



# WRFDA-3DVar Setup, Run, and Diagnostics

**Craig Schwartz**

Hui-Chuan Lin, Yong-Run Guo,  
Syed Rizvi, Xin Zhang, and Hans Huang

WRFDA Tutorial, August 2016

# Outline

- Setting-up a WRFDA-3DVar run (namelist configurations)
- Making a WRFDA-3DVar run
- Running UPDATE\_BC
- WRFDA-3DVar diagnostics

**This presentation is based on WRFDA V3.8**

# Setting-up a WRFDA-3DVar run

# WRFDA-3DVar Equation

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

**$J(\mathbf{x})$** : Scalar cost function

**$\mathbf{x}$** : The analysis: **what we're trying to find!**

**$\mathbf{x}_b$** : Background field

**$\mathbf{B}$** : Background error covariance matrix

**$\mathbf{y}$** : Observations

**$H$** : Observation operator: **computes model-simulated obs**

**$\mathbf{R}$** : Observation error covariance matrix

# User-provided Data

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

**$J(\mathbf{x})$** : Scalar cost function.....WRFDA output

**$\mathbf{x}$** : The analysis.....WRFDA output

**$\mathbf{x}_b$** : Background field.....user input

**$\mathbf{B}$** : Background error covariance matrix.....user input

**$\mathbf{y}$** : Observations.....user input

**$H$** : Observation operator.....included in WRFDA

**$\mathbf{R}$** : Observation error covariance matrix.....user input

# Sources of User-provided Data

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

- Where do the input files come from?

Symbol	Description	Source
$\mathbf{x}_b$	Background (“first-guess”)	real.exe or previous WRF forecast
$\mathbf{B}$	Background error covariances	“gen_be” or default file provided with WRFDA
$\mathbf{y}$	Observations	“obsproc” output or NCEP BUFR files
$\mathbf{R}$	Observation error covariances	“obsproc” output or NCEP BUFR files

# User-determined run-time options... the Namelist

- ✓ The namelist variables discussed in the following slides refer to WRFDA-3DVar runs and conventional data assimilation only.
- ✓ Please refer to specific lectures (background error covariance, radiance assimilation, ...) for other namelist options.

# What is a Namelist?

- The Fortran namelist ([namelist.input](#)) file allows the user to configure a WRFDA run without recompiling the code.
  - Specific Fortran 90 namelist format:

```
&namelistname      - start
...
/                  - end
```

- Descriptions of WRFDA namelist variables are given in the [WRF User's Guide](#) and [README.namelist](#) in the WRFDA release ([WRFDA/var/README.namelist](#)).



# WRFDA Namelist

- Default values of the namelist variables are defined by the WRFDA Registry (WRFDA/Registry/Registry.wrfvar).
- Fill [namelist.input](#) with non-default and desired variable values before running WRFDA.
- A WRFDA namelist file includes two parts:

```
&wrfvar1
/  
&wrfvar2
/  
...  
&wrfvar22
/  
&time_control
/  
&fdda
/  
...  
&namelist_quilt
/
```

1) WRFDA namelist options:  
Running options for WRFDA code.

2) WRF namelist options:  
WRFDA needs certain information from the WRF configuration including domain and time settings.

✓ Append your WRF namelist.input to the end of &wrfvar22 to create a complete/consistent namelist.input for WRFDA

# Run-time Configurations

- The next several slides pose configuration questions that should be considered before making a WRFDA-3DVar run.
  - Important to carefully think about your configurations!
- The appropriate namelist parameters associated with these questions are discussed.

# Background Options

$$J(\mathbf{x}) = \frac{1}{2} (\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1} (\mathbf{x} - \mathbf{x}_b) + \frac{1}{2} (\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1} (\mathbf{y} - H(\mathbf{x}))$$

• ***What's the format of my background file?***

## &WRFVAR3

- **fg\_format**: Format of the first guess field
  - fg\_format = 1** : ARW regional, default
  - fg\_format = 2 : WRF-NMM regional (not tested)
  - fg\_format = 3 : ARW global (not tested)
  - fg\_format = 4 : KMA global (not tested)

# Background Error Covariance Options

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

• *What type of background error covariance do I want to use?*

## &WRFVAR7

- **cv\_options**: Background error covariance option
  - cv\_options = 3** : global, default...see var/run/be.dat.cv3
  - cv\_options = 5** : regional, generated by “gen\_be”
  - cv\_options = 6** : regional, generated by “gen\_be” with multivariate moisture correlation, new in WRFDA V3.3
  - cv\_options = 7** : regional, generated by “gen\_be”, new in WRFDA V3.7

# Observation Options

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

• *What's the format of my conventional observations?*

## &WRFVAR3

- **ob\_format**: The format of the conventional and satellite retrieval observation data going into WRFDA
  - ob\_format = 1** : NCEP PREPBUFR (ob.bufr)
  - ob\_format = 2** : ASCII (ob.ascii), default
  
  - ob\_format\_gpsro = 1** : Read GPSRO data from NCEP BUFR
  - ob\_format\_gpsro = 2** : Read GPSRO data from ASCII, default

# Observation Options

- *What observation types do I want to assimilate?*

## &WRFVAR4

```
USE_SYNOBOBS = T,  
USE_SHIPSOBS = T,  
USE_METAROBS = T,  
USE_SOUNDOBS = T,  
USE_PILOTOBS = T,  
USE_AIREPOBS = T,  
USE_GEOAMVOBS = T,  
USE_POLARAMVOBS = T,  
USE_BUOYBOBS = T,  
USE_PROFILEROBS = T,  
USE_SATEMOBS = T,  
USE_GPSZTDOBS = F,  
USE_GPSPWOBS = T,  
USE_GPSREFOBS = T,  
USE_QSCATOBS = T,  
USE_RADAROBS = F,  
USE_RADAR_RV = F,  
USE_RADAR_RF = F,  
USE_AIRSRETOBS = T,
```

Assimilate this observation type?

Set to either **True** or **False**

# Observation Options

• *How much do I want to thin my CONVENTIONAL obs?*

## &WRFVAR4

- `thin_conv`: For thinning NCEP PREFPBUFR obs
  - `thin_conv = .true.` : default, *should always set to true*
  - `thin_conv = .false.` : Used only for debugging purposes.
- `thin_mesh_conv` (`max_instruments`): Thinning mesh (km) for each type of conventional observation. The observation index/order follows the definitions in WRFDA/var/da/da\_control/da\_control.f90 (e.g., `sound = 1`, `synop = 2`, ...)
  - By default, `thin_mesh_conv = 20.0` (km)

# Observation Options

- *What time window for my observations do I want to use?*

## &WRFVAR21

- `time_window_min = "2008-02-05_10:30:00"`

## &WRFVAR22

- `time_window_max = "2008-02-05_13:30:00"`

- Obs between `time_window_min` and `time_window_max` are processed.

- Note the “WRF format” of the times



# Observation Options

• *How strictly do I want to reject conventional observations?*

## &WRFVAR5

- `check_max_iv`: Turns on/off an “outlier check” to reject observations whose innovations (O-B) are larger than a value defined as a multiple ( $a$ ) of the observation error ( $\sigma_o$ ) for each observation: i.e., when  $O-B > (a * \sigma_o)$ , the ob is rejected.
  - `check_max_iv = .true.` : default, typically set to true
  - `check_max_iv = .false.` : Use this option only if the observation data are known to have good quality.
- `max_error_t`, `max_error_uv`, `max_error_pw`, `max_error_q`, `max_error_ref`, `max_error_rv`, `max_error_p`: The factors ( $a$ ) that multiply  $\sigma_o$  in the `check_max_iv` test. Can be set individually for different meteorological variables.
  - By default, `max_error*` = 5.0 for all meteorological variables

# Observation Options

• *How do I want to handle surface observations?*

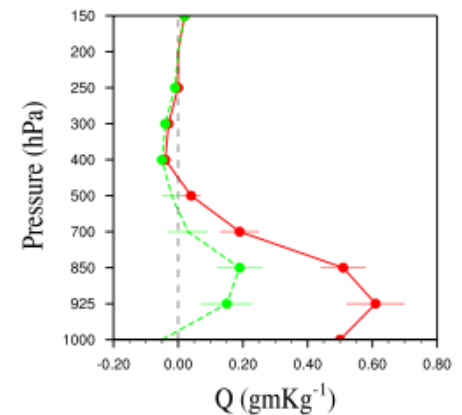
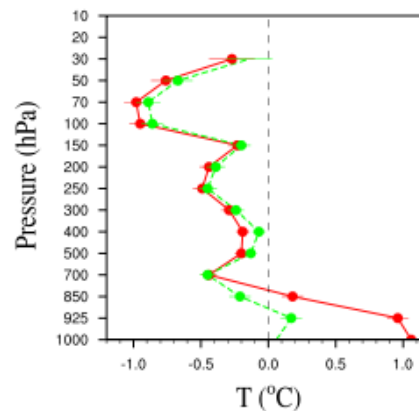
## &WRFVAR11

- `sfc_assi_options`:

`sfc_assi_options = 1` (default): The surface observations will be assimilated based on the lowest model level first guess. Observations are not used when the height difference of the elevation of observing site and the lowest model level height is larger than 100 meters.

