



# Radar Data Assimilation with WRFDA

Juanzhen Sun

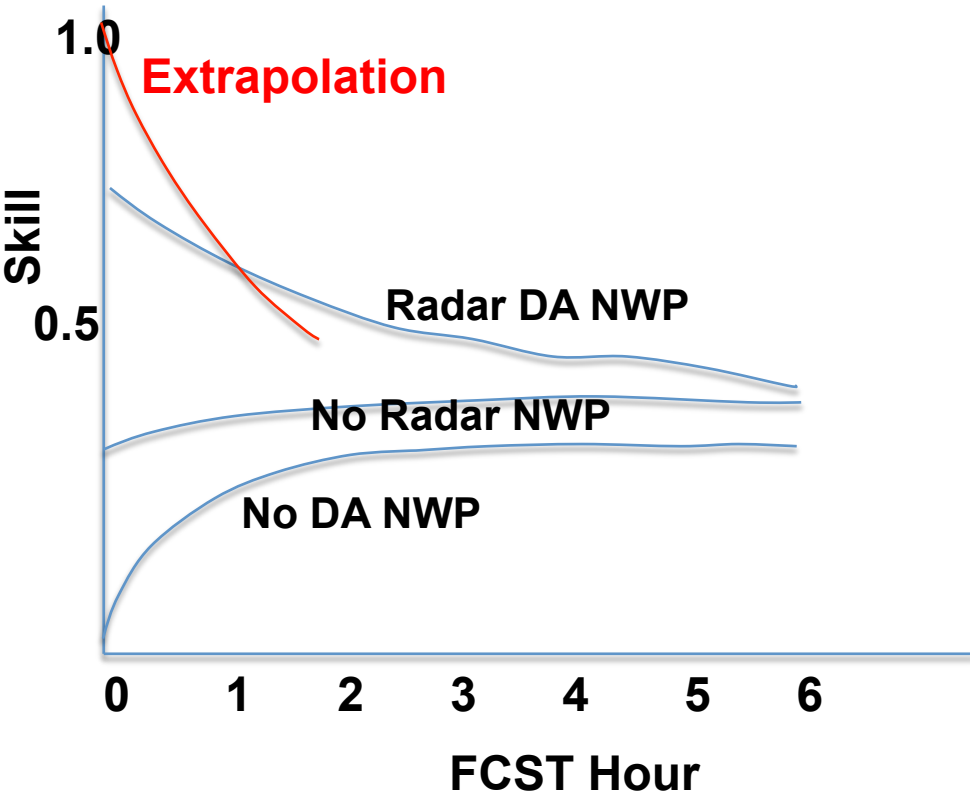
July 24–26, 2017, Boulder, CO



# OUTLINE

- **Motivation, milestones, and current capabilities**
- **Radar DA method in WRFDA**
- **Configure and run WRFDA-radar**
- **Applications and ongoing research**

# Why radar data assimilation



## Objectives of radar data assimilation

- Improve **short-term** prediction of high impact weather
- For the very short-term range, an ambitious goal is to forecast the **timing and location** of storms at **county/city scale**
- Improved understanding of mesoscale processes contributing to the formation of convective weather (may require advanced DA techniques)

# Radar data: the good and the bad

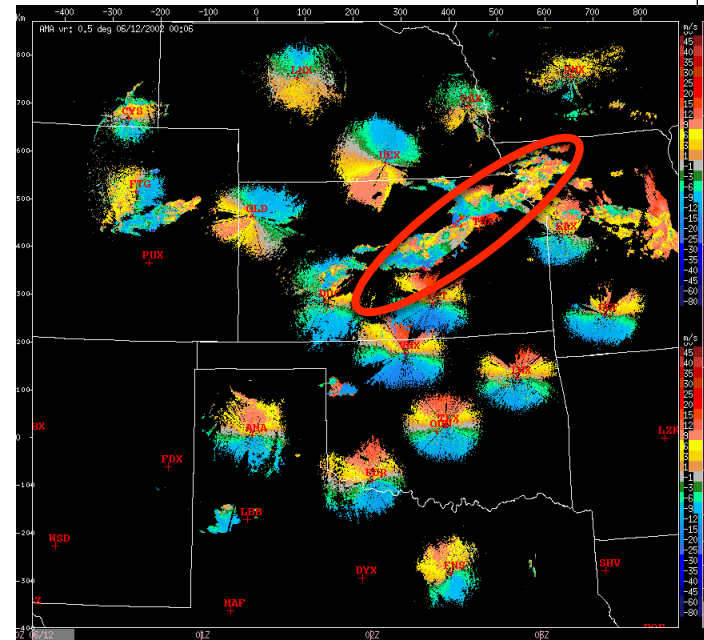
## Good

- High spatial and temporal resolutions at the convective-scale
- Observes wind (radial velocity) as well as microphysics (reflectivity)
- Accurate observations
- Observations are mostly in the lower atmosphere

## Not so good

- Indirect observations – need observation operators
- Incomplete coverage – limited range and limited detection ability in clear air
- Only radial velocity and reflectivity
- Nontrivial for QC
- Locally available

## Radial velocities from 20 WSR-88D radars







# Scientific issues for radar DA

- **Impact of radar data assimilation on convective forecasting**  
(Xiao et al. 2005, 2007)
- **Validity of the linearization of the observation operator for reflectivity**  
(Wang et al. 2013)
- **Validity of the tangent linear model approximation for highly convective weather**  
(Wang et al. 2014)
- **How well does 4DVar perform in comparison with 3DVar**  
(Sun and Wang 2014)
- **Impact of the choice of momentum control variables**  
(Sun et al 2016)
- **Controlling noise in high-resolution analysis with multi-scale balance**  
(Vendrasco et al. 2016, Tong et al. 2017)

# WRFDA-radar development milestones

- 2005:** radial velocity data assimilation with WRFDA 3DVar (Xiao et al. 2005)
- 2007:** reflectivity data assimilation using a partition scheme to obtain microphysics (Xiao et al. 2007)
- 2013:** indirect assimilation of reflectivity using  $q_r$  and  $q_c$  as control variables (Wang et al. 2013)
- 2014:** adjoint of Kessler scheme for 4DVar radar data assimilation (Wang et al. 2014)
- 2015:** new momentum control variables (u/v) for radar data assimilation (Sun et al. 2016)
- 2015:** a large-scale analysis constraint to maintain large-scale balance (Vendrasco et al. 2016)
- 2017:** a strategy for hourly update cycles (Tong et al. 2017)
- 2017:** a scheme for assimilating “no rain” reflectivity data (Gao et al., submitted)



# Current capabilities

## **3DVar**

- Assimilate both radial velocity and reflectivity
- Direct and Indirect assimilation of reflectivity
- “Warm start” by assimilating estimated humidity within cloud
- Options for choice of momentum control variables
- Constraint terms (Jc) for controlling analysis noise
- Operational 3h/1h cycles since 2014

## **4DVar**

- Use WRF tangent linear model as constraint with multiple outer loops
- Can be run with multi-incremental option
- Adjoint of physics schemes: modified Kessler microphysics, large-scale condensation, a simple cumulus scheme, and diffusion scheme
- Assimilate both radar and rainfall data
- 4DVar framework is fully consistent with 3DVar

# OUTLINE

- Motivation, milestones, and current capabilities
- **Radar DA method in WRFDA**
- Configure and run WRFDA-radar
- Applications and ongoing research

