CS286.2 Lectures 5-6: Introduction to Hamiltonian Complexity, QMA-completeness of the Local Hamiltonian problem

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The Complexity Class BQP

The complexity class BQP is the quantum analog of the class BPP. It consists of all languages that can be decided in quantum polynomial time. More formally,

Definition 1. A language $L \in BQP$ if there exists a classical polynomial time algorithm A that maps inputs $x \in \{0,1\}^*$ to quantum circuits C_x on n = poly(|x|) qubits, where the circuit is considered a sequence of unitary operators each on 2 qubits, i.e $C_x = U_T U_{T-1}...U_1$ where each $U_i \in L(\mathbb{C}^2 \otimes \mathbb{C}^2)$, such that:

i. Completeness:
$$x \in L \Rightarrow Pr(C_x \ accepts | 0_n \rangle) \ge \frac{2}{3}$$

ii. Soundness: $x \notin L \Rightarrow Pr(C_x \ accepts \ |0_n\rangle) \leq \frac{1}{3}$ We say that the circuit " $C_x \ accepts \ |\psi\rangle$ " if the first output qubit measured in $C_x |\psi\rangle$ is 0. More specifically, letting $\Pi_1^{|0\rangle} = |0\rangle\langle 0|_1$ be the projection of the first qubit on state $|0\rangle$,

$$Pr(C_x \ accepts \ |\psi\rangle) = \parallel (\Pi_1^{|0\rangle} \otimes \mathbb{I}_{n-1})C_x |\psi\rangle \parallel_2^2$$

The Complexity Class QMA

The complexity class QMA (or BQNP, as Kitaev originally named it) is the quantum analog of the class NP. More formally,

Definition 2. A language $L \in QMA$ if there exists a classical polynomial time algorithm A that maps inputs $x \in \{0,1\}^*$ to quantum circuits C_x on n+q=poly(|x|) qubits, such that: i. Completeness: $x \in L \Rightarrow \exists |\psi\rangle \in \mathbb{C}^{2^q}$, $||\psi\rangle||_2 = 1$, such that $Pr(C_x \ accepts |0_n\rangle \otimes$

i. Completeness:
$$x \in L \Rightarrow \exists |\psi\rangle \in \mathbb{C}^{2^q}$$
, $||\psi\rangle||_2 = 1$, such that $Pr(C_x \ accepts |0_n\rangle \otimes |\psi\rangle) \geq \frac{2}{3}$

ii. Soundness:
$$x \in L \Rightarrow \forall |\psi\rangle \in \mathbb{C}^{2^q}$$
, $|\psi\rangle|_2 = 1$, $Pr(C_x \ accepts |0_n\rangle \otimes |\psi\rangle) \leq \frac{1}{3}$

Proposition 3. NP \subseteq QMA

Proof. The circuit C_x will be the NP verifier "hardwired" with the input x, and it will measure every bit of $|\psi\rangle$ independently and use it as the classical proof π .

Exercise 4. Let QMA_{c,s} be same as QMA but with completeness and soundness parameters c and s instead of $\frac{2}{3}$ and $\frac{1}{3}$. Show that QMA_{c,s} = QMA as long as $c - s \in \frac{1}{O(poly(|x|))}$. (The main issue to consider during repetition is the entanglement that could exist between multiple quantum proofs).

Exercise 5. Show the progressively stronger sequence of inclusions $NP \subseteq QMA \subseteq PP \subseteq PSPACE \subseteq EXP$

Remark 6. *Note that QMA is a largest eigenvalue problem:*

$$Pr(C_x \ accepts \ |0_n\rangle \otimes |\psi\rangle) = \|(\Pi_1^{|0\rangle} \otimes \mathbb{I}_{nq-1})C_x|0_n\rangle \otimes |\psi\rangle\|_2^2$$
$$= \langle \psi| \otimes \langle 0_n|C_x^{\dagger}(\Pi_1^{|0\rangle} \otimes \mathbb{I}_{nq-1})C_x|0_n\rangle \otimes |\psi\rangle$$
$$= \langle \psi|D|\psi\rangle$$

where $D = \mathbb{I}_q \otimes \langle 0_n | C_x^{\dagger}(\Pi_1^{|0\rangle} \otimes \mathbb{I}_{nq-1}) C_x | 0_n \rangle \otimes \mathbb{I}_q$ is a $2^q \times 2^q$ matrix. Since $\langle \psi | \psi \rangle = 1$, finding $|\psi\rangle$ which maximizes $\langle \psi | D | \psi \rangle$ is exactly same as the maximum eigenvalue λ of the matrix D.

