### Lecture 20 — Advanced Concurrency Problems

#### Prepared by Jeff Zarnett, taught by Seyed Majid Zahedi jzarnett@uwaterloo.ca, smzahedi@uwaterloo.ca

Department of Electrical and Computer Engineering University of Waterloo

### Get A Pizza This!



Image Credit: Valerio Capello

### The Pizza Makers Problem

Let's consider a more advanced concurrency problem.

It's also called the "Cigarette Smokers Problem".

But smoking is bad for you.

Pizza, while not exactly health food, is amazing. Delicious, delicious pizza.

### Iron Chef: Pizza



A new show to be hosted by some famous TV chef personality is being pitched, and you're going to write a simulation of it.

A pizza requires three ingredients: dough, sauce, and cheese.

Each contestant has an unlimited supply of one ingredient.

Contestant A has an unlimited supply of dough, Contestant B has an unlimited supply of sauce, and Contestant C has an unlimited supply of cheese.

Each contestant needs to get the two ingredients they do not have and then can make a pizza.

They will continue to (try to) make pizza in a loop until time is up.

At the beginning of the episode, the host places two different random ingredients out.

Contestants can signal the host to ask for more ingredients, but they should not do so unless they actually need some.

Each time the host is woken up (signalled), he again places two different random ingredients out.

When an ingredient is placed on the table, the host posts on the associated semaphore.

In this scenario, there are resources provided by some external system and the contestants are processes that want resources.

But we shouldn't be wasteful: resources should only be requested when they are needed and processes should take only what they need.

Applications should only wake up if they can do something useful.

There are some restrictions, though, and they could make the problem either impossible or trivial.

In the impossible version, you can't modify what the host does (which is sensible, because you don't control the other system).

But you also cannot use conditional (if) statements, which is pretty ridiculous.

### All Too Easy

In the trivial version, the host tells which contestants whose turn it is.

It requires the host system to know too much about the contestants.

The interesting version has just the restriction that we can't control the host behaviour.



And he is a TV chef personality, after all, they do wacky things.

All semaphores start at 0, except for host which starts as 1 (so the host will run the first time). Does this work?



No. Deadlock can easily occur.

Suppose the host puts out sauce and dough.

If contestant B takes the dough and contestant A takes the sauce, then both of them are blocked and nobody can proceed and nobody gets pizza.

Part of the problem here is that a contestant doesn't have a good way to assess what the ingredients are before going up there.

And once there, if it finds that the ingredients match someone else's needs, we don't call that contestant over.

That would be clever, but to make this work we would need a way to "check" what ingredients are there and semaphores don't let us do that.

Can we work with what we have?

# We Need a Sidekick!



Imagine now that each contestant gets a helper.

The job of the helper is to, well, help their contestant to make pizza by figuring out whose turn it is.

For this there are boolean variables dough\_present, sauce\_present, and cheese\_present

They are all initialized to false.

They are protected by a semaphore (called mutex).

The helpers update that variable, and based on the information available, signal which contestant should come up to the table and take ingredients.

# Working with a Sidekick

Each contestant now has a semaphore (such as contestantA for contestant A) which the helpers will post on.

Contestants are still responsible for telling the host to put out more ingredients.



So let's analyze Helper 1.

In this case, the helper is woken up when sauce is placed on the table.

It then locks the mutex so that it can manipulate the shared variables of what ingredients are present.

Now we decide what to do here.

Obviously, each of the other helpers will signal the appropriate contestant based on its assessment of the state of the ingredients.

# Working with a Sidekick



The contestant code is pretty much trivial now: wait until a helper signals, then go take your ingredients and make a pizza.

Once the pizza is in the oven, indicate that you are ready for more ingredients.

The generalized version of the problem is what happens when the host puts out ingredients periodically, without a need to be signalled to ask for more.

How do we modify the solution to deal with that?

If there is no longer a need for the contestants to signal that they want more resources, post( host ) in the contestant code has to be removed.

But what about the helpers?

Instead of boolean variables to indicate the presence or absence of an ingredient what we need instead is an integer counter to know how many there are.

