

Radiance Data Assimilation in WRFDA

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Outline

- An introduction of radiance data assimilation
 - Principal of satellite measurements
 - Introduction to the Radiative Transfer theory
 - Elements of Radiance DA
- Practical aspects with WRFDA

Part I: An Introduction of radiance data assimilation

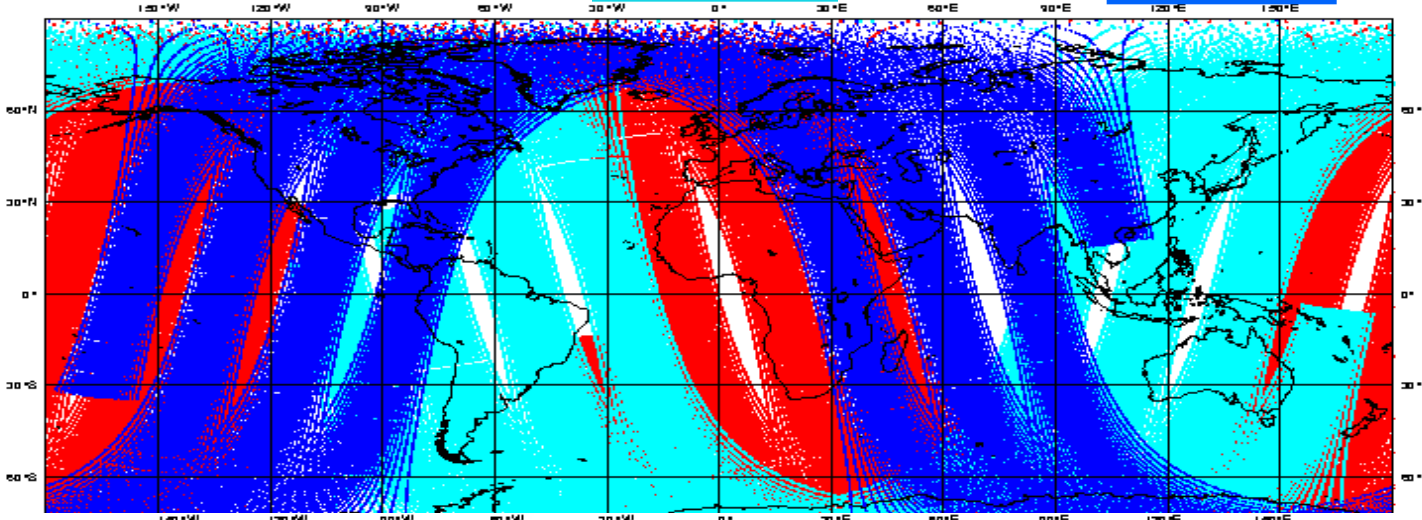
Environment monitoring satellites



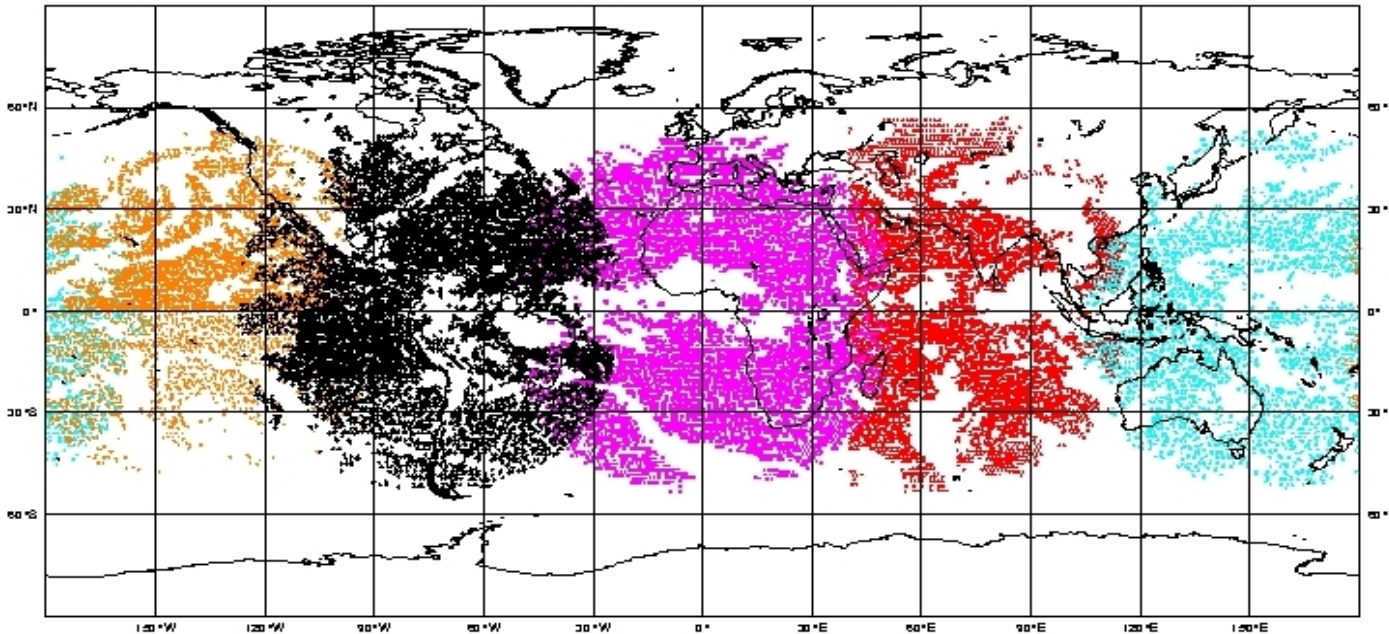
NOAA-15

NOAA-16

NOAA-17



