Hydrogeologic structure underlying a recharge pond delineated with shear-wave seismic reflection and cone penetrometer data

Seth S. Haines^{1*}, Adam Pidlisecky² and Rosemary Knight³

¹ *US Geological Survey, CERT, Box 25046, MS 939, Denver, CO 80225, USA*

² *Department of Geoscience, University of Calgary, 2500 University Dr. NW, Earth Science Building 130, Calgary, Alberta, Canada T2N 1N4*

³ *Department of Geophysics, Stanford University, 397 Panama Mall, Stanford, CA 94305-2215, USA*

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ABSTRACT

With the goal of improving the understanding of the subsurface structure beneath the Harkins Slough recharge pond in Pajaro Valley, California, USA, we have undertaken a multimodal approach to develop a robust velocity model to yield an accurate seismic reflection section. Our shear-wave reflection section helps us identify and map an important and previously unknown flow barrier at depth; it also helps us map other relevant structure within the surficial aquifer. Development of an accurate velocity model is essential for depth conversion and interpretation of the reflection section. We incorporate information provided by shear-wave seismic methods along with cone penetrometer testing and seismic cone penetrometer testing measurements. One velocity model is based on reflected and refracted arrivals and provides reliable velocity estimates for the full depth range of interest when anchored on interface depths determined from cone data and borehole drillers' logs. A second velocity model is based on seismic cone penetrometer testing data that provide higherresolution 1D velocity columns with error estimates within the depth range of the cone penetrometer testing. Comparison of the reflection/refraction model with the seismic cone penetrometer testing model also suggests that the mass of the cone truck can influence velocity with the equivalent effect of approximately one metre of extra overburden stress. Together, these velocity models and the depth-converted reflection section result in a better constrained hydrologic model of the subsurface and illustrate the pivotal role that cone data can provide in the reflection processing workflow.

INTRODUCTION

Over the past ten years there has been growing interest in the use of the subsurface for water storage. One type of system uses wells to inject and recover the water. A second type of system uses shallow ponds, either natural or excavated, for the infiltration of water into the subsurface and then uses wells to recover the water. The design and operation of these subsurface systems (e.g., Bouwer 2002) require an understanding of the hydrogeologic structure and properties that control both the movement and storage of water.

In this case study, we worked at the Harkins Slough recharge pond in Pajaro Valley, California, USA. The operational model of the storage/recovery project involves filling the pond with water in the winter months to enable percolation into the surficial aquifer and then recovering the water using wells around the pond for use in the summer months. On-going studies at the site are focused on answering fundamental questions similar to those posed in many hydrogeophysical studies, such as 1) what are the hydrogeologic controls on subsurface fluid flow? and 2) what information can we glean from geophysical methods about the subsurface structure and properties that can be used to help populate and constrain hydrologic flow models?

Seismic reflection methods are a well-established means of determining near-surface structure (e.g., Hunter *et al.* 1984; Steeples and Miller 1990; Brouwer and Helbig 1998; Steeples and Miller 1998). Shear-wave methods (generally using horizontally polarized shear (SH) waves) have proven useful in nearsurface studies (e.g., Helbig and Mesdag 1982; Stümpel *et al.* 1984; Hasbrouck 1991; Woolery *et al.* 1993; Carr *et al.* 1998; Pugin *et al.* 2004). Seismic velocity models are a necessary byproduct of reflection data processing, essential to normal moveout (NMO) correction and/or to migration velocity analysis

^{*} shaines@usgs.gov

(e.g., Yilmaz 2001). Whether conducted as part of a depth migration scheme (e.g., Bradford *et al.* 2006) or independently (e.g., Baker 1999), depth-conversion of seismic data is only as accurate as the velocity model that is used. When the subsurface is sufficiently simple, the velocity model from NMO velocity analysis can be adequate for depth conversion and other interpretation (Baker 1999). Traveltimes of refracted arrivals can be used to develop other velocity models (e.g., Palmer 1981; Zelt *et al.* 2006; Martí *et al.* 2008) and reflection arrival times can provide additional information (e.g., Stork and Clayton 1991; Zelt and Smith 1992). It is well established that any velocity model will be more reliable if it is also based on supplemental information such as vertical seismic profiling (VSP) results. Independent of reflection processing, velocity models can be used to infer structure (Zelt *et al.* 2006; Martí *et al.* 2008). Moreover, when combined with rock physics relations, velocity models can be used to infer material properties such as clay content or porosity (e.g., Tatham 1982; Domenico 1984; Zimmer *et al.* 2007).