`sfc_assi_options = 2`: The surface observations will be assimilated based on surface similarity theory in PBL. Innovations are computed based on 10-m wind and 2-m temperature & moisture.

✓ Please use this option with caution, since the results could be very sensitive.



# Observation Options

## •Do I want FGAT?

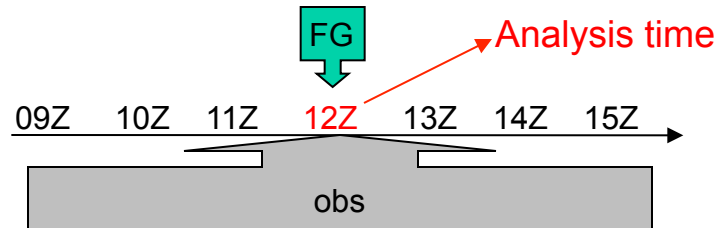
### &WRFVAR3

•num\_fgat\_time: Number of data time windows (slots) used in WRFDA.

num\_fgat\_time = 1 (default): All obs valid at analysis time

num\_fgat\_time > 1 : Active FGAT—number of time slots

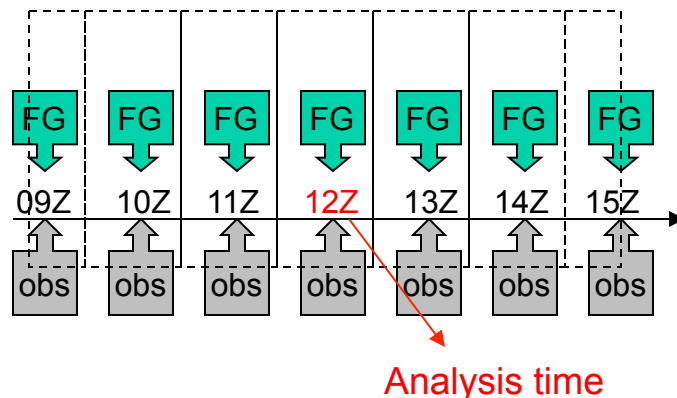
time window=±3hr  
num\_fgat\_time=1



### ✓ First-Guess at Appropriate Time (FGAT):

An option in WRF-3DVar that allows the observations to be applied at the correct time, rather than at the middle of the time window.

time window=±3hr  
num\_fgat\_time=7



# Running WRFDA with FGAT

1. prepare hourly obs files using OBSPROC
2. prepare hourly first guess files from previous WRF forecasts
3. when running WRFDA-3DVar,
  - a) set num\_fgat\_time = 7 in namelist.input &wrfvar3
  - b) link hourly obs to be ob01.ascii, ob02.ascii, ..., ob07.ascii
  - c) link hourly first guess (previous WRF hourly forecasts) to be fg01, fg02, ..., fg07
  - d) link first guess valid at analysis time to be fg

## OBSPROC

&record9 of namelist.3dvar\_obs

```
&record9
  use_for='FGAT'
  num_slots_past=3
  num_slots_ahead=3
```

## WRF model

add the following settings (write\_input, inputout\_interval, input\_outname, inputout\_begin\_h, inputout\_end\_h) in &time\_control of namelist.input

```
&time_control
  write_input      = .true.
  inputout_interval = 60
  input_outname    = 'wrfinput_d<domain>_<date>'
  inputout_begin_h = 3
  inputout_end_h   = 9
```

## WRFDA-3DVar

&wrfvar3 record of namelist.input

```
&wrfvar3
  num_fgat_time = 7
```

```
ln -sf obs_gts_2007-01-01_21:00:00.FGAT ob01.ascii
ln -sf obs_gts_2007-01-01_22:00:00.FGAT ob02.ascii
ln -sf obs_gts_2007-01-01_23:00:00.FGAT ob03.ascii
ln -sf obs_gts_2007-01-02_00:00:00.FGAT ob04.ascii
ln -sf obs_gts_2007-01-02_01:00:00.FGAT ob05.ascii
ln -sf obs_gts_2007-01-02_02:00:00.FGAT ob06.ascii
ln -sf obs_gts_2007-01-02_03:00:00.FGAT ob07.ascii
```

```
ln -sf wrfinput_d01_2007-01-01_21:00:00 fg01
ln -sf wrfinput_d01_2007-01-01_22:00:00 fg02
ln -sf wrfinput_d01_2007-01-01_23:00:00 fg03
ln -sf wrfinput_d01_2007-01-02_00:00:00 fg04
ln -sf wrfinput_d01_2007-01-02_01:00:00 fg05
ln -sf wrfinput_d01_2007-01-02_02:00:00 fg06
ln -sf wrfinput_d01_2007-01-02_03:00:00 fg07
```

```
ln -sf wrfinput_d01_2007-01-02_00:00:00 fg
```

# Analysis Options

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

• *At what time is my analysis valid?*

&WRFVAR18

- `analysis_date = "2008-02-05_12:00:00"`  
(should be the same time as in your first-guess file)

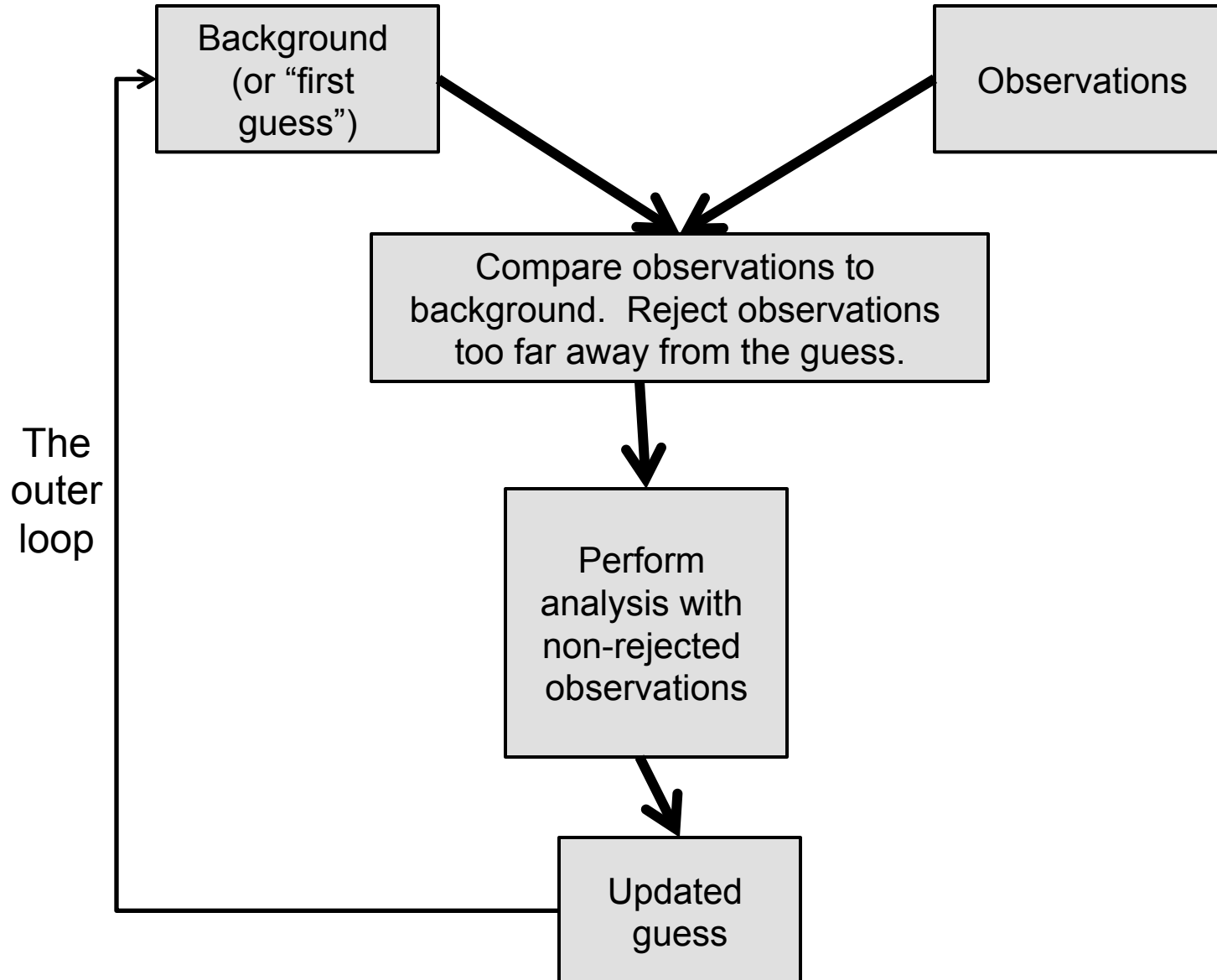
# Analysis Options

• *How do I want to configure minimization options?*

## &WRFVAR6

- `max_ext_its`: Number of **outer loops**.
  - 1: default. Only one outer loop.
  - Maximum number of outer loops is 10; common application is 2.
- `eps`: Value for minimization convergence criterion. It is an array of dimension=`max_ext_its`.
  - 0.01(`max_ext_its`): default. The minimization is considered to converge when the norm of the cost function gradient is reduced at least 2 orders.
- `ntmax`: Maximum number of iterations in an **inner loop** for the minimization in WRFDA. It is an array of dimension=`max_ext_its`.
  - 200(`max_ext_its`): default. The minimization in the inner loop stops when either the `ntmax`<sup>th</sup> iteration is reached or the `eps` criterion is met.