Polar-orbiting satellites



Geostationary satellites

Goes-W

Goes-E

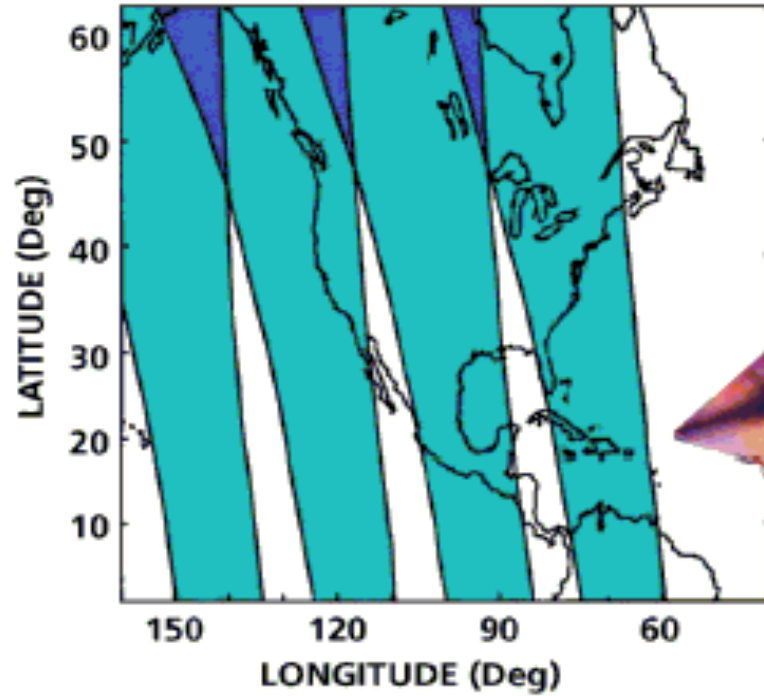
Met-7

Met-5

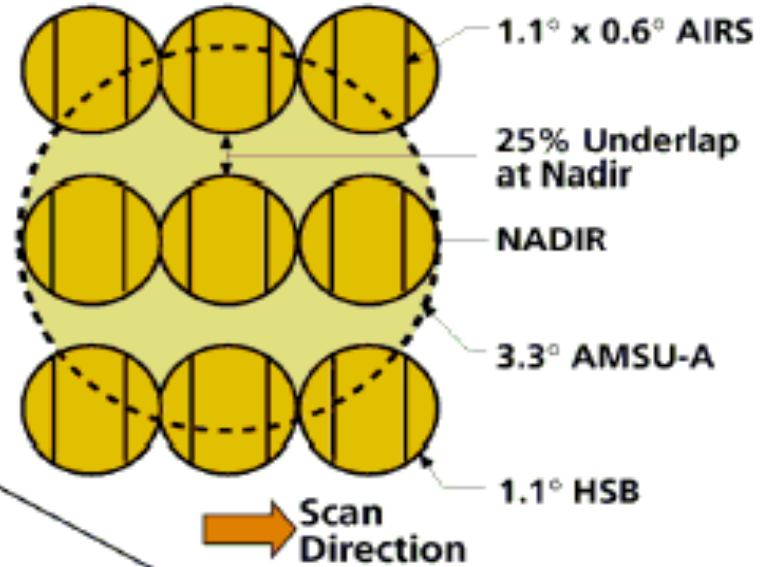
GMS(Goes-9)

Cross-track scan geometry of satellite instruments

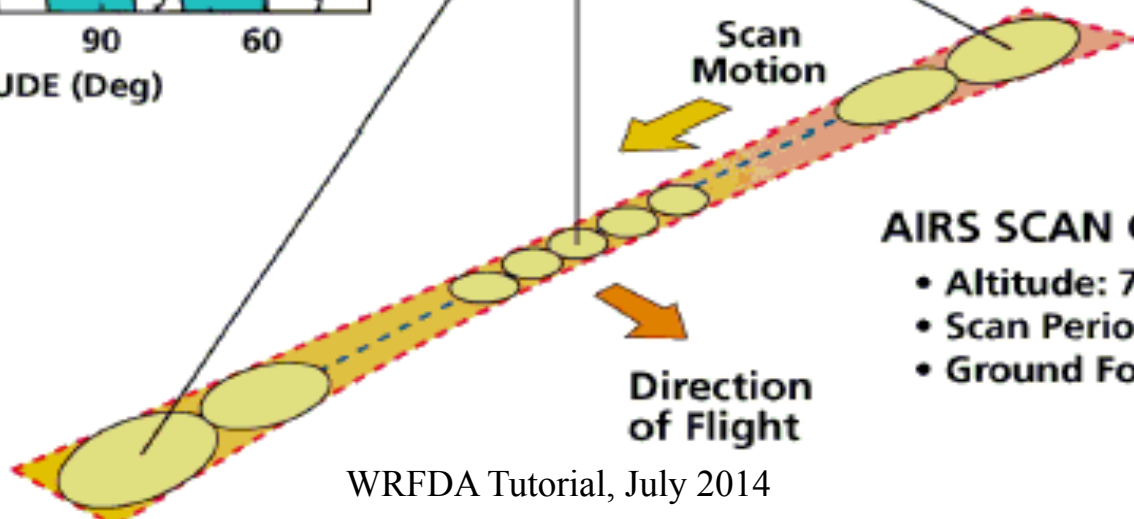
TYPICAL ONE-DAY SCAN PATTERN



AIRS/AMSU IFOV



$\pm 48.95^\circ$



AIRS SCAN GEOMETRY

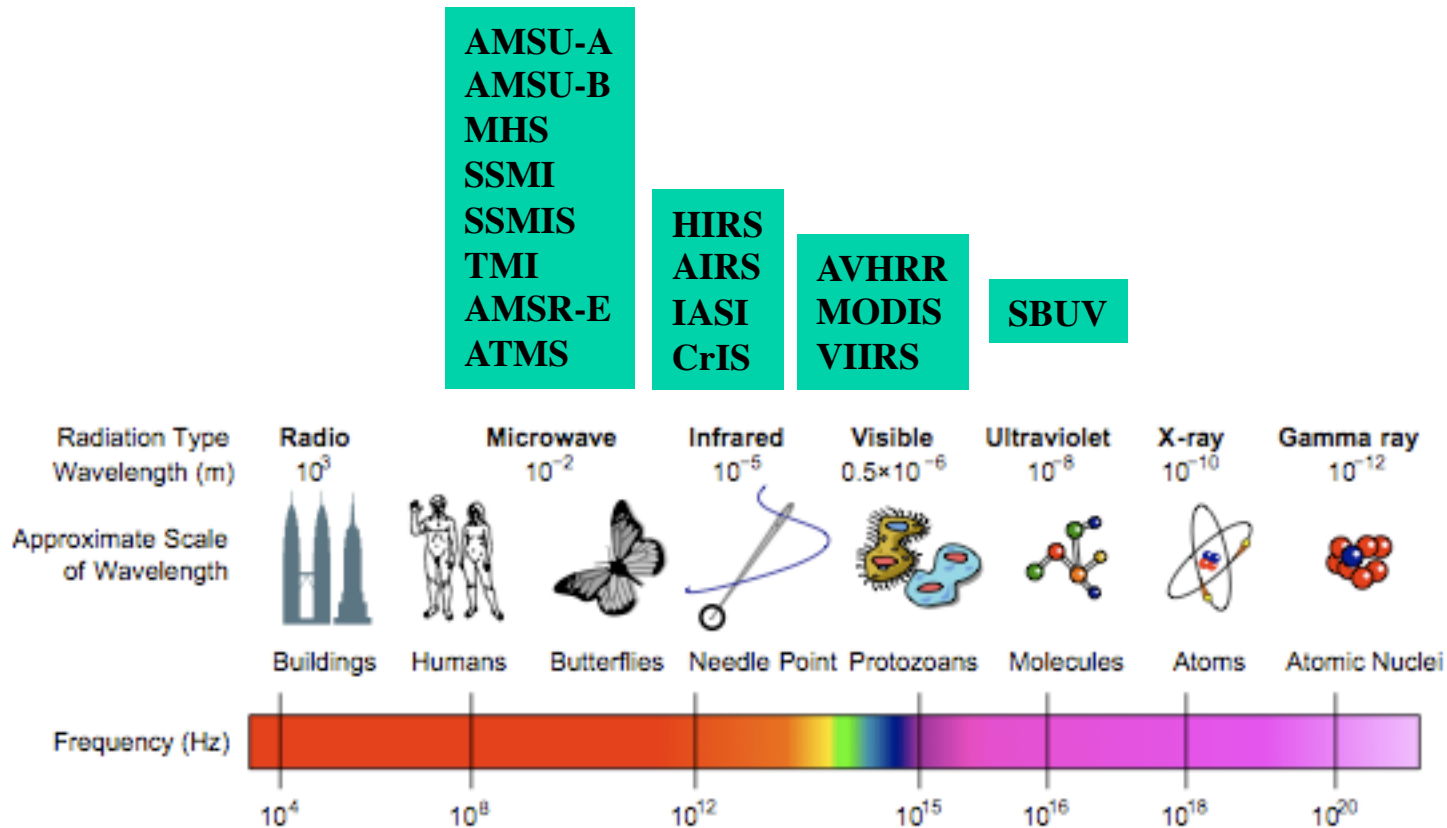
- Altitude: 705 km
- Scan Period: 2.667 s
- Ground Footprints: 90/Scan

What do satellite instruments measure?