Cone penetrometer testing (CPT) is widely used for engineering applications to determine the properties of unconsolidated sediments (Campanella and Weemees 1990; Daniel *et al.* 1999). Ghose and Goudswaard (2004) presented an algorithm for calibrating SH-reflection-interpreted soil properties with cone tip measurement data. Some cones include an accelerometer that can be used for VSP measurements (Campanella and Robertson 1984; Hunter *et al.* 2002). Jarvis and Knight (2002) demonstrated the value of quantitatively including seismic cone penetrometer testing (SCPT) data in the inversion and hydrogeologic interpretation of SH-wave reflection seismic data.

Our objective in this study was to obtain a shear-wave seismic reflection image incorporating CPT and SCPT data that would lead to improved understanding of the hydrogeologic structure controlling the operation of the Harkins Slough recharge pond. The key aspect that we focus on is obtaining and assessing a

seismic velocity model to aid in processing and interpretation of our reflection section. Studies at the site are on-going and determination of large-scale structure is a critical step toward the development of a robust subsurface hydrogeologic model.

We begin by providing background hydrologic and geologic information on the Harkin Slough pond site and discuss the data that we have available. We then present an SH-wave reflection section in the time-domain and review the available options for converting the section to depth. We present a set of velocity models, assessing the strengths and weaknesses of each and then present our two preferred models and the corresponding depthconverted reflection sections. We conclude with an analysis of the velocity models available to us in this case study.

DESCRIPTION OF THE FIELD SITE

The Harkins Slough recharge pond is located approximately 5 km west of Watsonville, California and 1 km from the coast. This pond was designed and constructed by CH2M Hill and is managed by the Pajaro Valley Water Management Agency (PVWMA). It has been in operation since the autumn of 2001. The pond is filled with water during the winter months (typically January to March); the water percolates through the base of the pond and is stored in an alluvial aquifer. Water is retrieved from recovery wells around the pond in the summer to reduce the groundwater needs (or to supplement the water supply) of the local farmers in this coastal zone. A key issue being addressed by PVWMA is the fact that, at the time of recovery, only 15% of percolated water remains in the capture zones of the recovery wells.

A schematic in Fig. 1 is a simplified cross-section of the region beneath the pond. Drillers' logs from the 10 recovery wells around the pond report a thick continuous clay layer 35– 50 m below ground surface. Above this clay layer are 3 or more metres of interlayered sand and/or gravel with some silt and clay, overlain by approximately 30 m of sand. Cores recovered from

FIGURE 1

Cross-section schematic of the Harkins Slough pond site, Pajaro Valley, California.

Plan-view schematic of the Harkins Slough pond site. The solid line shows the recharge pond boundary and the dashed line marks the inner, deeper, part of the pond. Seismic line 3 overlaps with the central part of line 1.

depths to 7.5 m show the sand to be very clean. At or near the base of the sand layer, there are reports in some of the drillers' logs of thin units (1–2 m) of clay (referred to as sandy clay or lean clay) or clayey sand. It was presumed, in the design of the recharge pond, that these were isolated clay lenses or a discontinuous paleosol. The conceptual model for the operation of the pond is that the water is stored as a perched zone above the continuous lower clay layer; the recovery wells are screened just above this layer. At the time of our surveys, the pond was dry and the measured hydraulic head was approximately 20 m below the ground surface in nearby wells. In Fig. 2 we show an outline of the pond and the locations where we acquired seismic and CPT data.