# Simplistic outer loop schematic



# Analysis Options

- *What type of analysis do I want to perform?*

## &WRFVAR17

- `analysis_type`: Indicates job type of WRFDA.
  - `analysis_type = "3D-VAR"` (default): Run 3DVar data assimilation.
  - `analysis_type = "RANDOMCV"`: Creates ensemble perturbations.
  - `analysis_type = "VERIFY"`: Run WRFDA in verification mode (forces `check_max_iv=.false.` and `ntmax=0`).
    - ✓ Please refer to “**WRFDA Tools and Verification package**” talk.
  - `analysis_type = "QC-OBS"`: Run 3DVar data assimilation and produce `filtered_obs` file.
    - ✓ By combining with `check_max_iv=.true.` and `ntmax=0`, you can produce a WRFDA filtered (QCd) observation data set (`filtered_obs`) without running data assimilation.



# Analysis Options

• *How much output information do I want?*

## &WRFVAR1

- print\_detail\_grad

print\_detail\_grad = .false. (default)

print\_detail\_grad = .true.

Output cost function and gradient values of every observation type each iteration into **standard output files (rsl.out)**

# Analysis Options

• *How much output information do I want?*

## &WRFVAR11

• `calculate_cg_cost_fn:`

`calculate_cg_cost_fn = .false.`

(default) : Only the initial and final cost functions are computed and output in files called “`cost_fn`” and “`grad_fn`”.

`calculate_cg_cost_fn = .true.` :

The cost functions are derived and output at every iteration for diagnostic purposes in “`cost_fn`” and “`grad_fn`”.

`calculate_cg_cost_fn = .false.`

Outer Iter	EPS Iter	Inner	J	Jb	Jo	Jc	Je	Jp
1	0.100E-01	0	11251.182	0.000	11251.182	0.000	0.000	0.000
1	0.100E-01	19	8634.570	885.427	7749.143	0.000	0.000	0.000

`calculate_cg_cost_fn = .true.`

Outer Iter	EPS Iter	Inner	J	Jb	Jo	Jc	Je	Jp
1	0.100E-01	0	11251.182	0.000	11251.182	0.000	0.000	0.000
1	0.100E-01	1	10384.156	41.768	10342.388	0.000	0.000	0.000
1	0.100E-01	2	9633.557	184.109	9449.448	0.000	0.000	0.000
1	0.100E-01	3	9245.700	327.121	8918.579	0.000	0.000	0.000
1	0.100E-01	4	9014.861	453.787	8561.075	0.000	0.000	0.000
1	0.100E-01	5	8872.989	559.714	8313.275	0.000	0.000	0.000
1	0.100E-01	6	8777.974	652.105	8125.869	0.000	0.000	0.000
1	0.100E-01	7	8720.998	721.735	7999.263	0.000	0.000	0.000
1	0.100E-01	8	8689.342	768.464	7920.878	0.000	0.000	0.000
1	0.100E-01	9	8665.605	810.136	7855.469	0.000	0.000	0.000
1	0.100E-01	10	8654.051	833.590	7820.461	0.000	0.000	0.000
1	0.100E-01	11	8646.376	851.091	7795.285	0.000	0.000	0.000
1	0.100E-01	12	8641.869	862.515	7779.355	0.000	0.000	0.000
1	0.100E-01	13	8638.219	872.853	7765.365	0.000	0.000	0.000
1	0.100E-01	14	8636.669	877.707	7758.962	0.000	0.000	0.000
1	0.100E-01	15	8635.794	880.667	7755.127	0.000	0.000	0.000
1	0.100E-01	16	8635.176	882.929	7752.247	0.000	0.000	0.000
1	0.100E-01	17	8634.861	884.169	7750.693	0.000	0.000	0.000
1	0.100E-01	18	8634.686	884.909	7749.777	0.000	0.000	0.000
1	0.100E-01	19	8634.570	885.427	7749.143	0.000	0.000	0.000

# List of some namelist variables that are most likely to be user-modified (for conventional observations...red, discussed herein)

## &WRFVAR1

PRINT\_DETAIL\_GRAD = F,

## &WRFVAR3

FG\_FORMAT = 1,  
OB\_FORMAT = 2,  
OB\_FORMAT\_GPSRO = 2,  
NUM\_FGAT\_TIME = 1,

## &WRFVAR4

THIN\_CONV = T,  
THIN\_MESH\_CONV = 30\*20.0  
USE\_SYNOBOBS = T,  
USE\_SHIPSOBS = T,  
USE\_METARBOBS = T,  
USE\_SOUNDOBS = T,  
USE\_PILOTOBS = T,  
USE\_AIREPOBS = T,  
USE\_GEOAMVOBS = T,  
USE\_POLARAMVOBS = T,  
USE\_BUOYOBS = T,  
USE\_PROFILEROBS = T,  
USE\_SATEMOBS = T,  
USE\_GPSZTDOBS = F,  
USE\_GPSPWOBS = T,  
USE\_GPSREFOBS = T,  
USE\_QSCATOBS = T,  
USE\_RADARBOBS = F,  
USE\_RADAR\_RV = F,  
USE\_RADAR\_RF = F,  
USE\_AIRSRETOBS = T,

## &WRFVAR5

CHECK\_MAX\_IV = T,  
MAX\_ERROR\_T = 5.0,  
MAX\_ERROR\_UV = 5.0,  
MAX\_ERROR\_PW = 5.0,  
MAX\_ERROR\_REF = 5.0,  
MAX\_ERROR\_Q = 5.0,  
MAX\_ERROR\_P = 5.0,  
MAX\_ERROR\_RV = 5.0,  
MAX\_ERROR\_RF = 5.0,

## &WRFVAR6

MAX\_EXT\_ITS = 1,  
NTMAX = 200, 200, 200  
EPS = 0.01, 0.01, 0.01

## &WRFVAR7

CV\_OPTIONS = 5,  
AS1 = 0.25, 1.0, 1.5,  
AS2 = 0.25, 1.0, 1.5,  
AS3 = 0.25, 1.0, 1.5,  
AS4 = 0.25, 1.0, 1.5,  
AS5 = 0.25, 1.0, 1.5,  
RF\_PASSES = 6,  
VAR\_SCALING1 = 1.0,  
VAR\_SCALING2 = 1.0,  
VAR\_SCALING3 = 1.0,  
VAR\_SCALING4 = 1.0,  
VAR\_SCALING5 = 1.0,  
LEN\_SCALING1 = 1.0,  
LEN\_SCALING2 = 1.0,  
LEN\_SCALING3 = 1.0,  
LEN\_SCALING4 = 1.0,  
LEN\_SCALING5 = 1.0,

## &WRFVAR11

CHECK\_RH = 0,  
SFC\_ASSI\_OPTIONS = 1,  
CALCULATE\_CG\_COST\_FN = F,

## &WRFVAR15

NUM\_PSEUDO = 0,  
PSEUDO\_X = 1.0,  
PSEUDO\_Y = 1.0,  
PSEUDO\_Z = 1.0,  
PSEUDO\_VAL = 1.0,  
PSEUDO\_ERR = 1.0

## &WRFVAR17

ANALYSIS\_TYPE = "3D-VAR"

## &WRFVAR18

ANALYSIS\_DATE = "2008-02-05\_12:00:00"

## &WRFVAR19

PSEUDO\_VAR = "t"

## &WRFVAR21

TIME\_WINDOW\_MIN = "2008-02-05\_10:30:00"

## &WRFVAR22

TIME\_WINDOW\_MAX = "2008-02-05\_13:30:00"

✓ Append your WRF namelist.input to the end of &wrfvar22 to create a complete/consistent namelist.input for WRFDA