They DO NOT measure TEMPERATURE
They DO NOT measure HUMIDITY
They DO NOT measure WIND

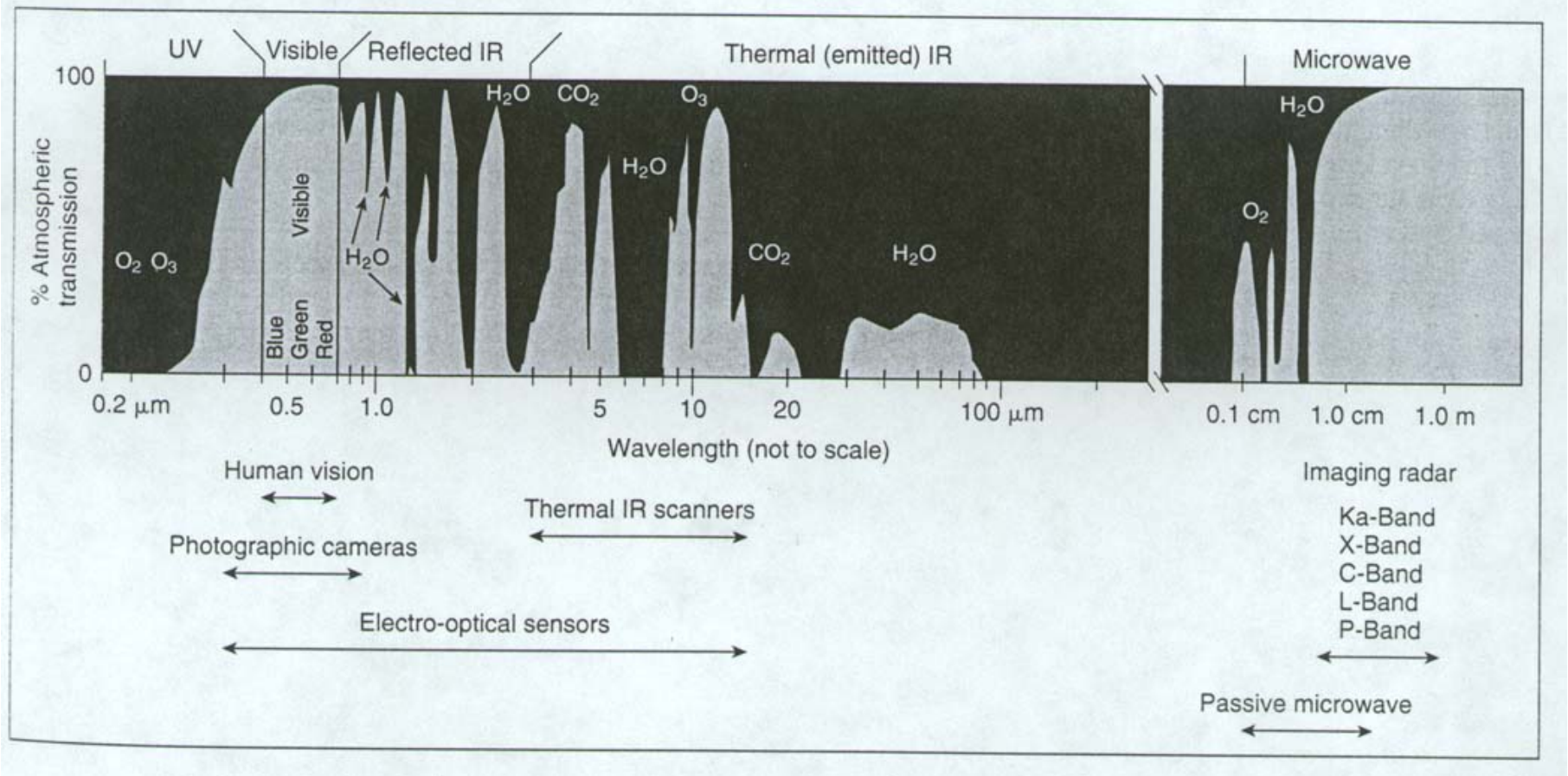
Satellite (**passive**) instruments simply measure the **radiance** (*energy in specific unit*) that reaches the top of the atmosphere (TOA) at frequency range $\nu_1 \sim \nu_2$. The measured radiance is related to geophysical atmospheric variables by the **radiative transfer** equation. Radiances are often converted to “**brightness temperature**” (equivalent blackbody temperature, by inverting Plank function).

Passive Sensors from Weather/Environment Satellites



Electromagnetic Spectrum

Atmospheric Gas Absorption-Transmission



Radiative Transfer: Forward model

$$L(\nu) = \int_0^\infty B(\nu, T(z)) \left[\frac{d\tau(\nu)}{dz} \right] dz + \text{Surface} + \text{Cloud/Rain Aerosol}$$

TOA radiance at frequency ν Planck function Atmospheric Absorption (weighting function) Emission/reflection Diffusion/scattering