DESCRIPTION OF THE CPT DATA

CPT is a method that was developed by geotechnical engineers for obtaining high-resolution depth logs of mechanical soil properties without the need for boreholes (Campanella and Weemees 1990; Daniel *et al.* 1999). A cone penetrometer, commonly referred to as a cone, is a 36-mm diameter steel rod, with sensors mounted close to a cone-shaped tip. The cone is pushed into unconsolidated materials using hydraulic rams mounted on a large truck, referred to as the cone truck. While the cone is being pushed, measurements are made with the sensors in the tip of the cone with a typical sampling interval of 5 cm. The standard cone penetrometer measures three separate ground properties: tip penetration resistance, friction sleeve resistance and induced pore pressure, all of which are used to obtain information about subsurface stratigraphy. In addition to these standard CPT measurements, many cone penetrometers are equipped with a horizontal accelerometer that is used for performing SCPT. SCPT (Robertson *et al.* 1986) involves making standard CPT measurements and also acquiring a single offset VSP. The advancing cone is paused every 1 m and a seismic signal is produced by

striking the end of an I-beam that is coupled to the surface by hydraulic rams connected to the cone truck. Due to the source and receiver geometry, the recorded seismic signal is dominantly an SH-wave. These VSP data typically only capture first breaks and therefore are used to create 1D velocity profiles rather than creating VSP reflection images such as those done by Jarvis and Knight (2000).

At the Harkins Slough pond site, we acquired 3 SCPT profiles to depths of approximately 30 m along our main seismic acquisition line (Fig. 2). Figure 3 shows the results from a CPT test at location CPT2 (Fig. 2). The first column is the tip resistance, the second is the friction sleeve measurement and the third is the induced pore pressure. The final column is the interpreted soil behaviour type, determined using the interpretation scheme of Robertson (1990) that uses the measured tip resistance and friction ratio to generate a lithology profile. The soil behaviour type is calibrated for deltaic environments and is indicative of how a soil is behaving mechanically; it does not directly provide information about the grain size distribution. Through inspection of the CPT data, we were able to determine, from tip resistance and friction ratio, that there is a soft layer, likely a silt or clay, present in all three logs at a depth of approximately 27 m, with a thickness varying from 1–2 m. In addition, the large spike in the CPT pore pressure response (Figs 3 and 4), indicates this layer to be fine-grained and relatively impermeable. (The spike occurs when the cone is pushed into an impermeable layer and the induced pore pressure cannot drain, causing pore pressure to rise far above equilibrium levels.) This impermeable layer is underlain by a very stiff layer, most likely the sand and/or gravel identified in the drillers' logs from nearby wells. At locations CPT1 and CPT3, the cone was unable to advance into this layer, whereas at CPT2 we were able to push it approximately 1 m but no further into the deeper layer. This refusal equates to approximately 30 tons of downward force produced by the CPT truck.

DESCRIPTION OF SEISMIC REFLECTION DATA

We collected SH-wave seismic data along the three lines shown in Fig. 2. All data were collected with 72 live channels. For lines 1 and 3, we rolled 24 channels during acquisition for a total of 96 recording locations for each line. We recorded SH-wave data with 10-Hz horizontal geophones oriented perpendicular to the survey line. We created the SH-wave energy with sledgehammer impacts on an aluminium shear source as described by Haines (2007). With the source oriented perpendicular to the survey line, summing multiple impacts on each side of the source improves the signal-to-noise ratio and differencing the oppositely polarized data helps to minimize non-SH energy (e.g., P-waves and Rayleigh waves) while also improving the signal-to-noise ratio (Helbig and Mesdag 1982; Stümpel *et al.* 1984). Line 1 was recorded with a 3-m receiver and shot spacing in an effort to characterize deeper layers and to provide longer offsets for refraction velocity analysis. Lines 2 and 3 were recorded with a 1-m receiver and shot spacing in order to better resolve shallow reflections and to better avoid any aliasing. We show only data from overlapping lines 1 and 3. Line 2 data show similar features to the line 3 data.

Representative shot gathers are shown in Fig. 5, with features of interest labelled. Several clear reflections can be observed in each shot gather, from as shallow as approximately 0.06 s (R1 in Fig. 5b). The deepest clear reflection arrives at approximately 0.25 s (R5) and is more evident in the line 1 gather (Fig. 5a). Undesired, coherent energy is visible in both gathers. In addition to the typical refractions and surface waves, vehicle noise from the adjacent agricultural fields may be seen and is labelled 'noise' in Fig. 5(a). The data from line 3 show strong dipping energy that we interpret as bounced (reflected/diffracted) surface waves; two prominent examples are identified in Fig. 5(b) as 'BSW' and others are visible. These arrivals appear on all gathers and originate at particular points in the shallow subsurface (presumably in the upper few metres). Data quality is uniform along line 3 and the corresponding (central) part of line 1. Relative to the generally uniform noise

FIGURE 4

Induced pore pressure measurements for all three cones. Induced pore pressure is generally low except for the spike observed on all three cones at approximately 28-m depth.