The Local Hamiltonian Problem

Now that the class QMA is defined, it is necessary to consider some important problems that are in QMA or complete for it. One such problem is the Local Hamiltonian (henceforth abbreviated as LH) problem. The q – LH problem is the quantum analog of q – CSP. The n variables in a q – CSP correspond to n qubits in a q – LH. The m constraints each acting on at most q variables in a q – CSP correspond to m Hamiltonians each measuring at most q qubits in a q – LH.

Definition 7. An instance of q - LH is given by a collection of n qubits and m Hamiltonians H_j $(H_j = H_j^{\dagger})$ such that $H_j \in L(\mathbb{C}^{2^q})$ and $0 \leq H_j \leq \mathbb{I}$. The total "energy" is specified by the Hamiltonian $H = \sum_{j=1}^m H_j$.

Definition 8. (The Local Hamiltonian Problem) Given an instance of q - LH and real parameters a < b, the Local Hamiltonian problem, written as $q - LH_{a,b}$, is to decide between the following two cases

- (YES): $\exists |\psi\rangle \in \mathbb{C}^{2^n}$, $||\psi\rangle|| = 1$, such that $\langle \psi|H|\psi\rangle \leq a$
- (NO): $\forall |\psi\rangle \in \mathbb{C}^{2^n}$, $||\psi\rangle|| = 1$, $\langle \psi|H|\psi\rangle \geq b$

Note that this is a promise problem, i.e. to solve $q - LH_{a,b}$ it is enough to give the correct answer, YES or NO, on Hamiltonians that satisfy the promise that their total energy is either at most a or at least b.

Example 9. 3SAT is an instance of $3 - LH_{0,1}$. Let there be n qubits corresponding to the n variables of the 3SAT formula. We will define a Hamiltonian H_j for every clause C_j in the 3SAT formula. Intuitively, H_j can be thought as the energy or penalty that is imposed if the clause C_j is not satisfied by a setting of the variables. For every clause $C_j = x_a \vee \overline{x_b} \vee x_c$, the Hamiltonian $H_j = |0\rangle\langle 0|_a \otimes |1\rangle\langle 1|_b \otimes |0\rangle\langle 0|_c \otimes \mathbb{I}$ evaluates to 1 iff the constraint C_j isn't satisfied. The completeness is straightforward, and for soundness, expanding the state as a linear combination of the 2^n n-bit strings, it is easy to see that in case of an unsatisfiable formula, since at least 1 clause will be unsatisfied by any assignment, no state can lead to less than energy 1 on average. (Watch though that the coefficients of the state are complex numbers, and one cannot in general reduce it to a probability distribution over bit-strings!)

Example 10. The Motzkin Hamiltonian acts on $(\mathbb{C}^3)^{\otimes n}$ where we name an orthonormal basis for \mathbb{C}^3 as $|\langle\rangle,|\rangle\rangle$, $|0\rangle$. A Motzkin path is a well-parenthesized expression. Given the states $|\phi\rangle=\frac{1}{\sqrt{2}}(|00\rangle-|()\rangle)$, $|\psi_L\rangle=\frac{1}{\sqrt{2}}(|(0\rangle-|0(\rangle),|\psi_R\rangle=\frac{1}{\sqrt{2}}(|0\rangle-|0\rangle)$, the Motzkin Hamiltonian is defined as follows:

$$H = |\rangle\rangle\langle\rangle|_1 + |\langle\rangle\langle\langle\langle|_n + \sum_{j=1}^{n-1} (|\phi\rangle\langle\phi|_{j,j+1} + |\psi_L\rangle\langle\psi_L|_{j,j+1} + |\psi_R\rangle\langle\psi_R|_{j,j+1})$$

The smallest eigenvalue of H is 0, and the associated eigenvector is the Motzkin State $|M_n\rangle$, the uniform superposition over all Motzkin paths (see the problem sheet for a proof of this).

The Local Hamiltonian problem is QMA-complete

Theorem 11. (*Kempe-Kitaev-Regev*) $2 - LH_{a,b}$ is QMA-complete for some $a = 2^{-\operatorname{poly}(n)}$ and $b = 1/\operatorname{poly}(n)$.

