

# 1<sup>st</sup> Periodic Report – Guidelines

## *And other topics*

*Sophie Morellon*

Session 1: Integration and Sustainability  
Grant management issues  
Wed. 25th of March, 2020



- **Covid-19 on IS-ENES3 activities**

- If your IS-ENES3 activities is impacted by the Covid-19 situation (delay), please inform as soon as possible your WorkPackage leader !
- If your air/train tickets for Toulouse (GA, Coupling, ESGF) could not be reimbursed, save your emails proving it → eligible to the EC (Art. 51 GA, « force majeure »).

- **Amendment**

Submitted to Brigitte Sambain (Project Officer) on March 20. To be submitted to the EC once approved.

**Main changes:**

- **Change of WP5 lead from CNRS-IPSL to UKRI**

UKRI is now responsible for the WP5 lead, the WP5 Task 2 lead, and the D5.3 and M5.1.

- **Change of international coordination of ESDOC from CNRS-IPSL to UREAD**

UREAD-NCAS becomes the task leader of WP5 Task 1 and of D5.6.



RP1: January 1st, 2019 - June 30, 2020  
Latest date of submission: **August 28th**

## 2 Parts:

- **Technical report**
  - **Part A:** Automatically generated based on the information submitted on the EC portal
  - **Part B:** PDF file to be submitted
- **Financial report**  
Will be completed on the Portal by your financial department (Individual Financial statement)

The screenshot displays the 'RESEARCH & INNOVATION Participant Portal - Grant Management Services' interface. The left sidebar shows project details for 'HORIZON 2020' (Call: H2020-SCC-2015, Type of Action: CSA, Acronym: 119261 TEST [ABAC: ABACBUDL]). The main content area is divided into three reporting sections, each with a progress timeline and a list of tasks:

- Periodic Reporting (REP-119261-1 - period 17/01/2010 > 16/07/2011):** Timeline from 14 Mar 2017 (Draft) to 15 Sep 2011 (Submitted) to Paid. Tasks include: Technical Part contribution (Lock for review), Financial Statement AST [PIC 973276467] drafting (Lock for review), and Periodic Report composition (Submit to EU).
- Continuous Reporting (119261 - 119261 TEST [ABAC: ABACBUDL]):** Timeline from 14 Mar 2017 (Started) to Completed. Task: Continuous reporting data.
- Proposal Management & Grant Preparation (119261 - 119261 TEST [ABAC: ABACBUDL]):** Timeline from 01 Mar 2017 (Submitted) to 13 Apr 2017 (Paid). Task: GA Declaration - GAP-119261 - 973276467 signature by other beneficiaries.

Generated by the IT system (information on the EC portal)

**To be completed by the WP1 based on the WPL and partners information**

- **Summary for publication**
- **Table of Deliverables and Milestones** /!/ **Must all be ready by the end of May (for internal review) ! Any delays needs to be justified.**

- **NEW: Critical implementation risks and mitigation actions**

**States of play for risk mitigation** : For each risk listed in the Annex 1, we need to indicate the State of Play (Did the risk materialize ? Did we apply the risk mitigation measures ? If not, explain why).

- **Dissemination and exploitation of results**
  - Scientific publications: DOI, title of the journal, relevant pages, open-access...
  - Dissemination and communication activities

- **Open Research Data (linked to the Data Management Plan)**

- **Gender**

Number of Women and Men researchers + Number of Women and Men in the workforce other than researchers (engineers, administrative staff...)

- **Infrastructure (see slide on Infrastructure)**



**PDF file** to be produced with the WPL (**template to be delivered**). To be submitted on the Portal by **WP1**.

## 1. Explanation of work & Overview of progress

**1.1 Objectives (WP1 with WPL):** Work carried out during the RP towards the achievement of the objectives listed in Section 1.1 of the DoA (with measurable details)

**1.2 Explanation of the work carried per WP (WPL with all partners):** giving details of the work carried out by each beneficiary/third party.