Common-offset-domain plots for offset = 3 m showing a) unfiltered data, b) result after *f*-*k* filtering and c) energy removed by *f*-*k* filter. All data are plotted with the same clip level and with gain equal to time raised to the 1.7 power.

levels, signal strength is lower near the two ends of line 1, resulting in fewer interpretable reflections.

We combined the data from lines 1 and 3 and processed the data together using a fairly standard near-surface reflection processing flow (e.g., Baker 1999). Our final processing flow consists of 1) muting noisy traces, 2) application of elevation statics, 3) frequency-wavenumber (*f*-*k*) filtering in the commonoffset domain to minimize the diffracted surface waves, 4) top (refraction) muting, 5) correcting for normal moveout (NMO), 6) scaling by traveltime raised to a power of 1.3, 7) automatic gain control (AGC) with a centred 100-ms window, 8) common midpoint (CMP) stacking, 9) time-variant Ormsby band-pass filtering and 10) a second AGC also with a centred 100-ms window. Elevation static corrections were made using a velocity of 270 m/s, moving the data to a flat datum at 5.2-m depth (essentially equal to the lowest elevation along the line).

The one non-standard processing step in this flow is the common-offset-domain *f*-*k* filter, illustrated in Fig. 6. The diffracted surface waves show a strongly dipping, cross-hatched pattern in the common-offset domain (Fig. 6a) and are flat in the CMP domain, leading to a strong cross-hatched pattern in the stacked section. As shown in Fig. 5, these arrivals are distinct in the shot domain. They are, however, more readily separated from the signal in the common offset domain and so it is here that we perform *f*-*k* filtering in order to minimize any unwanted effects of the filter. The result of applying the filter, for one common-offset panel (offset $= 3$ m), is shown in Fig. 6(b), along with the removed energy in Fig. 6(c). These waves can be a serious form of noise in near-surface data. Though their visual impact on the final stacked section has been significantly reduced by our simple filtering step, this noise does limit the overall data quality and would add further difficulty to any advanced processing steps that we might consider

(e.g., full-waveform processing, etc). Implementation of more sophisticated (and costly) signal/noise separation techniques would likely produce an improved but still imperfect, result.

The resulting reflection section is shown in Fig. 7. This is a time section but for display and preliminary inspection purposes, a depth scale corresponding with a velocity of 350 m/s is also plotted. The reflection section shows a discontinuous reflection at approximately 0.06 seconds (R1 in Fig. 5), possibly corresponding with the jump in CPT tip resistance that is observed at a depth of approximately 10 m. Deeper in the reflection section is a laterally continuous reflection at approximately 0.14 s (R3 in Fig. 5). This corresponds with a depth of approximately 27 m, which is roughly the depth of the layer inferred from the CPT data (Fig. 4). We interpret this reflection to be due to the impermeable layer. If this is a continuous clay layer, as is implied by seismic reflection and CPT data, the water percolating from the pond could remain above this layer and not reach the capture zone of the recovery wells – that is, this seismic reflection section might explain the low recovery of percolated water. In this time section, the clay layer appears to be dipping, whereas the CPT data indicate that it is approximately flat. Deeper in the section, there is a strong reflection at approximately 0.18 s (R4 in Fig. 5). We interpret this as corresponding to the top of the lower clay layer (shown schematically in Fig. 1) that is intended to be the base of the recovery zone for this recharge pond, at a depth of approximately 50 m. This layer also appears to be dipping in the time section. Deeper still, arriving at a time of approximately 0.25 s (R5 in Fig. 5), is another coherent reflection. This reflection may be due to the base of the lower clay. Also notable in Fig. 7 is the clear difference in data quality (signal strength) between the ends of the line and the centre. This difference is readily apparent even when looking only at the line 1 data (3-m spacing) and thus is not solely due to the acquisition parameters.

The first velocity model that can be considered for depth conversion is the stacking velocity model that we developed through standard velocity analysis techniques (e.g., Yilmaz 2001) for NMO correction. Our stacking velocities correspond with interval velocities ranging from 140 to nearly 700 m/s and show rapid changes with both depth and lateral position. Additionally, the reflection arrivals from wider angles are obscured by surface wave energy and thus are difficult to interpret with certainty (Fig. 5). For these reasons we have determined that our NMO velocity model is not suitable for depth conversion.