The first result along these lines came from Kitaev, who showed that 5 - LH is QMA-complete. We shall show a slightly weaker version of the theorem, which will contain all the key ideas:

Theorem 12. (*Kitaev*) There exists some $a = 2^{-\operatorname{poly}(n)}$ and $b = 1/\operatorname{poly}(n)$ such that $O(\log n) - \operatorname{LH}_{a,b}$ is QMA-complete, i.e.

i.
$$O(\log n) - LH_{a,b} \in QMA$$

ii. $O(\log n) - LH_{a,b}$ *is* QMA -hard

Proof. (i) Assume we are given an instance of $O(\log n) - LH_{a,b}$. Here n denotes the number of qubits in the LH instance. We will show that the Local Hamiltonian problem on this instance can be solved in QMA. The input x to the QMA algorithm A that converts $x \to C_x$ will consist of the description of each of the Hamiltonians in the LH instance. The algorithm A works as follows: It will use $n = \log m$ of the qubits in state $|0\rangle$ and create a uniform distribution over all strings of length m. It will measure this state and get a random $1 \le j \le m$. For the j that is chosen, it will choose the corresponding Hamiltonian H_j , and apply it on the qubits of the witness to measure $\{H_j, \mathbb{I} - H_j\}$. The circuit C_x will reject if the outcome of the measurement is H_j .

$$Pr(C_x \text{ rejects}) = \sum_{j=1}^{m} Pr(\text{Measurement outcome is } H_j) \cdot Pr(H_j \text{ is chosen})$$

Thus,

$$Pr(C_x \text{ rejects}) = \frac{1}{m} \sum_{j=1}^m ||H_j|\psi\rangle||_2^2 = \frac{1}{m} \sum_{j=1}^m \langle \psi | H_j | \psi \rangle = \langle \psi | \frac{1}{m} H | \psi \rangle$$

Since either one of the case holds $\exists \psi, \langle \psi | H | \psi \rangle \leq a$ or $\forall \psi, \langle \psi | H | \psi \rangle \geq b$, it implies that the circuit C_x accepts with probability at least $1 - \frac{a}{m}$ for some $|\psi\rangle$, or accepts with probability at most $1 - \frac{b}{m}$ for all $|\psi\rangle$, which means that the completeness and soundness parameters for QMA are: $c = 1 - \frac{a}{m}$ and $s = 1 - \frac{b}{m}$. Further, as long as $b - a \in \frac{1}{O(\text{poly}|x|)}$, the gap can be amplified.

- (ii) For this part, we need to show that every $L \in QMA$ can be reduced to an instance of $O(\log n) LH$. We will construct a $O(\log n)$ -local Hamiltonian H_x such that the following holds:
 - (Completeness): If $\exists \phi$ such that $Pr(C_x \text{ accepts } \phi) \geq 1 \epsilon$, then $\exists \psi, \langle \psi | H_x | \psi \rangle \leq \epsilon$
 - (Soundness): If $\forall \phi$, $Pr(C_x \text{ accepts } \phi) \leq \epsilon$, then $\forall \psi$, $\langle \psi | H_x | \psi \rangle \geq \frac{3}{4} \epsilon$

To see the difficulty in doing this, consider first the classical Cook-Levin reduction of a circuit to 3SAT. If the input variables to the circuit are x_1 to x_n , and without loss of generality, if only one gate acts at every "stage" and there are T stages, one can introduce auxiliary variables $x_{i,j}$, where $1 \le i \le n$ and $1 \le j \le T$, such that $x_{i,j}$ denotes the value in the i'th wire at the j'th stage. The constraints that are introduced are so as to make sure that the values of the variables are consistent with the applied gates. For instance, if an OR gate acts on $x_{1,5}$ and $x_{2,5}$ and gives the output on $x_{2,6}$, we add the constraint $x_{2,6} = x_{1,5} \lor x_{2,5}$, and so on (the constraints can further easily be converted to constraints on 3 variables, giving a 3SAT expression).

Can the same thing be done in the quantum case? Consider a quantum circuit $C_x = U_T...U_1$, with n input qubits x_i , and such that the unitaries U_j act on at most 2 qubits at every stage. We shall create a Hamiltonian that will give an energy penalty if any of the constraints are violated. Since all input qubits are in the $|0\rangle$ state and the output needs to be in the $|0\rangle_1$ state, two of the Hamiltonians are simple:

$$H_{in} = \sum_{i=1}^{n} |1\rangle\langle 1|_i \otimes \mathbb{I}, \ \ H_{out} = |1\rangle\langle 1|_1 \otimes \mathbb{I},$$

where here the input qubits from i = 1 to n correspond to the ancilla qubits initialized to $|0^n\rangle$ by the circuit C_x .