# Two methods for reflectivity DA

## 1. *Direct assimilation of reflectivity (Xiao et al. 2007)*

- Requires an observation operator to link the reflectivity with microphysics
- No cloud control variables
- Vertical velocity is diagnosed using the Richardson equation
- Microphysics are diagnosed using a warm rain partition scheme

## 2. *Indirect assimilation of reflectivity*

*(Wang et al. 2013, preferred)*

- Diagnose microphysics ( $q_r$ ,  $q_s$ ,  $q_g$ ) and humidity from reflectivity
- Assimilate the diagnosed quantities
- Cloud control variables and vertical velocity control variable

# Cost Function

## Indirect method with cloud control variables

$$J = J_b + J_o + \underbrace{J_{v_r} + J_{q_r} + J_{q_v}}_{\text{For radar DA}}$$

- **Control variables :**  
 $u/v$  (or  $\psi / \chi_u$ ),  $T$  (or  $T_u$ ),  $Ps$  (or  $Ps_u$ ),  $RHs$ ,  $q_c$ ,  $q_r$ , and  $w$
- 3DVar critically depends on a cloud analysis scheme that assimilates estimated in-cloud humidity
- A modified Kessler scheme along with its adjoint produces analyses of microphysics in 4DVar

# Radial velocity observation operators

$$J_{vr} = \frac{1}{2} \sum (V_r - V_r^{ob})^2 / \sigma_{vr}$$

$V_r$  Radial velocity from the model

$V_r^{ob}$  Radial velocity observations

$\sigma_{vr}$  Observation error variance

**$v_r - (u, v, w, q_r)$  Relation:**

$$v_r = \frac{x - x_r}{r} u + \frac{y - y_r}{r} v + \frac{z - z_r}{r} (w - V_T(q_r))$$

# Radial velocity observation operator

$$J_{qr} = \frac{1}{2} \sum (q_r - q_r^{ob})^2 / \sigma_{qr}$$

$q_r$  Model rainwater mixing ratio

$q_r^{ob}$  Estimated rainwater mixing ratio from reflectivity

$\sigma_{qr}$  Observation error variance

**Z- $q_r$  Relation (assume Marshal-Palmer DSD)**

$$Z = 43.1 + 17.5 \log_{10}(\rho q_r) \quad \text{Sun and Crook (1997)}$$

**Z- $q_s$  and Z- $q_h$  follow Gao and Stensrud (2012)**



# Pseudo humidity assimilation

$$J_{qv} = \frac{1}{2} \sum (q_v - q_v^{ob})^2 / \sigma_{qv}$$

$q_v$  **Model water vapor mixing ratio**

$q_v^{ob}$  **Estimated water vapor mixing ratio by assuming near saturation above LCL within cloud**

$\sigma_{qv}$  **Observation error variance**

## **Namelist options:**

### **cloudbase\_calc\_opt**

*Option for calculating cloud-base height: below this height retrieved humidity will not be assimilated for the use\_radar\_rqv option*

*0: fixed value of 1500 meters*

*1 (default): KNU scheme*

*2: NCAR scheme*

### **radar\_saturated\_rf**

*rf value (dBz) used to indicate precipitation for rqv (default 25.0)*

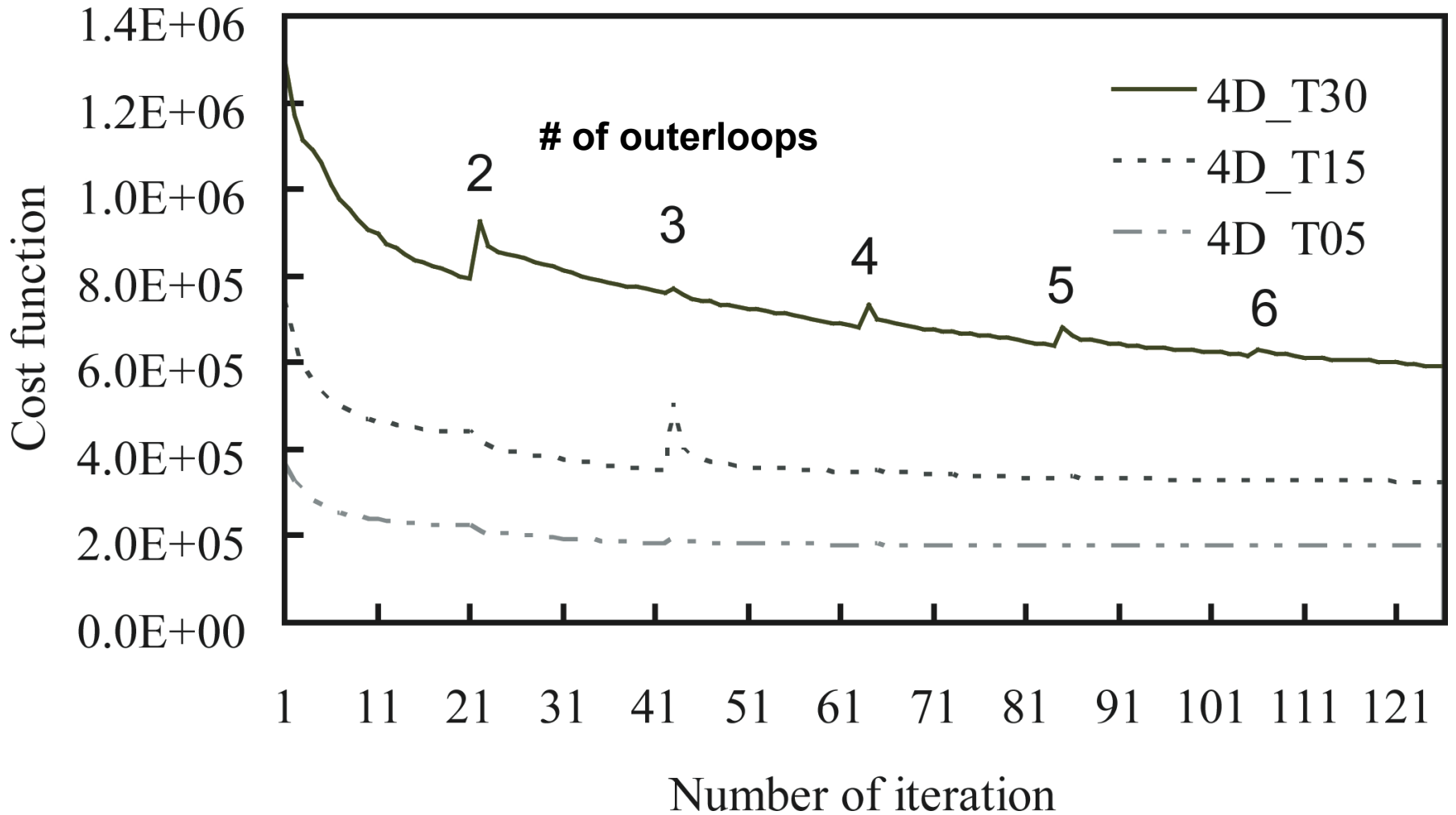
# Multi-incremental 4DVar

**Following Courtier et al. 1994, WRFDA uses a multi-incremental formulation, which means**

- **The forward prediction model within the 4DVar window is an approximation of the nonlinear model**
- **The control variables are increments from the forward model trajectory**
- **The formulation requires the update of analysis increment in an inner loop but also the update of the nonlinear model in an outer loop**
- **It makes the cost function better conditioned and allows different spatial resolutions for the inner and outer loops – multi-incremental**