They are: num\_dough, num\_sauce, and num\_cheese, and they all start as zero.

# Updated Sidekick Code

#### **Helper 1** wait ( sauce ) wait ( mutex ) if num\_dough > 0 num\_dough −− post( contestantC ) else if num cheese  $> 0$ num\_cheese −− post ( contestantA ) else num\_sauce++ end if post ( mutex ) **Helper 2** wait ( dough ) wait ( mutex ) if num sauce  $> 0$ num\_sauce −− post ( contestantC ) else if num cheese  $> 0$ num\_cheese −− post ( contestantB ) else num\_dough++ end if post ( mutex ) **Helper 3** wait ( cheese ) wait ( mutex ) if num\_dough > 0 num\_dough −− post ( contestantB ) else if num sauce  $> 0$ num\_sauce −− post ( contestantA ) else num\_cheese++ end if post ( mutex )

This pattern is referred to as the "scoreboard".

As threads go about their actions, they take a look at the current state (the scoreboard) and decide how to act based on that.

# Barber of Seville



Consider the "Sleepy Barbershop Problem", originally proposed by Dijkstra.

A barbershop is a place where customers get their hair cut.

A barbershop consists of a waiting area with *n* seats, and a barber chair.

# Barbershop Behaviour

If there are no customers to be served, the barber goes to sleep.

If a customer enters the barbershop and all seats are occupied, then the customer leaves the shop.

If the barber is busy, but seats are available, then the customer sits in one of the free seats.

If the barber is asleep, the customer wakes up the barber.

Customer threads should call get\_hair\_cut() when it is their turn.

If the shop is full, the customer should return (exit/leave).

The barber thread will call cut hair.

The barber can cut only one person's hair at a time, so there should be exactly one thread calling get\_hair\_cut() concurrently.

You can assume that external forces cause customers to appear and the barber to keep working.

We need an integer counter for customers waiting called customers that starts at 0.

We will also have a mutex for controlling access to customers called mutex (it obviously starts at 1).

Finally, two semaphores, customer and chair that both start at 0.

Let's write down some outline of the barber and customer code...

# Barbershop Solution

#### **Customer**

```
wait ( mutex )
if customers == n+1post ( mutex )
    return
end if
customers++
post ( mutex )
post ( customer )
wait ( chair )
get_hair_cut ( )
wait ( mutex )
customers −−
post ( mutex )
```
#### **Barber**

```
while true
   wait ( customer )
   post ( chair )
   cut_hair ( )
end while
```
Is there a risk of deadlock?

### Starvation?

### I WANT TO SPEAK TO A MANAGER



We can maybe say that customers who give up in frustration are disappointed.

Is that better than making them wait forever?

This is actually a good lesson for services in general.

### SCIENCE!!!



# I have a bad feeling about this...



The rebels want to evacuate the base with transports; transports are escorted by a pair of X-Wing fighters.

Transports have no hope of surviving if they are not escorted, so the fighters must stay with their assigned transport.

Only one group of fighters and transports can launch at a time.

In this problem, a pair of fighters is a single unit.

If a transport is ready, but fighters are not, the transport has to wait for the next fighters.

If the fighters are ready but the transport is not, then the fighters have to wait for the next transport.

When the fighters and transport are ready, they can both use the launch() function and they are off!

The transport is the slower one to launch, so the next group should not proceed until the transport has finished the launch() process.

Assume there are an appropriate number of fighters and transports so that nobody is left behind.

The setup and creation of each kind of thread is something we will assume is handled externally.



We will need:

- 1 integer counter for the number of ready fighters, called fighters.
- 1 integer counter for the number of ready transports, called transports.
- 5 semaphores: mutex, fighter\_queue, transport\_queue, fighter\_launched, and transport\_launched.

### Echo Base...

Initialization: mutex = 1, fighter\_queue =  $0$ , transport\_queue =  $0$ , fighter\_launched =  $\theta$ , and transport\_launched =  $\theta$ .