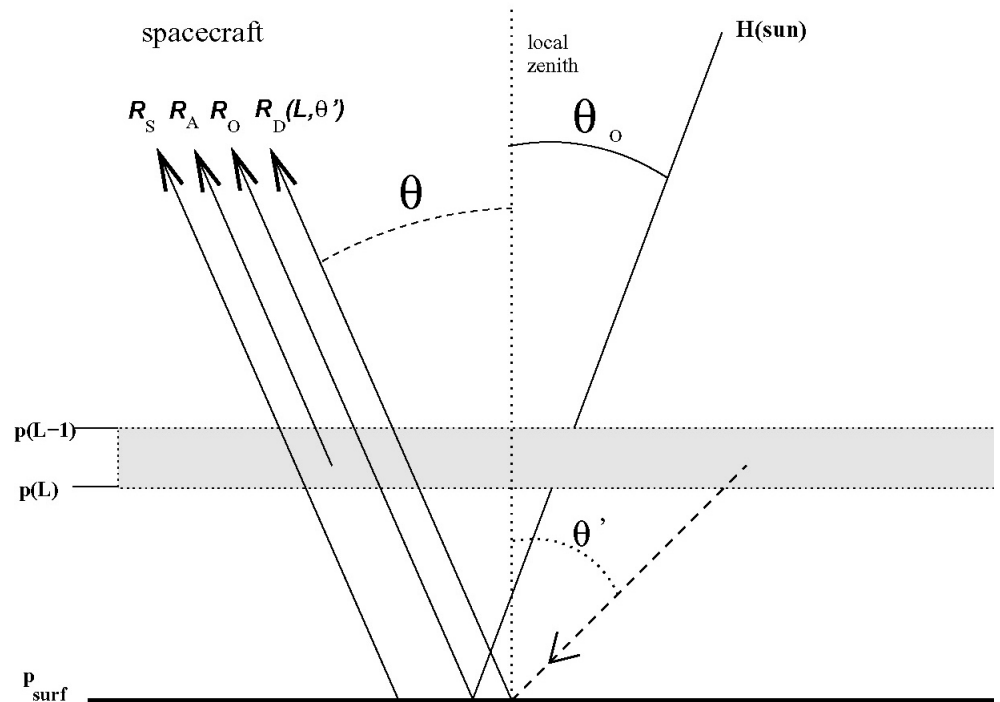
Surface emission R_s

Up-welling atmosphere emission R_A

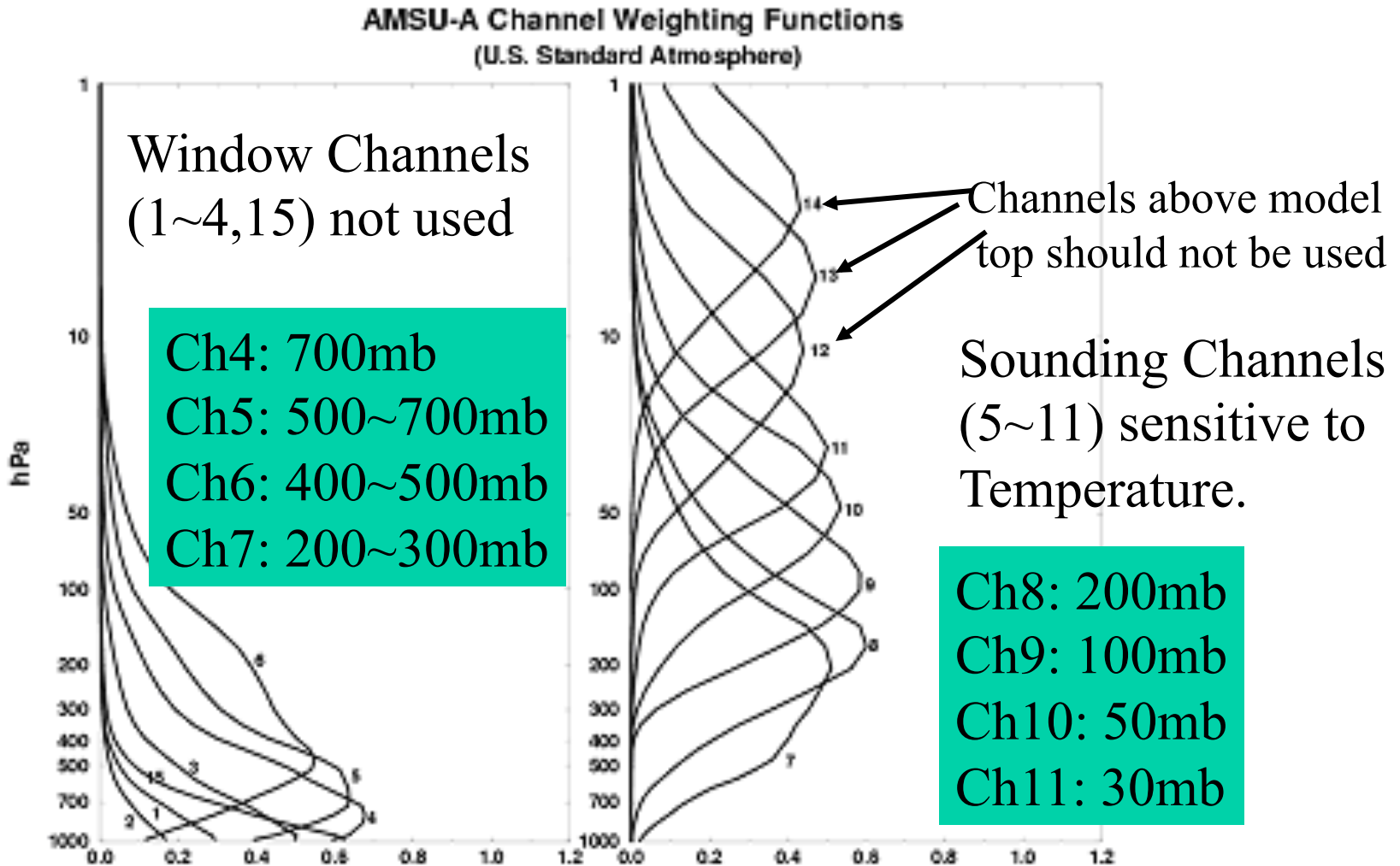
Reflected solar radiation R_O

Down-welling & reflected atmos.

Emission (R_D)



Weighting functions of different channels



Radiance Assimilation in 3D/4D-VAR

Solving the inverse problem by minimizing a cost function

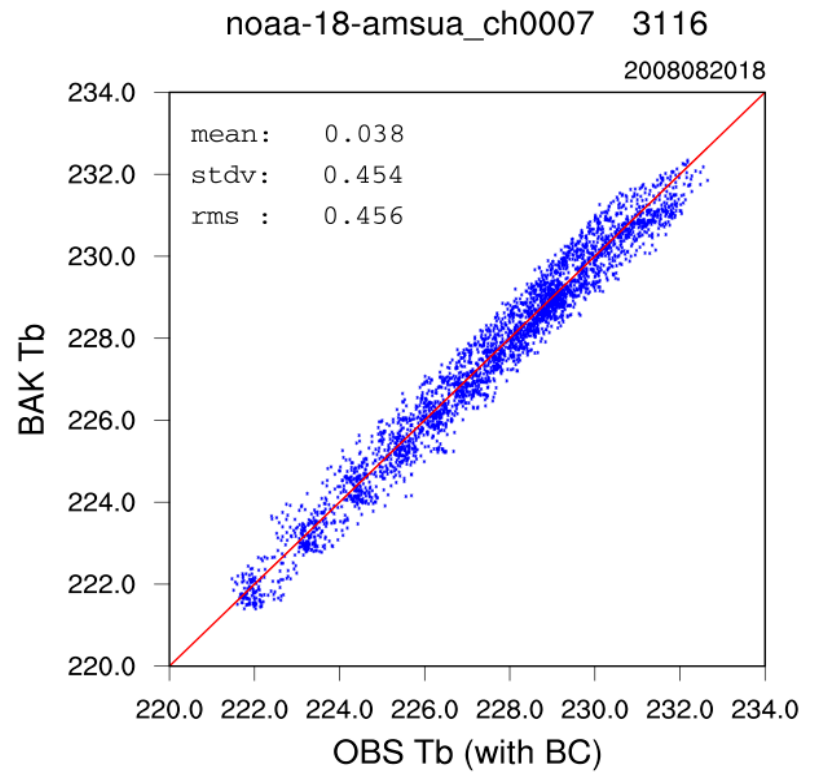
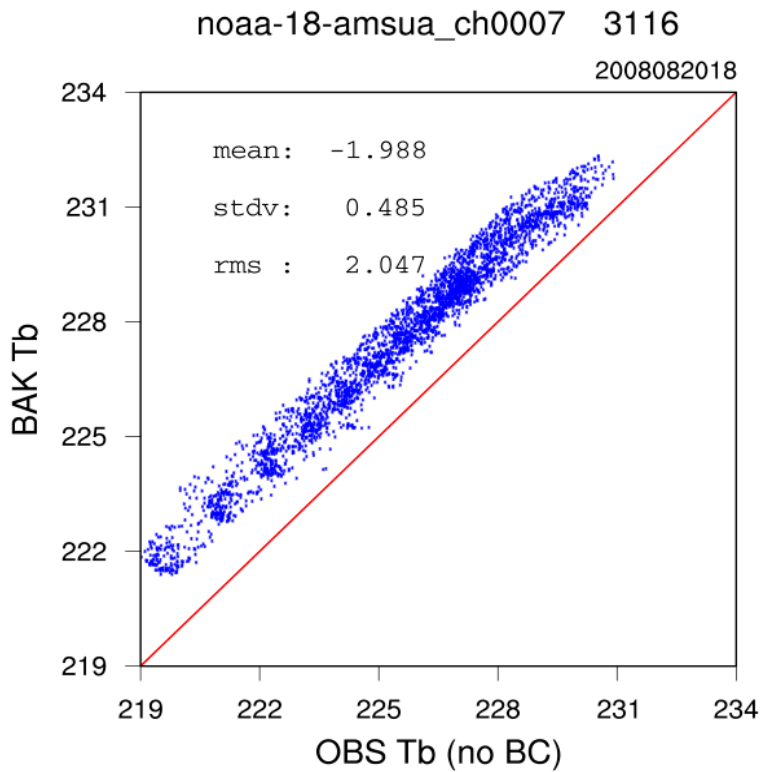
$$J(\mathbf{x}) = \frac{1}{2} (\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x} - \mathbf{x}_b) + \frac{1}{2} [\mathbf{y} - H(\mathbf{x})]^T \mathbf{R}^{-1} [\mathbf{y} - H(\mathbf{x})]$$



Observation operators include Radiative Transfer Model

- 1. Solving the inverse problem along with other observations in a more consistent way.**
- 2. Pixels are no longer independent each other due to the horizontal correlation in B.**
- 3. Can affect no-measured quantities through multivariate correlation in B.**

Radiance obs is biased



Variational Bias Correction (VarBC) in WRFDA (T. Auligné)