# Running WRFDA-3DVar

# Before You Run...

- Ensure the WRFDA executable has been created successfully
  - `WRFDA/var/build/da_wrfvar.exe`
- Get input files:
  - The test data (WRFDAV3.8-testdata.tar.gz) can be downloaded from <http://www2.mmm.ucar.edu/wrf/users/wrfda/download/testdata.html>
  - Extract the test data into your local data directory, e.g., *“your\_choice\_of\_dat\_dir”*.
  - Set up your environmental variable \$DAT\_DIR:
    - > `setenv DAT_DIR your_choice_of_dat_dir`

# Before You Run...

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

- Check input files:
  - **Background** ( $\mathbf{x}_b$ ): \$DAT\_DIR/rc/2008020512/wrfinput\_d01
    - NETCDF format.
    - For cold-start mode,  $\mathbf{x}_b$  is generated by WRF “real.exe”
    - For cycling mode,  $\mathbf{x}_b$  is generated by WRF from a previous cycle’s forecast.
  - **Background Error Statistics** ( $\mathbf{B}$ ): \$DAT\_DIR/be/be.dat
    - Binary format.
    - Generated by “gen\_be” for this specific test case domain.
    - Please refer to “**WRFDA Background Error Estimations**” talk.
  - **Observations** ( $\mathbf{y}, \mathbf{R}$ ) : \$DAT\_DIR/ob/2008020512/ob.ascii (conventional obs only)
    - ASCII or PREPBUFR format.
    - Generated by OBSPROC from obs.2008020512, included in the tar file of the test data.
    - Please refer to “**Radiance Data Assimilation**” talk for assimilating radiance data.
- Prepare a WRFDA **namelist** containing runtime options:
  - WRFDA/var/test/tutorial/namelist.input (example)

# WRFDA-3DVar Input

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$

Symbol	Description	WRFDA names
$\mathbf{x}_b$	Background (“first-guess”)	<code>./fg</code>
$\mathbf{y}$	Observations	<code>./ob.ascii</code> <u>OR</u> <code>./ob.bufr</code>
$\mathbf{R}$	Observation error covariances	<code>./ob.ascii</code> <u>OR</u> <code>./ob.bufr</code>
$\mathbf{B}$	Background error covariances	<code>./be.dat</code>
N/A	User-defined run-time options (namelist)	<code>./namelist.input</code>
N/A	Land-use table	<code>./LANDUSE.TBL</code>
N/A	WRFDA executable	<code>./da_wrfvar.exe</code>

# Working Directory - Input

- Create a working directory, for example, “*your\_choice\_of\_working\_dir*”.
  - > `mkdir -p your_choice_of_working_dir`
- Go into the working directory:
  - > `cd your_choice_of_working_dir`
- Prepare the input files (link or copy) for running WRFDA:
  - > `ln -sf WRFDA/var/build/da_wrfvar.exe ./da_wrfvar.exe`
  - > `ln -sf WRFDA/run/LANDUSE.TBL ./LANDUSE.TBL`
  - > `ln -sf $DAT_DIR/rc/2008020512/wrfinput_d01 ./fg`
  - > `ln -sf $DAT_DIR/be/be.dat ./be.dat`
  - > `ln -sf $DAT_DIR/ob/2008020512/obs.2008020512 ./ob.ascii`
  - > `cp WRFDA/var/test/namelist.input ./namelist.input`  
(or use your own namelist)



# Running WRFDA

```
> ./da_wrfvar.exe >&! wrfda.log
```

```
> mpirun -np 8 ./da_wrfvar.exe
```

If running in distributed-memory mode, you need to set up the computer resources (e.g., processor numbers, memory, wallclock...) based on the platform you are using. The log file names will be rsl.out.0000, rsl.out.0001,...

# Working Directory - Output

In *your\_choice\_of\_working\_dir*, you should have at least the following files after WRFDA is successfully completed:

- **cost\_fn** (Cost function)
- **grad\_fn** (Gradient of cost function)
- **gts\_omb\_oma\_01** (point-by-point O, O-B, O-A information, etc.)
- **namelist.output** (Complete namelist)
- **statistics** (domain-wide O-B and O-A statistics)
- **wrfvar\_output** (**Analysis x**, the input to the WRF model)

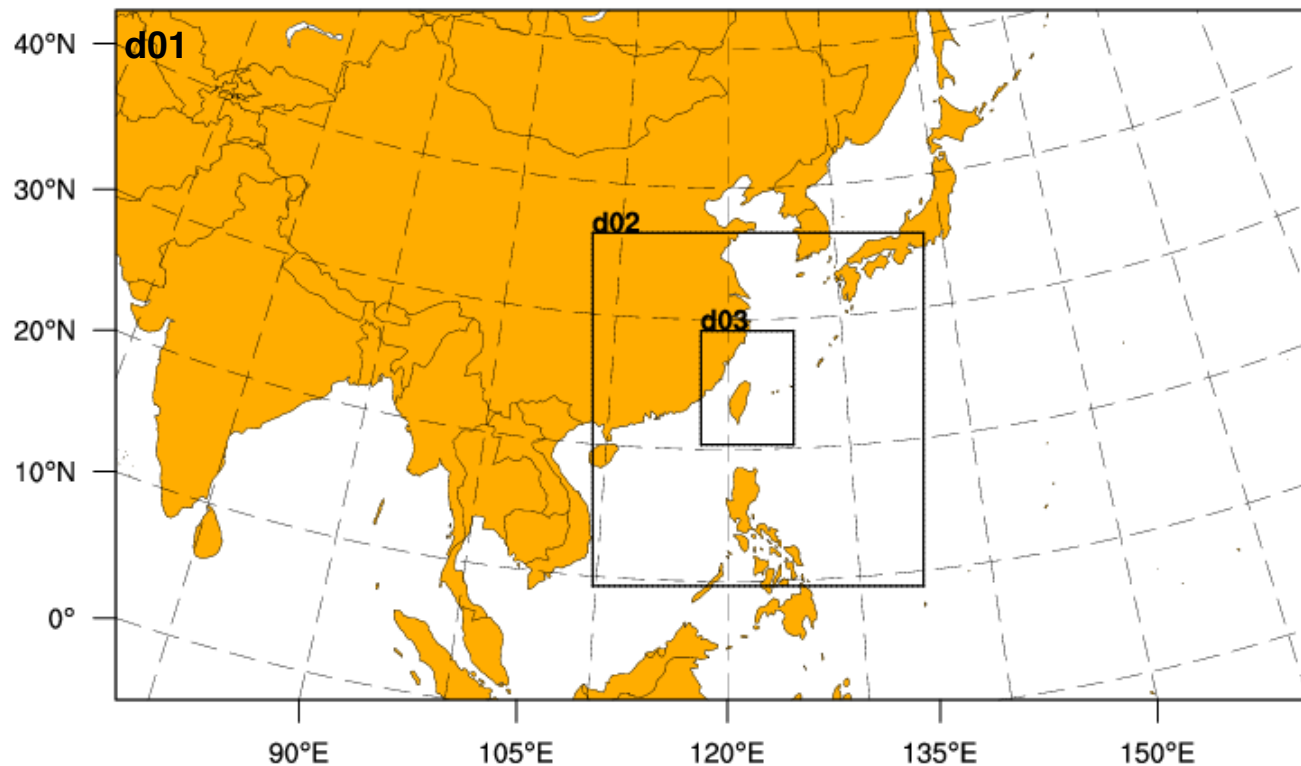
O: Observation

A: Analysis

B: Background (first-guess)

# A word about nested domains

- WRFDA can only process one file/domain at a time
  - If you wish to run WRFDA for multiple nests, need to run WRFDA separately for each nest