**1.3 Impact:** Section 2.1 of the GA to be updated (KPIs and impact measures for RP1) (WPL)

**1.4 Access provisions to Research Infrastructures (VA1 – VA2 partners)** See next slide

**1.5 Resources used to provide access to Research Infrastructures (VA1-VA2 partners)** See next slide

## 2. Update of the Dissemination and Exploitation Plan (WP1, WPL, all partners)

## 3. Update of the Data Management Plan (WP1, WPL, all partners)

## (4. Follow-up of recommendations and comments from previous review(s))

 Not for RP1

## 5. Deviations from Annex 1 and 2 (WPL with all partners)

**5.1 Tasks/Use of resources :** Explanations for tasks not fully implemented/not being on schedule and impact on other tasks + explanation on the deviations of the use of resources from Annex 1 (**PM per WP**)



- **Part A**

In the EC Portal:

- **List the researchers** who had transnational access to our installations **during RP1** (name, nationality, gender + name and nationality of their employing organization)
- Complete the **quantity of access** to research infrastructure made accessible **during RP1**

- **Part B**

## 1.4 Access provisions to Research Infrastructures (VA1 – VA2 partners)

- **TNA**

- **Description of the publicity**
- **Description of the selection procedure**
- **Description of the TNA activity**
- **Scientific output of the users at the facilities**

- **VA**

- **Virtual Access Activities:** Provide statistics on the virtual access in RP1 (quantity, geographical distribution)

## 1.5 Resources used to provide access to Research Infrastructures (VA1-VA2 partners)

PM per beneficiary used to provide access with the explanation of tasks



- **Individual Financial statement**

**All beneficiaries (financial department) must fill in their own financial statement on the EC Portal → need to make sure that the list of the Financial contacts for IS-ENES3 is updated.**

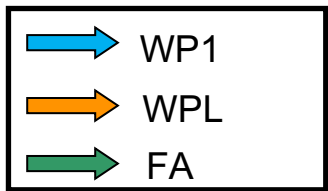
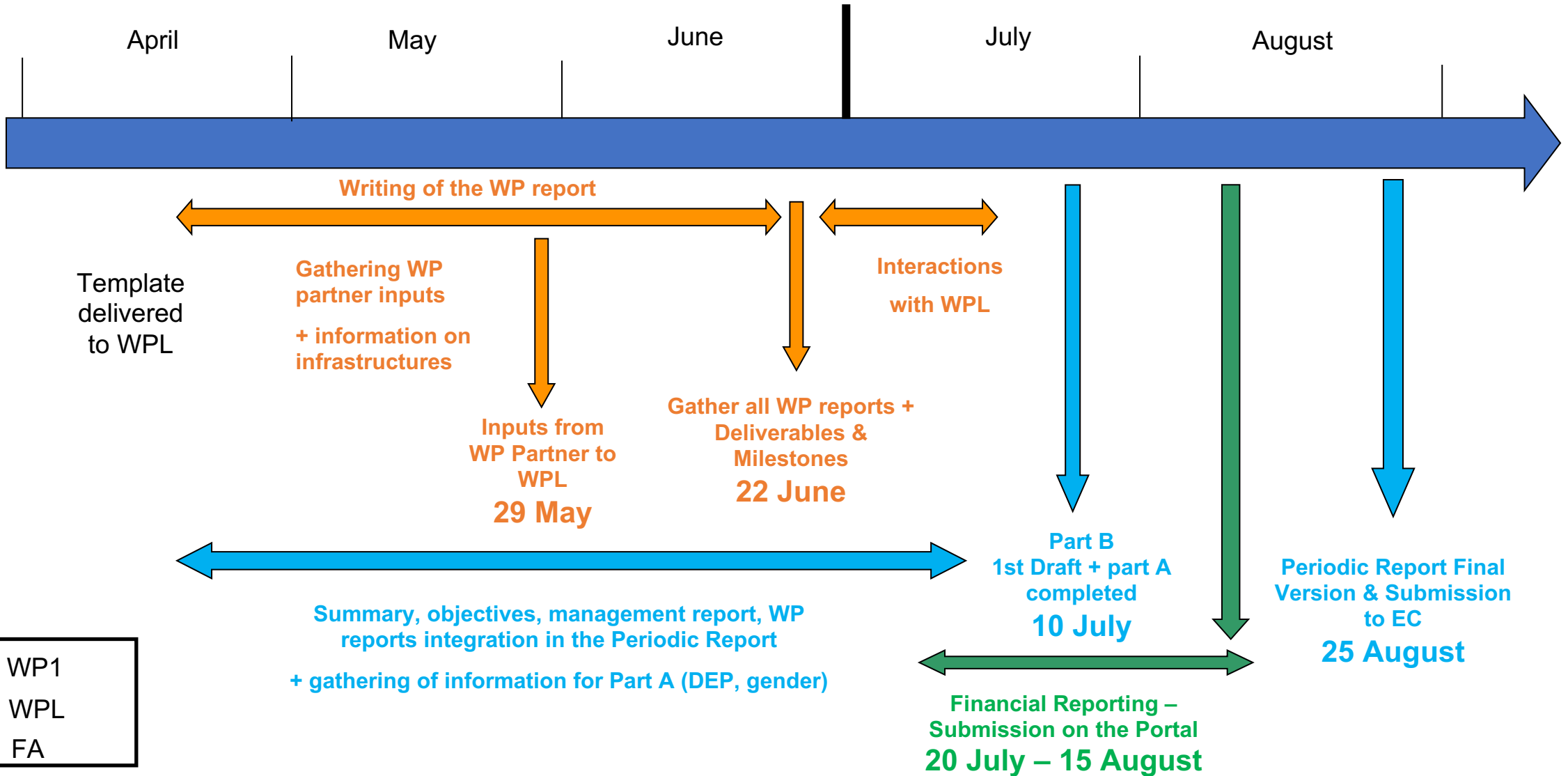
They will need to know the number of Person-Month per WP (timesheets) + explanations if unforeseen subcontracting or in-kind contribution during RP1.