Modeling of errors in satellite radiances:

$$y = H(x_t) + B(\beta) + \varepsilon$$

$$\left\{ \begin{array}{l} \langle \varepsilon \rangle = 0 \\ B(\beta) = \sum_{i=1}^N \beta_i p_i \end{array} \right.$$

Bias-correction coefficients

Predictors:

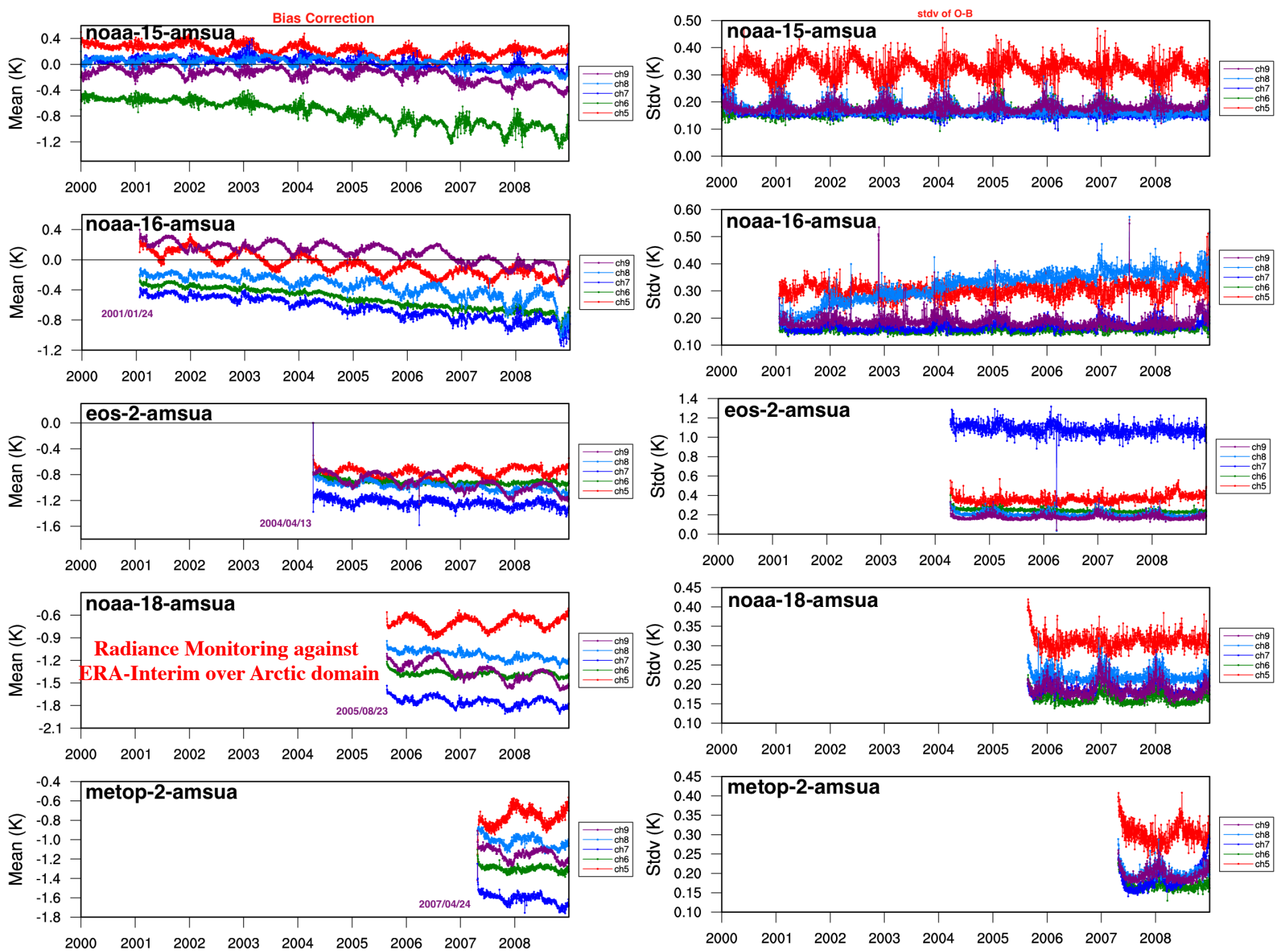
- Offset (i.e., 1)
- 1000-300mb thickness
- 200-50mb thickness
- Surface skin temperature
- Total column water vapor
- Scan, Scan², Scan³

Bias parameters can be estimated within the **variational assimilation**, jointly with the atmospheric model state (Derber and Wu 1998) (Dee 2005) (Auligné et al. 2007)

Inclusion of the bias parameters in the control vector : $x^T \rightarrow [x, \beta]^T$

$$J(x, \beta) = \underbrace{(x_b - x)^T B_x^{-1} (x_b - x)}_{J_b: \text{background term for } x} + \underbrace{[y - H(x) - B(\beta)]^T R^{-1} [y - H(x) - B(\beta)]}_{J_o: \text{corrected observation term}} + \underbrace{(\beta_b - \beta)^T B_\beta^{-1} (\beta_b - \beta)}_{J_p: \text{background term for } \beta}$$

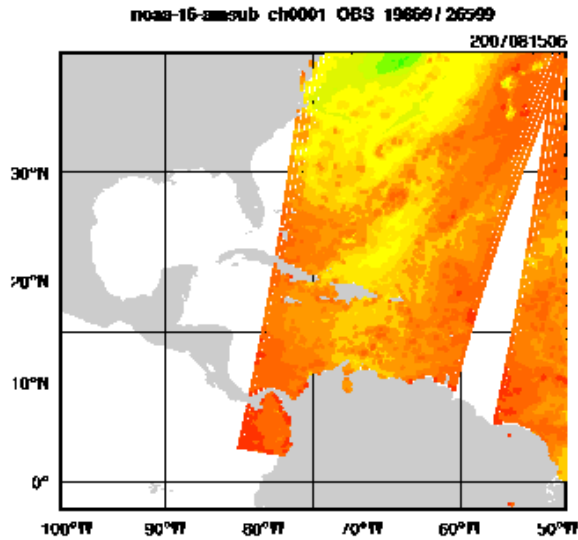
Can be used for radiance **offline monitoring** by removing J_b term and other obs., and using some analysis fields as reference.



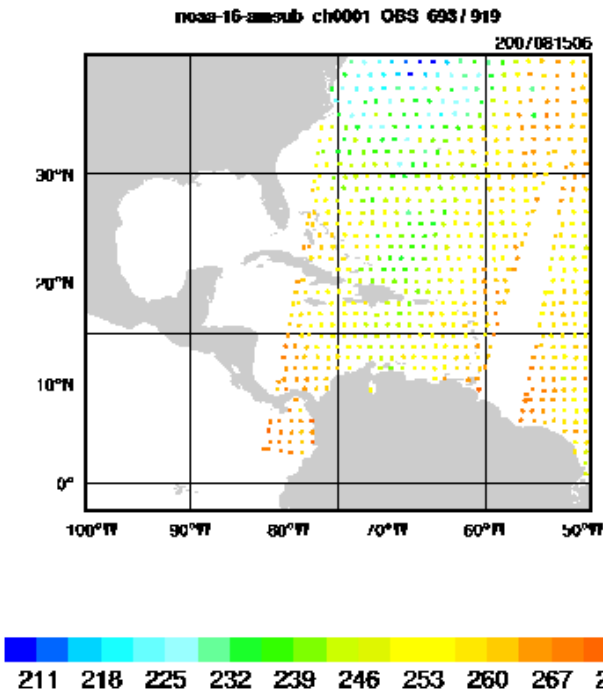
Observation Thinning

Dense data are very likely correlated, which is not taken into account in the observation covariance matrix R .