In order to develop a second velocity model, we picked first arrival times for all traces, neglecting those where noise made accurate picking impossible. Using the diving wave tomography algorithm that is built into the ProMAX seismic processing software, we developed a set of velocity models. Rays are traced through a gridded velocity model and updates are determined with a least-squares inversion incorporating vertical and horizontal smoothing. Our best model, judged in terms of model fit and geologic reasonableness, is shown in Fig. 8. Ray coverage is dense in the upper 5 m to 10 m below the ground surface and increasingly sparse below 15 m or 20 m; only the part of the model that has sufficient ray coverage to be considered reliable is shown. The model shows a zone of low velocity in the central part of the model space to a depth of approximately 5 m below the ground surface and it shows higher velocities on the edges and at depth. Though this velocity model is not constrained to sufficient depth to allow for depth conversion of our reflection section, it does provide a sense of the upper velocity structure and it also provides the velocity that we use for elevation static corrections (270 m/s).

IMPROVED VELOCITY MODELS

Based solely on seismic data, we cannot determine the dip of the two clay layers, at approximately 30 m and approximately 50 m, presenting key questions that need to be addressed in order for these data to provide useful insights into the hydrogeologic structure affecting the operation of the recharge pond. We do, however, have cones extending to approximately 30 m that provide hard constraint on the depth of the upper clay layer and the overlying SH-wave velocities. In addition, drillers' logs from the recovery

FIGURE 7

SH-wave reflection section, in time. A rough-estimate depth conversion is also shown for preliminary interpretation.

Velocity (m/s)

SH-wave velocity models from a) reflection/refraction inversion and b) SCPT arrival inversion.

wells outside the pond area provide approximate depths for the lower clay layer. This auxiliary information provides two different approaches that we can use to develop velocity models for our reflection section. The first relies on the standard CPT data and the drillers' logs and the second relies on the SCPT data.

Inverting reflected and refracted arrivals to obtain a velocity model

Zelt and Smith (1992) described methods for determining velocity models to fit both reflected and refracted arrival times, using ray tracing through a model defined by velocity nodes that are specified at arbitrary locations along discrete layer boundaries. Model layers are also defined by arbitrarily located boundary nodes. Velocity can differ sharply between adjacent layers, or it can be forced to remain constant across layer boundaries. Velocity and layer boundary depth are linearly interpolated between specified nodes. The starting velocity model is updated by applying a method of damped least-squares to the linearized inversion, with the option of choosing which velocity nodes and which layer-depth nodes (if any) are allowed to change and which remain fixed. The algorithm that they describe is freely available as the research code rayinvr.

We applied the methods of Zelt and Smith (1992) to our data set in order to develop a velocity model extending to greater depth than can be constrained with first-arrival refractions alone. We defined a model space composed of three layers. Layer 1 extends to approximately 10 m, a depth chosen based on the increase in CPT tip resistance and on seismic first break indication of a velocity increase at that depth. Layer 2 extends from the base of layer 1 to the depth of the upper clay layer as seen in the CPT logs, approximately 28 m. Layer 3 extends to the depth of the top of the lower clay layer as seen in drillers' logs from nearby wells, approximately 37 m. Velocity is allowed to differ sharply across layer boundaries, in keeping with our understanding of the site geology. Thus, the layer boundaries are evident in the final velocity model (Fig. 9) as the locations of sharp velocity increase at approximately 10-m and 28-m depths. The layer boundary depths are held fixed during inversion because we consider them (particularly the base of layers 2 and 3) to be known. Velocity is specified at nodes along the top and bottom of each layer. These nodes are spaced at 25-m intervals along the top and bottom of layer 1 and along the top of layer 2. For the bottom of layer 2, velocity nodes are specified at 25-m intervals between lateral positions 75–225 m and at 50 m outside of that central zone (due to ray coverage limitations). Velocity for layer 3 is defined only at one node each for the top and bottom (that is, the layer velocity is laterally homogeneous), because we lack exact knowledge of the layer depth and because the reflection arrival times for the lower clay are uncertain in many places.