**Details in Huang et al. (2010) and Wang et al (2013)**

# Cost function reduction with 6 outer loops and 3 different lengths of windows



# 4DVar physics options

	<b>WRFTL &amp; AD</b>	<b>WRFNL</b>
<b>mp_physics</b>	<b>mp_physics_ad=98</b> Large scale condensation  <b>Mp_physics_ad=99</b> Modified Kessler scheme	<b>mp_physics</b> can be set to any options for WRF  It can also be set to 98 or 99, same as WRFTL & AD
<b>cu_physics</b>	<b>cu_physics = 0:</b> no cumulus scheme <b>cu_physics=98:</b> Simplified CU scheme Any other numbers will be Defaulted to 98	<b>Same as the left column</b>



# OUTLINE

- Motivation, milestones, and current capabilities
- Radar DA method in WRFDA
- **Configure and run WRFDA-radar**
- Applications and ongoing research

# Radar DA options in Namelist

- **Read radar data**  
`use_radarobs = true,`
- **Assimilate radial velocity**  
`use_radar_rv=true,`
- **Two methods for reflectivity assimilation**  
`use_radar_rf=true;` (direct method; Xiao et al. 2007)  
`use_radar_rhv=true;` (indirect method; Wang et al. 2013)
- **Assimilate pseudo humidity**  
`use_radar_rqv=true,`
- **3DVar (default) or 4DVar**  
`var4d=true`
- **Microphysics control variables**  
`cloud_cv_options = 3` BE of regular variables are from `gen_be`; cloud variables hard coded
- **u/v momentum control variables**  
`cv_options = 7` 5: psi/chi CV 7: u/v CV (7 is recommended for radar)
- **Several options for assimilating weak radar echoes – “no rain” data**  
**See the user guide**

# Basic steps for radar DA

**Step 1:** prepare radar data in the correct format and write the data into **ob.radar** (ob01.radar, ob01.radar, ... for 4DVar)

- Use your own QC software
- $(\varphi, r, \theta) \Rightarrow (x, y, \theta) \Rightarrow$  Lat/lon profiles  $\Rightarrow$  merge the radars into one file

**Step 2:** produce 1-3 month WRF forecasts (12h & 24h) over the study domain, and then compute BE using the WRFDA utility **gen\_be**

**Step 3:** modify the **namelist.input** to make radar DA choices

**Step 4:** conduct **single observation tests** to tune the length scale and variance for your specific domain

- 200km for GTS data and 30km for radar data are commonly used

**Step 5:** configure WRFDA: to invoke the “CLOUD\_CV” option, do the following in the **configure script**

setenv CLOUD\_CV 1 - for csh

Export CLOUD\_CV = 1 - for both ksh and bash

**STEP 6:** link **ob.radar** and other other observation files and first guess

# Data format

```

write(301,'(a14,i3)') 'Total number =', nrad
write(301,'(a)')
'#-----#'
write(301,'(a)') '
do irad = 1, nrad ! nrad: total # of radar
!--- Write header
write(301,'(a5,2x,a12,2(f8.3,2x),f8.1,2x,a19,2i6)') 'RADAR', &
radar_name, rlonr(irad), rlatr(irad), raltr(irad)*1000., &
trim(radar_date), np, imdv_nz(irad) write(301,'(a)') &
'#-----#'
write(301,*)
!----Write data
do i = 1,np ! np: # of total horizontal data points
write(301,'(a12,3x,a19,2x,2(f12.3,2x),f8.1,2x,i6)') 'FM-128 RADAR', &
trim(radar_date), plat(i), plon(i), raltr(irad)*1000, count_nz(i)
do m = 1,count_nz(i) ! count_nz(i): # of vertical elevitions for each radar
write(301,'(3x,f12.1,2(f12.3,i4,f12.3,2x))') hgt(i,m), &
rv_data(i,m), rv_qc(i,m), rv_err(i,m), &
rf_data(i,m), rf_qc(i,m), rf_err(i,m)
enddo
enddo
enddo

```



# Data format

## Header

**nrad:** total number of radars

**radar\_name:** name of the  $i$ th radar (irad)

**rlnr, rlatr, raltr:** longitude, latitude, and altitude of irad

**radar\_date:** date of irad observation

**np:** number of total data points for irad

**imdv\_nz:** number of total elevation angles of irad

## Data

**plat, plon, raltr:** longitude, latitude, and altitude of the  $i$ th data point

**count\_nz:** number of data levels at the  $i$ th data point

**hgt(i,m):** height of  $i$ th data point at  $m$  level

**rv\_data(i,m), rv\_qc(i,m), rv\_err(i,m):** radial velocity value, qc index, and obs error. The qc index  $\geq 0$  means good data; otherwise bad data.

**rf\_data(i,m), rf\_qc(i,m), rf\_err(i,m):** reflectivity value, qc index, and obs error

# Example of radar input data

```

TOTAL NUMBER = 16
#-----#

RADAR      KCYS      -104.806    41.152    1887.0  2015-07-07_21:00:00  5497    11
#-----#

FM-128 RADAR  2015-07-07_21:00:00    41.165    -107.189    1887.0    3
3735.9 -888888.000 -88 -888888.000    10.288  0    0.576
5128.9 -888888.000 -88 -888888.000    13.029  0    0.944
6870.5 -888888.000 -88 -888888.000    8.192  0    1.229
FM-128 RADAR  2015-07-07_21:00:00    41.192    -107.189    1887.0    3
3737.3 -888888.000 -88 -888888.000    10.262  0    0.746
5130.6    -7.381  0    1.692    13.338  0    0.473
6872.5 -888888.000 -88 -888888.000    8.373  0    0.626
FM-128 RADAR  2015-07-07_21:00:00    41.219    -107.189    1887.0    3
3740.0 -888888.000 -88 -888888.000    9.447  0    1.072
5133.9    -8.476  0    1.632    12.828  0    0.833
6876.7 -888888.000 -88 -888888.000    8.969  0    0.991
FM-128 RADAR  2015-07-07_21:00:00    41.246    -107.189    1887.0    3
3744.2 -888888.000 -88 -888888.000    12.750  0    1.918
5139.0 -888888.000 -88 -888888.000    15.127  0    0.948
6883.0 -888888.000 -88 -888888.000    11.409  0    0.932
FM-128 RADAR  2015-07-07_21:00:00    41.138    -107.153    1887.0    3
3645.0 -888888.000 -88 -888888.000    11.011  0    0.882
5017.0 -888888.000 -88 -888888.000    12.650  0    0.879
6732.4 -888888.000 -88 -888888.000    6.896  0    1.287
FM-128 RADAR  2015-07-07_21:00:00    41.165    -107.153    1887.0    3
3645.0 -888888.000 -88 -888888.000    11.477  0    0.804
5017.0    -5.278  0    1.641    13.550  0    0.990
6732.4 -888888.000 -88 -888888.000    9.280  0    2.035
FM-128 RADAR  2015-07-07_21:00:00    41.192    -107.153    1887.0    3
3646.4    -0.267  0    4.448    11.606  0    1.225
5018.7    -5.217  0    1.843    14.294  0    0.731
6734.5 -888888.000 -88 -888888.000    10.094  0    2.072