# Reporting Timeline

**Anticipate the  
vacation period !!**

End of RP1





# Reminder: EU General Data Protection Regulation (GDPR)

In order to respect the GDPR, always put on your IS-ENES3 workshop registration forms:

- I understand that use of my personal data will be covered by the IS-ENES privacy policy:*  
<https://is.enes.org/project/privacy-policy>

**Art 6.1.E** → Theoretically we do not **need to ask consent to process data** BUT important to inform the participants whose data is being processed (hence the link to the IS-ENES3 data policy).

**!!/ Exception:** YouTube channel or other high publicization of data, where consents will be asked for safety.

Ask Sophie Morellon ([sophie.morellon@lsce.ipsl.fr](mailto:sophie.morellon@lsce.ipsl.fr)) if you have any questions !



## Reminder:

# IS-ENES3 Communication & Dissemination

- **Mandatory mentions for IS-ENES3 Communication and Dissemination** (publications, presentations, etc.)

*“This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 824084”.*

With the **EU flag** and the **IS-ENES3 logo** !  
To be found in the « **Management** » folder of the Google shared space

- **Internal Communication tool**

- **Mailing lists:** is-enes3-ga (internal to the consortium), is-enes3 (wider)
- IS-ENES3 **Slack** workspace: is-enes.slack.com
- IS-ENES3 **Google folder** « All Members » : do not hesitate using it !

- **External communication channel**

- **Website:** [is.enes.org](https://is.enes.org) (regularly updated with events, milestones and deliverables)
- IS-ENES3 **ZENODO community** : Open-access platform for peer-reviewed and non-peer-reviewed materials
- **Twitter:** [ISENES\\_RI](https://twitter.com/ISENES_RI)
- **NEW:** an IS-ENES3 **[YouTube channel](#)** !

**Make sure you are in all of those !**



## THE CONSORTIUM

Coordinated by CNRS-IPSL, the IS-ENES3 project  
gathers 22 partners in 11 countries



*This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement N°824084*



Our website

<https://is.enes.org/>



Follow us on Twitter !

@ISENES\_RI



Contact us at

[is-enes@ipsl.fr](mailto:is-enes@ipsl.fr)



Join the community  
on ZENODO !



YouTube [IS-ENES3 channel](#)