {
    println!("hello, world!");
}

fn main() {
    let future = hello_world(); // Nothing is printed
    future.await; // printing should happen now?
}
```



### Another Async Example Error

- We can only use await in async code blocks (which main isn't)
- We can fix this with an executor

### **Another Async Example Fixed**

```
use futures::executor::block_on;
async fn hello_world() {
    println!("hello, world!");
}

fn main() {
    let future = hello_world(); // Nothing is printed
    block_on(future); // `future` is run and "hello, world!" is printed
}
```

- block\_on blocks the current thread until the provided future has finished
- Other executors may provide more complex behavior
  - like scheduling multiple futures onto the same thread

# The Future Trait

## The Future Trait

When you use the keyword async, what you are really doing is creating a state machine that implements the Future trait.

- For now, you can think of async as syntax sugar for impl Future
  - This is a wildly incorrect statement, but we're omitting details today
- The next few slides are very technically complex, so don't worry if you don't understand everything

### **Futures Simplified**

```
trait SimpleFuture {
    type Output;
    fn poll(&mut self, wake: fn()) -> Poll<Self::Output>;
}
enum Poll<T> {
    Ready(T),
    Pending,
}
```

- An async computation that can produce a value (even ())
- Above is a simplified version of the trait
- Futures are only advanced via the poll function

## Polling

- If a future completes it returns Poll::Ready(result), else Poll::Pending
- The future arranges for the wake() function to be called when more progress can be made and makes the executor continue
  - This is how an executor is able to ensure progress without constant polling
- IMPORTANT: What would happen if we put a long blocking function in our future?

#### Let's Talk Real Futures

- Pin ensures that our futures are unmovable in memory
- Context<'\_> holds info on the wake function as well as useful metadata
  - "Who" called the wake function
  - Value of type Waker
  - etc

#### Waker

- Most futures do not complete on the first poll
- Waker is used to ensure the future is polled when it's ready to make progress
- Waker provides the following:
  - wake() to alert the executer that a task is ready to be polled
  - clone() so that it can be copied and stored

### Timer Example

```
pub struct TimerFuture {
    shared_state: Arc<Mutex<SharedState>>,
/// Shared state between the future and the waiting thread
struct SharedState {
    /// Whether or not the sleep time has elapsed
   completed: bool,
   /// The waker for the task that `TimerFuture` is running on.
    /// The thread can use this after setting `completed = true` to tell
    /// `TimerFuture`'s task to wake up, see that `completed = true`, and
    /// move forward.
   waker: Option<Waker>,
```

#### Writing Our Future Implementation

```
impl Future for TimerFuture {
    type Output = ();
    fn poll(self: Pin<&mut Self>, cx: &mut Context<'_>) -> Poll<Self::Output> {
        // Look at the shared state to see if the timer has already completed.
        let mut shared_state = self.shared_state.lock().unwrap();
        if shared_state.completed {
            Poll::Ready(())
        } else {
            shared_state.waker = Some(cx.waker().clone());
            Poll::Pending
```

#### How Could This Work?

- TimerFuture launches a thread with access to a shared state variable
   Arc<Mutex<\_>>>
- In this thread, we sleep for a duration
- Once that time has passed we update the shared state <code>completed=true</code>
- We then tell the waker in our shared state to wake up the last future that polled it
- In practice, we would **never** use a thread for something like this

### **Notable Takeaways**

- Futures are a very powerful tool
- Futures and related functions can be implemented and managed in numerous ways
  - This is why Rust doesn't have a "default" runtime
- Futures are designed to be "interruptible", to enable efficient polling
  - Don't put large blocking code in async functions!
- While the previous future launched a thread, this is uncommon
  - o IO related async code uses epoll or other related polling calls

# High Level Usage of async / await

You can wake up now

## async Blocks