Our model is constrained by three sets of arrival time data: the refraction first arrivals, reflected arrivals from the upper clay (corresponding with the bottom of layer 2) and reflected arrivals from the lower clay (corresponding with the bottom of layer 3). We picked reflection arrivals on a true-time, zero-offset stacked section (static corrections were not applied), while also looking carefully at the reflected arrivals in the shot and common-depth-point (CDP) domains to improve pick certainty. Although it would have been preferable to base our model on arrivals picked at broad offsets in the shot domain, data quality and lack of clear moveout trends necessitated that we picked only a single reflection arrival time for each lateral position along the line (zero offset reflections) in order to avoid erroneous or highly uncertain picks.

Starting with a 1D (laterally homogeneous) velocity model and working our way from shallower to deeper layers, we used a procedure of inversion and forward modelling to determine a set of velocity models with various parameter choices. Our preferred model (in terms of consistency with other models, fit of the model to the data and geologic reasonableness) is shown in Fig. 9. A plot of predicted versus actual traveltimes (Fig. 10a) shows our model to fit the data well, with no obvious trends or biases.

FIGURE 10

Plots of data error for the reflection/refraction model (a) and the model for SCPT1 (b) show that data fit is generally good and that there are no particular trends or biases. In a) the dot colour indicates position along the transect of the source/receiver mid-point. In both plots the 1:1 line represents optimal fit.

FIGURE 11

Velocity columns for the three SCPT studies in dark grey and the 95% confidence interval indicated by the light grey zone. Arrows and numbers indicate maximum value for confidence interval where this value is off scale. Corresponding velocity columns extracted from the reflection/ refraction model are plotted as black lines.

Depth-converted reflection sections. a) Converted with the reflection/refraction velocity model. b) Converted with the SCPT velocity model. c) Same conversion as in (a), with interpretations overlain.

a) Depth-converted using reflection/refraction velocity model

b) Depth-converted using interpolated cone VSP velocity model

c) Interpreted reflection section

Inverting SCPT arrivals to obtain a velocity model with error estimates

The SCPT data acquired at this site provide an alternate means of obtaining a velocity model of the subsurface. The standard procedure for processing SCPT data (Roberston *et al.* 1986) assumes perfect traveltime picking and straight ray propagation between source and receiver. We believe that a better approach is to incorporate errors in traveltime picking and in raypath estimates and then to solve the system using an integrated forward operator (Lizarralde and Swift 1999) and a Bayesian inversion scheme (Tarantola 1987; Malinverno and Briggs 2004). We use crosscorrelation with the shallowest trace as a reference trace to obtain traveltime estimates for all permutations of the traces; assuming Gaussian statistics, we use the standard deviation of these estimates to obtain traveltime errors. Velocity is determined through a Bayesian framework so that traveltime errors are accounted for in the resulting velocity model. This approach provides shear-wave velocity along with estimates of the error in each layer of the velocity model.

Our resulting SCPT testing velocity columns are shown in Fig. 11, along with the corresponding 95% confidence intervals. These results demonstrate the need for incorporating error estimates when using SCPT data, as the confidence intervals for several of the model parameters are quite wide. For comparison, the corresponding velocity columns extracted from the reflection/refraction model are also shown; the generally good fit between results from these two independent velocity estimates is reassuring. Figure 9(b) shows the 2D velocity model resulting from linear interpolation between the 1D velocity columns and extrapolation outside CPT1 and CTP3. Plotting predicted versus actual traveltimes (Fig. 10b) shows fit to be good and reveals no obvious trends in the misfit.

Depth-converting our reflection section with our new velocity models

Depth conversions for our reflection section, corresponding with our two velocity models, are shown in Fig. 12. The two conversions are similar but the SCPT-based conversion maps the reflections approximately two metres deeper (Fig. 12b) in the central part of the model space, down to the reflection from the upper clay (at about 27 m in Fig. 12a). Below this depth, the SCPT conversion plots reflections considerably shallower (and yields less stretch), a result of extrapolating slow velocities below the deepest measurements. The reflection/refraction velocity model (Fig. 9a) includes velocity estimates to the lower clay at 37-m depth and thus better places that reflection. The discrepancy between the depths of the two clays is not unexpected; the reflection/refraction model includes robust velocity estimates to greater depth than the SCPT model. The good fit of both main reflections in the reflection/refraction conversion is also to be expected, in that the model was specifically designed to correctly convert these reflections. More surprising is the apparent error in the SCPT velocities, particularly in the middle part of the image (around CPT2 and to a lesser degree near CPT3) where the two velocity models differ. The velocity models and conversions are similar nearer to CPT1.