```

# Tuning BES parameters

To change BES variance and length scale, do the following in your namelist.input:

To decrease the weight of the background

**VAR\_SCALING1=2.0**

**VAR\_SCALING2=2.0**

**VAR\_SCALING3=2.0**

**VAR\_SCALING4=2.0**

**VAR\_SCALING5=2.0**

To decrease the length scale

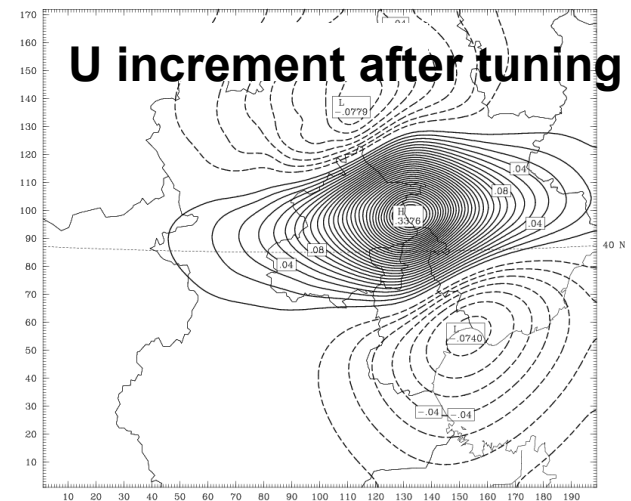
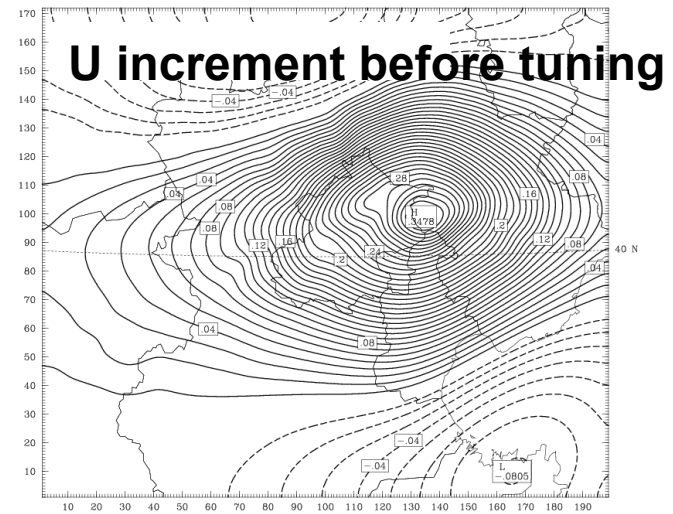
**LEN\_SCALING1=0.5**

**LEN\_SCALING2=0.5**

**LEN\_SCALING3=0.5**

**LEN\_SCALING4=0.5**

**LEN\_SCALING5=0.5**



# A note on u/v momentum CV

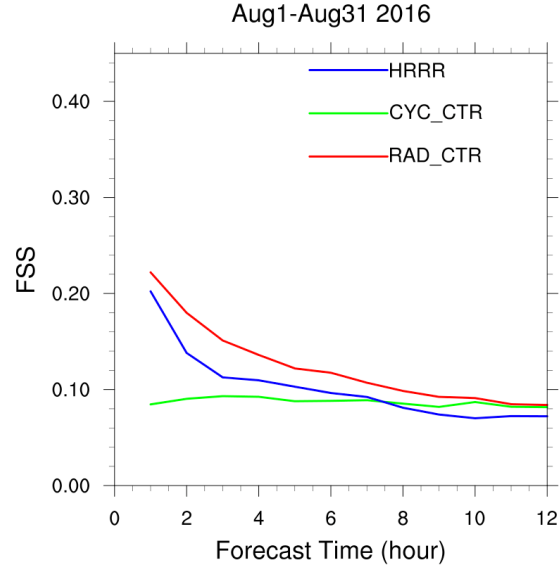
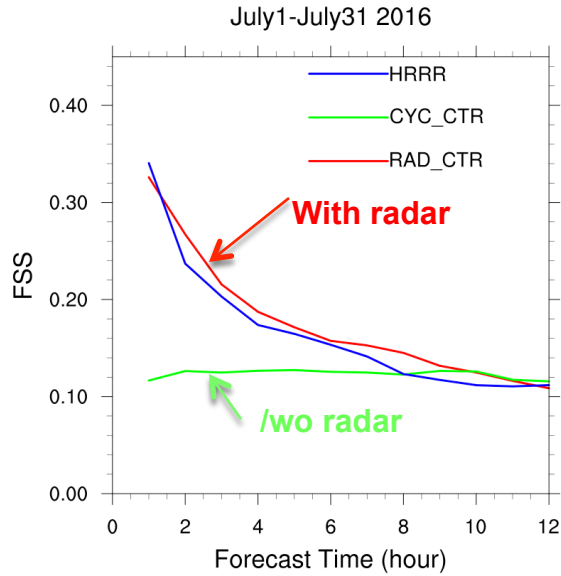
- **The new control variable (CV) option CV7 which uses u/v instead of psi/chi as momentum control variables is added in WRFDA3.7**
- **CV7 requires the computation of BES of u and v**
- **In the current version, correlation between variables is not considered**
- **But will be studied and included in a future release**
- **Sun et al. (2016, MWR)**



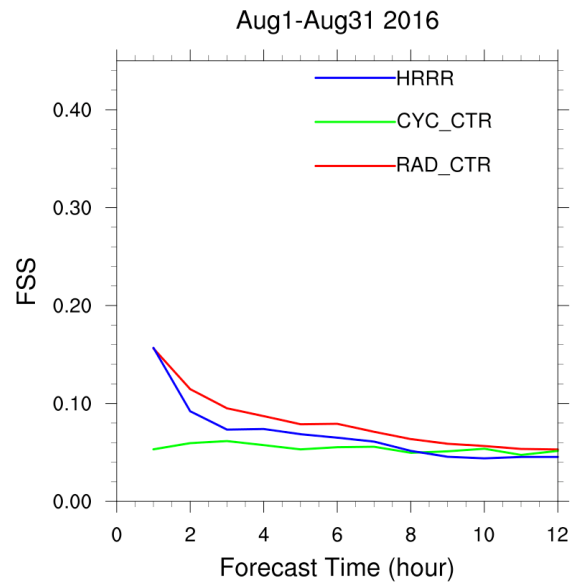
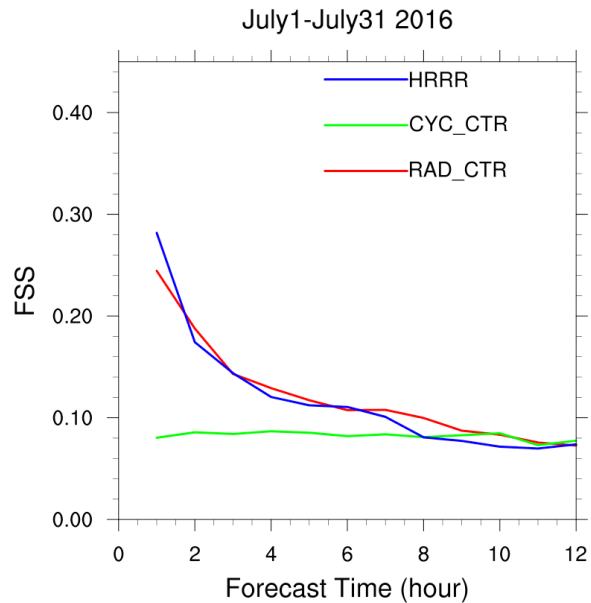
# OUTLINE