#### **Fighters**

```
wait( mutex )
if transports > 0transports--
  post( transport_queue )
else
  fighters++
  post( mutex )
  wait( fighter_queue )
end if
launch()
post( fighter_launched )
wait( transport_launched )
```
#### **Transport**

```
wait( mutex )
if fighters > 0fighters--
  post( fighter_queue )
else
  transports++
  post( mutex )
  wait( transport_queue )
end if
launch()
post( transport_launched )
wait( fighter_launched )
post( mutex )
```
Is there a risk of deadlock here? How about starvation?

# "Good, our first catch of the day..."





There are two kinds of thread, oxygen() and hydrogen().

As you will recall from basic chemistry, water,  $H_2O$ , requires two hydrogen modules and one oxygen module.

To assemble the desired molecule (water) a group rendezvous pattern is needed to make each thread wait until all ingredients are present in the correct amounts.

As each thread passes the barrier, it should call the function bond() which makes the water.

Our solution must function so that all threads for one molecule invoke bond() before any of the threads from the next molecule do.

In the example, we'll assume the oxygen and hydrogen threads are created and started correctly and in the correct proportions.

The code for the creation of those two types of threads is not shown.

The reusable barrier from earlier has also been converted into C code.

The oxygen queue and hydrogen queue start as "locked".

int oxygen; int hydrogen; pthread\_mutex\_t barrier\_mutex ; sem\_t turnstile ; int barrier\_count; int barrier\_N: sem\_t bond ; sem\_t oxygen\_queue ; sem\_t hydrogen\_queue ;

```
void barrier_enter( ) {
  pthread_mutex_lock ( &barrier_mutex ) ;
  barrier_count + + :
  if (barrier_count == barrier_N)sem_post ( &turnstile ) ;
  }
  pthread_mutex_unlock ( &barrier_mutex ) ;
  sem_wait( &turnstile ) :
  sem_post( &turnstile ):
}
void barrier_exit( ) {
  pthread_mutex_lock ( &barrier_mutex ) ;
  barrier_count − −;
  if \left( barrier_count == 0 \right) \left\{sem_wait ( &turnstile );
  }
  pthread_mutex_unlock ( &barrier_mutex ) ;
}
```

```
void * oxygen(void * ignore) { }sem_wait ( &bond );
  oxygen ++;
  i f ( hydrogen >= 2 ) {
    sem_post ( &hydrogen_queue ) ;
    sem_post ( &hydrogen_queue ) ;
    hydrogen −= 2 ;
    sem_post ( &oxygen_queue ) ;
    oxygen − −;
  } e l s e {
    sem_post ( &bond ) ;
  }
  sem_wait ( &oxygen_queue ) ;
  bond ( ) ;
  barrier_enter():
  barrier_exit ( ) ;
  sem_post ( &bond ) ;
  pthread_exit ( NULL )
}
```

```
v oi d * hydrogen ( v oi d * ignore ) {
  sem_wait ( &bond );
  hydrogen + +;
  i f ( hydrogen >= 2 && oxygen >= 1 )
    sem_post ( &hydrogen_queue ) ;
    sem_post ( &hydrogen_queue ) ;
    hydrogen −= 2 ;
    sem_post ( &oxygen_queue ) ;
    oxygen − −;
  } e l s e {
    sem_post ( &bond ) ;
  }
  sem_wait ( &hydrogen_queue ) ;
  bond ( ) ;
  barrier_enter():
  barrier_exit ( ) ;
  pthread_exit ( NULL )
}
```
# Analyzing the Solution

It is a little strange that the hydrogen threads don't post on bond.

Isn't this a problem?

It turns out no, because the oxygen threads post on it unconditionally.

When a thread arrives but the water molecule cannot be formed, whether it is oxygen or hydrogen, a post on bond takes place.

Whoever waited on bond does not matter, as long as one of the threads that went into the water molecule posts on it before leaving.

As the chemical composition of water has one oxygen, the job is assigned to this molecule.

These are by no means all the concurrency problems in the world.

But for now we will leave it here, before we get into really obscure problems...