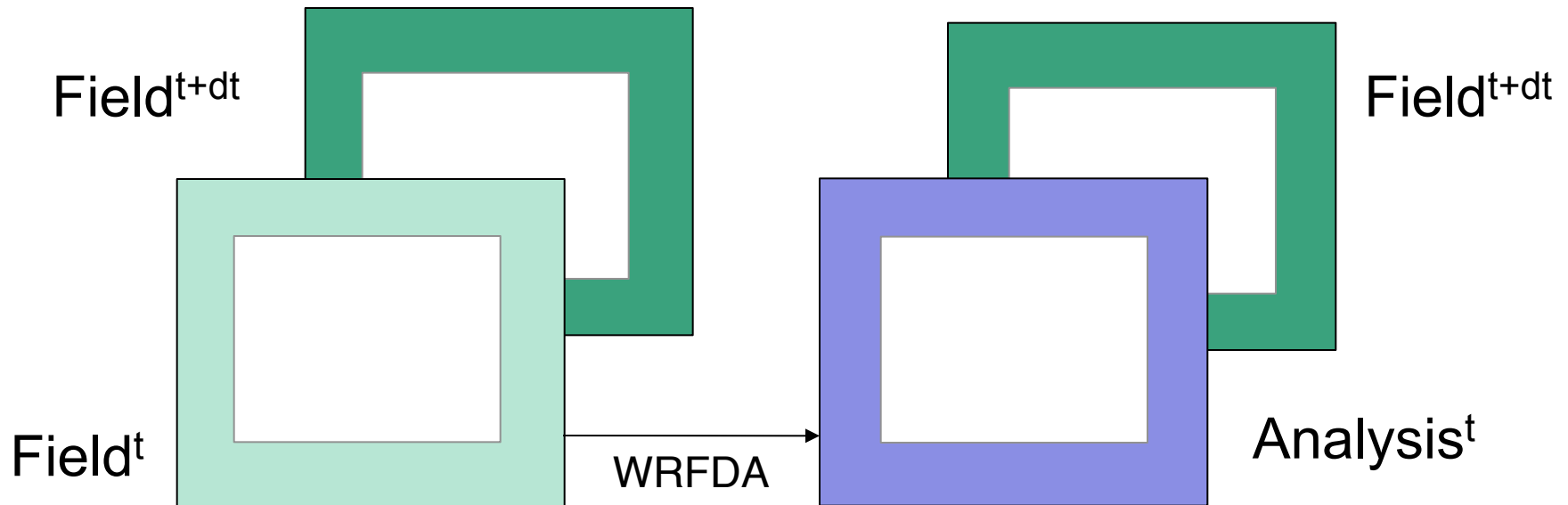


**Running update\_bc**

# update\_bc

## • *Why do we need update\_bc?*

- Need to update lateral boundary points to reflect our analysis
- Need to update lateral boundary *tendencies* for the first time
  - Can also update lower boundary conditions



wrfbdy contains the *tendency*  
 $(\text{Field}^{t+dt} - \text{Field}^t)/dt$

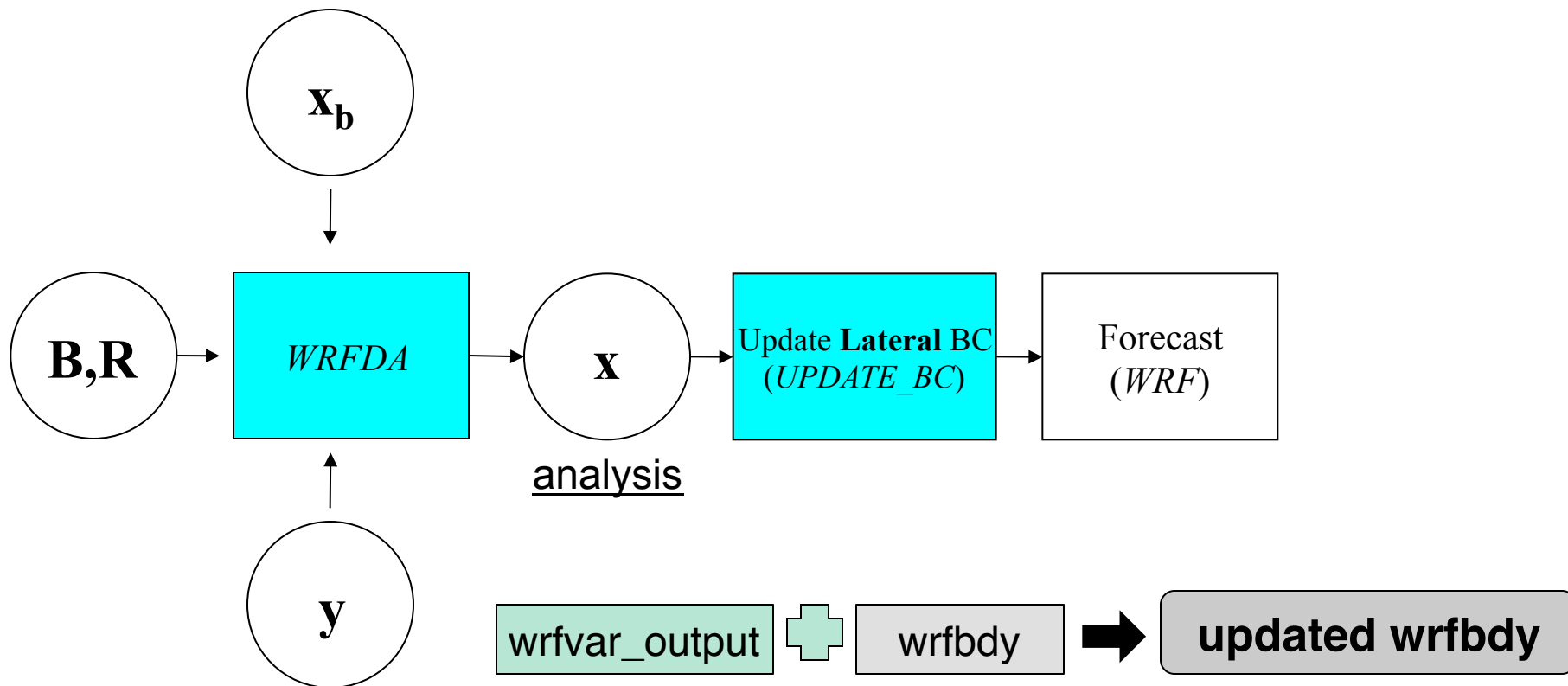
wrfbdy needs to be updated  
to be  $(\text{Field}^{t+dt} - \text{Analysis}^t)/dt$   
after WRFDA

# Applications of update\_bc

- Cold-start initial conditions (i.e., first-guess from “real.exe”):
  - Update **lateral** boundaries **after** running WRFDA
  - No need to update low boundary before running WRFDA
- Cyclic initial conditions (i.e., first-guess from previous forecast):
  - Update **low** BC **before** running WRFDA
  - Update **lateral** BCs **after** running WRFDA
- Dealing with nested domains:
  - For coarse domain (domain\_id = 1), update **low** boundary **before** running WRFDA (if cycling) and **lateral** boundaries **after** running WRFDA
  - For fine mesh domains (domain\_id > 1) update **low** boundary for each nest **before** running WRFDA (if cycling)...DO NOT update lateral boundaries

## update\_bc (lateral boundary condition)

$$J(\mathbf{x}) = \frac{1}{2}(\mathbf{x} - \mathbf{x}_b)^T \mathbf{B}^{-1}(\mathbf{x} - \mathbf{x}_b) + \frac{1}{2}(\mathbf{y} - H(\mathbf{x}))^T \mathbf{R}^{-1}(\mathbf{y} - H(\mathbf{x}))$$



- Always update **lateral BC after** running WRFDA for outermost domain

# Steps to Run update\_bc (for lateral BC)

- Make sure UPDATE\_BC executable has been created successfully:
  - **WRFDA/var/build/da\_update\_bc.exe**
- Go into the working directory and prepare the input files for update\_bc:
  - > `cd your_choice_of_working_dir`
  - > **cp** `${DAT_DIR}/rc/2008020512/wrfbdy_d01` `./wrfbdy_d01`
  - > `ln -sf WRFDA/var/build/da_update_bc.exe` `./da_update_bc.exe`
- Prepare the namelist for update\_bc: parame.in

```
&control_param
da_file          = './wrfvar_output' - Analysis from WRFDA
wrf_bdy_file     = './wrfbdy_d01'   - BC from WPS and WRF real
debug            = .true.
update_lateral_bdy = .true.
update_low_bdy    = .false.
iswater          = 16               - Should be 17 if using MODIS land-use
/
```