- Motivation, milestones, and current capabilities
- Radar DA method in WRFDA
- Configure and run WRFDA-radar
- **Applications and ongoing research**

# Impact of radar data assimilation (FSS)



**1mm**



**2.5 mm**

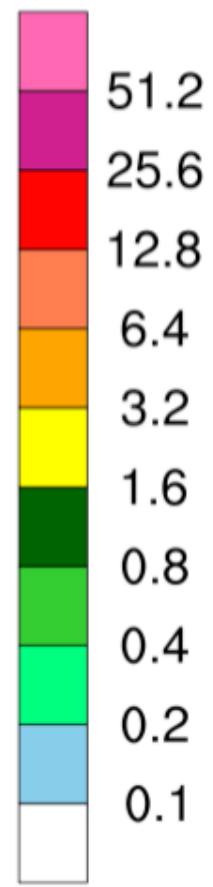
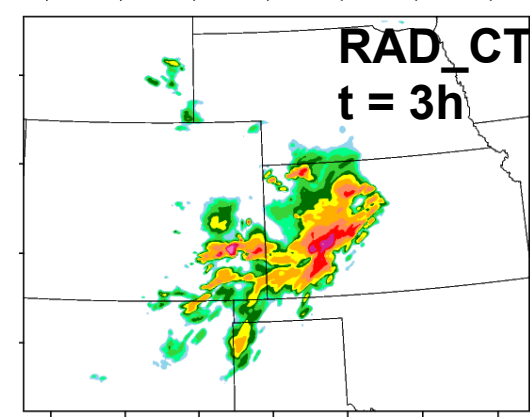
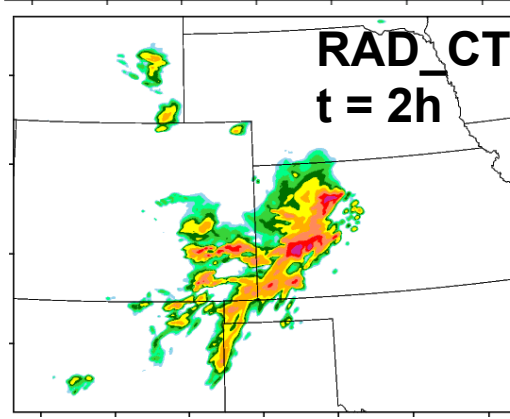
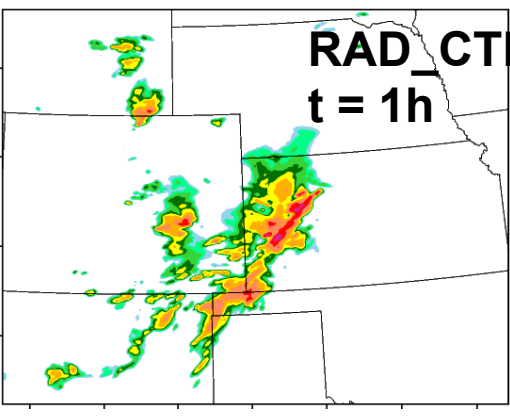
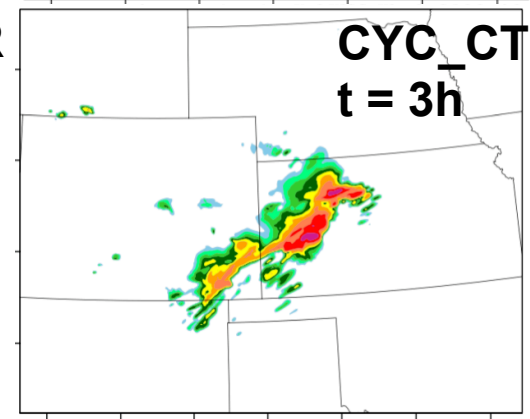
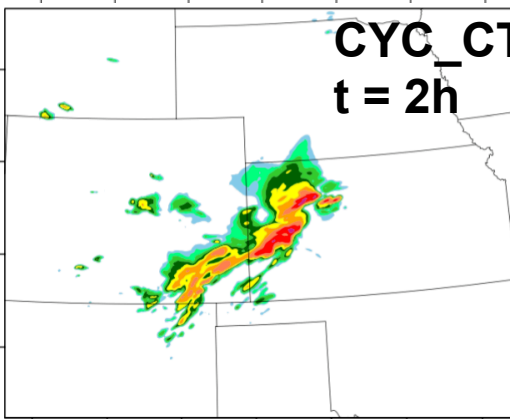
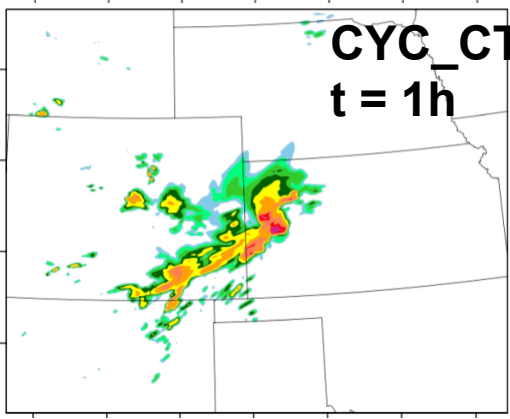
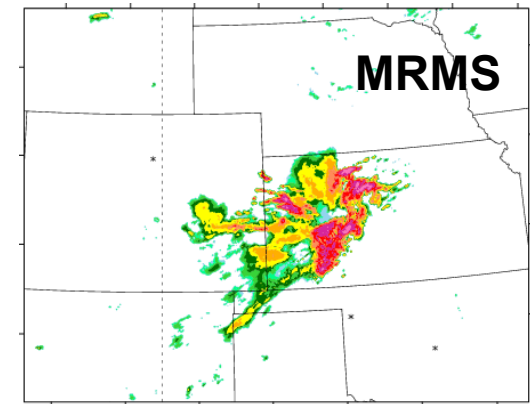
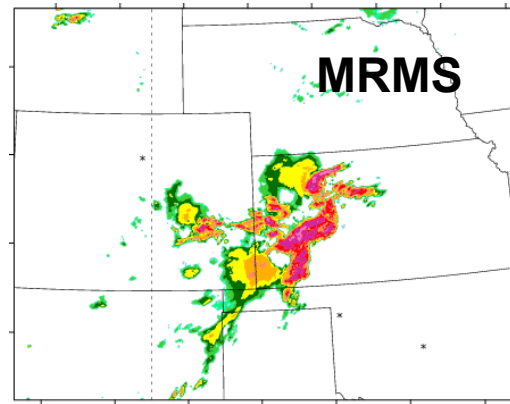
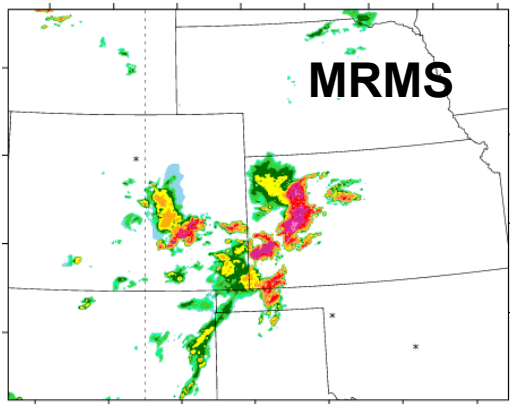
# Comparing forecasts with/wo radar