DISCUSSION

With the goal of improving the understanding of the subsurface structure beneath the pond, we focused on using a multimodal approach to acquiring a robust velocity model that would yield an accurate seismic reflection section. The two velocity models that we developed are based on different surveys with different perceived reliabilities and they agree well at depths below 10 m (Fig. 11). This comparison indicates that the two models are fairly robust. This is further supported by the similarity between the reflection/refraction model (Fig. 9a) and the diving-wave refraction model (Fig. 8); the two were created through very different algorithms. We consider the SCPT velocity model to be more appropriate for small (metre) scale interpretation or property estimation, particularly because velocity is estimated within a known confidence interval. If we desired finer spatial resolution (a smaller support volume) we could simply make SCPT measurements at a finer depth interval. Though the reflection/ refraction model provides a good depth conversion without explicitly requiring SCPT or VSP data, it is important to note that knowledge of interface depth from CPT or borehole information is essential to the algorithm as we implemented it. An alternative approach would be to exploit reflection curvature to constrain velocity without requiring *a priori* depth information; however, surface-wave obscurement of long-offset reflection energy precludes such an approach with our data set.

The divergence of the two models in the upper few metres, especially at CPT2 and CTP3, is unexpected as both models should have particularly good resolution and accuracy at shallow depths. Low shear-wave velocities in the refraction/reflection-derived model indicate that the sand in the bottom of the pond is very loosely packed whereas the SCPT measurements suggest that the sand is considerably stiffer. We believe that this is not an error in either measurement but that it in fact reflects the stress influence of the 30-ton cone truck on the velocity of the underlying sediments.

Because these are clean, dry sands, the soil will respond to the stress increase with negligible time lag. For a set of Newmark stress analyses (Newmark 1942), based on reasonable values for the footprint and mass distribution of the cone truck, we find a range of possible values for the impact of the truck on total vertical stress in the upper few metres; these allow us to estimate the truck's impact on velocity. For SCPT measurements made at depths between 2–5 m, the presence of the truck would be approximately equivalent to an extra 1–1.5 m of overburden. Another way of looking at this is that the SCPT velocity profile can be shifted down by approximately 1.25 m, to an 'equivalent' depth in terms of *in situ* stress conditions at the time of measurement. As can be seen in Fig. 11, a vertical shift of 1.25 m would bring the velocity estimates for CPT2 and CTP3 into better agreement with the reflection/refraction velocity model. At CPT1, the two velocity estimates (Fig. 11) show a good fit without shifting (though a 1.25-m shift would have only minimal impact on this fit). We suggest that the apparently minimal impact of the cone truck mass at this location is due to the sediment at CPT1 (on the shoulder of the pond) being considerably stiffer and less sensitive to additional overburden than the sediment in the pond bottom. This stiffness differential is indicated by the higher S-wave velocity measured in that location by all measurement methods and by the CPT tip resistance data (significantly higher for CPT 1 than for CPT2 and CTP3). Although this simple stress analysis supports our interpretation regarding the cone truck mass, it neglects many aspects of the system that may be important (e.g., plastic deformation due to loading by the cone truck); a more definitive interpretation will likely require additional testing and/or modelling of the stress influence.

CONCLUSIONS

This study has demonstrated the use of shear-wave reflection, CPT and SCPT data to develop a model of the hydrogeologic structure of the region underlying the Harkins Slough recharge pond. We combined information from the three types of data to identify a clay layer at the site that is potentially blocking the movement of much of the percolating water; if correct, this interpretation can explain the low recovery rate of water in the capture zone of the recovery wells. We have also identified the cone truck's 'overburden' effect on the shear-wave velocity of soft sands in the upper few metres; this interpretation has implications for the use of SCPT-derived velocities at sites with similarly soft sediments. Our velocity model comparison emphasizes the need for independent methods if one is to glean useful information from seismic reflection images in areas of complex velocity heterogeneity. We conclude that the acquisition of seismic and CPT data can provide valuable information about subsurface structure that can assist in planning the design and operation of a recharge pond.

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