- `./da_update_bc.exe > &! da_update_bc_latbdy.log`



# (updating **low** boundary for **cycling** runs)

da\_update\_bc: **update\_low\_bdy**

**TSK**: surface skin temperature (over water)

**TMN**: soil temperature at lower boundary

**SST**: sea surface temperature

**VEGFRA**: vegetation fraction

**ALBBCK**: background snow-free albedo

**SEAICE**: sea ice flag

**IVGTYP**: dominant vegetation category (integer)

**ISLTYP**: dominant soil category (integer)

**LANDMASK**: 1=land, 0=water

**XLAND**: 1=land, 2=water

**SNOW**: snow water equivalent

**SNOWC**: snow cover

**SNOWH**: snow depth

} snow over water  
needs to be  
removed

} fields need to  
be consistent  
with **SEAICE**

da\_update\_bc: update\_low\_bdy & **update\_lsm**

**SNOW**: snow water equivalent

**CANWAT**: canopy water

**RHOSN**: snow density

**SNOWH**: snow depth

**SNOWC**: snow cover

**TSLB**: soil temperature

**SMOIS**: soil moisture

**SH2O**: soil liquid water

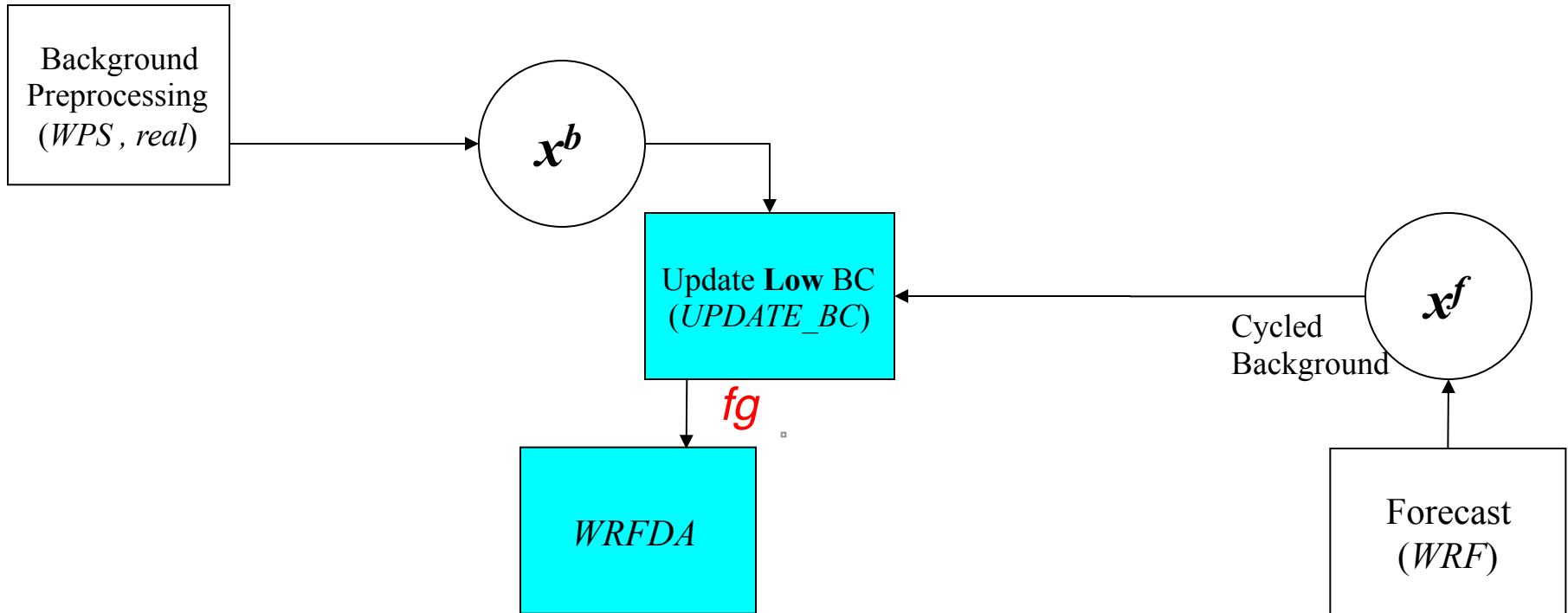
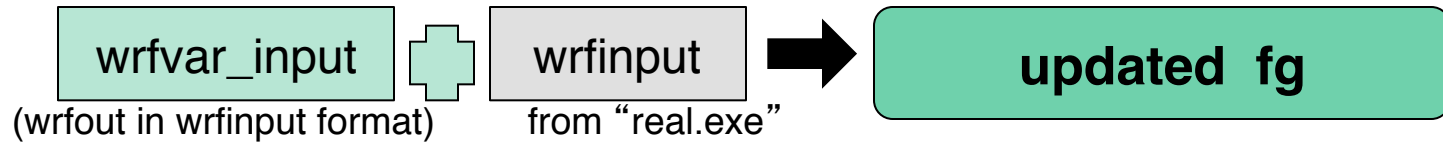
WRFDA adds increments to

- U
- V
- T
- PSFC
- QVAPOR

and modifies

- PH
- P
- MU
- U10
- V10
- T2
- Q2

# update\_bc (low boundary condition)



- Only need to update low BC if using cyclic initial conditions

# Steps to Run update\_bc (for low BC)

- Make sure UPDATE\_BC executable has been created successfully:
  - **WRFDA/var/build/da\_update\_bc.exe**
- Go into the working directory and prepare the input files for update\_bc:
  - > `cd your_choice_of_working_dir`
  - > **cp** `${your_wrf_run_dir}/wrfvar_input_d01 ./fg`
  - > `ln -sf WRFDA/var/build/da_update_bc.exe ./da_update_bc.exe`
- Prepare the namelist for update\_bc: parame.in

```
&control_param
da_file      = './fg'           - First guess (wrfout in wrfinput format) for WRFDA
wrf_input    = '${DAT_DIR}/rc/2008020512/wrfinput_d01' - IC from WPS and WRF real
debug        = .true.
update_lateral_bdy = .false.
update_low_bdy    = .true.
iswater      = 16              - Should be 17 if using MODIS land-use
/
```

- `./da_update_bc.exe > &! da_update_bc_lowbdy.log`

# **WRFDA-3DVar Diagnostics**

## ASCII output files in the WRFDA working directory:

- wrfda.log or rsl.out.0000
- namelist.output
- filtered\_obs\_01 (analysis\_type="QC-OBS")
- rej\_obs\_conv\_01.000
- qcstat\_conv\_01
- cost\_fn
- grad\_fn
- gts\_omb\_oma\_01
- statistics
- jo

After each WRFDA run, it is important to:

- ✓ Check the log file (or rsl.out.0000) to see if WRFDA has completed successfully, how many iterations it took to converge, etc.
- ✓ Check the statistics file to see if the values are reasonable

<http://www2.mmm.ucar.edu/wrf/users/wrfda/download/tools.html>

The WRF data assimilation development team has developed many useful `.ncl` shell scripts for internal use only. We realized that these scripts might be useful for community users, and so with recent versions of WRFDA we have released them as a TOOLS bundle. If you want to establish your own forecast-analysis system which includes WRF and WRF-Var, you can refer the scripts under WRFDA/var/scripts; There are lots of NCL scripts to diagnostic the WRF-Var output for your reference.

Due to very limited resources being funded for support, we can not provide support to these tools; please use them at your own risk.

- [Download WRFDA\\_V3.5\\_TOOLS.tar.gz](#)
- `gunzip WRFDA_V3.5_TOOLS.tar.gz`
- `tar xvf WRFDA_V3.5_TOOLS.tar`

✓ `var/graphics/ncl` contains various NCL plotting scripts, see `var/graphics/ncl/README`

# wrfda.log (rsl.out.0000)

- Very important information about your WRFDA run, including observation summary, values of cost function and its gradient, etc.

- Additional diagnostics may be printed in these files by including various “`print_detail_xxx`” WRFDA namelist options (`&wrfvar1`) (using these options, the log file size could become quite large).

```
*** VARIATIONAL ANALYSIS ***
DYNAMICS OPTION: Eulerian Mass Coordinate
WRF NUMBER OF TILES = 1
Set up observations (ob)
```

```
Final: 15 iter, J= 1.76436785D+04, g= 2.06098421D+00
```

## Diagnostics

```
Final cost function J      = 17643.68
Total number of obs.     = 26726
Final value of J         = 17643.67853
Final value of Jo        = 15284.64894
Final value of Jb        = 2359.02958
Final value of Jc        = 0.00000
Final value of Je        = 0.00000
Final value of Jp        = 0.00000
Final J / total num_obs  = 0.66017
Jb factor used(1)       = 1.00000
Jb factor used(2)       = 1.00000
Jb factor used(3)       = 1.00000
Jb factor used(4)       = 1.00000
Jb factor used(5)       = 1.00000
Jb factor used          = 1.00000
Je factor used          = 1.00000
VarBC factor used       = 1.00000
```

```
*** WRF-Var completed successfully ***
```

# namelist.output

- When WRFDA is run, a namelist.output file is produced with all values of namelist variables (default and/or from namelist.input).