```
async fn foo() -> u8 { 5 }

fn bar() -> impl Future<Output = u8> {
    async {
       let x: u8 = foo().await;
       x + 5
    }
}
```

- The async block results in a type of Future<0utput=u8>
- foo() is also a type that implements Future<0utput=u8>
  - foo().await will result in a value of type u8

### async move

- Just like with closures, move gives an async block ownership of a variable
- Otherwise we had to handle future's that hold references

## async Lifetimes

```
// This function:
async fn foo(x: &u8) -> u8 { *x }

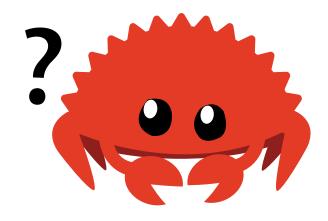
// Is equivalent to this function:
fn foo_expanded<'a>(x: &'a u8) -> impl Future<Output = u8> + 'a {
    async move { *x }
}
```

- Unlike typical functions, async fn are bounded by their argument's lifetimes
- This is because we're really putting a lifetime on the Future trait object

### async Lifetime Issues

```
fn foo() -> impl Future<Output = u8> {
   let x = 5;
   borrow_x(&x) // async function
}
```

- async fn must be .await ed while its non-static arguments are still valid
- Calling await immediately is one solution foo.await



## async Lifetime Solutions

```
fn good() -> impl Future<Output = u8> {
    async {
        let x = 5;
        borrow_x(&x).await
    }
}
```

- Another is to use an async block to bundle the arguments with an async fn call
- This is now a 'static future

#### **Streams**

```
trait Stream {
    /// The type of the value yielded by the stream.
    type Item;

fn poll_next(self: Pin<&mut Self>, cx: &mut Context<'_>>)
        -> Poll<Option<Self::Item>>;
}
```

- Very similar to a Future but returns multiple values instead
- Functionally like an iterator
  - o poll returns Ready(Some(T)) or Ready(None) when the stream is done

#### Streams in Channels

```
async fn send_recv() {
    const BUFFER_SIZE: usize = 10;
    let (mut tx, mut rx) = mpsc::channel::<i32>(BUFFER_SIZE);
    tx.send(1).await.unwrap();
    tx.send(2).await.unwrap();
    drop(tx);
    // `StreamExt::next` is similar to `Iterator::next`, but returns a
    // type that implements `Future<Output = Option<T>>`.
    assert_eq!(Some(1), rx.next().await);
    assert_eq!(Some(2), rx.next().await);
    assert_eq!(None, rx.next().await);
```

This is a small teaser for asynchronous channels

## Executing Multiple Futures at a Time

Sometimes .await isn't enough

#### Who Was Paying Attention?

```
async fn get_book_and_music() -> (Book, Music) {
   let book_future = get_book();
   let music_future = get_music();
    (book_future.await, music_future.await)
}
```

#### Which will finish executing first?

- book\_future
- music\_future
- This is non-deterministic
- All of the above

### Who Was Paying Attention?

```
async fn get_book_and_music() -> (Book, Music) {
   let book_future = get_book();
   let music_future = get_music();
    (book_future.await, music_future.await)
}
```

- Remember, futures are inert
- Rust won't do any work until they're actively .await ed
- This means book\_future and music\_future will be polled to completion in series rather than concurrently
  - Note: polled to completion concurrently IS NOT running concurrently

# What We Really Want is join!

```
use futures::join;
async fn get_book_and_music() -> (Book, Music) {
   let book_fut = get_book();
   let music_fut = get_music();
   join!(book_fut, music_fut)
}
```

- We still get a tuple containing the output of each Future
- But now we've "joined" them to be polled together

### select!