No Thinning



120km Thinning Mesh



Part II: Practice with WRFDA

- **Data Ingest (sources, instruments)**
- **Radiative transfer model**
- **Channel selection**
- **Variational Bias correction**
- **Diagnostics and monitoring**

Data Ingest (V3.6.1)

- NCEP global BUFR format radiance data within a 6-h time window (27 sensors from 12 satellites)
 - **6 HIRS** from NOAA16, 17, 18, 19, METOP-2/3
 - **7 AMSU-A** from NOAA15/16/18/19, EOS-2, METOP-2/3
 - **3 AMSU-B** from NOAA15, 16, 17
 - **4 MHS** from NOAA18, 19, METOP-2/3
 - **1 AIRS** from EOS-2
 - **2 IASI** from METOP-2/3
 - **1 ATMS** from NPP
 - **3 SEVIRI** from MSG-1/2/3
- NRL/AFWA/NESDIS produced DMSP-16/17/18/19 SSMI/S BUFR radiance data.
- FY-3 MWTS and MWHS, CMA binary format.

NCEP near real-time ftp server with radiance BUFR data

[ftp://ftp.ncep.noaa.gov/pub/data/nccf/com/gfs/prod/gdas.\\$\{yyyymmddhh\}](ftp://ftp.ncep.noaa.gov/pub/data/nccf/com/gfs/prod/gdas.$\{yyyymmddhh\})

NOAA Historical archive: <http://nomads.ncdc.noaa.gov/data/gdas/>

NCAR archive: <http://dss.ucar.edu/datasets/ds735.0/>

NCEP naming convention

gdas1.t00z.1bamua.tm00.bufr_d
gdas1.t00z.1bamub.tm00.bufr_d
gdas1.t00z.1bh3s3.tm00.bufr_d
gdas1.t00z.1bh3s4.tm00.bufr_d
gdas1.t00z.1bmhs.tm00.bufr_d
gdas1.t00z.airsev.tm00.bufr_d

WRF-Var naming convention

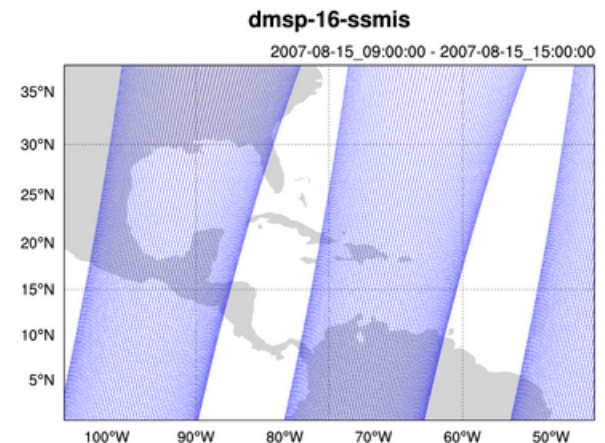
amsua.bufr
amsub.bufr
hirs3.bufr
hirs4.bufr
mhs.bufr
airs.bufr

Direct input to WRFDA, no pre-processing required.

Quality control, thinning, time and domain check, bias correction are done inside WRF-Var

Namelist switches to decide if **reading** the data or not

Use_amsuaobs
Use_amsubobs
Use_hirs3obs
Use_hirs4obs
Use_mhsobs
Use_airsobs
Use_eos_amsuaobs
Use_ssmisobs



Choose Radiative Transfer Model

Controlled by the namelist variable: “rtm_option”

2=CRTM (Community Radiative Transfer Model)

JCSDA (Joint Center for Satellite Data Assimilation)

ftp://ftp.emc.ncep.noaa.gov/jcsda/CRTM/

Latest released version: CRTM REL-2.1.3,

Version included in WRFDA: CRTM REL-2.1.3

CRTM code and (limited) coeffs included in WRFDA release (since V3.2.1)

1=RTTOV (Radiative Transfer for TOVS)

EUMETSAT (European Organisation for the Exploitation of Meteorological Satellites)

Latest released version: RTTOV11,

Version used in WRFDA: RTTOV11

Channel selection and error specification

```
WRFDA/var/run/radiance_info>ls -l
```

```
total 160
-rw-r--r--  1 hclin  users    1588 Aug 22 17:01 dmsp-16-ssmis.info
-rw-r--r--  1 hclin  users   17790 Aug 22 17:01 eos-2-airis.info
-rw-r--r--  1 hclin  users    1033 Aug 22 17:01 eos-2-amsua.info
-rw-r--r--  1 hclin  users    1036 Aug 22 17:01 metop-2-amsua.info
-rw-r--r--  1 hclin  users     391 Aug 22 17:01 metop-2-mhs.info
-rw-r--r--  1 hclin  users    1021 Aug 22 17:01 noaa-15-amsua.info
-rw-r--r--  1 hclin  users     391 Aug 22 17:01 noaa-15-amsub.info
-rw-r--r--  1 hclin  users    1277 Aug 22 17:01 noaa-15-hirs.info
-rw-r--r--  1 hclin  users    1021 Aug 22 17:01 noaa-16-amsua.info
-rw-r--r--  1 hclin  users     391 Aug 22 17:01 noaa-16-amsub.info
-rw-r--r--  1 hclin  users    1275 Aug 22 17:01 noaa-16-hirs.info
-rw-r--r--  1 hclin  users     391 Aug 22 17:01 noaa-17-amsub.info
-rw-r--r--  1 hclin  users    1277 Aug 22 17:01 noaa-17-hirs.info
-rw-r--r--  1 hclin  users    1036 Aug 22 17:01 noaa-18-amsua.info
-rw-r--r--  1 hclin  users    1286 Aug 22 17:01 noaa-18-hirs.info
-rw-r--r--  1 hclin  users     391 Aug 22 17:01 noaa-18-mhs.info
```

metop-2-mhs.info -1: not used; 1: used error for each channel

sensor	channel	IR/MW	use	idum	varch	polarisation(0:vertical;1:horizontal)
203	1	1	-1	0	0.2500000000E+01	0.0000000000E+00
203	2	1	-1	0	0.2500000000E+01	0.0000000000E+00
203	3	1	1	0	0.2500000000E+01	0.1000000000E+01
203	4	1	1	0	0.2000000000E+01	0.1000000000E+01
203	5	1	1	0	0.2000000000E+01	0.0000000000E+00

Setup and run WRFDA with radiances

To run **WRFDA**, first create a working directory,
for example, WRFDA/var/test, then follow the steps below:

```
cd WRFDA/var/test (go to the working directory)
```

```
In -sf WRFDA/run/LANDUSE.TBL ./LANDUSE.TBL
```

```
In -sf $DAT_DIR/rc/2007010200/wrfinput_d01 ./fg (link first guess file as fg)
```

```
In -sf WRFDA/var/obsproc/obs_gts_2007-01-02_00:00:00.3DVAR ./ob.ascii (link OBSPROC processed  
observation file as ob.ascii)
```

```
In -sf $DAT_DIR/be/be.dat ./be.dat (link background error statistics as be.dat)
```

```
In -sf WRFDA/var/da/da_wrfvar.exe ./da_wrfvar.exe (link executable)
```

```
In -sf $DAT_DIR/2007010200/gdas1.t00z.1bamua.tm00.bufr_d ./amsua.bufr
```

```
In -sf ~WRFDA/var/run/radiance_info ./radiance_info
```

```
In -sf ~WRFDA/var/run/VARBC.in .
```

```
(CRTM only) > ln -sf WRFDA/var/run/crtm_coeffs ./crtm_coeffs #(crtm_coeffs is a directory)
```

```
(RTTOV only) > ln -sf your_path/rtcoef_rttov10/rttov7pred51L ./rttov_coeffs #(rttov_coeffs is a directory)
```

```
vi namelist.input (&wrfvar4, &wrfvar14, &wrfvar21, &wrfvar22)
```

```
da_wrfvar.exe >&! wrfda.log
```