MM 2016080704

2016080705

2016080706

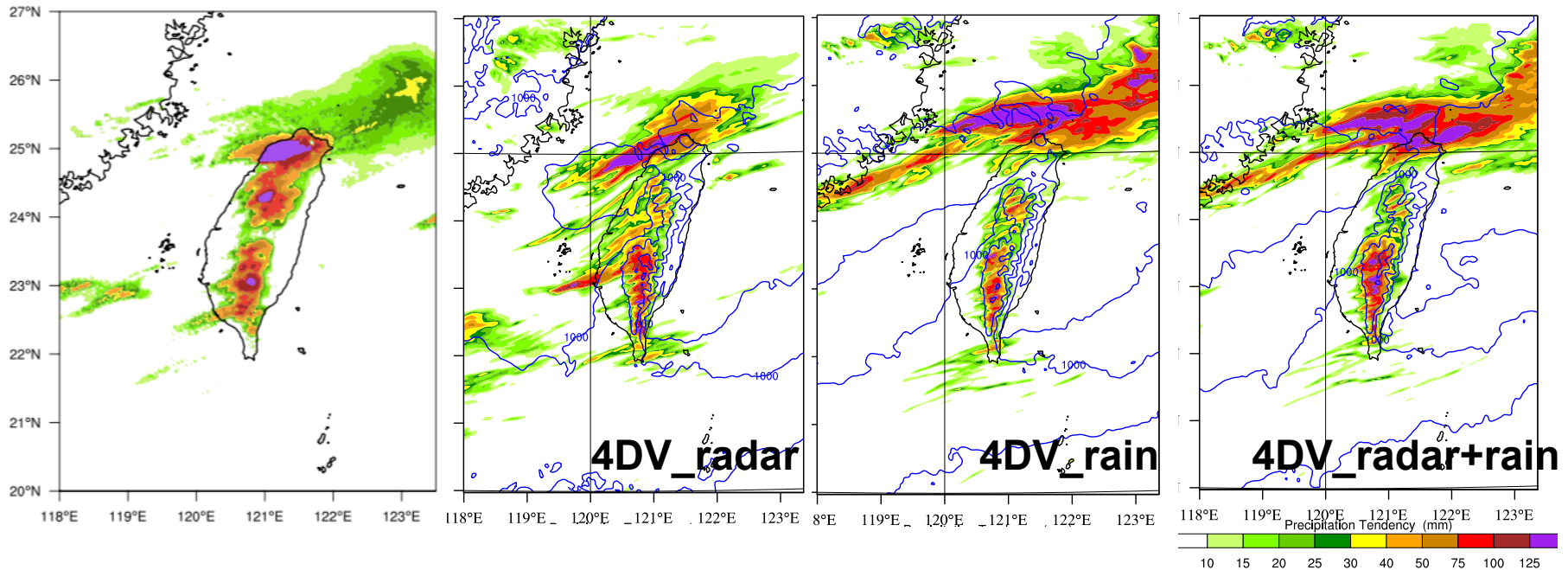




# Impact of assimilating both radar and rainfall

6hour Precip(QPE): 2012061121

6h accumulated rainfall forecasts

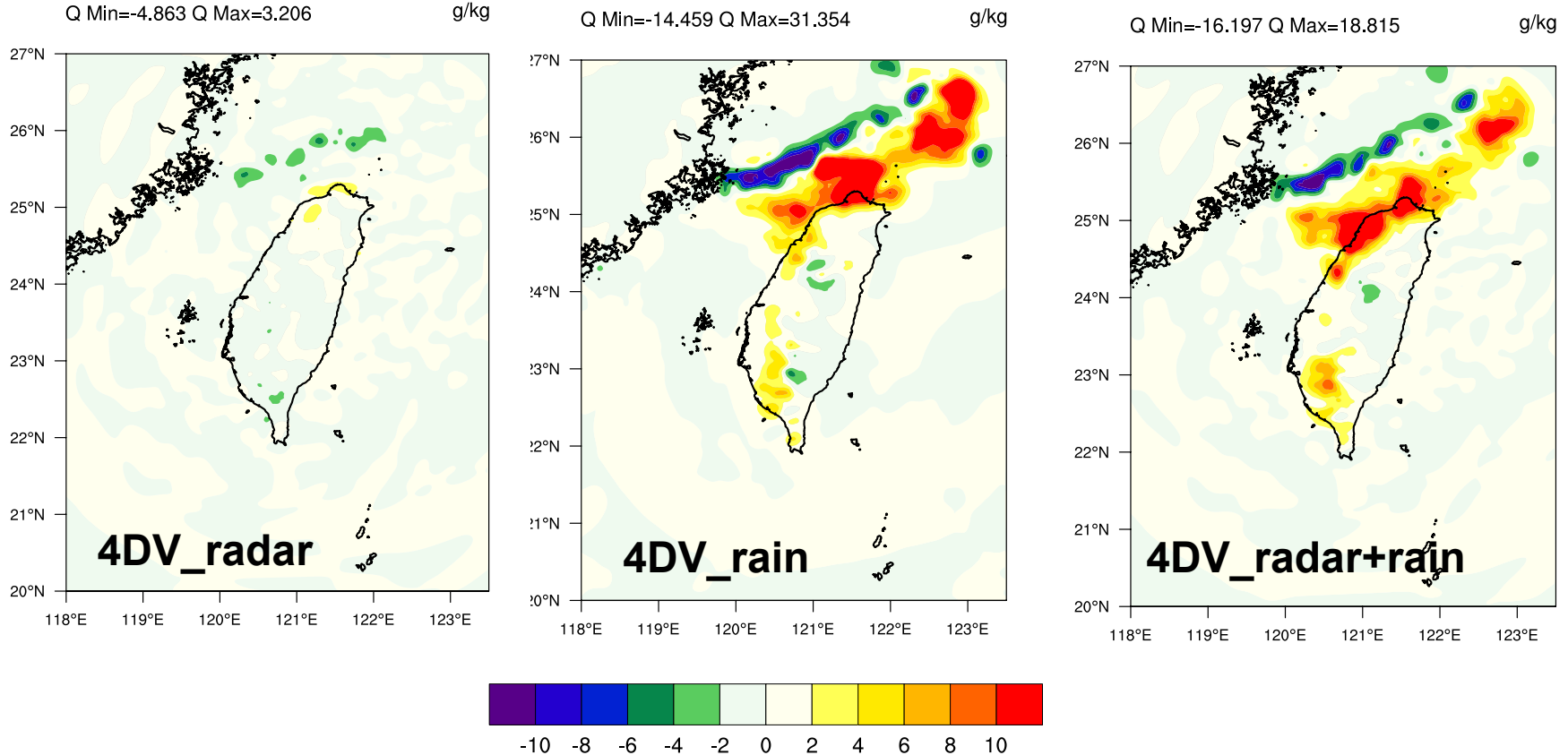


- Radar+rain shifted the northern rain band to the land, agreeing better with QPE
- Radar+rain also improved the intensity of the precipitation on the mountains in southern Taiwan
- Combined assimilation of radar and 10min rainfall data with a 20min 4DVAR window is an innovative study; the result is promising