Further, we should introduce constraints of the form $|\psi_j\rangle = U_j \otimes \mathbb{I}|\psi_{j-1}\rangle$, where $|\psi_j\rangle$ is a (quantum) variable describing the state of all the qubits at the j-th state of the circuit. Unfortunately it is not clear at all how to implement such a contraint with a *local* Hamiltonian! For instance, if $|\psi_1\rangle = \frac{1}{\sqrt{2}}(|0^n\rangle + |1^n\rangle)$ and $|\psi_2\rangle = \frac{1}{\sqrt{2}}(|0^n\rangle - |1^n\rangle)$ then one can in fact show that no local measurement acting on < n qubits will be able to distinguish (at all, even with small success probability) between these two states. Indeed, observe that if we measure any qubit in $|\psi_1\rangle$ or $|\psi_2\rangle$ then it will be 0 or 1 with equal probability in *both* cases. To expand this observation into a formal

argument for the local indistinguishability of the two states we'd need to get into the formalism of *density matrices*, which are used to describe the *reduced* state of a quantum vector on a subset of its qubits; we will return to this topic later.

Since "juxtapositions" of quantum states cannot be compared locally, the main idea instead is to use *superpositions* of the two states. If we were given access to the state $|\psi\rangle=\frac{1}{\sqrt{2}}(|0\rangle|\psi_1\rangle+|1\rangle|\psi_2\rangle)$, we could apply a Hadamard transformation $(|0\rangle\rightarrow|+\rangle=\frac{1}{\sqrt{2}}(|0\rangle+|1\rangle)$, $|1\rangle\rightarrow|-\rangle=\frac{1}{\sqrt{2}}(|0\rangle-|1\rangle)$) on the first qubit, and then make a measurement of the first qubit. One can verify that the probability of obtaining the outcome $|0\rangle$ is exactly $1/4||\psi_1\rangle+|\psi_2\rangle||^2$, and the probability of obtaining the outcome $|1\rangle$ is exactly $1/4||\psi_1\rangle+|\psi_2\rangle||^2$, giving us a very precise way to compare the two states.

We now describe Kitaev's construction of a local Hamiltonian.

Kitaev's Construction: For intuition, we first describe the "ideal witness" that we would like the ground state of the local Hamiltonian to be:

$$|\psi
angle = rac{1}{\sqrt{T+1}} \sum_{t=0}^T (U_t U_{t-1} ... U_1 |0_n
angle \otimes |\phi
angle) \otimes |t
angle_c,$$

where $|\psi\rangle$ is a state that maximizes the probability of C_x accepting. $|\psi\rangle$ is a uniform superposition over the state of the circuits at each stage $j=0,\ldots,T$. The superposition is indexed by the states $|t\rangle$ of the "clock", which keeps track of the number of unitaries that have been applied so far. This idea, of replacing a time-dependent unitary evolution by a time-independent Hamiltonian, goes back to Feynman.

The local Hamiltonian can be decomposed in three parts. The first two are straightforward and almost exactly as introduced earlier:

$$H_{in} = \sum_{i=1}^{n} |1\rangle\langle 1|_i \otimes \mathbb{I} \otimes |0_{\log T}\rangle\langle 0_{\log T}|_c, \qquad H_{out} = |1\rangle\langle 1|_1 \otimes \mathbb{I} \otimes |T\rangle\langle T|_c$$

Note that we have used $T = 1_{\log T}$ above. The third part is the *propagation* Hamiltonian

$$H_{prop} = \sum_{t=1}^{T} H_{prop,t},$$

where

$$H_{prop,t} = \frac{1}{2} (\mathbb{I} \otimes |t\rangle \langle t|_c + \mathbb{I} \otimes |t-1\rangle \langle t-1|_c - U_t \otimes |t\rangle \langle t-1|_c - U_t^{\dagger} \otimes |t-1\rangle \langle t|_c).$$

Finally, we let the Hamiltonian H in out LH instance be as follows:

$$H = J_{in}H_{in} + (T+1)H_{out} + J_{prop}H_{prop},$$

where J_{in} and J_{prop} are positive integer weights that will be assigned later. Since T, the number of gates in the circuit C_x , is polynomial in n, this gives a Hamiltonian acting on $O(\log n)$ qubits.