## namelist.input

```
&wrfvar1  
print_detail_grad=.true.  
/  
&wrfvar2  
/  
&wrfvar3  
ob_format=2,  
num_fgat_time=1,  
/  
&wrfvar4  
use_synopobs=.false.  
/
```

## namelist.output

```
&WRFVAR1  
WRITE_INCREMENTS = F, WRFVAR_MEM_MODEL = 0, VAR4D = F,  
MULTI_INC = 0, VAR4D_COUPLING = 2, PRINT_DETAIL_RADAR = F,  
PRINT_DETAIL_RAD = F, PRINT_DETAIL_XA = F, PRINT_DETAIL_XB = F,  
PRINT_DETAIL_OBS = F, PRINT_DETAIL_F_OBS = F, PRINT_DETAIL_MAP = F,  
PRINT_DETAIL_GRAD = T, PRINT_DETAIL_REGRESSION = F,  
PRINT_DETAIL_SPECTRAL = F,  
PRINT_DETAIL_TESTING = F, PRINT_DETAIL_PARALLEL = F, PRINT_DETAIL_BE  
= F,  
CHECK_MAX_IV_PRINT = T, CHECK_BUDDY_PRINT = F,  
/  
&WRFVAR2  
ANALYSIS_ACCU = 900, CALC_W_INCREMENT = F, DT_CLOUD_MODEL = F,  
WRITE_MOD_FILTERED_OBS = F,  
/  
&WRFVAR3  
FG_FORMAT=1, OB_FORMAT=2, NUM_FGAT_TIME=1  
/  
&WRFVAR4  
USE_SYNOPOBS=F, USE_SHIPSOBS=T, USE_METAROBS=T, USE_SOUNDOBS=T,  
USE_MTGIRSOBS=T, USE_PILOTOBS=T,
```



# filtered\_obs\_01

- Similar to ob.ascii (observation input file to WRFDA) but contains the observations filtered by WRFDA
- To output this file, set WRFDA namelist option: `analysis_type = "QC-OBS"` (`&wrfvar17`)
- What is filtered\_obs for?
  - Can be used for checking what observations are actually assimilated in WRFDA
  - Can be used for running WRFDA in VERIFY mode with `analysis_type = "VERIFY"`
- filtered\_obs should NOT be used for running regular WRFDA

# rej\_obs\_conv\_01.000

- Contains observations that fail check\_max\_iv check (if `check_max_iv = .true.`)
  - ✓ 01: outer loop index.
  - ✓ 000: processor id.
- See slide 17 and `&wrfvar5`

Obs_type	Variable	Lat	Lon	Pressure
sound	T	50.68	-127.36	215.00
sound	Q	50.68	-127.36	215.00
sound	U	47.46	-111.38	850.00
sound	V	31.86	-106.70	400.00
synop	U	50.11	-127.93	991.10
synop	V	48.76	-123.11	994.50
synop	Ps	53.43	-114.71	1013.01
synop	Q	53.43	-114.71	1013.01
gpsref	GpsR	36.26	-71.36	53.34
qscat	V	23.20	-74.22	1013.25

# qcstat\_conv\_01

- Contains the number of observations that pass or fail WRFDA's internal QC (e.g., check\_max\_iv check) for observations with pressure as a vertical coordinate
  - ✓ 01: outer loop index.

WRF-Var data utilization statistics for **outer iteration 1**

obs	type	var	ptop	1000.0	900.0	800.0	600.0	400.0	300.0	250.0	200.0	150.0	100.0	50.0	0.0
		pbot	1200.0	999.9	899.9	799.0	599.9	399.9	299.9	249.9	199.9	149.9	99.9	2000.0	
sound	U	used	20	29	37	48	91	41	41	44	45	79	99	574	
		rej	1	2	0	0	0	0	0	0	0	0	0	0	3
sound	V	used	21	30	37	48	91	41	41	44	45	79	99	576	
		rej	0	1	0	0	0	0	0	0	0	0	0	0	1
sound	T	used	32	135	130	452	447	200	118	68	113	191	293	2179	
		rej	0	2	0	0	0	0	0	0	1	6	5	14	
sound	Q	used	32	135	130	451	439	193	105	53	81	159	218	1996	
		rej	0	0	0	0	4	3	0	1	1	4	2	15	
synop	U	used	83	0	0	0	0	0	0	0	0	0	0	83	
		rej	1	0	0	0	0	0	0	0	0	0	0	0	1
synop	V	used	83	0	0	0	0	0	0	0	0	0	0	83	
		rej	1	0	0	0	0	0	0	0	0	0	0	0	1
synop	T	used	137	0	0	0	0	0	0	0	0	0	0	137	
		rej	0	0	0	0	0	0	0	0	0	0	0	0	0
synop	Q	used	130	0	0	0	0	0	0	0	0	0	0	130	
		rej	4	0	0	0	0	0	0	0	0	0	0	0	4

# jo

- Contains cost function of each observation type:

synop	obs, Jo(actual) =	1007	1709	475.29555	1.00000	448.89633	1.00000	214.58090	1.00000	169.59091	1.00000	39.54654	1.00000
metar	obs, Jo(actual) =	2551	4996	1142.22791	1.00000	1139.04835	1.00000	450.85222	1.00000	141.48881	1.00000	127.23786	1.00000
ships	obs, Jo(actual) =	270	739	295.61942	1.00000	328.81980	1.00000	38.63147	1.00000	76.05158	1.00000	10.88285	1.00000
geoamv	ob, Jo(actual) =	18216	35619	4375.80943	1.00000	4291.11244	1.00000	0.00000	1.00000	0.00000	1.00000	0.00000	1.00000
gpspw	obs, Jo(actual) =	113	94	42.19891	1.00000	0.00000	1.00000	0.00000	1.00000	0.00000	1.00000	0.00000	1.00000
sound	obs, Jo(actual) =	122	12507	1501.01081	1.00000	1417.89485	1.00000	2934.71994	1.00000	1412.34202	1.00000	0.00000	1.00000
sonde	obs, Jo(actual) =	122	12507	77.96908	1.00000	70.37029	1.00000	43.28542	1.00000	45.34806	1.00000	4.58217	1.00000
airep	obs, Jo(actual) =	1527	4506	699.19993	1.00000	655.45784	1.00000	776.57509	1.00000	0.00000	1.00000	0.00000	1.00000
pilot	obs, Jo(actual) =	112	5895	2582.21854	1.00000	2434.46137	1.00000	0.00000	1.00000	0.00000	1.00000	0.00000	1.00000
satem	obs, Jo(actual) =	204	2079	108.15758	1.00000	0.00000	1.00000	0.00000	1.00000	0.00000	1.00000	0.00000	1.00000
buoy	obs, Jo(actual) =	241	400	133.21166	1.00000	104.72975	1.00000	31.86149	1.00000	38.47701	1.00000	1.04651	1.00000

- Sum of individual Jo (numbers in **red boxes**) should equal the printout value in WRFDA log file, e.g., rsl.out.0000:

Final value of Jo = 28880.81069

- Numbers in **blue boxes** are observation error tuning factors used in WRFDA:

Tuned obs\_error = obs\_error \* tuning\_factor

Where obs\_error values are assigned by OBSPROC and tuning\_factor=1 by default.

# cost\_fn and grad\_fn

- Contains values of the cost function and its gradient.
  - If `calculate_cg_cost_fn =.false.`, only the initial and final values of the cost and gradient functions are output as follows:

## cost\_fn

Outer Iter	EPS	Inner Iter	J	Jb	Jo	Jc	Je	Jd	Jp	Js	j1
1	0.100E-01	0	51158.452	0.000	51158.452	0.000	0.000	0.000	0.000	0.000	0.000
1	0.100E-01	31	35360.521	2876.839	31464.627	0.000	921.449	97.606	0.000	0.000	0.000

## grad\_fn

Outer Iter	EPS	Inner Iter	G	Gb	Go	Ge	Gd	Gp	Gs	G1
1	0.100E-01	0	1097.339	0.000	1097.339	0.000	0.000	0.000	0.000	0.000
1	0.100E-01	31	9.392	107.272	127.332	60.711	12.844	0.000	0.000	0.000

- If `calculate_cg_cost_fn =.true.`, the cost function and its gradient at each iteration will be computed and written into **cost\_fn** and **grad\_fn**.

✓ WRFDA tools: `plot_cost_grad_fn.ncl`

b: background term  
 o: observation term  
 c: JcDFI term  
 e: alpha term  
 d: dynamic constraint term  
 p: radiance variational bias correction term  
 s: skin temperature or cloud cover term  
 l: lateral boundary conditions control variable (4dvar only)

# gts\_omb\_oma\_01

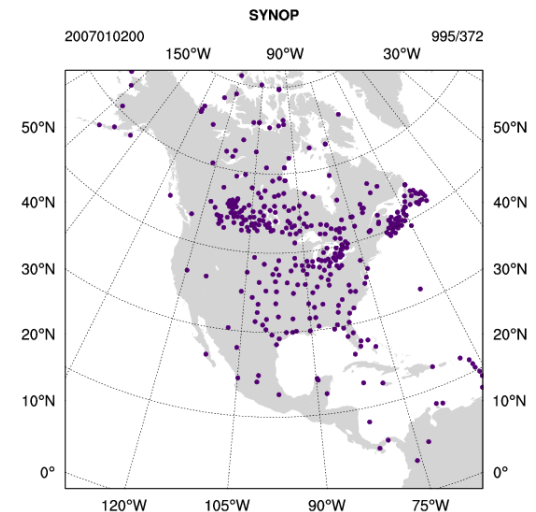
- Contains complete point-by-point, detailed observation information.