```
use futures::{future, select};
async fn count() {
    let mut a_fut = future::ready(4);
    let mut b_fut = future::ready(6);
    let mut total = 0;
    loop {
        select! {
            a = a_fut => total += a,
            b = b_fut => total += b,
            complete => break,
            default => unreachable!(), // never runs (futures are ready, then complete)
    } // value at end of loop should be 10
```

 This runs multiple futures, but quits polling other futures after the first responds

# Spawning

Here's a common asynchronous scenario:

- Imagine we have a web server that needs to accept connections
  - We don't want to block the main thread
- async\_std::task::spawn will create and run a new task that handles connections
  - It takes a Future and returns a JoinHandle which can be .await ed
  - Note that async\_std is

### Spawning Example

```
async fn process_request(stream: &mut TcpStream) -> Result<(), std::io::Error>{
    stream.write_all(b"HTTP/1.1 200 OK\r\n\r\n").await?;
    stream.write_all(b"Hello World").await?;
    0k(())
async fn main() {
    let listener = TcpListener::bind("127.0.0.1:8080").await.unwrap();
    loop {
        // Accept a new connection
        let (mut stream, _) = listener.accept().await.unwrap();
        // Now process this request without blocking the main loop
        task::spawn(async move {process_request(&mut stream).await});
```

• Note that spawn requires an asynchronous runtime!

# The Power of Async Runtime

ft. Tokio

# Why Use Async Runtimes?

- Writing code that primarily manages multiple IO operations
- Interfacing with libraries that depend on an async runtime
- Need non-blocking versions of std library api functions for your async code

# When is Using Async Runtimes Bad?

- Trying to speed up CPU-bound computations
  - Just use threads or Rayon
- Reading a lot of files
  - OSes tend to not provide async file APIs
  - A thread pool will serve just as well
- Sending a single web request
  - Async runtimes are meant to help manage multiple tasks at a time
  - Use reqwest instead

### **Async Message Passing**

```
use tokio::sync::mpsc;
#[tokio::main]
async fn main() {
    let (tx, mut rx) = mpsc::channel(32);
    let tx2 = tx.clone();
    tokio::spawn(async move {
        tx.send("sending from first handle").await.unwrap();
    });
    tokio::spawn(async move {
        tx2.send("sending from second handle").await.unwrap();
    });
    while let Some(message) = rx.recv().await {
        println!("GOT = {}", message);
```

### Why Async Message Passsing?

- An option for maintaining shared state
- A convenient way to link async code with sync code
  - Async server handling sends data to sync processing thread
- Most libraries provide tailored channels for specific use cases
  - Ex: Tokio mpsc , oneshot , broadcast , watch

# Mutex With Async

- Within an async runtime, mutexes are allowed
- Can be used easily if low contention is expected
- If high contention is an issue:
  - Restructure the code to avoid the mutex
  - Shard the mutex
  - Message passing
  - Use an async mutex (comes at a higher cost)

### **Async Mutex Example**

```
use tokio::sync::Mutex; // note! This uses the Tokio mutex

// This compiles!
// (but restructuring the code would be better in this case)
async fn increment_and_do_stuff(mutex: &Mutex<i32>) {
    let mut lock = mutex.lock().await;
    *lock += 1;

    do_something_async().await;
} // lock goes out of scope here
```

- Using a tokio::Mutex in an async block isn't always required
  - But it is here
- Using a synchronous mutex from within async code is ok if:
  - Contention remains low and t

#### Sync Mutex in Async

```
// No tokio:sync:Mutex now!
async fn increment_and_do_stuff(mutex: &Mutex<i32>) {
    let mut lock: MutexGuard<i32> = mutex.lock().unwrap();
        *lock += 1;
    } // lock goes out of scope here

do_something_async().await;
}
```

- We've now restructured the code so that the MutexGuard isn't held during the .await
- The issue we're avoiding is that MutexGuard isn't Send
  - Tokio wants the ability to move tasks between threads at any given

# Bridging with Synchronous Code -- Option 1