Completeness: Assume there is some state $|\phi\rangle$ such that $Pr(C_x \text{ accepts } |0_n\rangle \otimes |\phi\rangle) \geq 1 - \epsilon$. We let our state $|\psi\rangle$ be the ideal state as described before, i.e.

$$|\psi\rangle = \frac{1}{\sqrt{T+1}} \sum_{t=0}^{T} (U_t U_{t-1} ... U_1 |0_n\rangle \otimes |\phi\rangle) \otimes |t\rangle_c$$

Note that $\langle \psi | H_{in} | \psi \rangle = 0$ since for t = 0, none of the inputs is in the $|1\rangle$ state which will make the first term corresponding to the ancilla bits in $|\psi\rangle$ above 0, and for all other values of t, the term corresponding to the clock will become 0.

Also, $\langle \psi | H_{prop} | \psi \rangle = 0$, since all other terms except the corresponding terms for the t-1 and t times cancel out, which further cancel out as follows:

$$H_{prop,t}|\psi\rangle = \frac{1}{2\sqrt{T+1}} \left(\mathbb{I} \otimes |t\rangle\langle t|_{c} + \mathbb{I} \otimes |t-1\rangle\langle t-1|_{c} - U_{t} \otimes |t\rangle\langle t-1|_{c} - U_{t}^{\dagger} \otimes |t-1\rangle\langle t|_{c} \right)$$

$$\cdot (U_{t}...U_{1}|0_{n}\rangle \otimes |\phi\rangle \otimes |t\rangle + U_{t-1}...U_{1}|0_{n}\rangle \otimes |\phi\rangle \otimes |t-1\rangle)$$

$$= \frac{1}{2\sqrt{T+1}} \left((U_{t}...U_{1}|0_{n}\rangle \otimes |\phi\rangle \otimes |t\rangle + U_{t-1}...U_{1}|0_{n}\rangle \otimes |\phi\rangle \otimes |t-1\rangle$$

$$-U_{t}...U_{1}|0_{n}\rangle \otimes |\phi\rangle \otimes |t\rangle - U_{t}^{\dagger}U_{t}U_{t-1}...U_{1}|0_{n}\rangle \otimes |\phi\rangle \otimes |t-1\rangle)$$

$$= 0$$

Thus,

$$\langle \psi | H | \psi \rangle = \langle \psi | (T+1) H_{out} | \psi \rangle$$

$$= (T+1) \cdot \frac{1}{(\sqrt{T+1})^2} \| \Pi_1^{|1\rangle} U_T ... U_1 | 0 \rangle \otimes | \phi \rangle \|_2^2$$

$$= Pr(C_x \text{ rejects } | 0 \rangle \otimes | \phi \rangle)$$

$$\leq \epsilon, \qquad (1)$$

as claimed.

Soundness: Before we can analyze the soundness, we will need an important lemma:

Lemma 13. (Projection Lemma, Kempe-Kitaev-Regev): Let $H = H_1 + H_2$, where H, H_1 , H_2 are Hermitian positive semidefinite. Let S be the null-space of H_2 and assume $\lambda_{min}(H_2|_{S^{\perp}}) \geq J > 2\|H_1\|$, where $\|\cdot\|$ denotes the operator norm, the largest singular value. Then

$$\lambda_{min}(H_1|_S) - \frac{\|H_1\|^2}{J - 2\|H_1\|} \le \lambda_{min}(H) \le \lambda_{min}(H_1|_S)$$

Proof. RHS: Let $|v\rangle$ be an eigenvector associated with the smallest eigenvalue of $H_1|_S$. Thus,

$$\begin{aligned} \langle v|H|v\rangle &= \langle v|H_1|v\rangle + \langle v|H_2|v\rangle \\ &= \langle v|H_1|v\rangle + 0 \\ \lambda_{min}(H) &\leq \langle v|H|v\rangle = \langle v|H_1|v\rangle = \lambda_{min}(H_1|s) \end{aligned}$$