obs_type	Number of obs	Number of levels	Obs index, Level index, station ID, lat, lon, pressure	For u: Obs, O-B, QC flag, Obs error, O-A
synop	995	1	1 176556 21.51 -104.90 89973.8836463 3.3147587 1.2193668 2 1.1000000 0.1849281 -1.5412909	
			-1.4225501 2 1.1000000 -1.6862257 295.5511624 2.5999150 2 2.0000000 1.3689324 89973.8836463	
			-273.5464584 2 100.0000000 -236.6028635 0.0134689 0.0048657 0 0.0036749 0.0050584	

- Measured quantities for each observation type vary:

synop: u, v, t, p, q  
 metar: u, v, t, p, q  
 ship: u, v, t, p, q  
 geoamv: u, v  
 airep: u, v, t  
 pilot: u, v  
 satem: thickness  
 qscat: u, v

polaramv: u, v  
 gpspw: tpw  
 sound: u, v, t, q  
 sonde\_sfc: u, v, t, p, q  
 profiler: u, v  
 buoy: u, v, t, p, q  
 airsr: t, q  
 gpsref: ref



- ✓ WRFDA tools: plot\_gts\_omb\_oma.ncl
- ✓ WRFDA tools: plot\_ob\_ascii\_loc.ncl

# statistics

- Contains domain-wide O-B and O-A information:

## Diagnostics of OI for synop

var	u (m/s)	n	k	v (m/s)	n	k	t (K)	n	k	p (Pa)	n	k	q (kg/kg)	n	k
Number:	331			332			355			330			361		
Minimum(n,k):	-5.4017	363	0	-5.4086	878	0	-9.7206	592	0	-390.7893	931	0	-0.4461E-02	719	0
Maximum(n,k):	5.0466	886	0	5.2878	630	0	7.7302	421	0	471.9343	944	0	0.5408E-02	787	0
Average :	-0.8471			-0.1995			-1.1171			20.4177			-0.2525E-03		
RMSE :	2.3023			2.1150			3.1978			116.1518			0.8045E-03		

## Diagnostics of AO for synop

var	u (m/s)	n	k	v (m/s)	n	k	t (K)	n	k	p (Pa)	n	k	q (kg/kg)	n	k
Number:	331			332			355			330			361		
Minimum(n,k):	-4.2496	172	0	-5.0463	683	0	-8.9005	583	0	-472.9290	931	0	-0.4152E-02	719	0
Maximum(n,k):	5.5540	886	0	5.7990	630	0	8.8192	421	0	392.4096	944	0	0.5058E-02	1	0
Average :	-0.0847			-0.0376			-0.4283			1.1709			0.1625E-04		
RMSE :	1.8650			1.8093			2.1990			101.3816			0.5958E-03		

## Minimum of gridded analysis increments

Lvl	u	i	j	v	i	j	t	i	j	p	i	j	q	i	j
1	-1.8915	17	32	-1.9965	36	24	-5.2526	20	35	-314.7470	44	1	-0.1451E-02	18	32
2	-1.9476	16	32	-2.0070	36	24	-3.0142	21	36	-311.2885	44	1	-0.1438E-02	18	33

## Maximum of gridded analysis increments

Lvl	u	i	j	v	i	j	t	i	j	p	i	j	q	i	j
1	1.3750	41	8	1.5739	28	12	3.2994	24	20	197.8351	28	2	0.1401E-02	39	8
2	1.4844	40	8	1.6180	28	13	1.7471	7	20	195.5165	28	2	0.1591E-02	39	8

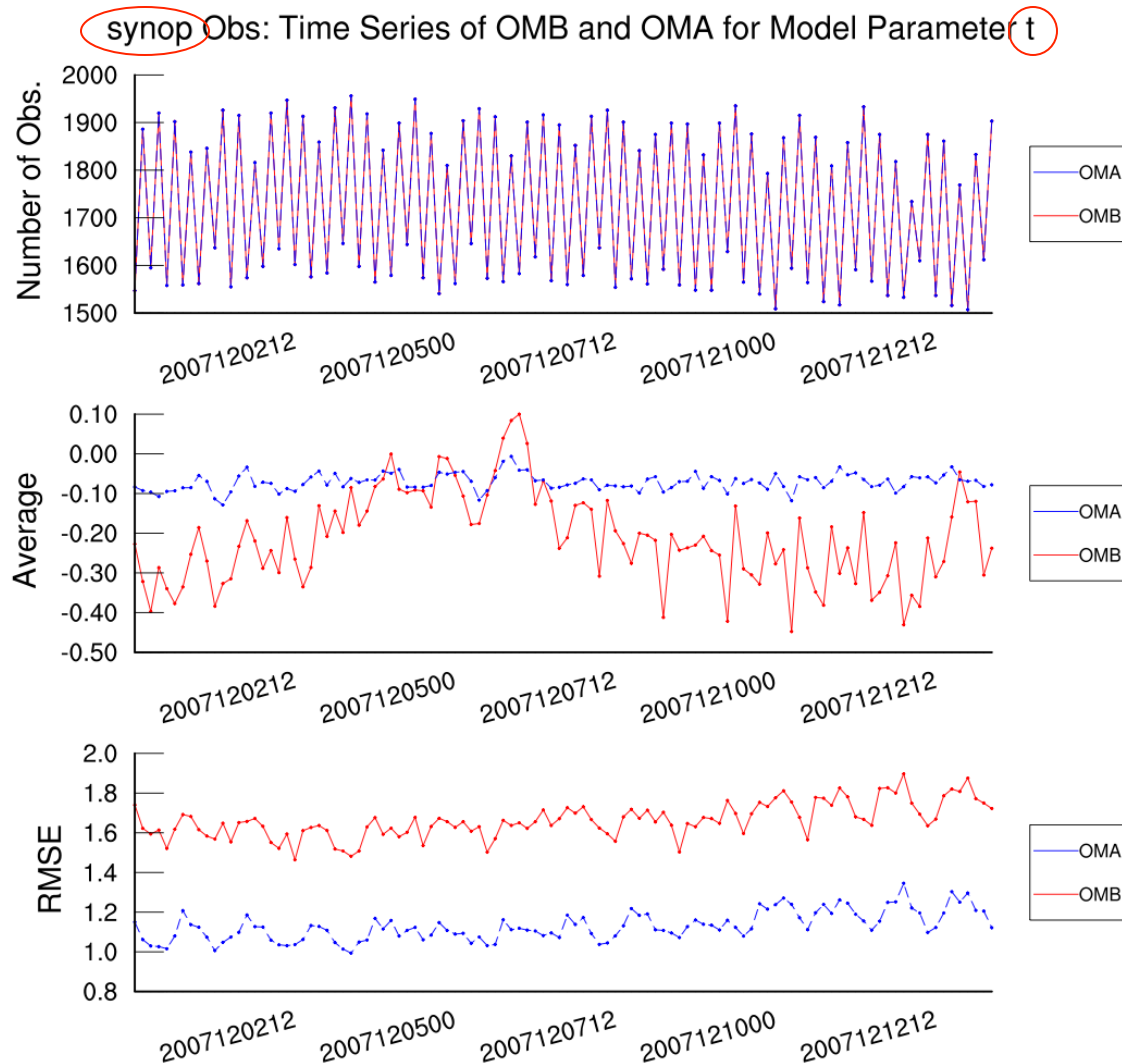
## Mean of gridded analysis increments

Lvl	u	v	t	p	q
1	-0.0327	0.0632	-0.1477	17.4414	-0.1047E-03
2	-0.0031	0.0736	0.0116	17.2543	-0.8066E-04

## RMSE of gridded analysis increments

Lvl	u	v	t	p	q
1	0.7546	0.6040	1.3120	72.0441	0.4258E-03
2	0.7995	0.6483	0.9169	71.2614	0.4476E-03

Information contained in **statistics** files can be used to plot time series of O-B and O-A for each observation variable and type.





# Wrap-up

# A Few Things I Didn't Cover

- Background error covariance tuning ([&wrfvar7](#))
- Radiance assimilation ([&wrfvar4](#), [&wrfvar14](#))
- Pseudo-single observation tests ([&wrfvar15](#), [&wrfvar19](#))

## WRFDA USERS PAGE

<http://www2.mmm.ucar.edu/wrf/users/wrfda/index.html>

## WRFDA USERS GUIDE

[http://www2.mmm.ucar.edu/wrf/users/wrfda/Docs/user\\_guide\\_V3.8/users\\_guide\\_chap6.htm](http://www2.mmm.ucar.edu/wrf/users/wrfda/Docs/user_guide_V3.8/users_guide_chap6.htm)

README files contained in the tar file

WRFDA/README.DA

WRFDA/var/README.namelist

WRFDA/var/README.basics

WRFDA/var/README.radiance

**wrfhelp@ucar.edu**