# Comparison of humidity increments

850hpa

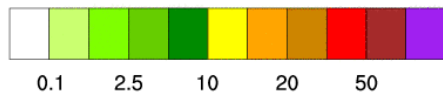
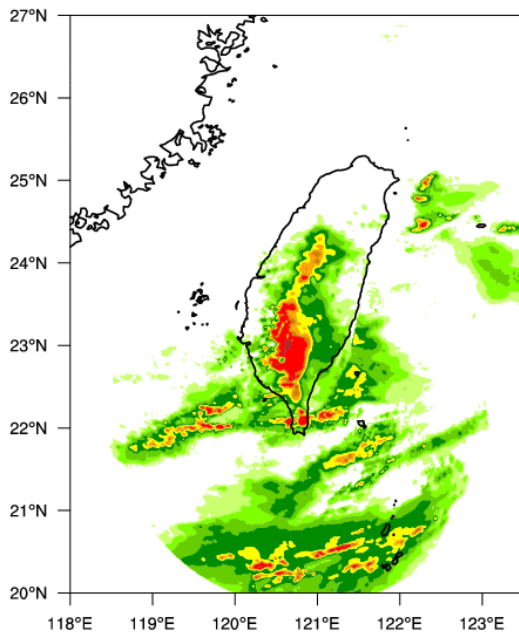


- Combined assimilation of radar and rainfall tuned down the overly large humidity increment from that of the rainfall only experiment
- Combined assimilation also moved the humidity increment to the land

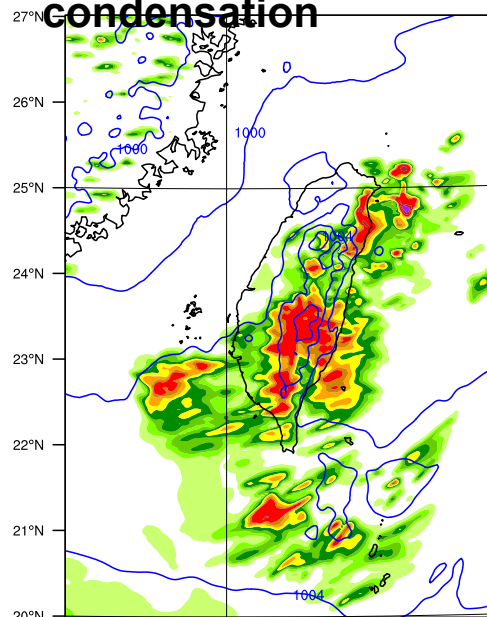
# Sensitivity of physics in the TL and AD models

Precipitation forecasts at  $t = 2h$  for a Meiyu case occurred in Taiwan

1hour Precip(QPE): 2012061002

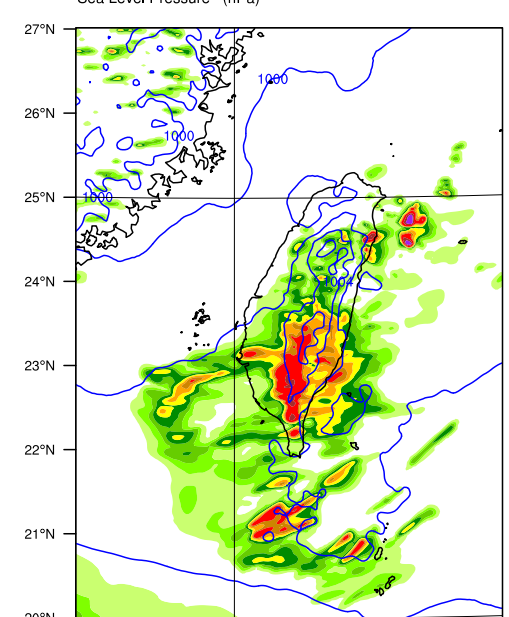


Large-scale condensation



118°E 120°E  
122°E

Kessler scheme



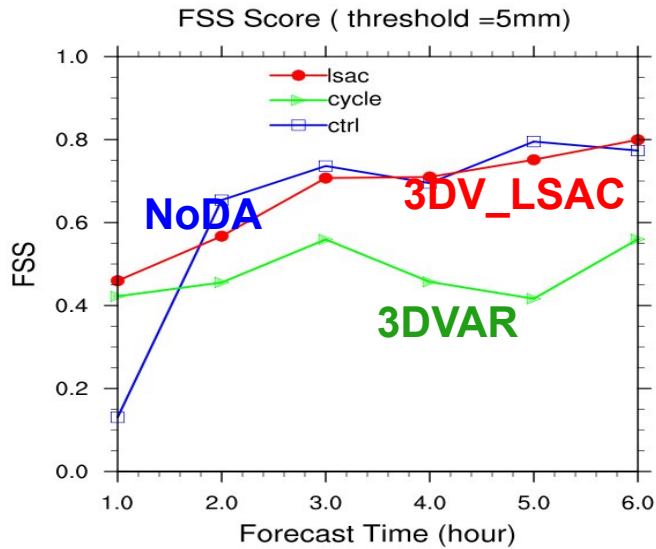
118°E 120°E 122°E

# Impact of LSAC on 3DVAR DA (future release)

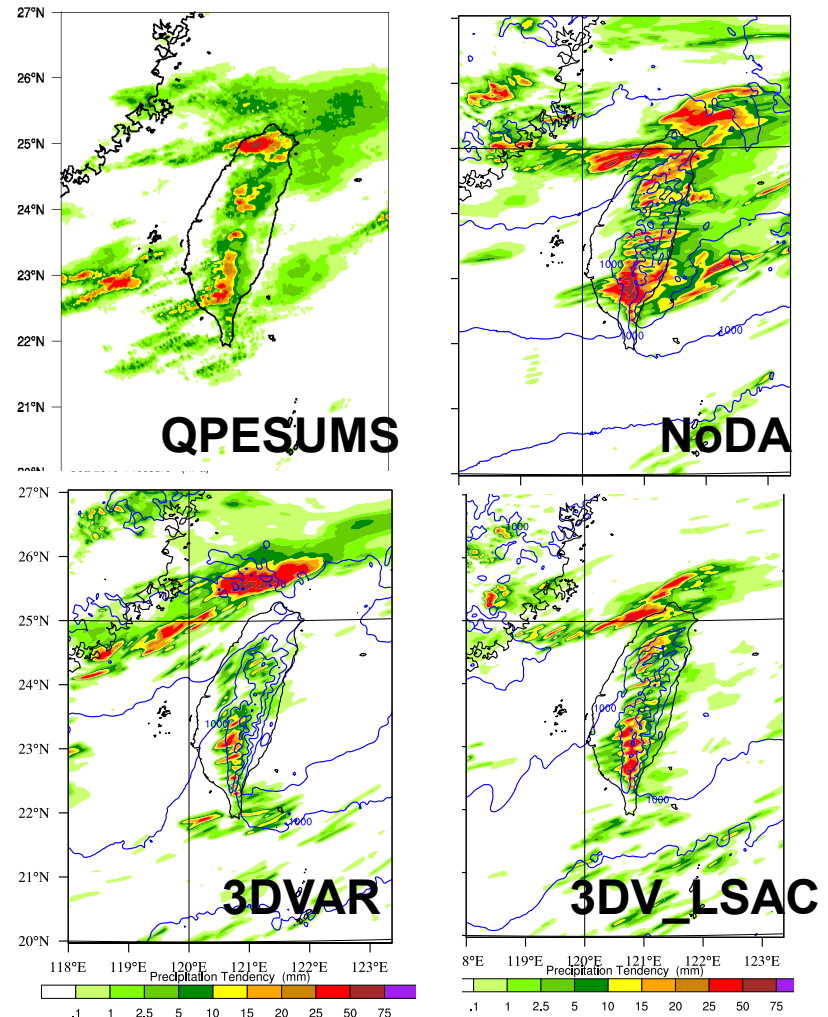
$$J = J_b + J_o + \frac{1}{2} (\mathbf{x}_0 - \mathbf{x}_0^{la}) \mathbf{A}^{-1} (\mathbf{x}_0 - \mathbf{x}_0^{la})$$