LHS: Let $|v\rangle$ be an eigenvector corresponding to the smallest eigenvalue of H. Expand $|v\rangle=\alpha_1|v_1\rangle+\alpha_2|v_2\rangle$, where $|v_1\rangle\in S$, $|v_2\rangle\in S^\perp$, $\alpha_1,\alpha_2\in\mathbb{R}$ (which we can always assume by multiplying $|v_1\rangle$ and $|v_2\rangle$ by a complex phase if necessary), and $|\alpha_1|^2+|\alpha_2|^2=1$. Thus,

$$\langle v|H_2|v\rangle = 0 + |\alpha_2|^2 \langle v_2|H_2|v_2\rangle \ge |\alpha_2|^2 J$$

where we used the condition given in the lemma for the inequality. Also,

$$\langle v|H_{1}|v\rangle = (1 - |\alpha_{2}|^{2})\langle v_{1}|H_{1}|v_{1}\rangle + |\alpha_{2}|^{2}\langle v_{2}|H_{1}|v_{2}\rangle + 2Re(\alpha_{1}\alpha_{2}\langle v_{1}|H_{1}|v_{2}\rangle)$$

$$\geq \langle v_{1}|H_{1}|v_{1}\rangle - |\alpha_{2}|^{2}||H_{1}|| + |\alpha_{2}|^{2}(-||H_{1}||) + 2\alpha_{2}(-||H_{1}||)$$

Hence,

$$\langle v|H|v\rangle = \langle v|H_1|v\rangle + \langle v|H_2|v\rangle \geq \langle v_1|H_1|v_1\rangle - |\alpha_2|^2||H_1|| - |\alpha_2|^2||H_1|| - 2\alpha_2||H_1|| + |\alpha_2|^2 J$$

This quantity is minimized by setting $|\alpha_2| = \frac{\|H_1\|}{J - 2\|H_1\|}$. Substituting this value gives the required inequality.

We will also use the following claim, whose proof is left as an exercise (hint: observe that H_{prop} can be brought into a simple tridiagonal form by an appropriate change of basis).

Claim 14. The smallest non-zero eigenvalue of H_{prop} is at least c/T^2 for some c>0.

We conclude our soundness analysis by showing how the *Projection Lemma* can be used to get the claimed bound. Let S_{prop} be the null-space of H_{prop} . Using the claim above we get that $\lambda_{min}(H_{prop}|_{S_{prop}^{\perp}}) \geq c/T^2$. Let $H_1 = J_{in}H_{in} + (T+1)H_{out}$ and $H_2 = J_{prop}H_{prop}$. Thus, $\lambda_{min}(H_2 = J_{prop}H_{prop}|_{S_{prop}^{\perp}}) \geq cJ_{prop}/T^2$. Moreover, since $||H_1|| \leq (T+1)||H_{out}|| + J_{in}||H_{in}|| \leq T+1+J_{in}(n+q) \leq poly(n)$ if $J_{in}=poly(n)$, we can let $J_{prop}=T^2J_{in}/c=poly(n)$ and satisfy all the conditions required to apply the Projection Lemma. Further, we can choose J_{prop} large enough so that the result is the following lower bound on the minimum eigenvalue of H:

$$\lambda_{min}(H) \geq \lambda_{min}(H_1|_{S_{prop}}) - \frac{1}{8}.$$

Now we apply the Projection Lemma again to find a lower bound on $\lambda_{min}(H_1|_{S_{prop}})$. Assume all further arguments are restricted to the space S_{prop} . Let $S_{in} \subseteq S_{prop}$ be the null-space of H_{in} inside S_{prop} . Now let $H_1 = (T+1)H_{out}|_{S_{prop}}$ and $H_2 = J_{in}H_{in}|_{S_{prop}}$. Using a similar argument as the previous case we can apply the Projection Lemma again and get

$$\lambda_{min}\left(J_{in}H_{in}|_{S_{prop}}+(T+1)H_{out}|_{S_{prop}}\right)\geq \lambda_{min}(H_{out}|_{S_{in}})-\frac{1}{8}.$$

But note that by the same calculation as in (1), $\lambda_{min}((T+1)H_{out}|_{S_{in}})$ is precisely the probability with which the circuit C_x rejects the state $|0_n\rangle\otimes|\phi\rangle$, which we assumed to be at least $1-\epsilon$. Thus, we get that,

$$\lambda_{min}(H) \geq \lambda_{min}(H_{out}|_{S_{in}}) - \frac{1}{8} - \frac{1}{8} \geq 1 - \epsilon - \frac{1}{4} = \frac{3}{4} - \epsilon$$

as claimed. \Box