```
// Snippet example from Tokio Redis project
impl BlockingSubscriber {
    pub fn get_subscribed(&self) -> &[String] {
        self.inner.get_subscribed()
    pub fn next_message(&mut self) -> crate::Result<Option<Message>> {
        self.rt.block_on(self.inner.next_message())
    pub fn subscribe(&mut self, channels: &[String]) -> crate::Result<()> {
        self.rt.block_on(self.inner.subscribe(channels))
```

- Build a synchronous interface to async code
- Call block\_on on futures synchronous code needs

# Bridging with Synchronous Code -- Option 2

```
fn main() {
    let runtime = Builder::new_multi_thread().worker_threads(1).enable_all().build().unwrap();
    let mut handles = Vec::with_capacity(10);
    for i in 0..10 {
        handles.push(runtime.spawn(my_bq_task(i)));
    // Do something time-consuming while the background tasks execute.
    std::thread::sleep(Duration::from_millis(750));
    println!("Finished time-consuming task.");
    // Wait for all of them to complete.
    for handle in handles {
        // The `spawn` method returns a `JoinHandle`. A `JoinHandle` is
        // a future, so we can wait for it using `block_on`.
        runtime.block_on(handle).unwrap();
```

Spawning async jobs on the run time

# Bridging with Synchronous Code -- Option 3

```
pub fn new() -> TaskSpawner {
   let (send, mut recv) = mpsc::channel(16);
   let rt = Builder::new_current_thread().enable_all().build().unwrap();
    std::thread::spawn(move || {
        rt.block_on(async move {
            while let Some(task) = recv.recv().await {
                tokio::spawn(handle_task(task));
        });
    });
   TaskSpawner {
        spawn: send,
// Sync code that sends message to async running thread
pub fn spawn_task(&self, task: Task) {
   match self.spawn.blocking_send(task) {
        // <--- snip --->
```

#### Some Nice Tokio Features

#### **Channel Types**

- Usually provide both async and blocking versions of calls for bridging code
- Types available:
  - mpsc Multi-producer, single-consumer channel where many values can be sent
  - oneshot single-producer, single consumer channel where a single value can be sent
  - broadcast multi-producer, multi-consumer where many values can be sent and each receiver sees every value
  - watch Multi-producer, multi-consumer where many values can be sent but no history is kept i.e. receivers only see the most recent value

# Notify

```
async fn delay(dur: Duration) {
    let when = Instant::now() + dur;
    let notify = Arc::new(Notify::new());
    let notify_clone = notify.clone();
    thread::spawn(move || {
        let now = Instant::now();
        if now < when {</pre>
            thread::sleep(when - now);
        notify_clone.notify_one();
    });
    notify.notified().await;
```

- Allows us to not have to deal with Waker s for simple tasks!
- Task notification mechanism

#### Async File Read/Write

```
use tokio::fs::File;
use tokio::io::{self, AsyncReadExt, AsyncWriteExt};
#[tokio::main]
async fn main() -> io::Result<()> {
    let mut f = File::open("foo.txt").await?;
    let mut buffer = [0; 10];
    // read up to 10 bytes
    let n = f.read(&mut buffer[..]).await?;
    let n = f.write(b"some bytes").await?
    // copy reader into file
    let mut reader: &[u8] = b"Async is awesome!";
    io::copy(&mut reader, &mut f).await?;
    Ok(())
```

#### **Tracing**

```
let subscriber = tracing_subscriber::FmtSubscriber::new();
tracing::subscriber::set_global_default(subscriber)?;

#[tracing::instrument]
fn trace_me(a: u32, b:u32) -> u32 {
    tracing::event!(Level::WARN, "Event occurred");
}
```

- Could be it's own lecture
- Uses subscribers and macros nicely log asynchronous events in a meaningful way
  - Uses the notion of Spans (sections of code/processes)

#### **Takeaways**

- Async/Await is a powerful tool
- There are lots of libraries to help manage asynchronous tasks
- Is not a drop-in replacement for standard parallelism
- Has slightly different rules and best practices compared to other concurrent models

#### **Next Lecture: Macros**

Thanks for coming!

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