**LSAC: Large Scale Analysis Constraint**

$\mathbf{x}_0^{la}$  : large-scale analysis (GFS)



## 6<sup>th</sup> hour rainfall forecasts



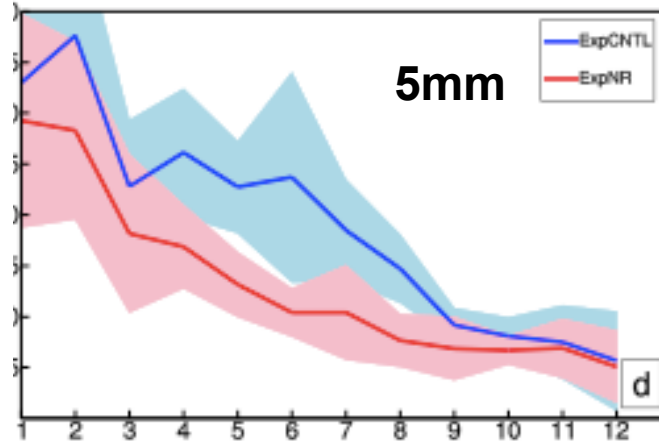
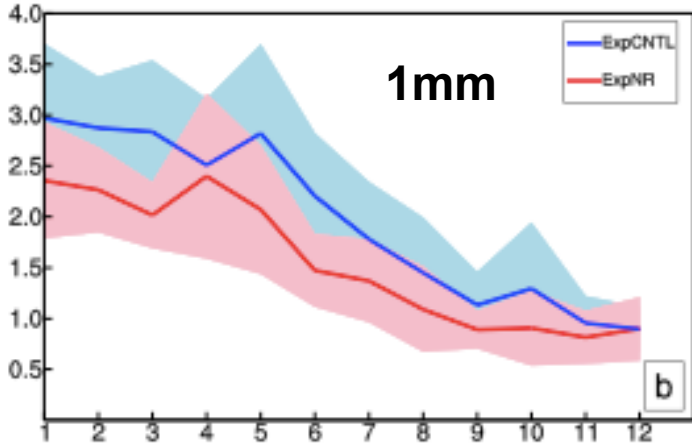
- 3DVAR w/o LSAC eliminates noise in NoDA, but also some signals
- 3DVAR\_LSAC is able to keep the analysis close to high resolution observations while still maintaining large-scale balance, resulting in improved rainfall forecast



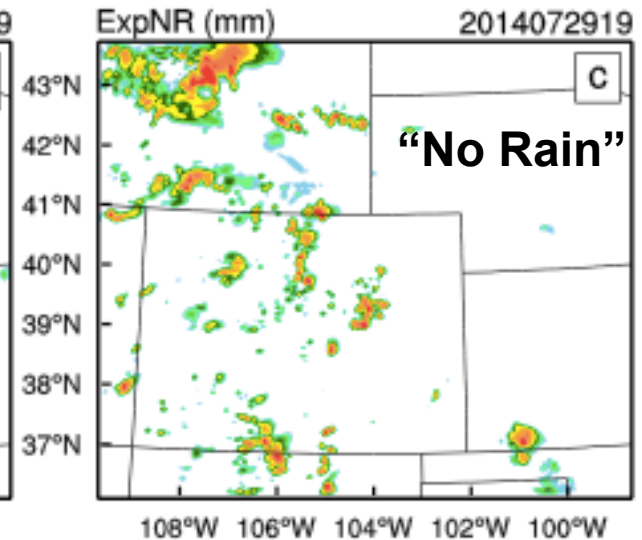
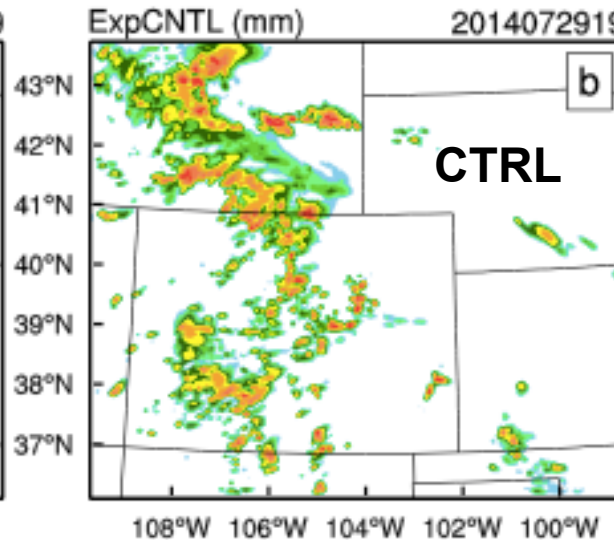
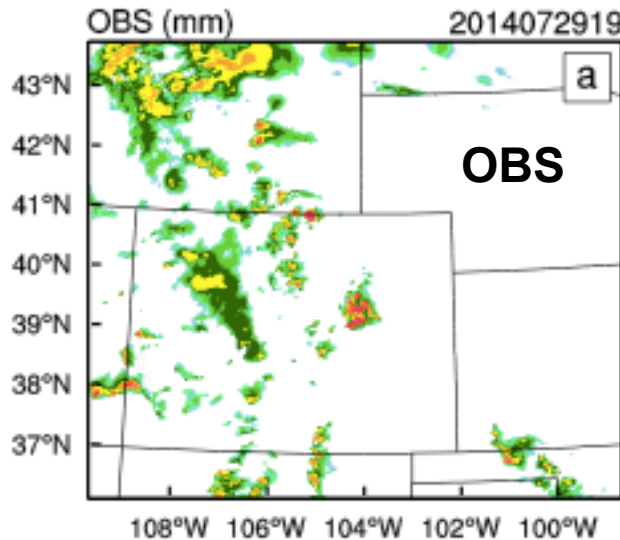
# Reducing BIAS by assimilating “no rain” reflectivity data (future release)



**BIAS** Julv 1 – August 31 2016



**Red: “no rain” DA**  
**Blue: Control**



# Ongoing research

- **How to configure 4DVar for radar data assimilation and short-term convective forecasting?**
  - *physics options*
  - *cycling strategy*
  - *multi-incremental method*
- **Improve flow-dependent BE (error of the day) using the ensemble method**
  - *Application of WRFDA hybrid-3DVar to radar data assimilation*
  - *Benefit of using EnKF to update perturbation (En3DVar vs. 3DEnVar)*
- **Combined assimilation of radar and rainfall**
  - *How to define rainfall error*
  - *Assimilate radar QPE and surface rainfall*