Control which instruments to be assimilated and Which CRTM/RTTOV coeffs files to be loaded

```
RTMINIT_NSENSOR = 14
RTMINIT_PLATFORM = 1, 1, 1, 1, 9,10, 1, 1, 1, 1, 1, 10, 9, 2
RTMINIT_SATID = 15,16,18,19, 2, 2,15,16,17,18, 19, 2, 2,16
RTMINIT_SENSOR = 3, 3, 3, 3, 3, 3, 4, 4, 4,15, 15,15,11,10
NOAA-15-AMSUA (1, 15,3)
NOAA-16-AMSUA
NOAA-18-AMSUA
NOAA-19-AMSUA
EOS-2-AMSUA ( 9, 2, 3)
METOP-2-AMSUA (10, 2, 3)
NOAA-15-AMSUB (1, 15, 4)
NOAA-16-AMSUB
NOAA-17-AMSUB
NOAA-18-MHS (1, 18, 15)
NOAA-19-MHS
METOP-2-MHS (10, 2, 15)
EOS-2-AIRS (9, 2, 11)
DMSP-16-SSMIS (2, 16, 10)
```

**CRTM and RTTOV share
the same “instrument triplet”
convention for user’s config.**

**This facilitates the user’s config.
When switch b.w. two RTMs.**

more sensors supported, from RTTOV11 Users Guide (Table 2 & 3)

http://nwpsaf.eu/deliverables/rtm/docs_rttov11/users_guide_11_v1.3.pdf

~var/da/da_radiance/module_radiance.f90

Instrument triplets platform_id
satellite_id
sensor_id

platform_id satellite_id

Platform	RTTOV id	Sat id range
NOAA [†]	1	1 to 18
DMSP	2	8 to 16
Meteosat	3	5 to 7
GOES	4	8 to 12
GMS	5	5
FY-2	6	2 to 3
TRMM	7	1
ERS	8	1 to 2
EOS	9	1 to 2
<i>METOP</i>	<i>10</i>	<i>1 to 3</i>
ENVISAT	11	1
MSG	12	1 to 2
FY-1	13	3
ADEOS	14	1 to 2
MTSAT	15	1
CORIOLIS	16	1

[†] Includes TIROS-N

Table 2. Platforms supported by RTTOV_8_7 as at 17 Nov 2005 in normal text. Platforms in italics are not yet supported by RTTOV_8_7 but soon will be.

sensor_id

Sensor	RTTOV id	Sensor Channel #	RTTOV-7 Channel #	RTTOV-8 Channel #
HIRS	0	1 to 19	1 to 19	1 to 19
MSU	1	1 to 4	1 to 4	1 to 4
SSU	2	1 to 3	1 to 3	1 to 3
AMSU-A	3	1 to 15	1 to 15	1 to 15
AMSU-B	4	1 to 5	1 to 5	1 to 5
AVHRR	5	3b to 5	1 to 3	1 to 3
SSMI	6	1 to 7	1 to 7	1 to 4
VTPR1	7	1 to 8	1 to 8	1 to 8
VTPR2	8	1 to 8	1 to 8	1 to 8
TMI	9	1 to 9	1 to 5	1 to 9
SSMIS	10	1 to 24*	1 to 24*	1 to 21
AIRS	11	1 to 2378	1 to 2378	1 to 2378
HSB	12	1 to 4	1 to 4	1 to 4
MODIS	13	1 to 17	1 to 17	1 to 17
ATSR	14	1 to 3	1 to 3	1 to 3
MHS	15	1 to 5	1 to 5	1 to 5
<i>IASI</i>	16	1 to 8461	N/A	1 to 8461
AMSR	17	1 to 14	1 to 14	1 to 7
MVIRI	20	1 to 2	1 to 2	1 to 2
SEVIRI	21	4 to 11	1 to 8	1 to 8
GOES-Imager	22	1 to 4	1 to 4	1 to 4
GOES-Sounder	23	1 to 18	1 to 18	1 to 18
GMS/MTSAT imager	24	1 to 4	1 to 4	1 to 4
FY2-VISSR	25	1 to 2	1 to 2	1 to 2
FY1-MVISR	26	1 to 3	1 to 3	1 to 3
<i>CriS</i>	27	TBD	N/A	TBD
<i>CMISS</i>	28	TBD	N/A	TBD
<i>VIIRS</i>	29	TBD	N/A	TBD
WINDSAT	30	1 to 10	N/A	1 to 5

*channels 19-21 are not simulated accurately

Table 3. Instruments supported by RTTOV_8_7 as at 17 Nov 2005. Sensors in italics are not yet supported by RTTOV_8_7 but soon will be.

Radiance namelist variables

THINNING: Logical, TRUE will perform thinning

THINNING_MESH (30): Real array with dimension RTMINIT_NSENSOR, values indicate thinning mesh (in KM) for different sensors.

QC_RAD=true: Logical, control if perform quality control, always set to TRUE.

WRITE_IV_RAD_ASCII: Logical, control if output Observation minus Background files, which are ASCII format and separated by sensors and processors.

WRITE_OA_RAD_ASCII: Logical, control if output Observation minus Analysis files (including also O minus B), which are ASCII format and separated by sensors and processors.

ONLY_SEA_RAD: Logical, control if only assimilating radiance over water.

USE_CRTM_KMATRIX: new from Version 3.1.1, much faster. Set to TRUE.

USE_RTTOV_KMATRIX: new from version 3.3, much faster. Set to TRUE

Radiance namelist (VarBC related)

USE_VARBC=true

freeze_varbc=false (VarBC coeffs not change during minimization)

varbc_factor=1. (for scaling the VarBC preconditioning)

varbc_nbgerr=5000, (default value prior to V3.3.1 is 1 which is improper)

varbc_nobsmin=500. (defines the minimum number of observations required for the computation of the predictor statistics during the first assimilation cycle. If there are not enough data (according to "VARBC_NOBSMIN") on the first cycle, the next cycle will perform a coldstart again)

Radiance namelist (new for V3.6)

crtm_coef_path='./crtm_coeffs'

crtm_mwwater_coef='FASTEM5.MWwater.EmisCoeff.bin'

crtm_irwater_coef='Nalli.IRwater.EmisCoeff.bin'

crtm_irland_coef='USGS.IRland.EmisCoeff.bin'

rttov_emis_atlas_ir=0,

rttov_emis_atlas_mw=0,

Variational Bias Correction (VarBC)

VARBC.in file is an ASCII file that controls all of what is going into the VarBC.

Sample VARBC.in

**Cold start from an empty coeffs file
For the first cycle**

VARBC version 1.0 - Number of instruments:
33

```
-----  
Platform_id Sat_id Sensor_id Nchanl Npredmax  
-----
```

1 15 3 5 8

-----> Bias predictor statistics: Mean & Std & Nbgerr

1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
10000	10000	10000	10000	10000	10000	10000	10000	10000

-----> Chanl_id Chanl_nb Pred_use(-1/0/1) Param

5	5	0	0	0	0	0	0	0
6	6	0	0	0	0	0	0	0
7	7	0	0	0	0	0	0	0
8	8	0	0	0	0	0	0	0
9	9	0	0	0	0	0	0	0

```
-----  
Platform_id Sat_id Sensor_id Nchanl Npredmax  
-----
```

1 16 4 3 8

-----> Bias predictor statistics: Mean & Std & Nbgerr

1.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
0.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0
10000	10000	10000	10000	10000	10000	10000	10000	10000

-----> Chanl_id Chanl_nb Pred_use(-1/0/1) Param

3	3	0	0	0	0	0	0	0
4	4	0	0	0	0	0	0	0
5	5	0	0	0	0	0	0	0

Not used any more. Now controlled
by namelist "**varbc_nbgerr**"

Sample VARBC.out (output from WRF-Var, used as VARBC.in for the next cycle)

VARBC version 1.0 - Number of instruments:

4

 Platform_id Sat_id Sensor_id Nchanl Npredmax

1 15 4 5 8

-----> Bias predictor statistics: Mean & Std & Nbgerr

1.0	9273.1	8677.8	290.4	24.0	51.7	3502.8	260484.8
0.0	273.5	293.3	8.0	12.3	28.9	2827.2	252657.9
10000	10000	10000	10000	10000	10000	10000	10000

-----> Chanl_id Chanl_nb Pred_use(-1/0/1) Param

1	1	0	0	0	0	0	0	0	0	-3.400	0.000	0.000	0.000	0.000	0.000	0.000	0.000
2	2	0	0	0	0	0	0	0	0	-0.200	0.000	0.000	0.000	0.000	0.000	0.000	0.000
3	3	1	1	1	1	1	1	1	1	1.213	-0.062	0.003	-0.070	0.008	-0.230	-0.111	-0.024
4	4	1	1	1	1	1	1	1	1	3.056	0.050	0.053	0.015	-0.059	0.304	0.241	0.203
5	5	1	1	1	1	1	1	1	1	0.869	0.034	-0.089	0.074	0.019	-0.118	-0.031	0.022

 Platform_id Sat_id Sensor_id Nchanl Npredmax

1 16 4 5 8

-----> Bias predictor statistics: Mean & Std & Nbgerr

1.0	9280.2	8641.2	290.0	24.1	52.6	3568.9	264767.4
0.0	209.5	245.9	7.9	11.3	28.3	2792.1	249977.0
10000	10000	10000	10000	10000	10000	10000	10000

-----> Chanl_id Chanl_nb Pred_use(-1/0/1) Param

1	1	0	0	0	0	0	0	0	0	0.700	0.000	0.000	0.000	0.000	0.000	0.000	0.000
2	2	0	0	0	0	0	0	0	0	-0.800	0.000	0.000	0.000	0.000	0.000	0.000	0.000
3	3	1	1	1	1	1	1	1	1	0.372	-0.028	0.010	0.060	0.025	0.117	0.023	-0.042
4	4	1	1	1	1	1	1	1	1	0.968	0.016	-0.003	-0.041	0.045	-0.018	-0.030	-0.028
5	5	1	1	1	1	1	1	1	1	-3.290	0.073	-0.093	0.096	0.018	0.011	0.010	0.004

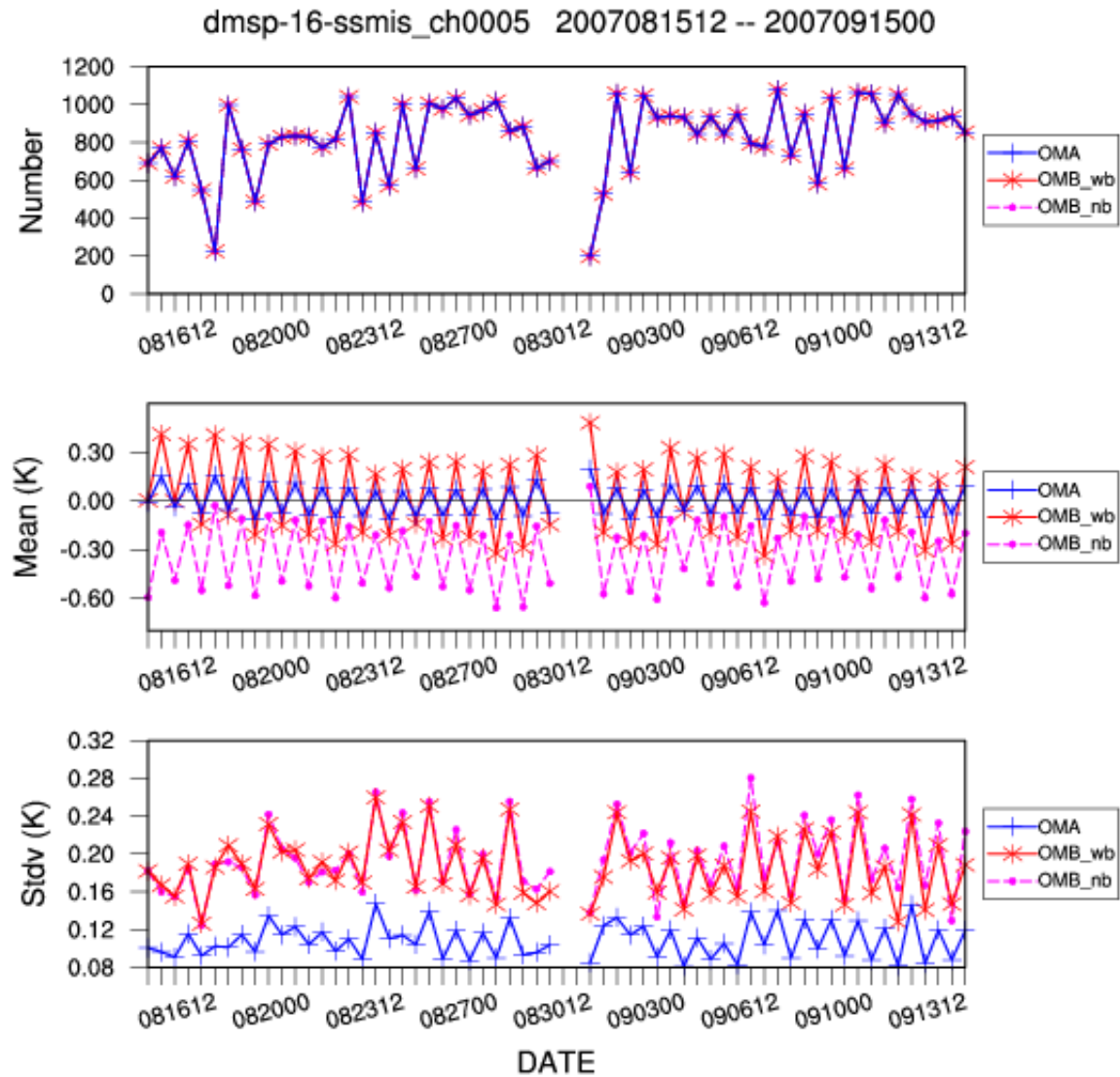
**Control whether a cold-start (if 0)
 Or warm-start (if 1) VarBC
 Or turn-off (if -1)**

**Bias correction coefficients for 8 predictors
 (used only for warm-start case)**

Radiance output Post-Processing/Visualization

- `~WRFDA/var/scripts/da_rad_diags.ksh` (included in the TOOLS bundle that can be downloaded from <http://www.mmm.ucar.edu/wrf/users/wrfda/download/tools.html>)
 - WRFDA will output radiance `inv*` or `oma*` ASCII files separated for different sensors and CPUs.
 - Script converts ASCII files to one NETCDF file for each sensor (a Fortran90 program), then plot `*.nc` files with a NCL script
 - NCL script can plot various graphics
 - Channel TB, Histogram, scatter plot, time series etc.
 - Can be included in the script to routinely produce graphics after WRF-Var runs
 - Users can control (by simple script parameter setup) to plot over smaller domain, only over land or sea, QCed or no-QCed observations.

Time series of radiance OMB/OMA for DMSP-16 SSMI/S



Conclusions

- **Radiance data assimilation are important**
 - Major source of information over ocean and Southern Hemisphere
- **Radiance DA is not trivial**
 - Very easy to degrade the analysis!
 - Each sensor requires a lot of attention (observation operator, bias correction, QC, observation error, cloud/rain detection, ...)
 - Challenge for regional DA: lower model top, bias correction
- **It's only the beginning...**
 - New generation of satellite instruments
 - Future developments will increase satellite impact
 - Better representation of surface emissivity over land
 - Use of cloudy/rainy radiances
 -
- **Get familiar with radiance DA with more practice**
 - wrfhelp@ucar.edu